

# Actinides in Solution: Nuclear Waste, Strong Correlations, and Emergence

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Support:

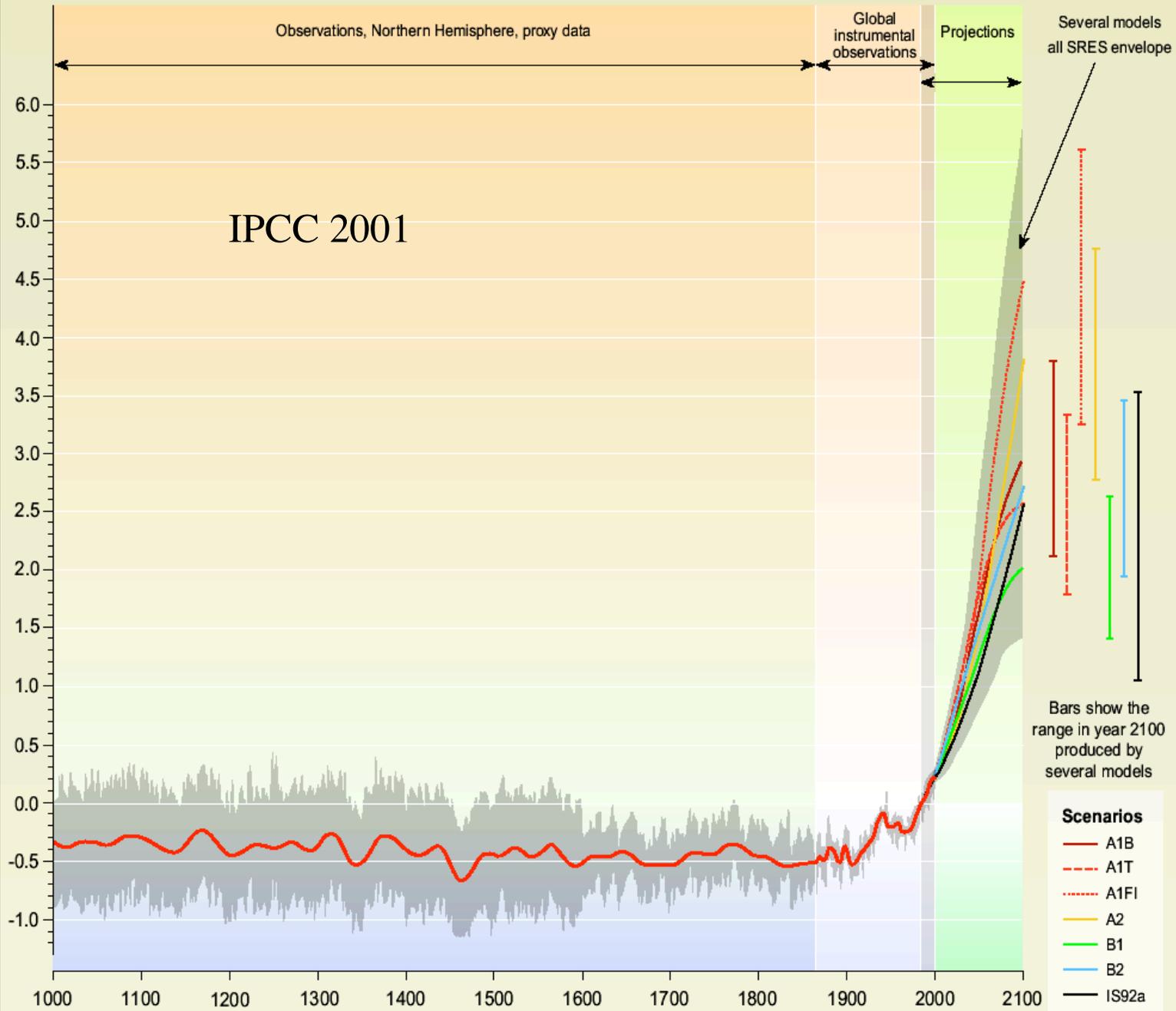
