

QUANTUM Chaos
and the
Foundations
of
Statistical Mechanics

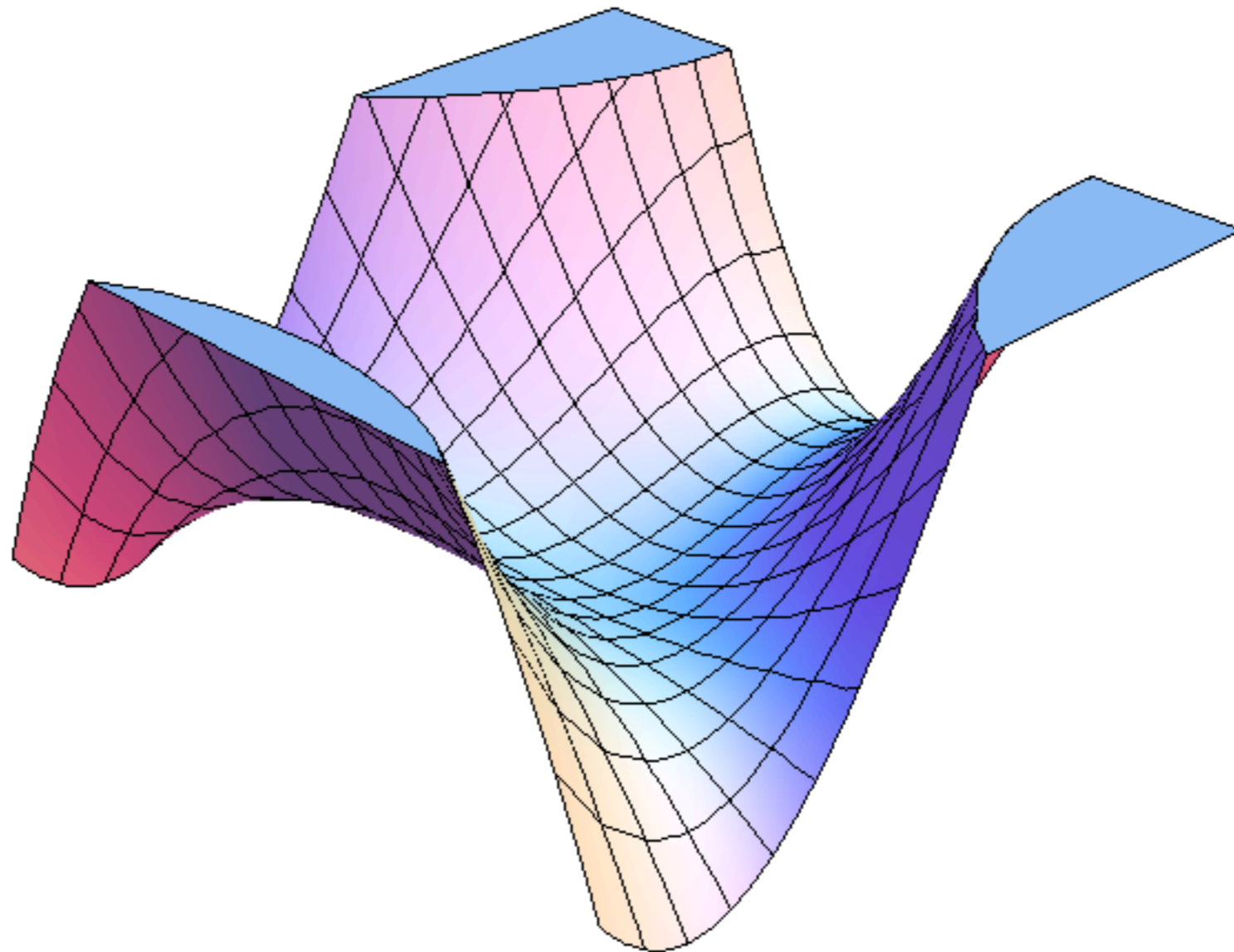
Mark Srednicki
UCSB

Classical chaotic motion

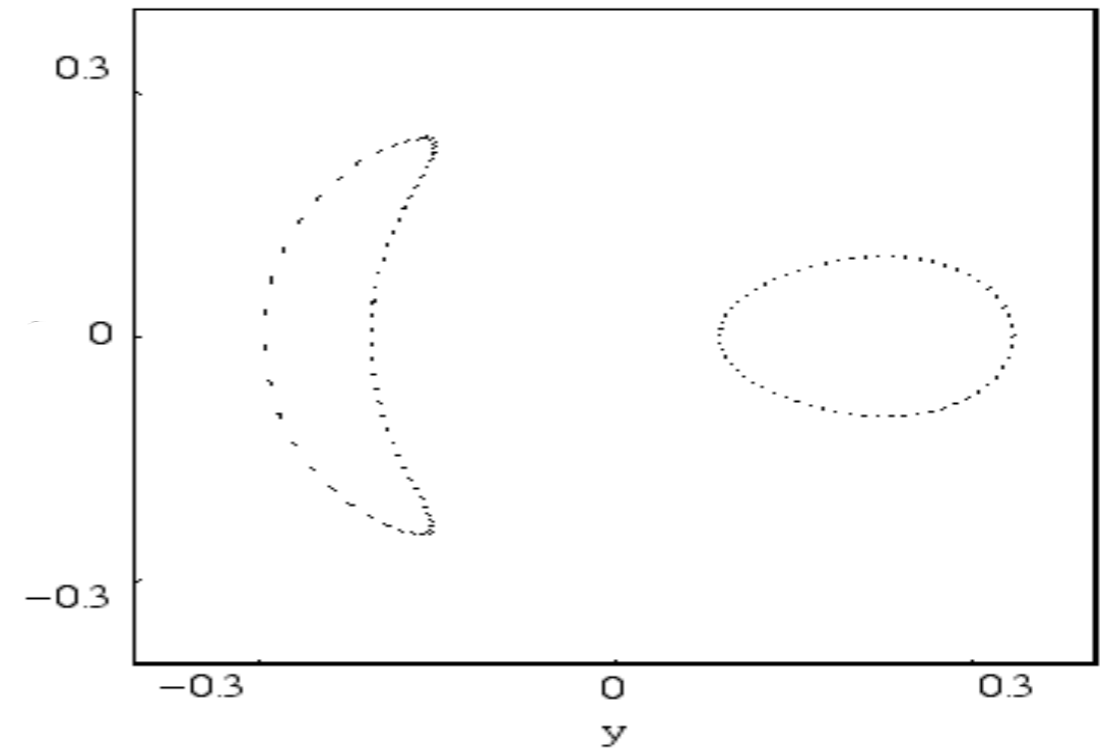
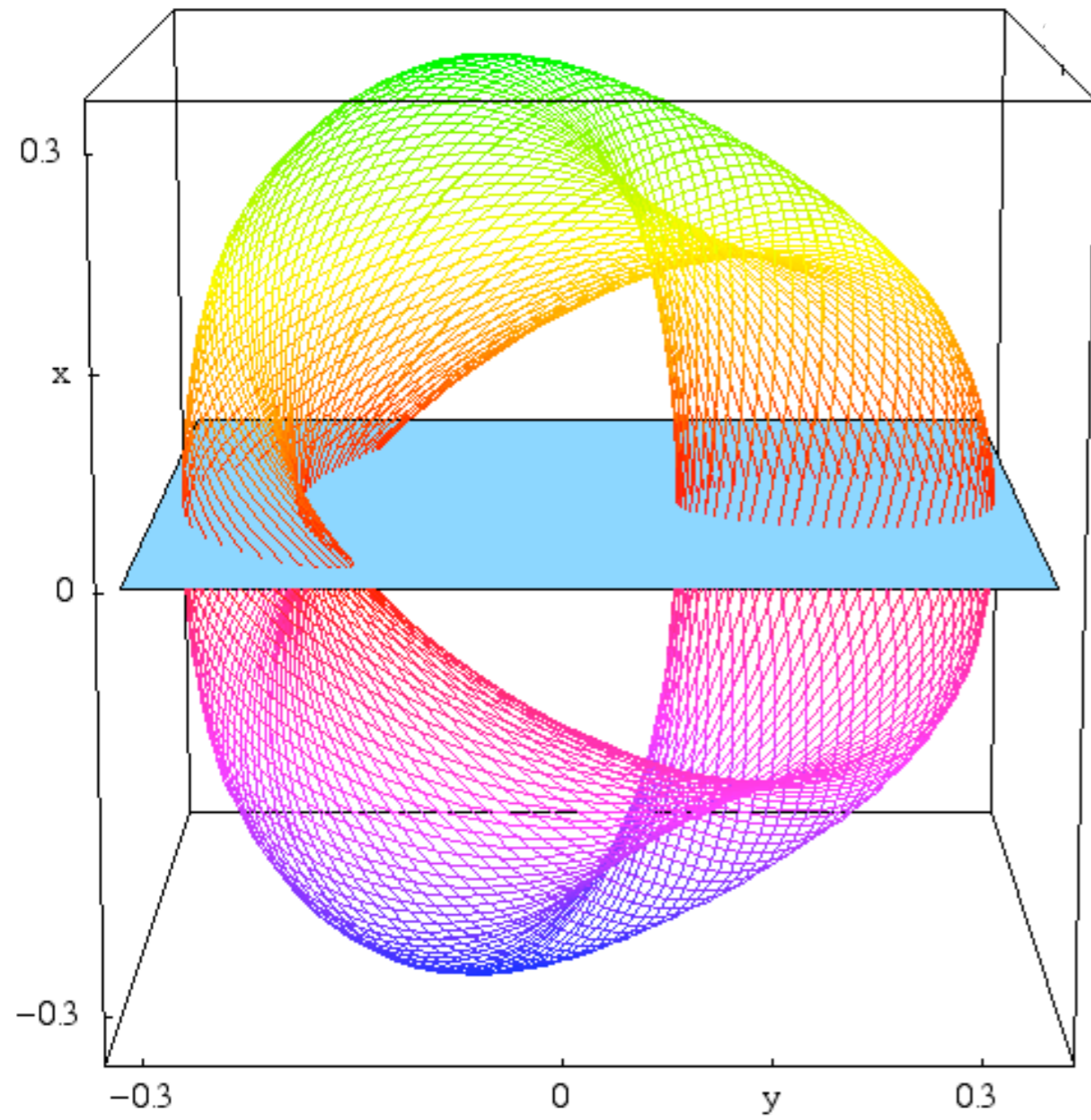
Classical chaotic motion

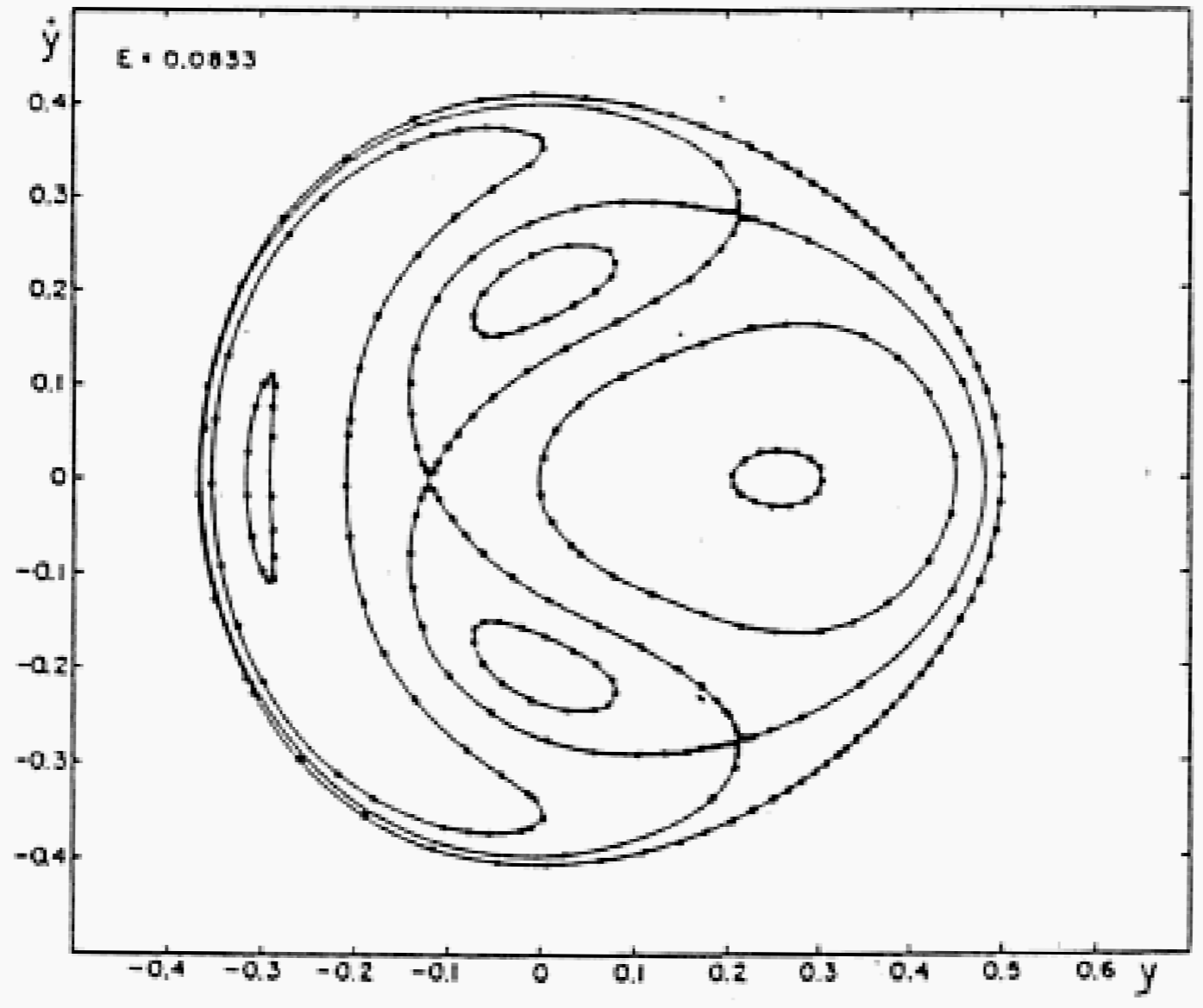
Hénon-Heiles potential:

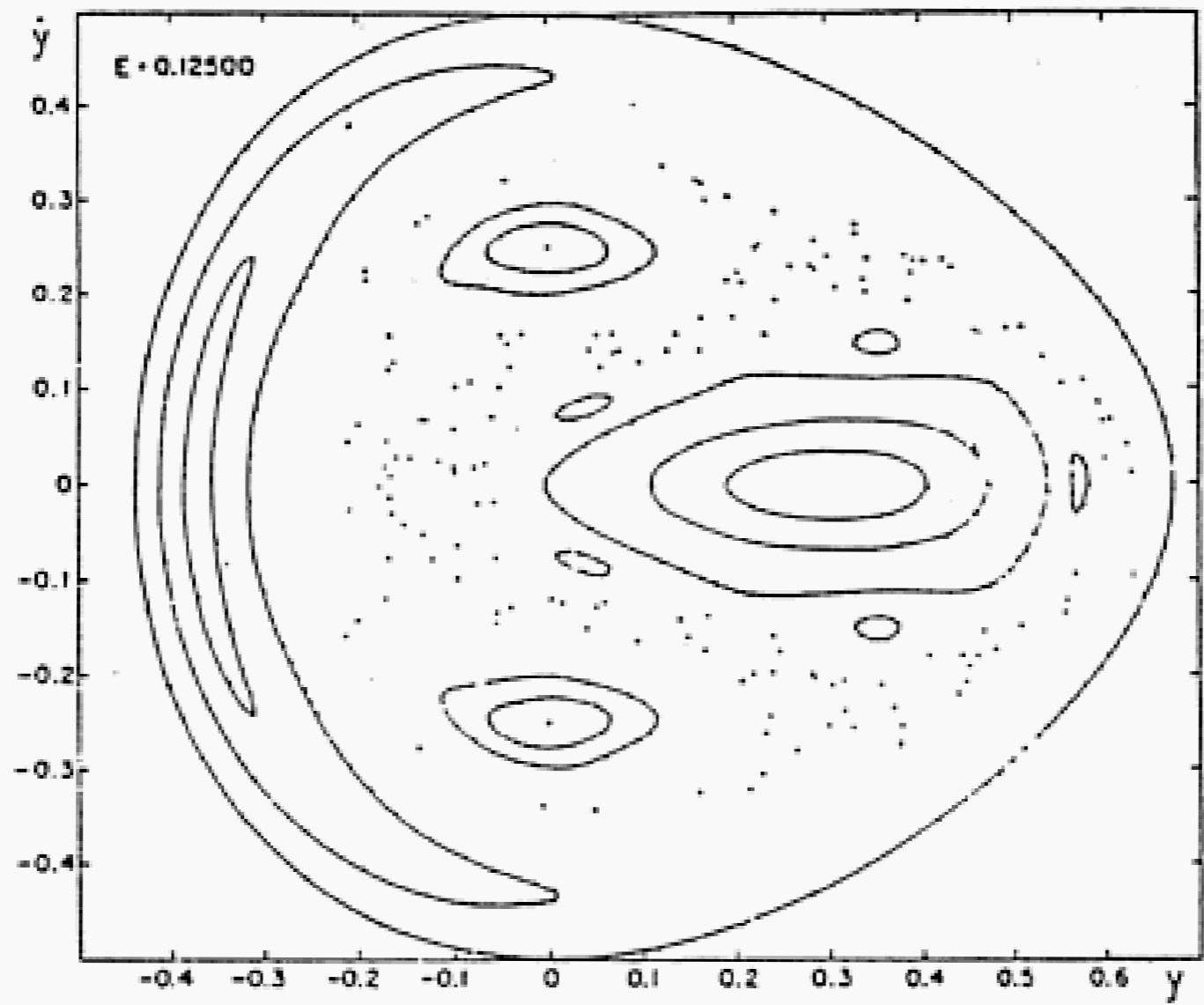
$$V(x, y) = \frac{1}{2}(x^2 + y^2) + x^2y - \frac{1}{3}y^3 = \frac{1}{2}r^2 + \frac{1}{3}r^3 \sin(3\theta)$$

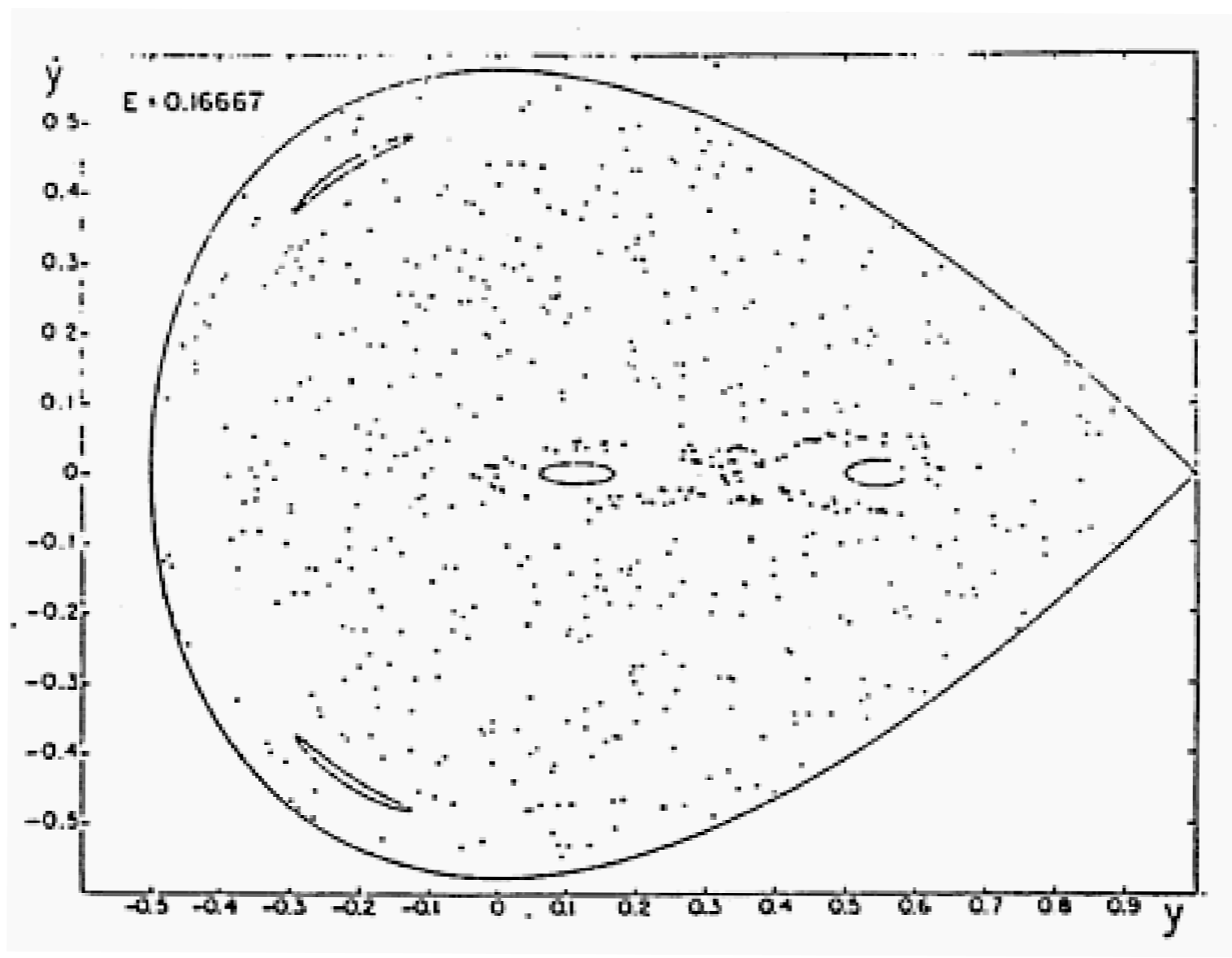


Poincaré section: values of y & \dot{y} when $x=0$





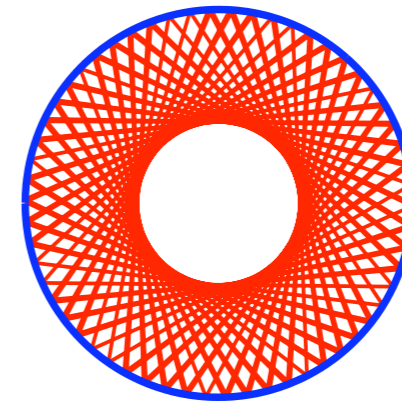
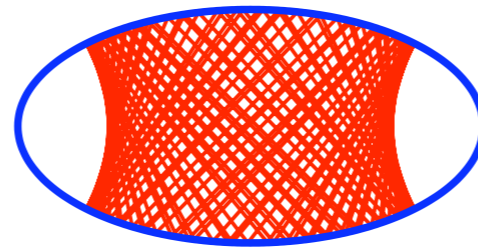
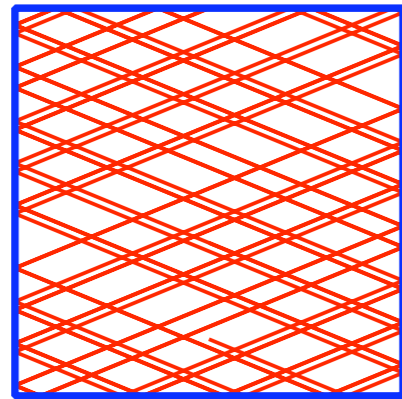




Billiard systems

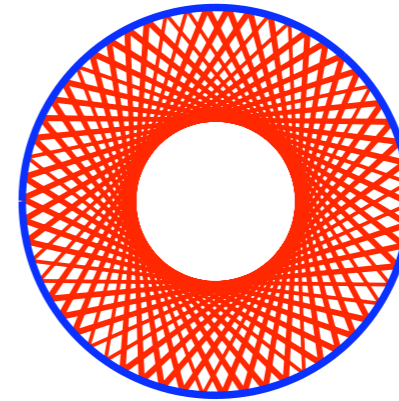
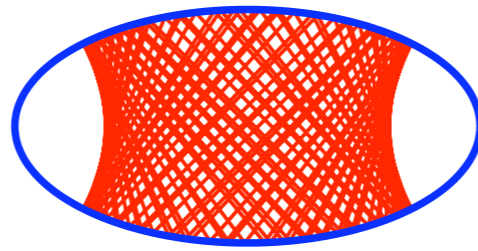
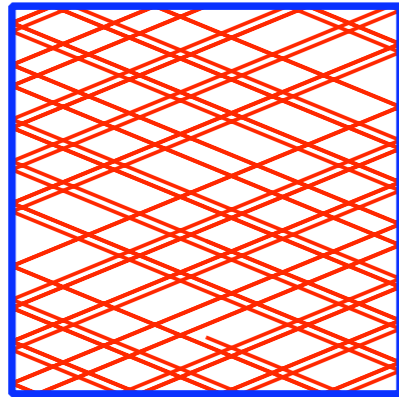
Billiard systems

Integrable:

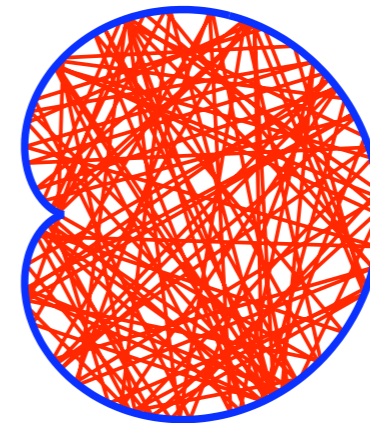
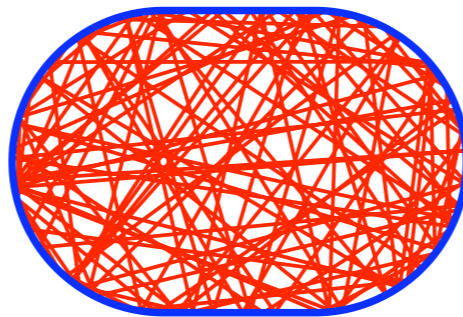
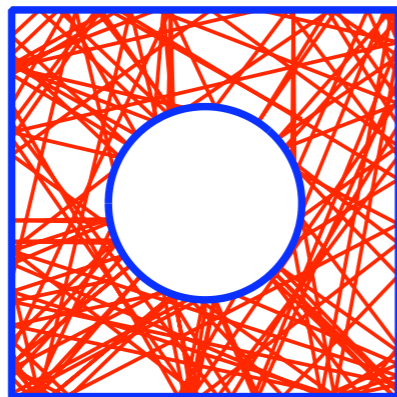


Billiard systems

Integrable:



Chaotic:



graphs by Arnd Baecker

QUANTUM MECHANICS

QUANTUM MECHANICS

Given a hamiltonian H ,

we find its

EIGENVALUES

and

EIGENSTATES:

$$H|\alpha\rangle = E_\alpha|\alpha\rangle$$

QUANTUM MECHANICS

Given a hamiltonian H ,

we find its

EIGENVALUES

and

EIGENSTATES:

$$H|\alpha\rangle = E_\alpha|\alpha\rangle$$

Then

$$|\psi_t\rangle = \sum_{\alpha} c_{\alpha} e^{-iE_{\alpha}t/\hbar} |\alpha\rangle$$

Do **chaotic** systems
have any
QUANTUM signatures

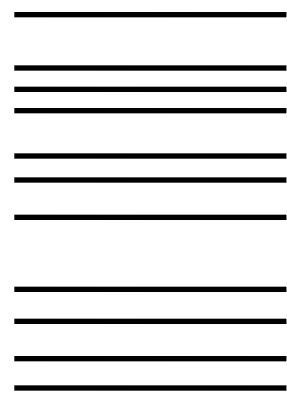


Do **chaotic** systems
have any
QUANTUM signatures



Yes!

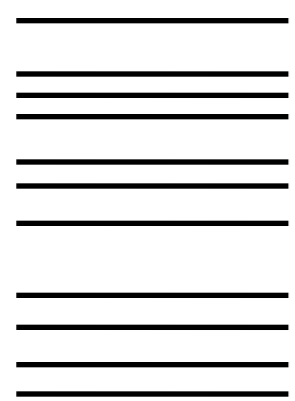
Energy eigenvalue statistics:



s = nearest neighbor spacing

$$P(s) = ?$$

Energy eigenvalue statistics:

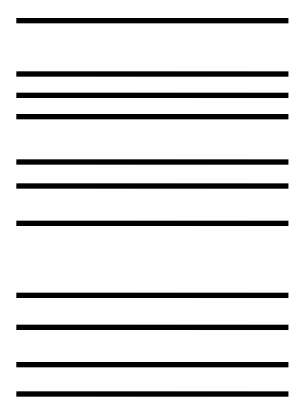


s = nearest neighbor spacing

$$P(s) = ?$$

Integrable system: $P(s) \sim e^{-s}$

Energy eigenvalue statistics:



s = nearest neighbor spacing

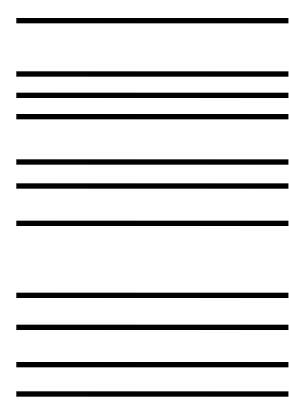
$$P(s) = ?$$

Integrable system: $P(s) \sim e^{-s}$

Chaotic system: $P(s) \sim s^\beta e^{-s^2}$

$$\beta = 1, 2, 4$$

Energy eigenvalue statistics:



s = nearest neighbor spacing

$$P(s) = ?$$

Integrable system: $P(s) \sim e^{-s}$

Chaotic system: $P(s) \sim s^\beta e^{-s^2}$

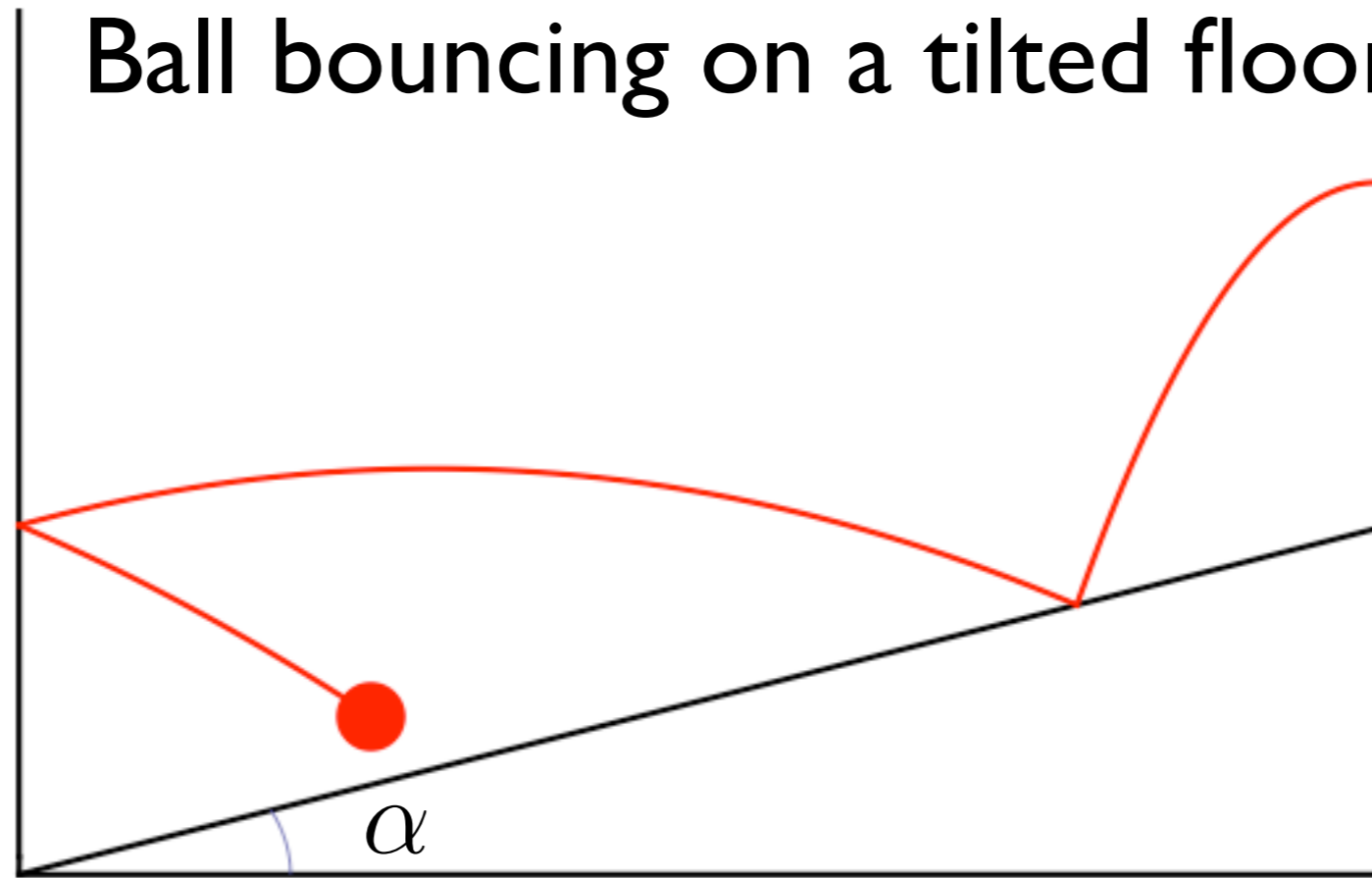
$$\beta = 1, 2, 4$$

Wigner-Dyson distribution
Random Matrix Theory
GOE, GUE, GSE

Example:

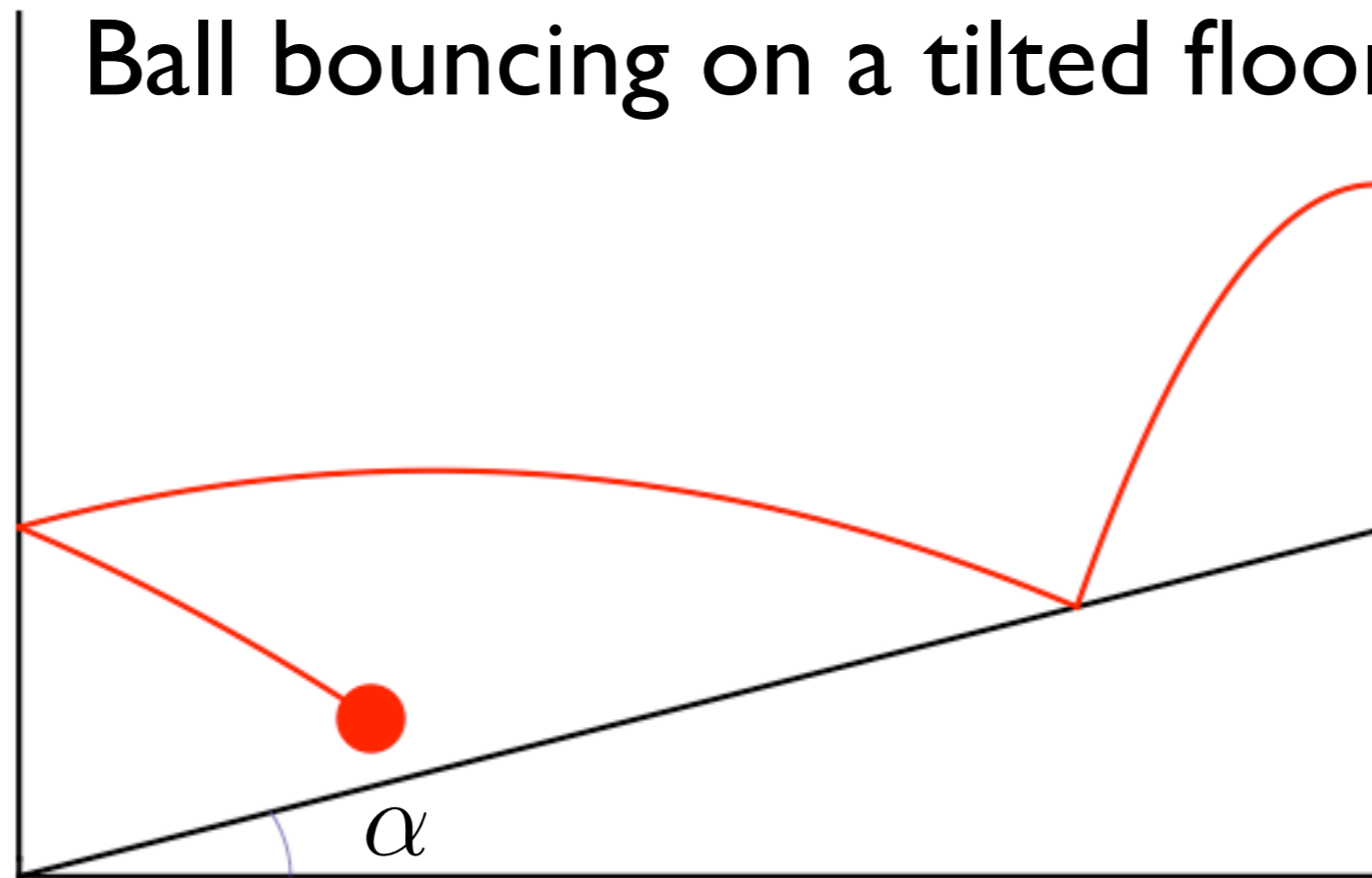
Example:

Ball bouncing on a tilted floor



Example:

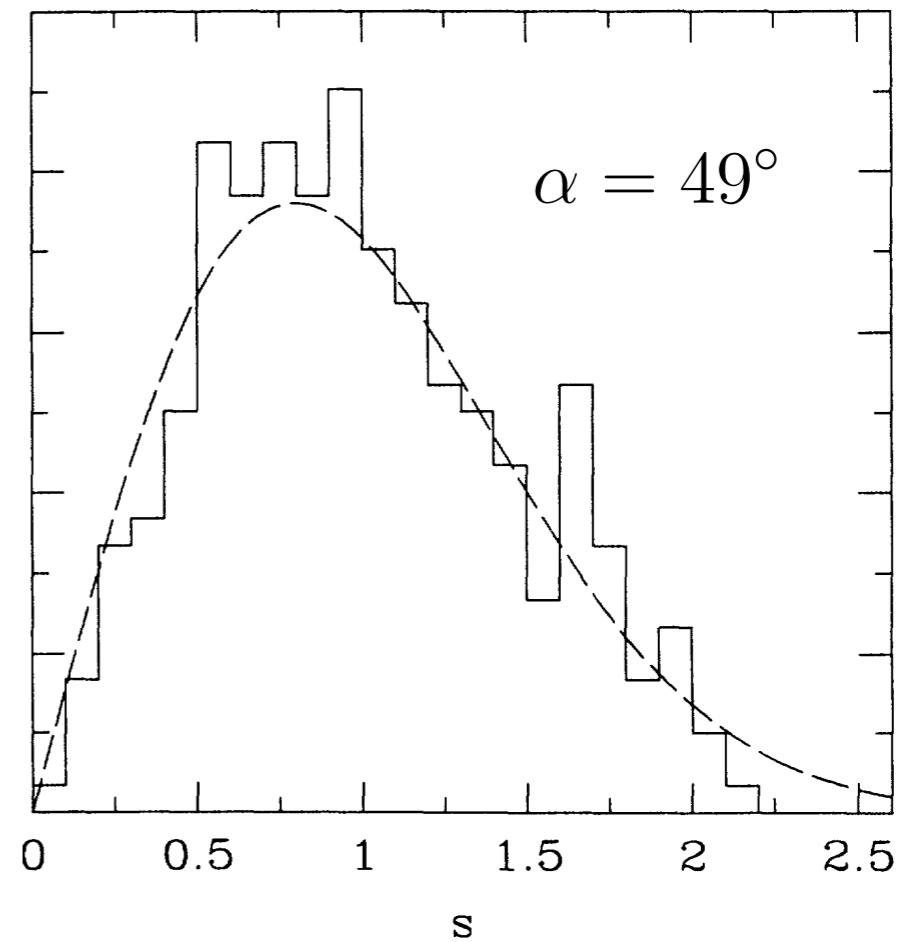
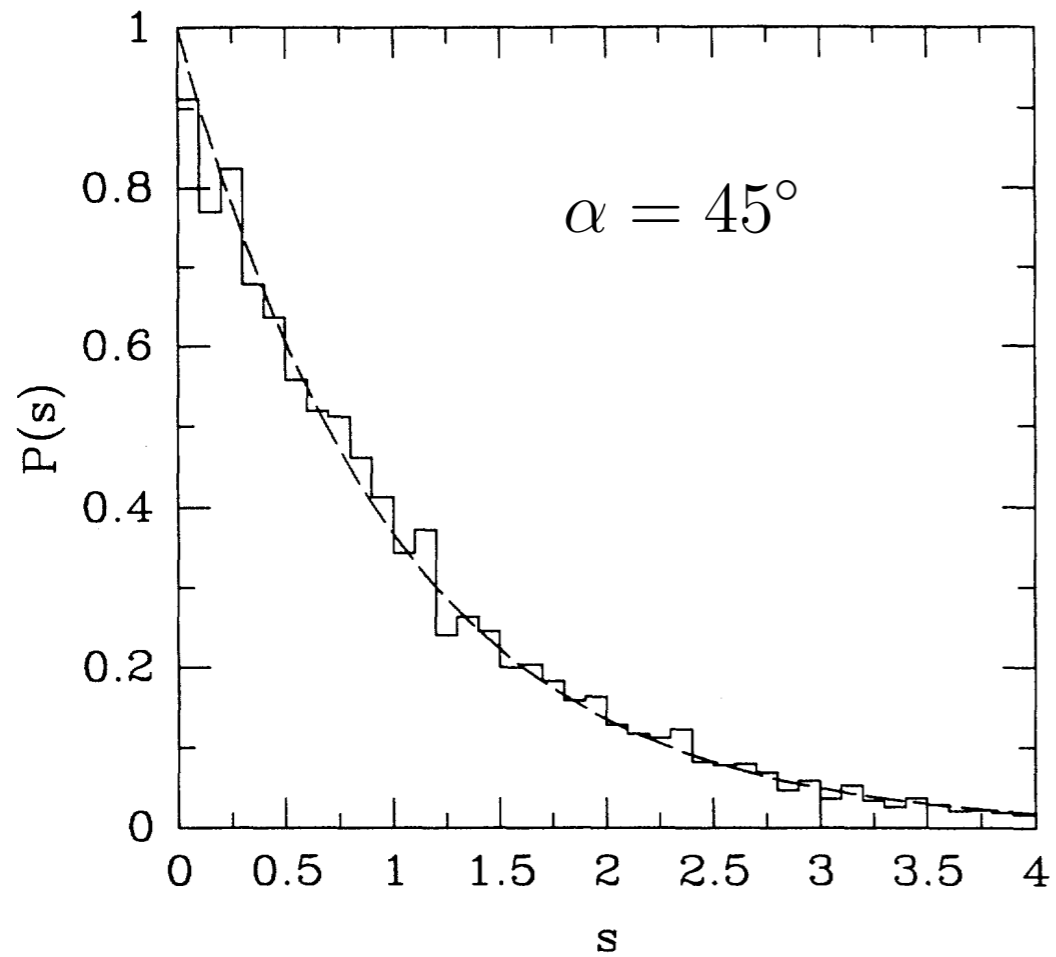
Ball bouncing on a tilted floor