NSF DMR-0213818 / 0605619

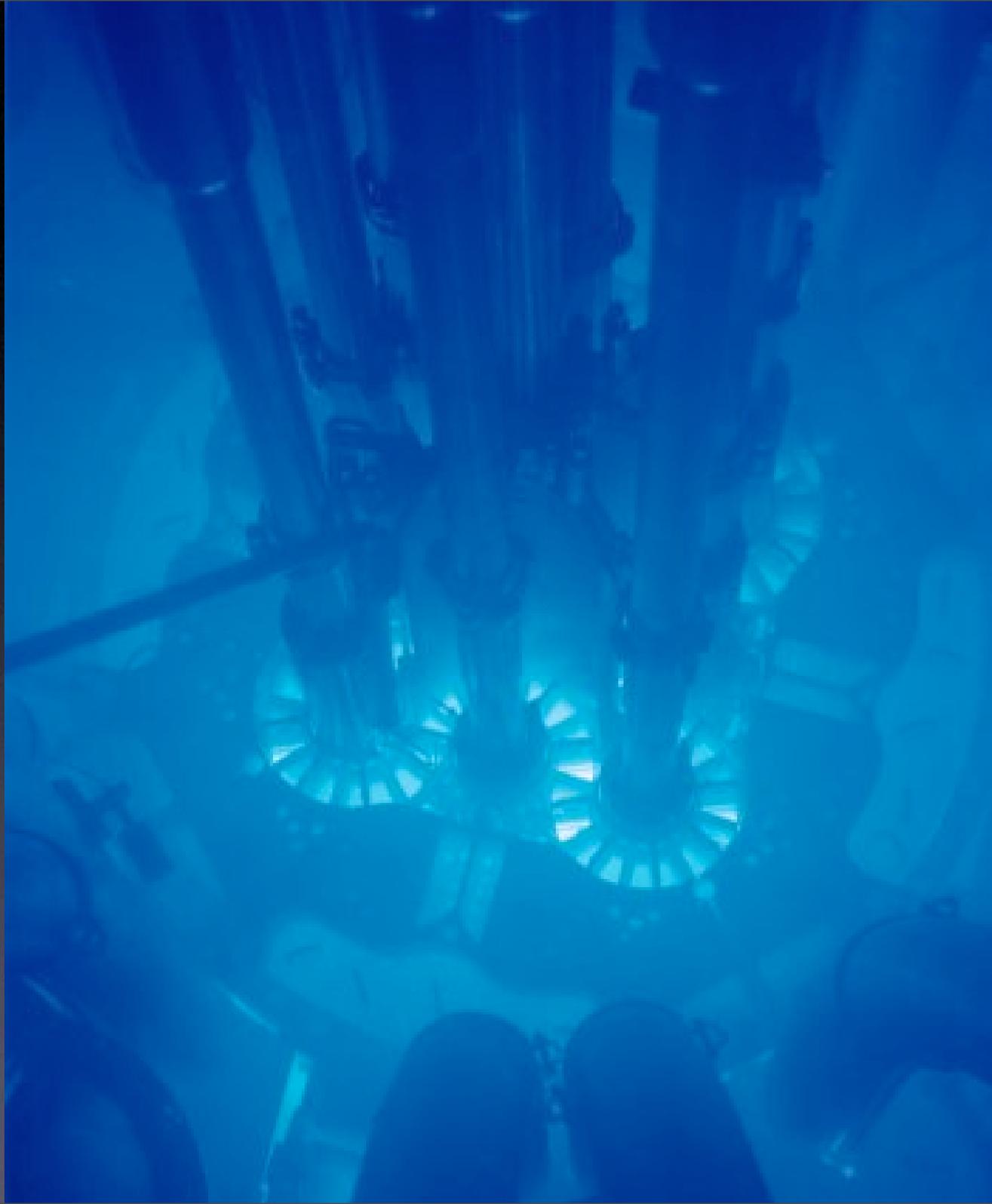
“Migration of plutonium in ground water at the Nevada Test Site,” A. B. Kersting *et al.* Nature **397**, 56 (1999)