integrable if $\alpha \leq 45^\circ$

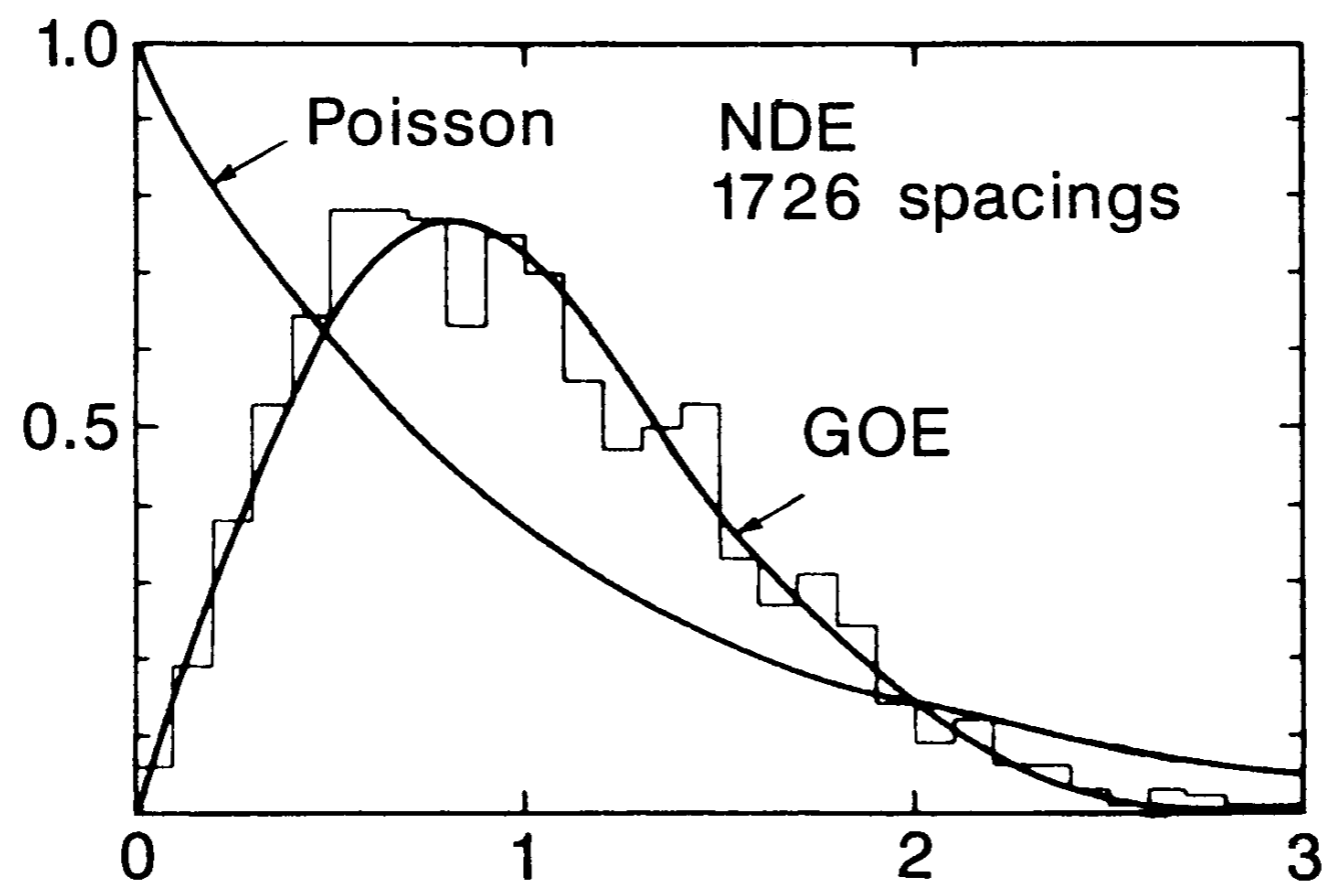
chaotic if $\alpha > 45^\circ$

Quantum level spacings:



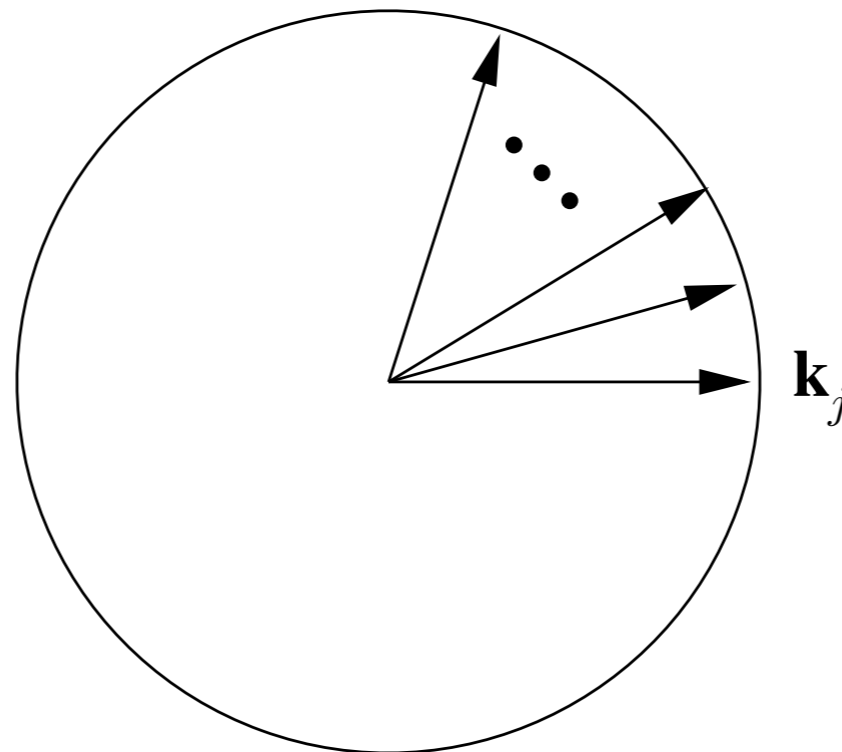
Szeregi & Goodings '93

Level spacings in nuclei:



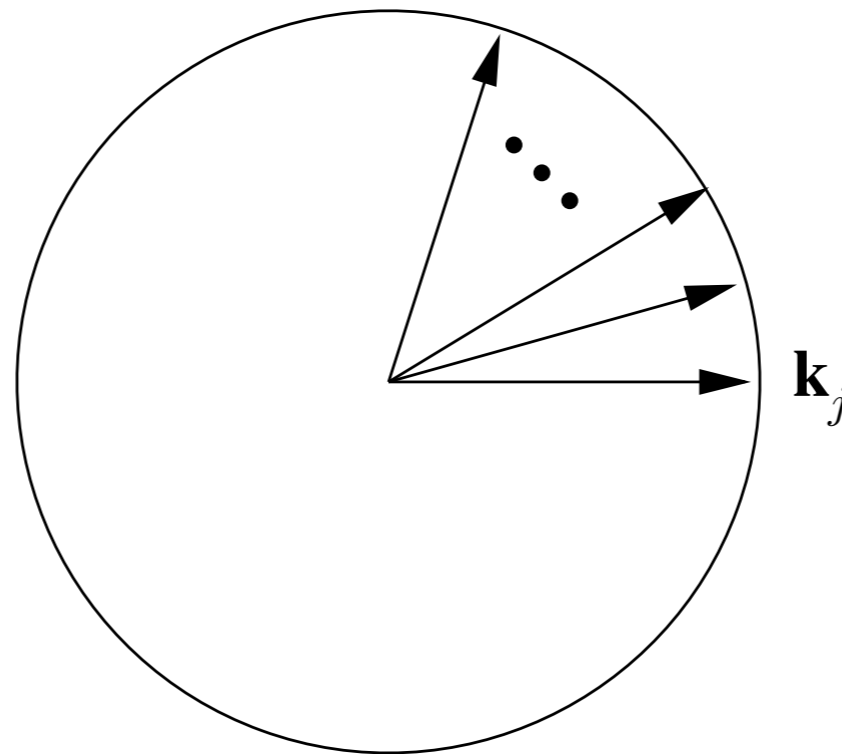
Quantum energy eigenfunctions

$$\psi(\mathbf{x}) = \sum_{j=1}^N A_j e^{i\mathbf{k}_j \cdot \mathbf{x}}$$



Quantum energy eigenfunctions

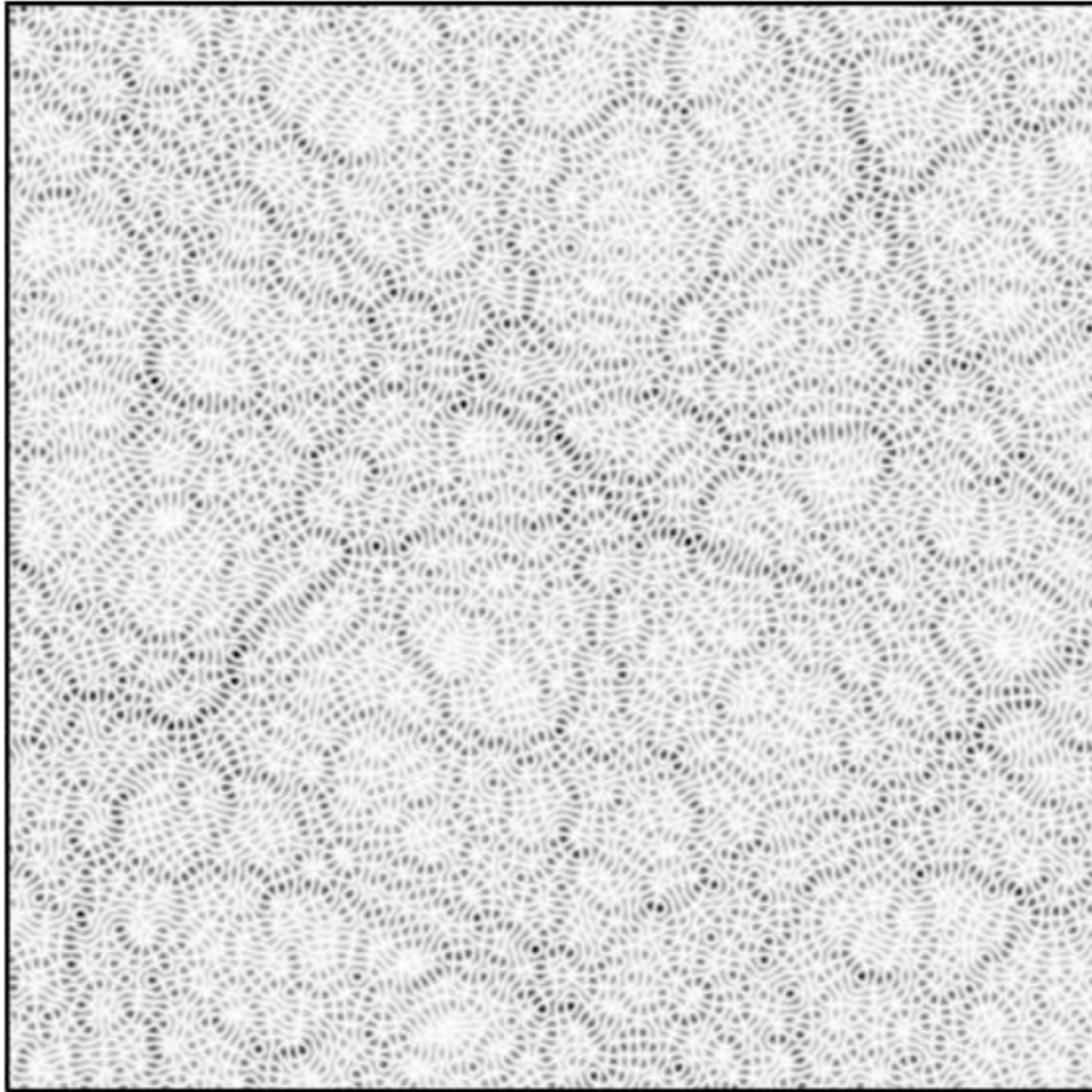
$$\psi(\mathbf{x}) = \sum_{j=1}^N A_j e^{i\mathbf{k}_j \cdot \mathbf{x}}$$



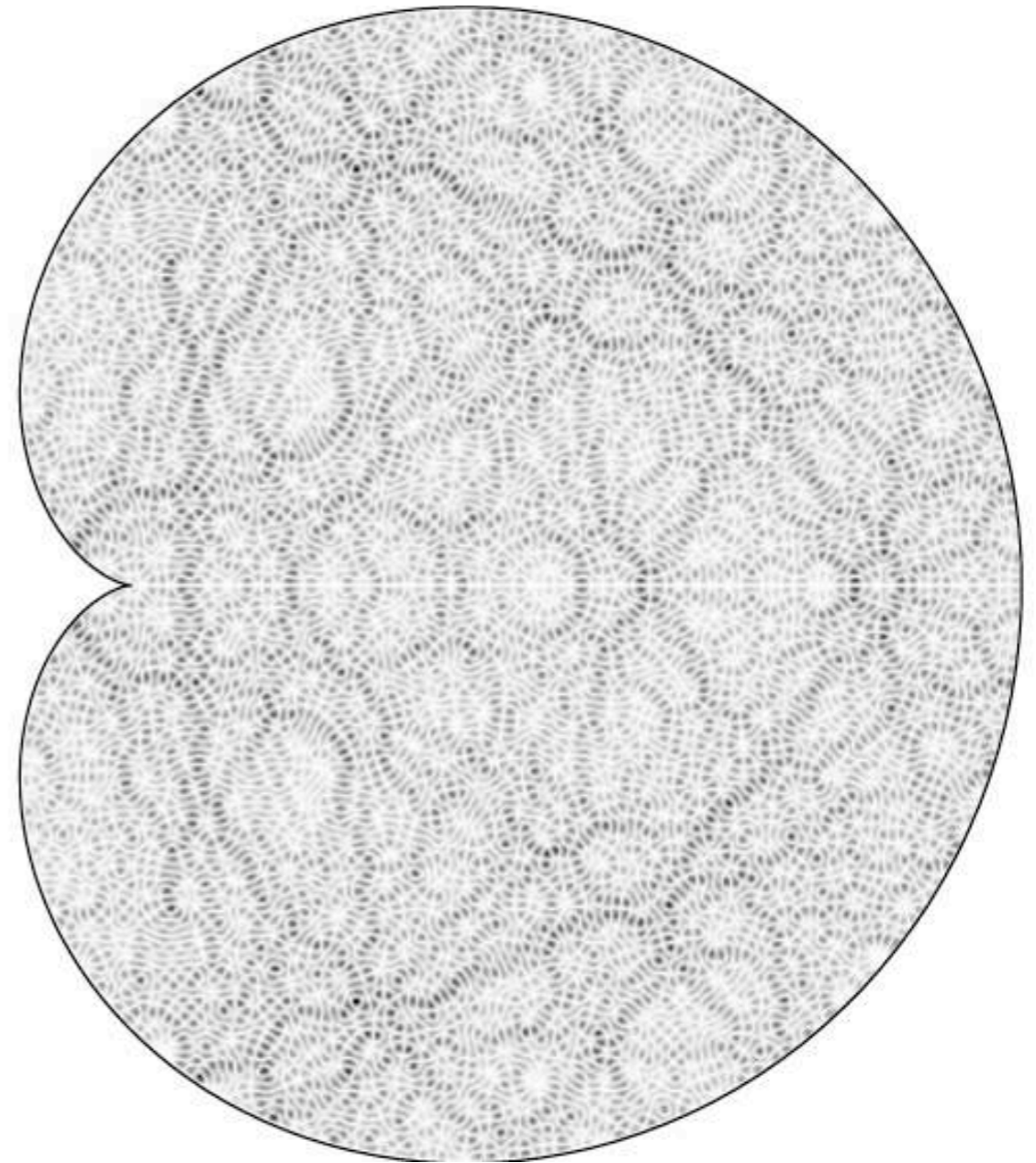
Berry's random wave conjecture:
 A_j 's are gaussian random

Berry '77

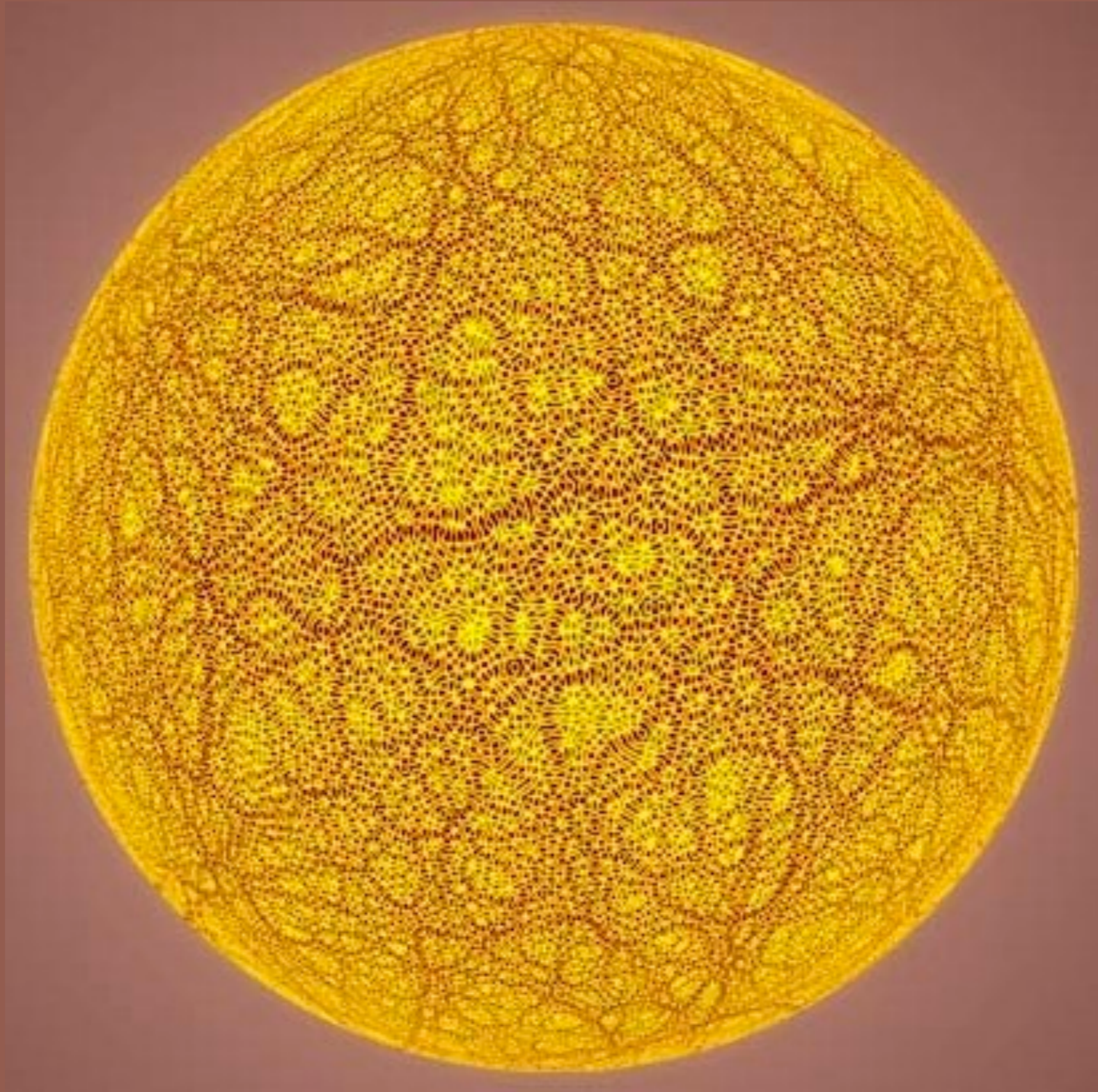
Random waves:



Cardioid billiard eigenfunction:



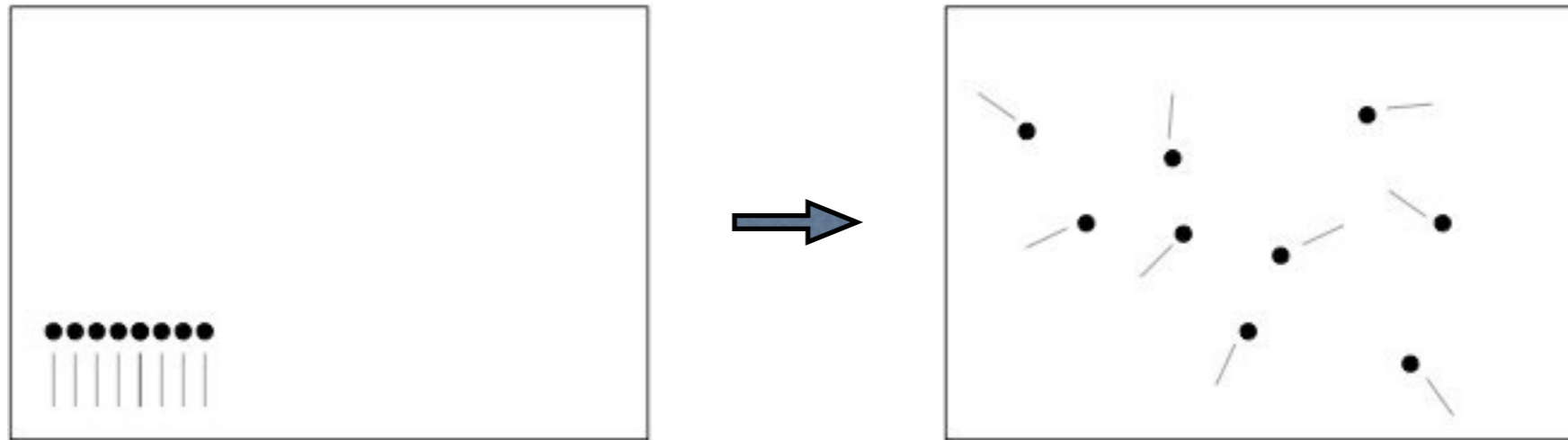
Baecker '03



Random waves on a sphere
(Eric Heller)

QUANTUM CHAOS
and
STATISTICAL MECHANICS

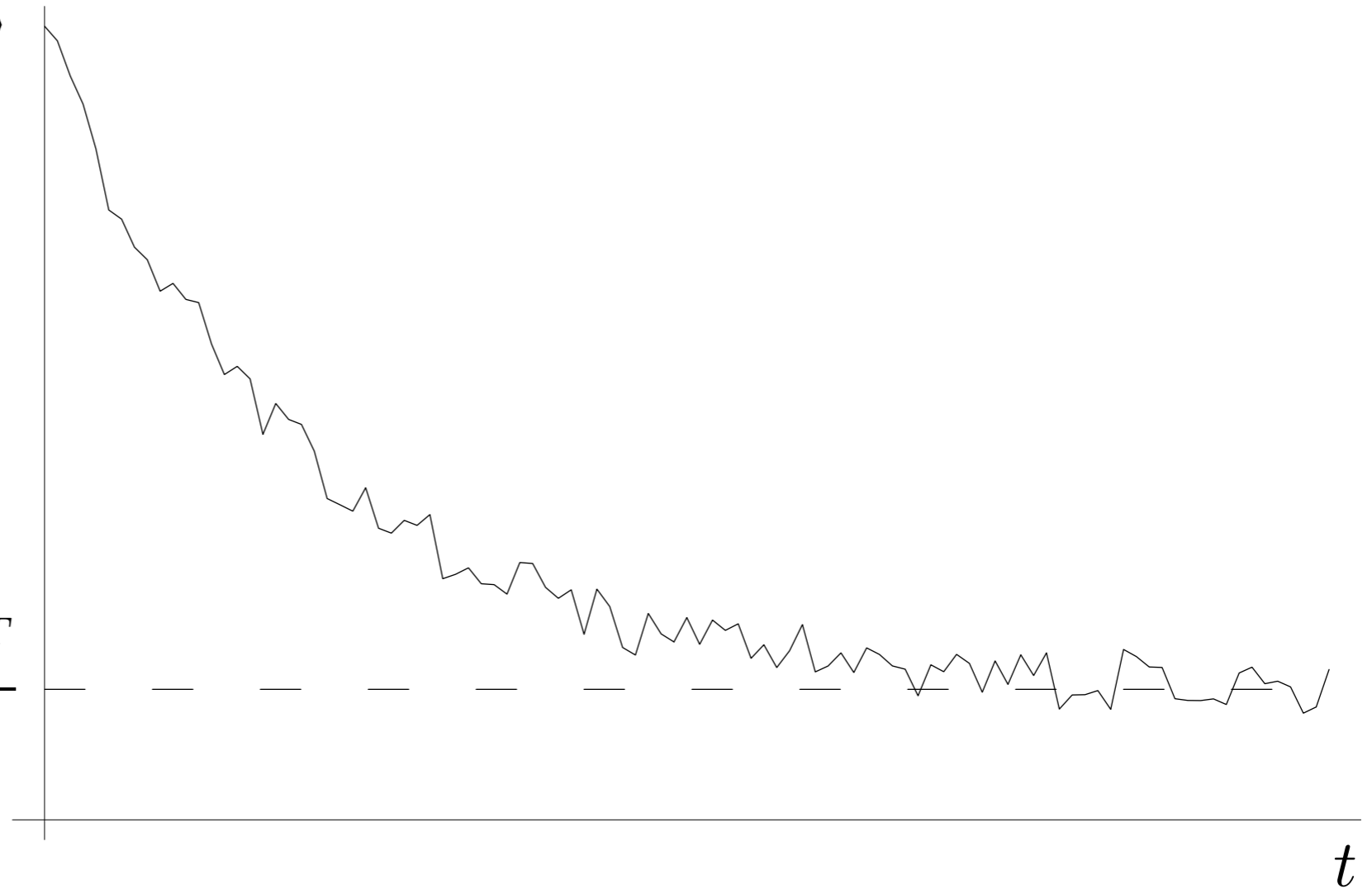
Dilute gas in a box:



Let $A = a_p^\dagger a_p$

$$A_t \equiv \langle \psi_t | A | \psi_t \rangle$$

$$\langle A \rangle_T \equiv \frac{\text{Tr } A e^{-H/T}}{\text{Tr } e^{-H/T}}$$



Let's compute

$$A_t \equiv \langle \psi_t | A | \psi_t \rangle$$

Let's compute

$$A_t \equiv \langle \psi_t | A | \psi_t \rangle$$

$$|\psi_t\rangle = \sum_{\alpha} c_{\alpha} e^{-iE_{\alpha}t} |\alpha\rangle$$

Let's compute

$$A_t \equiv \langle \psi_t | A | \psi_t \rangle$$

$$|\psi_t\rangle = \sum_{\alpha} c_{\alpha} e^{-iE_{\alpha}t} |\alpha\rangle$$

$$A_t = \sum_{\alpha\beta} c_{\alpha}^* c_{\beta} e^{i(E_{\alpha}-E_{\beta})t} A_{\alpha\beta}$$

Let's compute

$$A_t \equiv \langle \psi_t | A | \psi_t \rangle$$

$$|\psi_t\rangle = \sum_{\alpha} c_{\alpha} e^{-iE_{\alpha}t} |\alpha\rangle$$

$$A_t = \sum_{\alpha\beta} c_{\alpha}^* c_{\beta} e^{i(E_{\alpha}-E_{\beta})t} A_{\alpha\beta}$$

$$\bar{A} \equiv \lim_{\tau \rightarrow \infty} \frac{1}{\tau} \int_0^{\tau} dt A_t$$

Let's compute

$$A_t \equiv \langle \psi_t | A | \psi_t \rangle$$

$$|\psi_t\rangle = \sum_{\alpha} c_{\alpha} e^{-iE_{\alpha}t} |\alpha\rangle$$

$$A_t = \sum_{\alpha\beta} c_{\alpha}^* c_{\beta} e^{i(E_{\alpha}-E_{\beta})t} A_{\alpha\beta}$$

$$\begin{aligned} \bar{A} &\equiv \lim_{\tau \rightarrow \infty} \frac{1}{\tau} \int_0^{\tau} dt A_t \\ &= \sum_{\alpha} |c_{\alpha}|^2 A_{\alpha\alpha} \end{aligned}$$