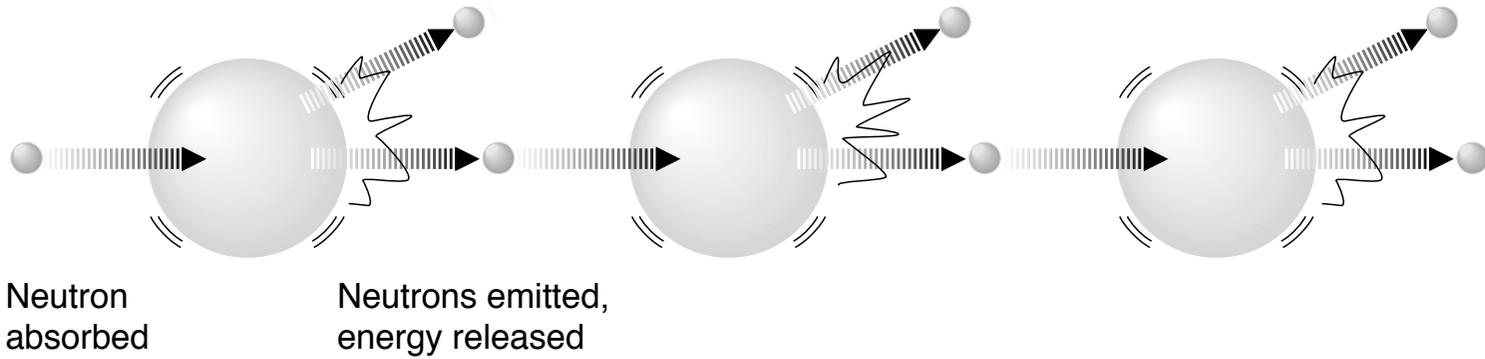
# Variations of the Earth's surface temperature: years 1000 to 2100

Departures in temperature in °C (from the 1990 value)