Let's compute

$$A_t \equiv \langle \psi_t | A | \psi_t \rangle$$

$$|\psi_t\rangle = \sum_{\alpha} c_{\alpha} e^{-iE_{\alpha}t} |\alpha\rangle$$

$$A_t = \sum_{\alpha\beta} c_{\alpha}^* c_{\beta} e^{i(E_{\alpha}-E_{\beta})t} A_{\alpha\beta}$$

$$\bar{A} \equiv \lim_{\tau \rightarrow \infty} \frac{1}{\tau} \int_0^{\tau} dt A_t$$

$$= \sum_{\alpha} |c_{\alpha}|^2 A_{\alpha\alpha}$$

$$= \langle A \rangle_T \quad ???$$

Shnirelman's theorem:

$$\langle \alpha | A | \alpha \rangle \rightarrow \text{classical, microcanonical average of } A \\ + O(\hbar^{1/2})$$

if system is chaotic and $A = \hbar$ -independent operator

Shnirelman's theorem:

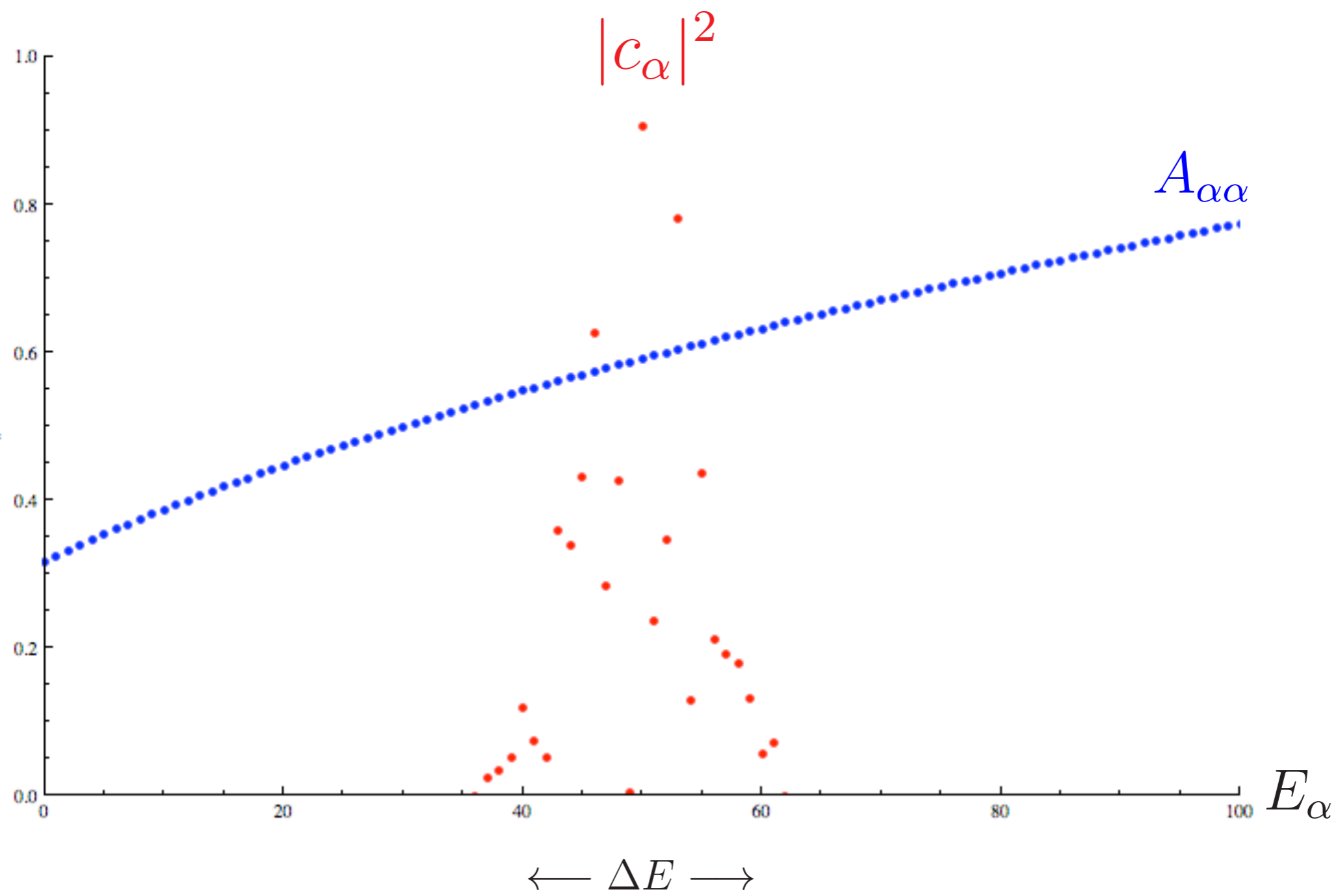
$$\langle \alpha | A | \alpha \rangle \rightarrow \text{classical, microcanonical average of } A \\ + O(\hbar^{1/2})$$

if system is chaotic and $A = \hbar$ -independent operator

But all we will need is

$$\langle \alpha | A | \alpha \rangle = O(\hbar^0), \text{ varies smoothly with } E_\alpha$$

which follows from the random-wave conjecture



If ΔE is “small”, then

$$\bar{A} = \sum_{\alpha} |c_{\alpha}|^2 A_{\alpha\alpha} \text{ is independent of the } c_{\alpha}\text{'s}$$

If ΔE is “small”, then

$$\bar{A} = \sum_{\alpha} |c_{\alpha}|^2 A_{\alpha\alpha} \text{ is independent of the } c_{\alpha}\text{'s}$$

So let $|c_{\alpha}|^2 =$ Boltzmann weight

$$\implies \Delta E \sim N^{-1/2} E$$

$$\implies \bar{A} = \langle A \rangle_T$$

If ΔE is “small”, then

$$\bar{A} = \sum_{\alpha} |c_{\alpha}|^2 A_{\alpha\alpha} \text{ is independent of the } c_{\alpha}\text{'s}$$

So let $|c_{\alpha}|^2 =$ Boltzmann weight

$$\implies \Delta E \sim N^{-1/2} E$$

$$\implies \bar{A} = \langle A \rangle_T$$

$$\implies \bar{A} = \langle A \rangle_T \text{ for all } c_{\alpha}\text{'s with “small” } \Delta E$$

If ΔE is “small”, then

$$\bar{A} = \sum_{\alpha} |c_{\alpha}|^2 A_{\alpha\alpha} \text{ is independent of the } c_{\alpha}\text{'s}$$

So let $|c_{\alpha}|^2 =$ Boltzmann weight

$$\implies \Delta E \sim N^{-1/2} E$$

$$\implies \bar{A} = \langle A \rangle_T$$

$$\implies \bar{A} = \langle A \rangle_T \text{ for all } c_{\alpha}\text{'s with “small” } \Delta E$$

 “eigenstate thermalization”

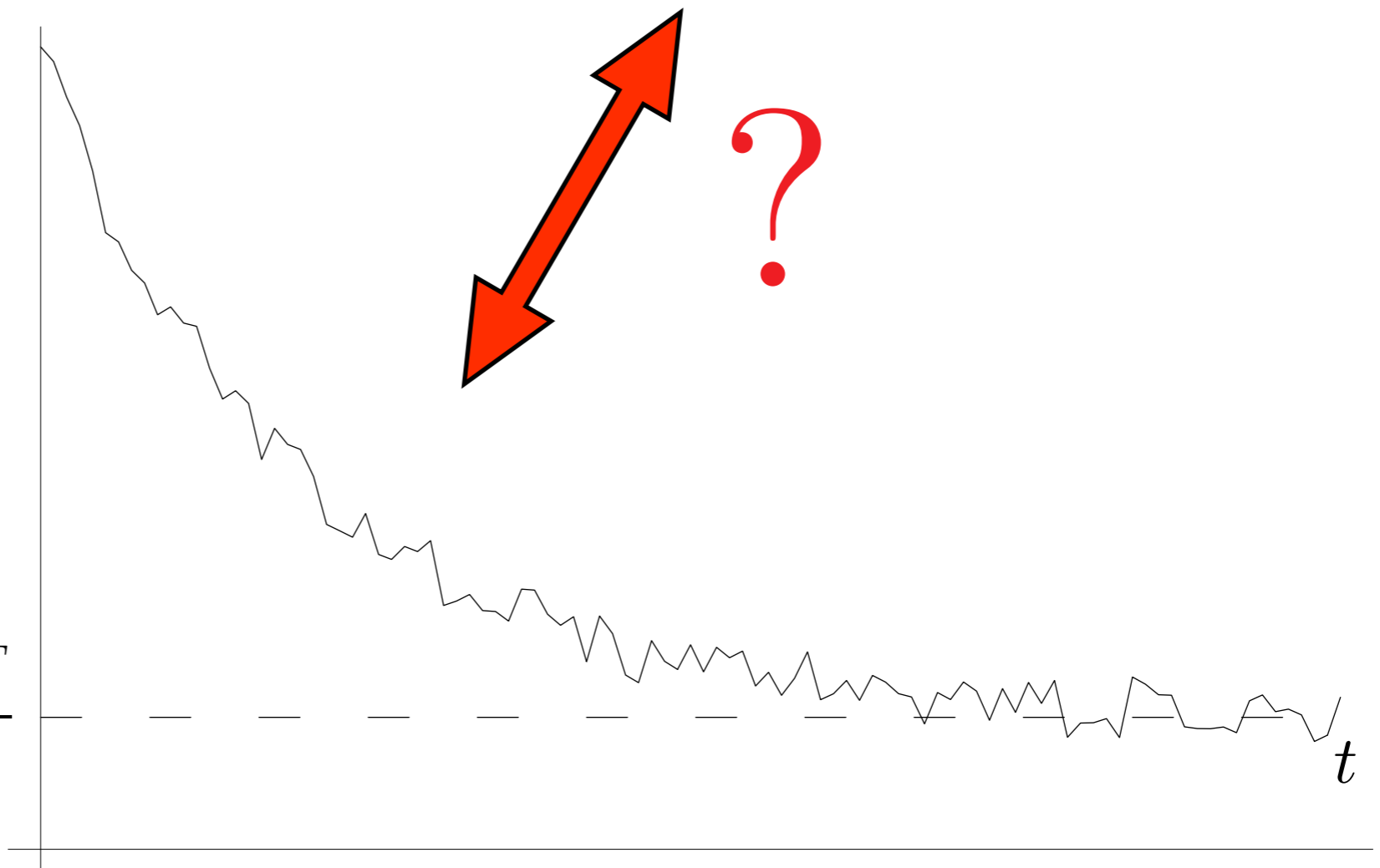
Deutsch '91, M.S. '94

Review:

$$A_t = \sum_{\alpha} |c_{\alpha}|^2 A_{\alpha\alpha} + \sum_{\beta \neq \alpha} c_{\alpha}^* c_{\beta} e^{i(E_{\alpha} - E_{\beta})t} A_{\alpha\beta}$$

$$A_t \equiv \langle \psi_t | A | \psi_t \rangle$$

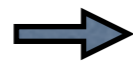
$$\langle A \rangle_T \equiv \frac{\text{Tr } A e^{-H/T}}{\text{Tr } e^{-H/T}}$$



Random wave conjecture \Rightarrow

$A_{\alpha\beta} = \langle \alpha | A | \beta \rangle$ varies erratically with α and β

$$\begin{aligned} O(\hbar^0) &= \langle \alpha | (A - \langle A \rangle)^2 | \alpha \rangle = \sum_{\beta \neq \alpha} \langle \alpha | A | \beta \rangle \langle \beta | A | \alpha \rangle \\ &= \sum_{\beta \neq \alpha} |A_{\alpha\beta}|^2 \\ &\sim \rho(\bar{E}) \overline{|A_{\alpha\beta}|^2} \\ &\sim \hbar^{-(f-1)} \overline{|A_{\alpha\beta}|^2} \end{aligned}$$

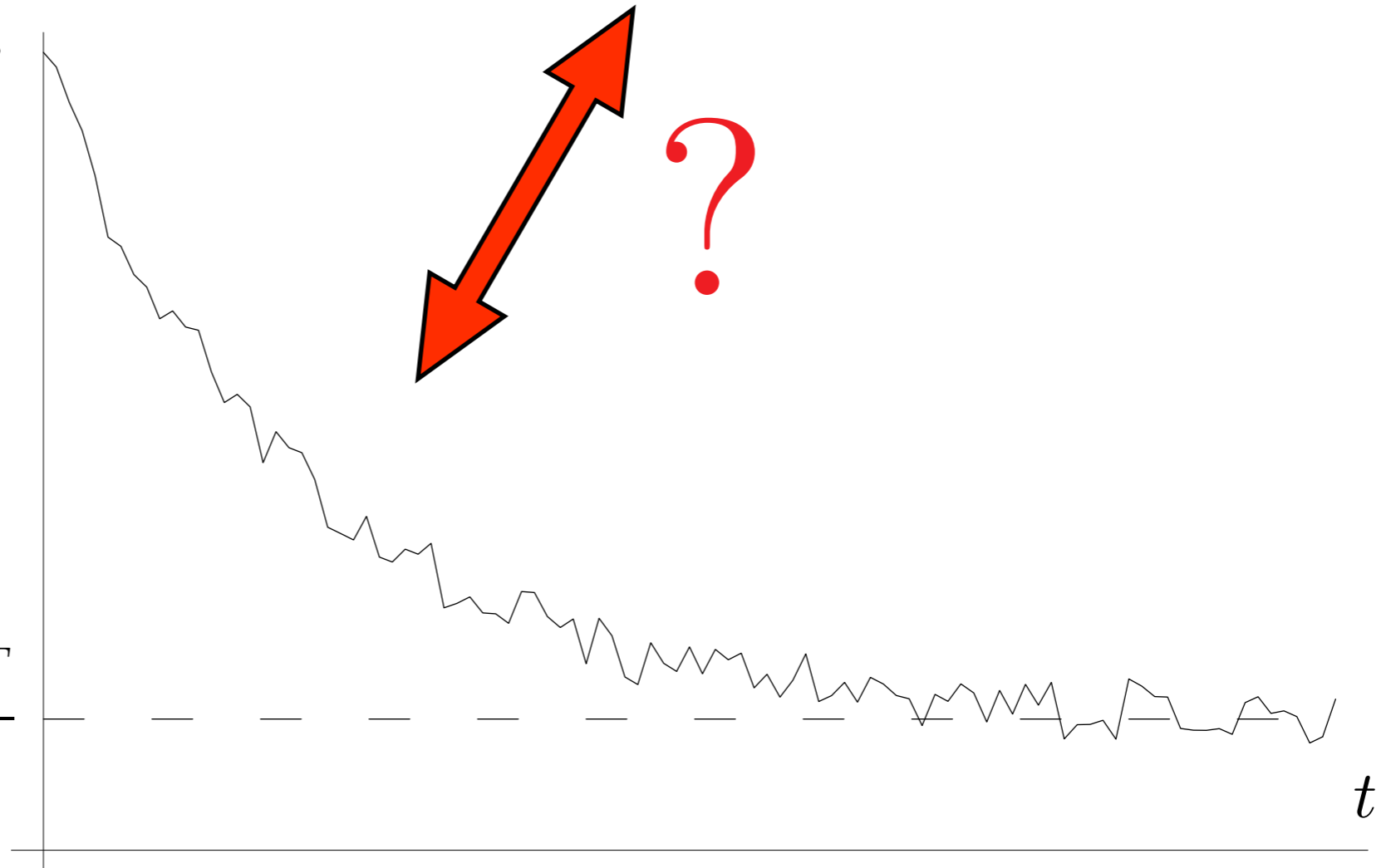


$$A_{\alpha\beta} \sim \hbar^{(f-1)/2} \sim e^{-S(\bar{E})/2}$$

$$A_t = \sum_{\alpha} |c_{\alpha}|^2 A_{\alpha\alpha} + \sum_{\beta \neq \alpha} c_{\alpha}^* c_{\beta} e^{i(E_{\alpha} - E_{\beta})t} A_{\alpha\beta}$$