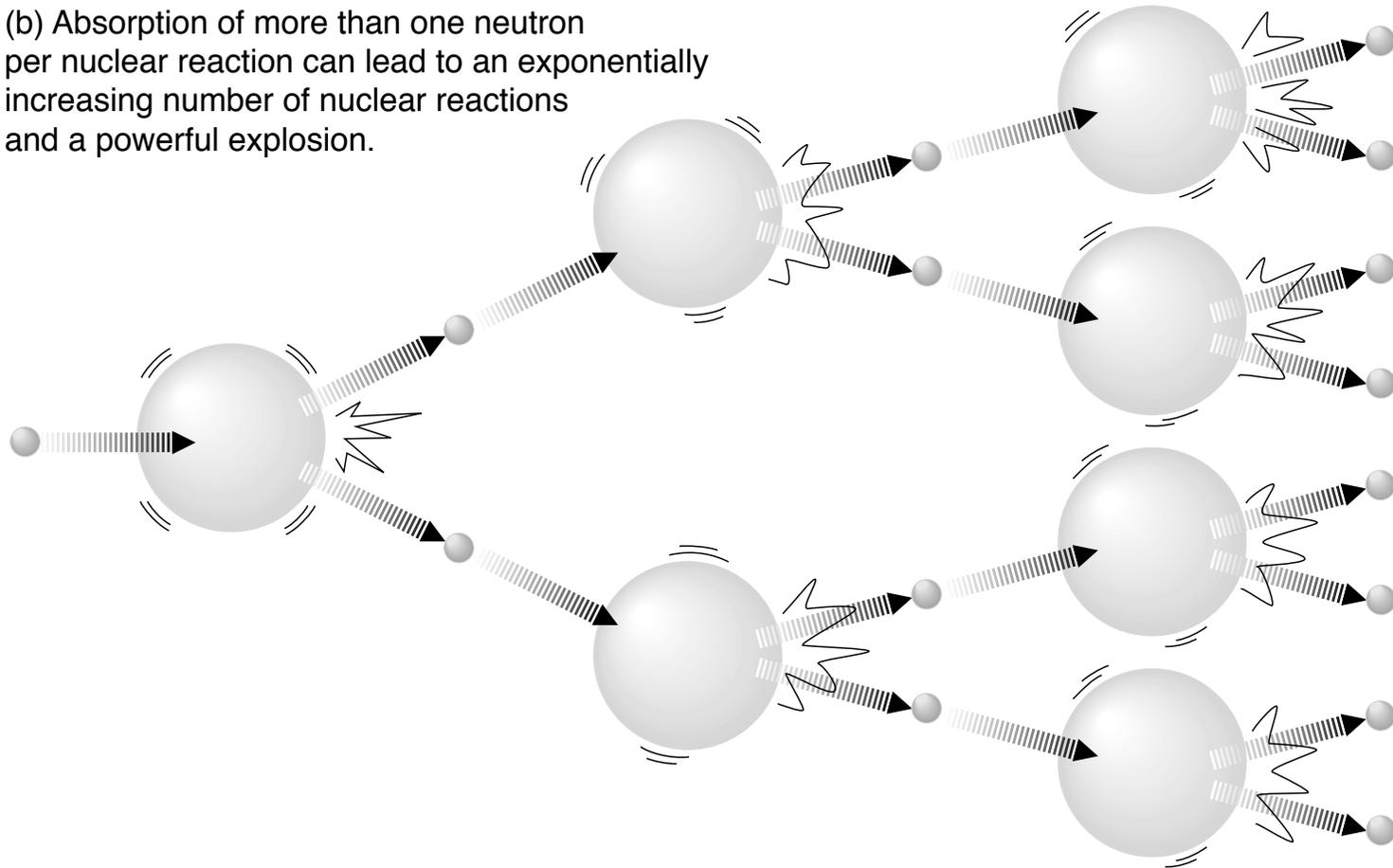




(a) Absorption of only one neutron per nuclear reaction leads to sustainable nuclear power.

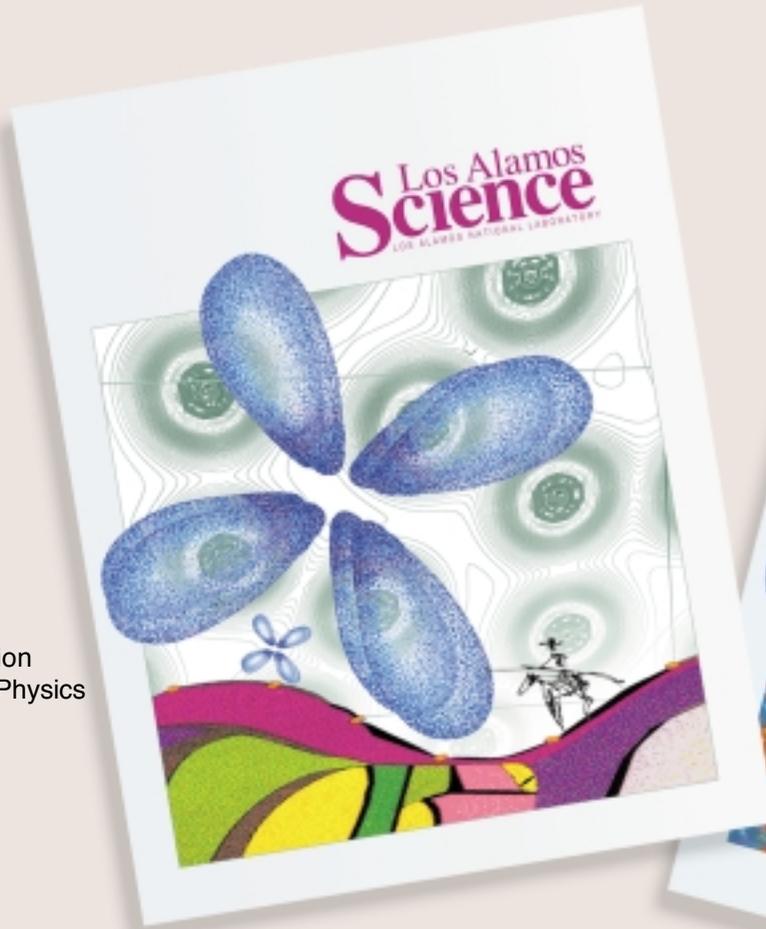


(b) Absorption of more than one neutron per nuclear reaction can lead to an exponentially increasing number of nuclear reactions and a powerful explosion.



# Challenges in Plutonium Science

*Los Alamos Science* Number 26, 2000



## **Volume I**

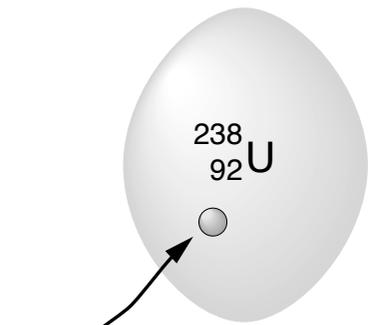
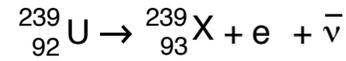
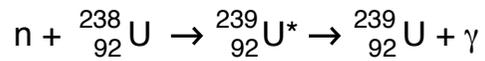
Historical Introduction  
Condensed-Matter Physics  
Plutonium Aging



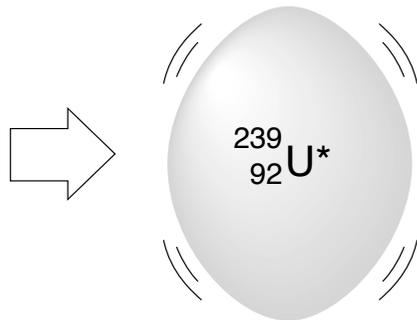
## **Volume II**

Plutonium Metallurgy  
Actinide Chemistry and the Environment  
The Yucca Mountain Project

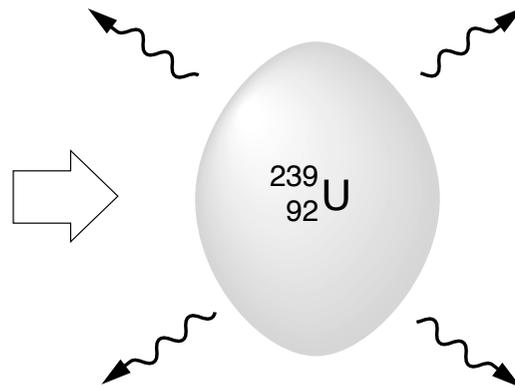
← Neutron-radiative capture ( $10^{-16}$  s) →      ← Beta decay (23 min) →



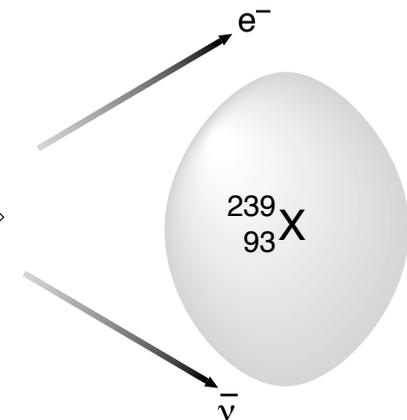
Slow neutron is absorbed.