$$A_t \equiv \langle \psi_t | A | \psi_t \rangle$$

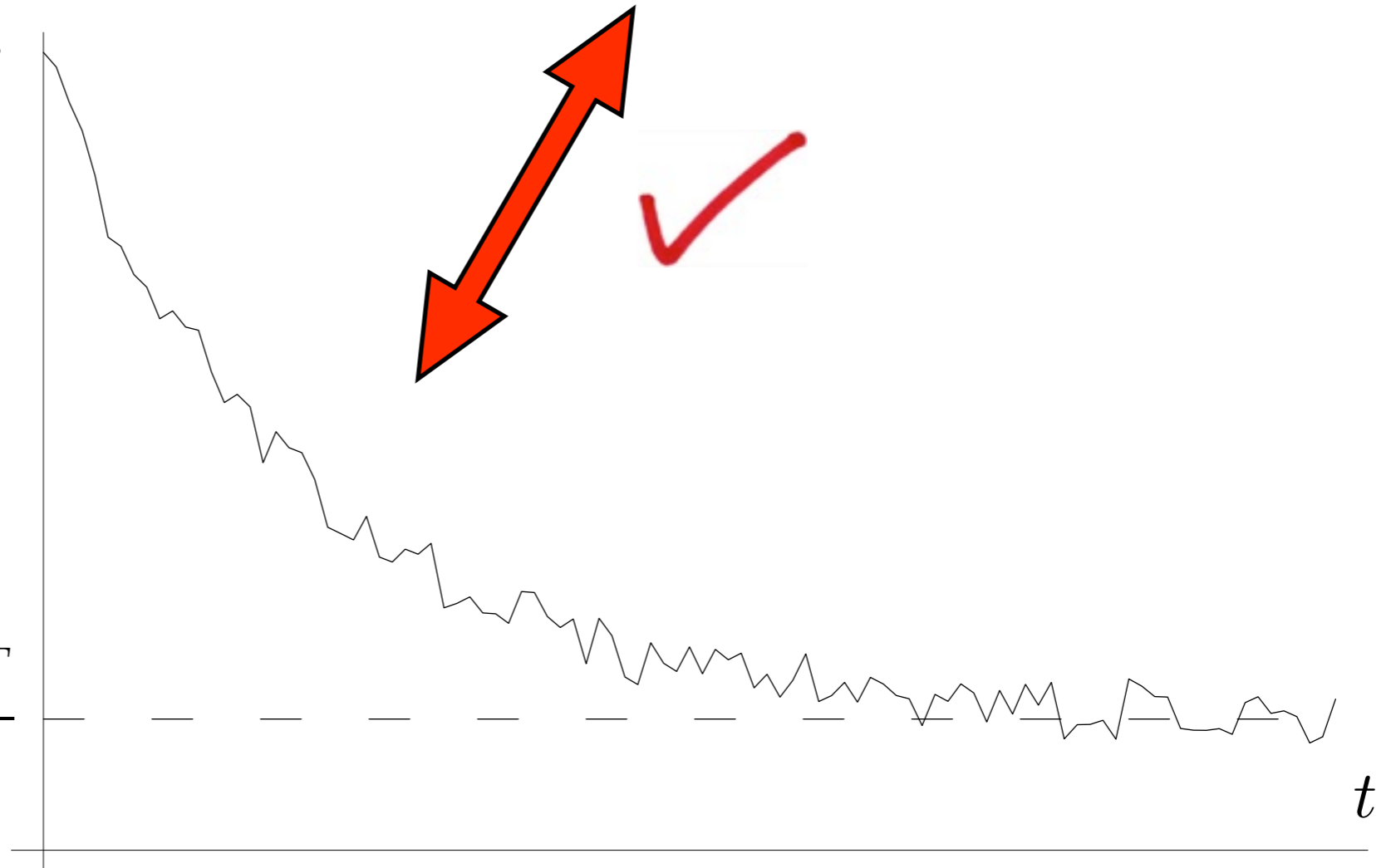
$$\langle A \rangle_T \equiv \frac{\text{Tr} A e^{-H/T}}{\text{Tr} e^{-H/T}}$$



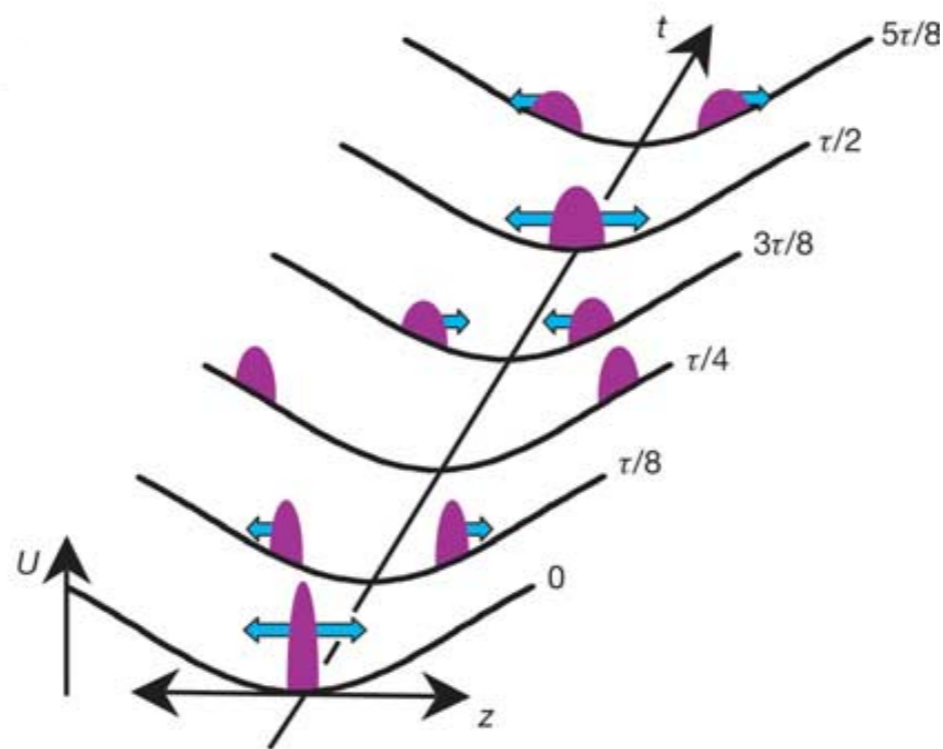
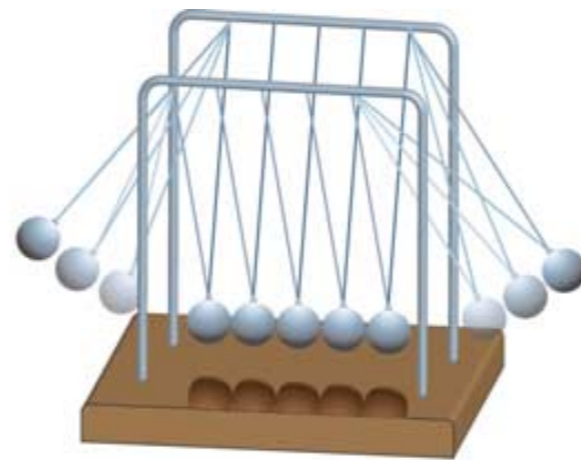
$$A_t = \sum_{\alpha} |c_{\alpha}|^2 A_{\alpha\alpha} + \sum_{\beta \neq \alpha} c_{\alpha}^* c_{\beta} e^{i(E_{\alpha} - E_{\beta})t} A_{\alpha\beta}$$

$$A_t \equiv \langle \psi_t | A | \psi_t \rangle$$

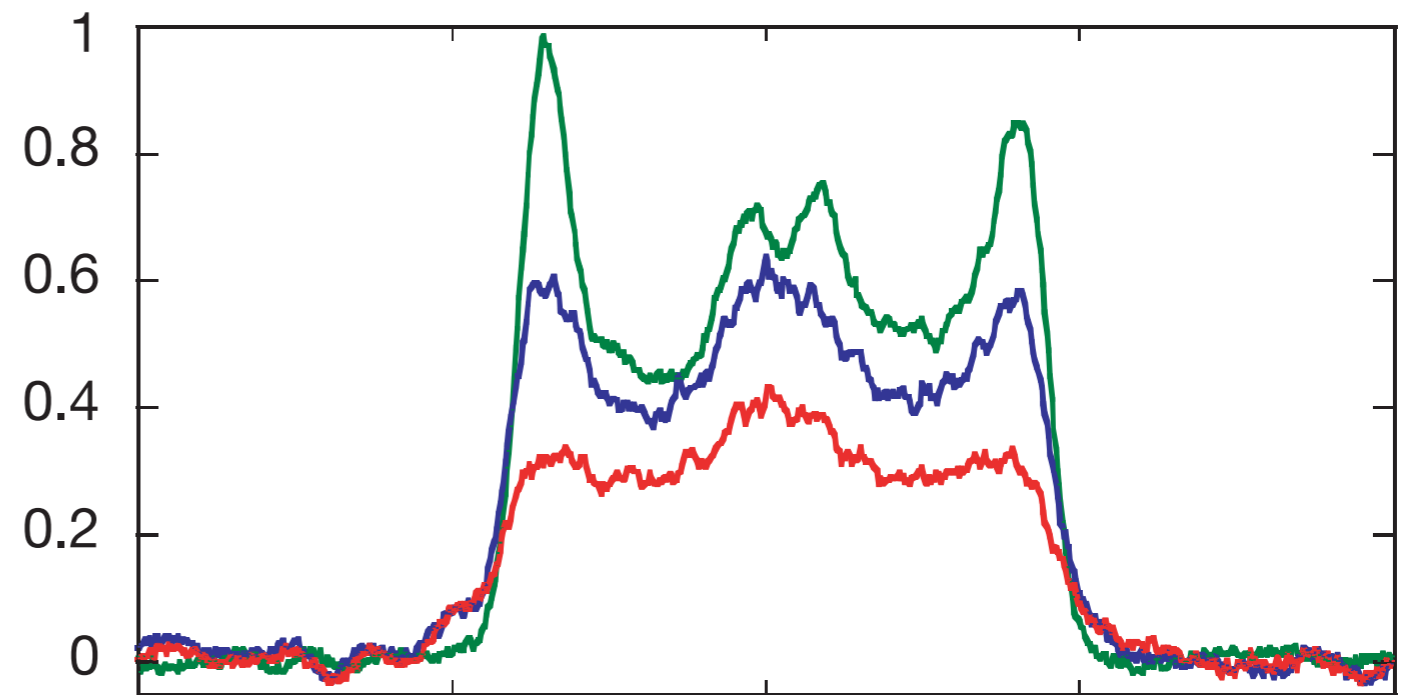
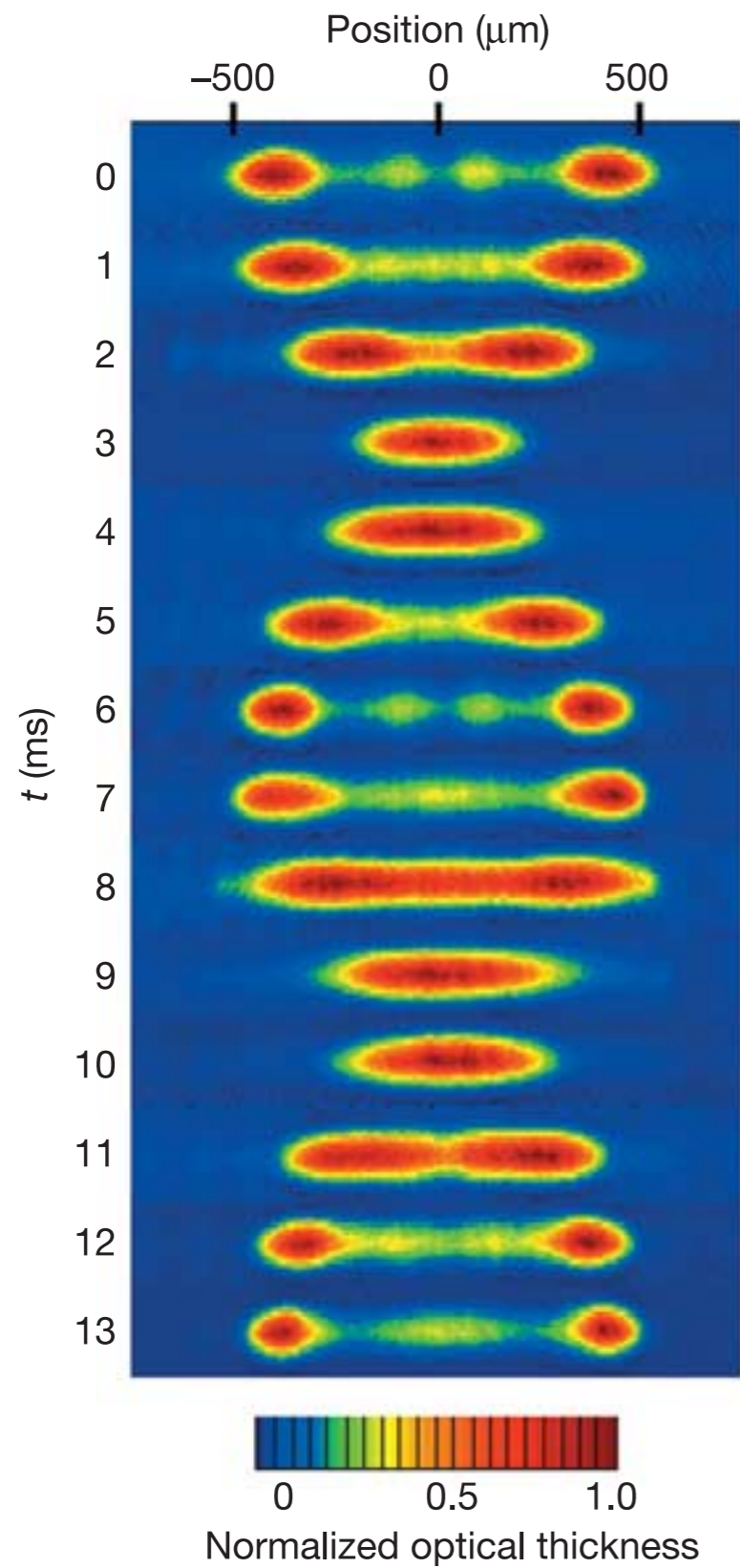
$$\langle A \rangle_T \equiv \frac{\text{Tr} A e^{-H/T}}{\text{Tr} e^{-H/T}}$$