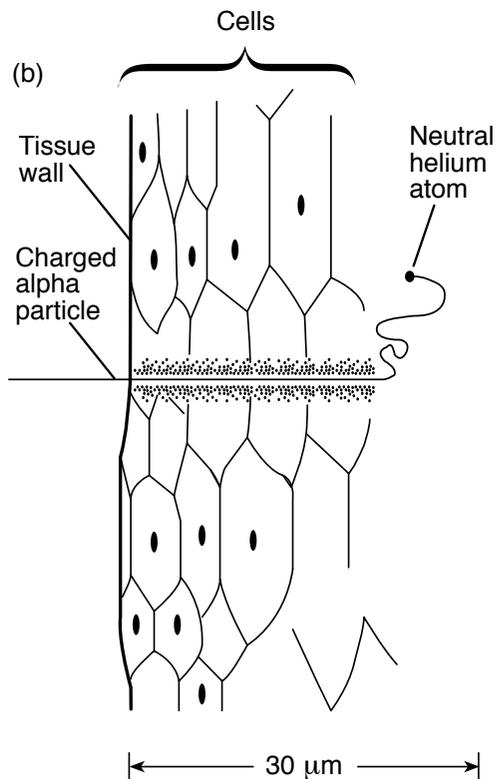
Compound nucleus forms in an excited state.



Compound nucleus cools down by radiating  $\gamma$ -rays.

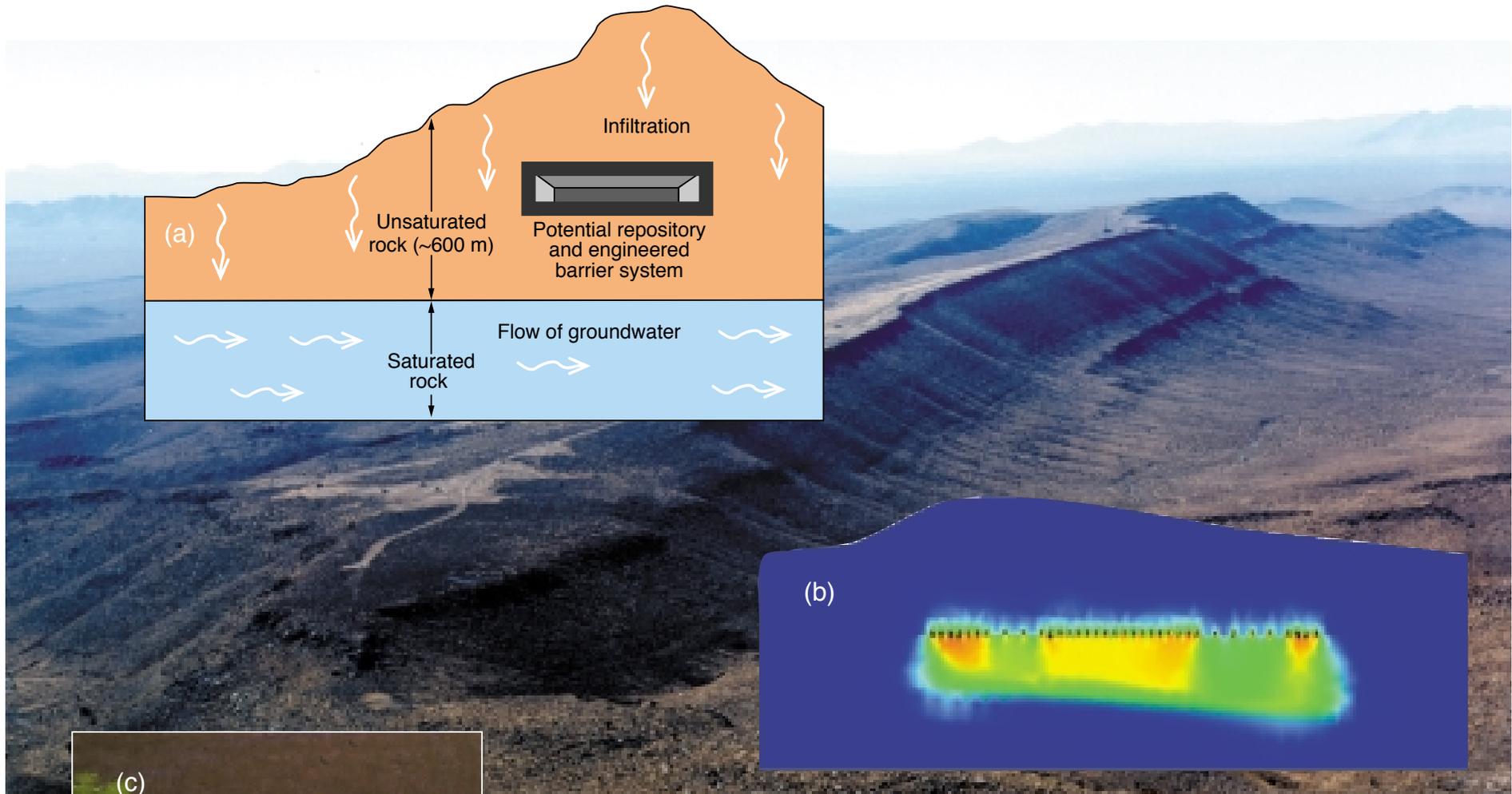


Unstable uranium nucleus in its ground state  $\beta$ -decays to element 93



## Alexander Litvinenko: ${}^{210}\text{Po}$ poisoning





The tuff itself provides desirable containment characteristics, and the fracture zones in this area contain zeolites and other minerals that have a high sorption affinity for most of the actinides. Based on extensive field data and state-of-the-art modeling of worst-case scenarios, researchers have predicted that the waste would take at least 10,000 years to migrate to the water table (saturated zone). This prediction is consistent with experience at the nearby Nevada Test Site, which indicates that the mobility of radionuclides is generally very small—that is, for the most part, the actinides injected into underground test holes from nuclear explosions have remained close to where they were deposited. However, recent