“A quantum Newton’s cradle”:

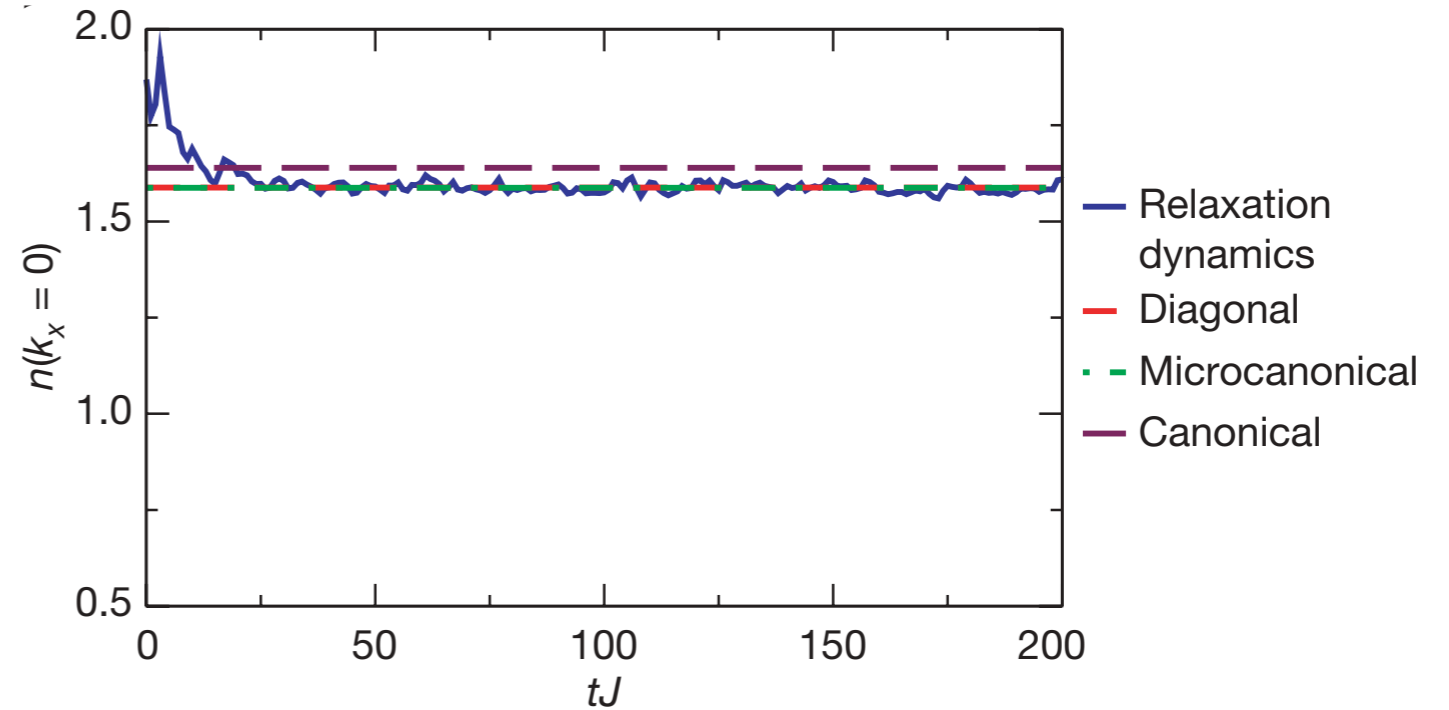
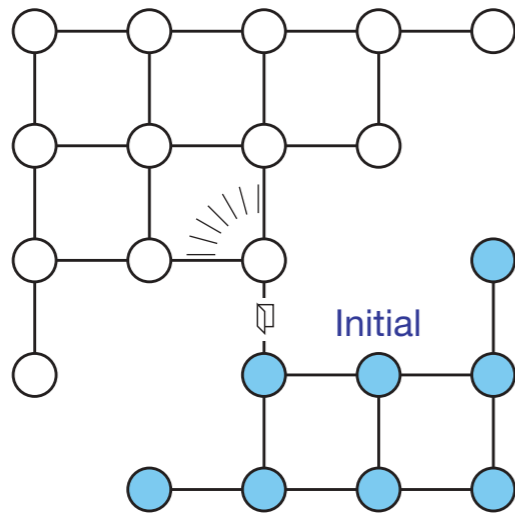


Kinoshita, Wenger, & Weiss (2006)

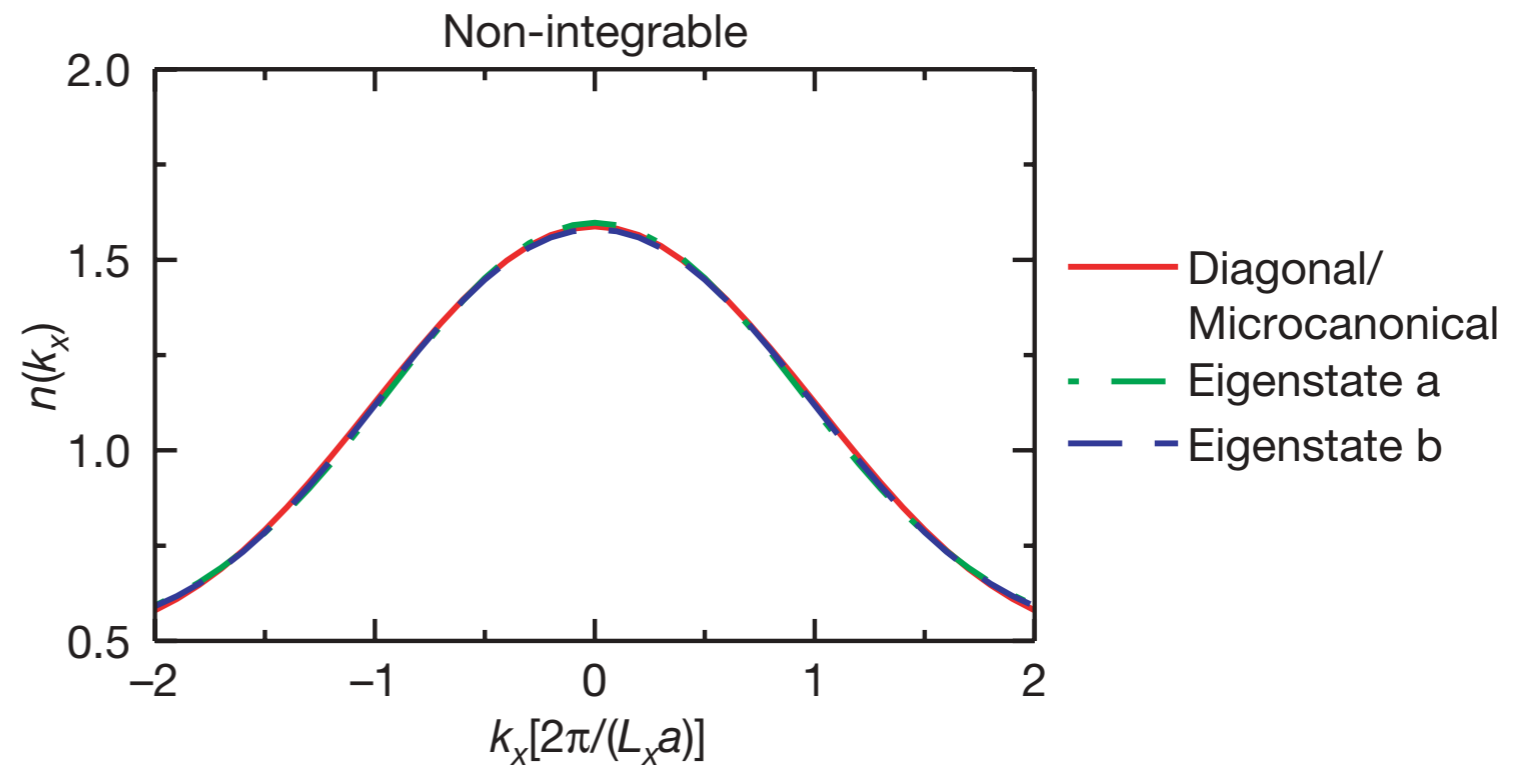


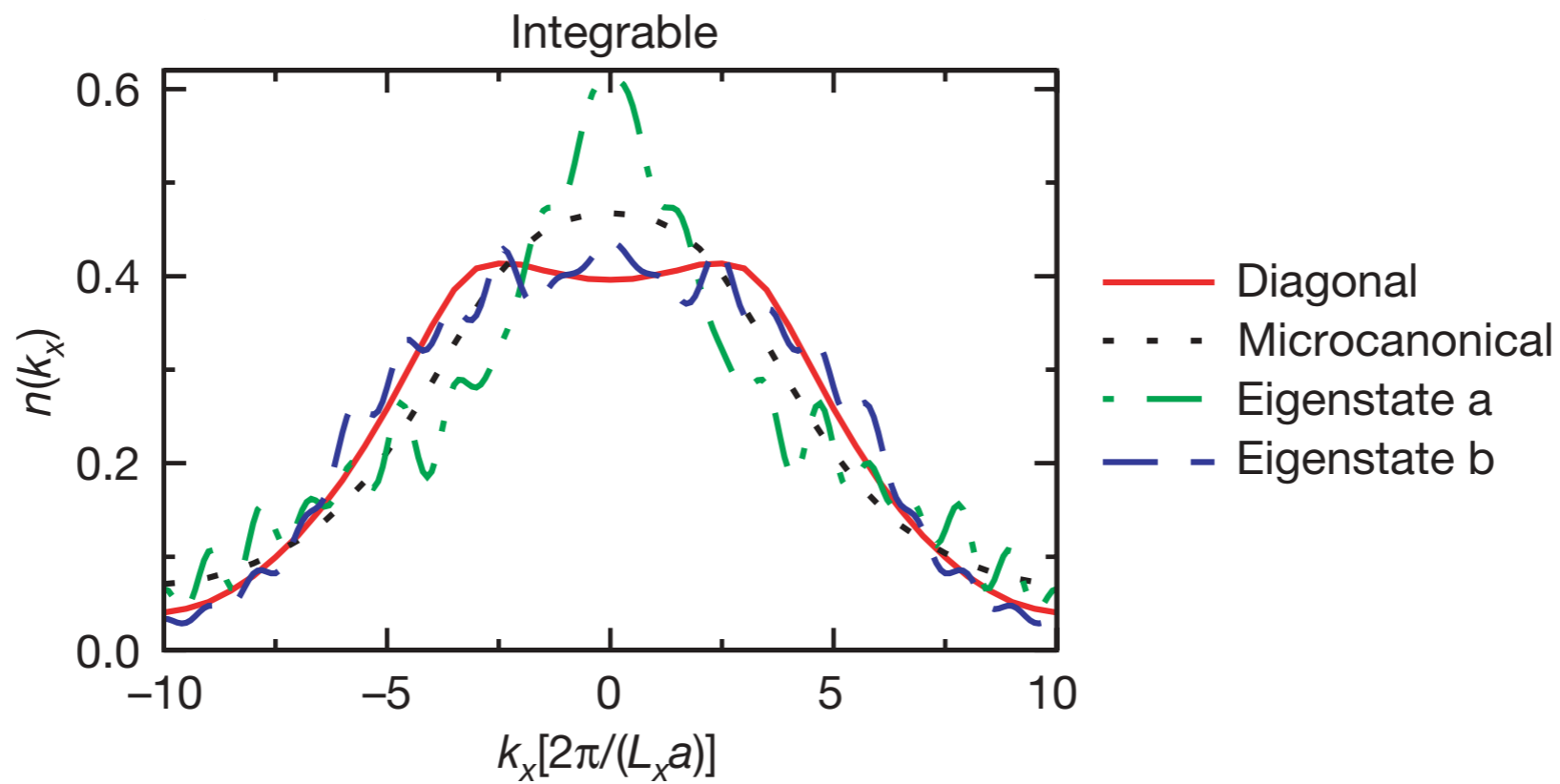
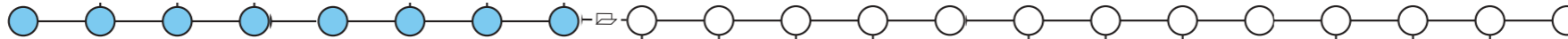
Kinoshita, Wenger, & Weiss (2006)

Numerical investigations:



Rigol, Dunjko,
& Olshanii (2008)





Rigol, Dunjko, & Olshanii (2008)

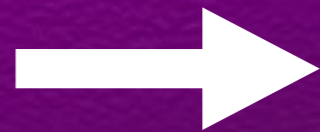


Conclusions:

QUANTUM CHAOS



“eigenstate thermalization”



STATISTICAL MECHANICS !



Many open problems!

Behavior of near-integrable systems ?

Eigenstate thermalization threshold ?

Alternatives to eigenstate thermalization ?