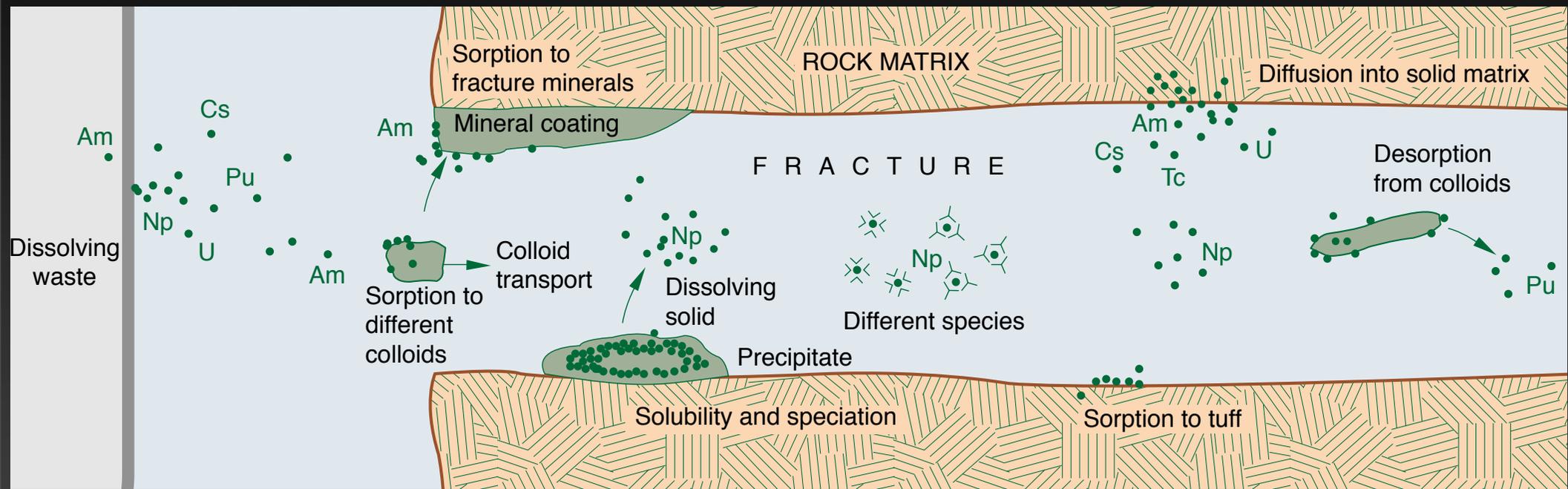




Photo: LBL

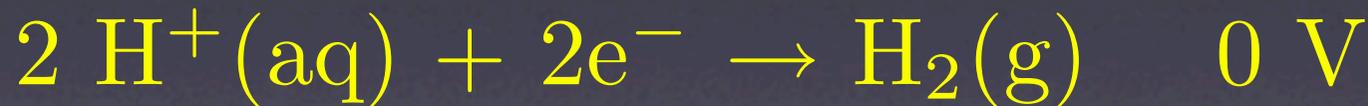
# Outline

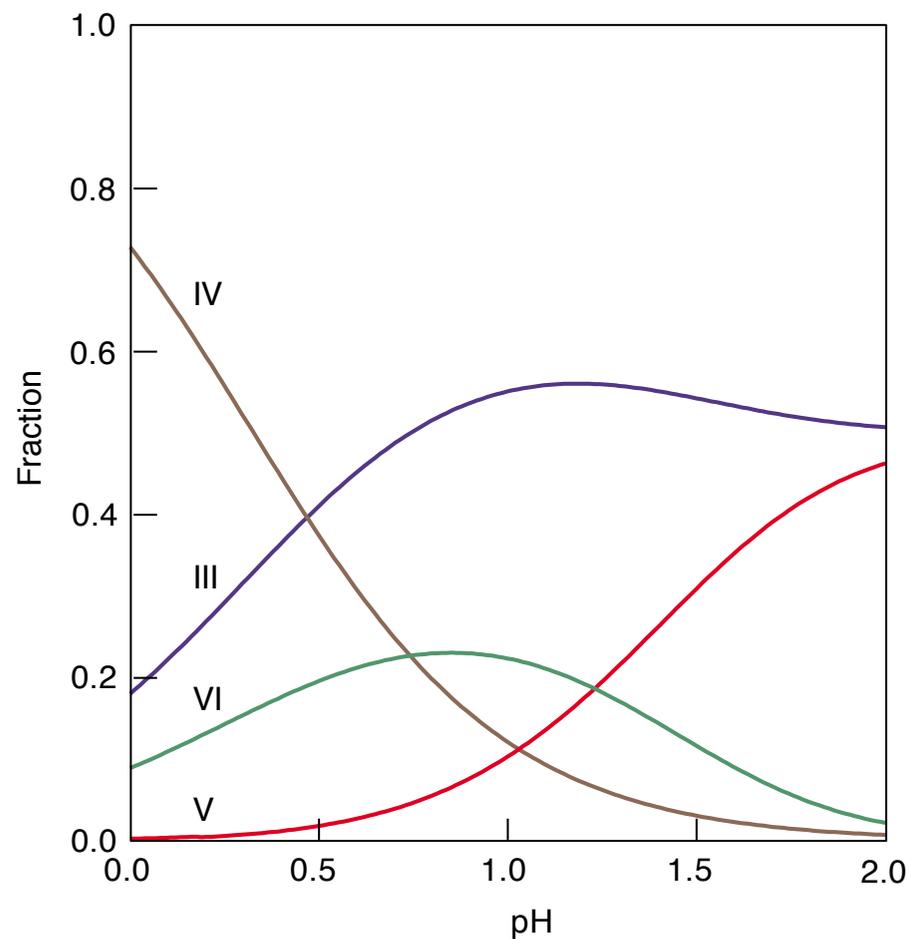
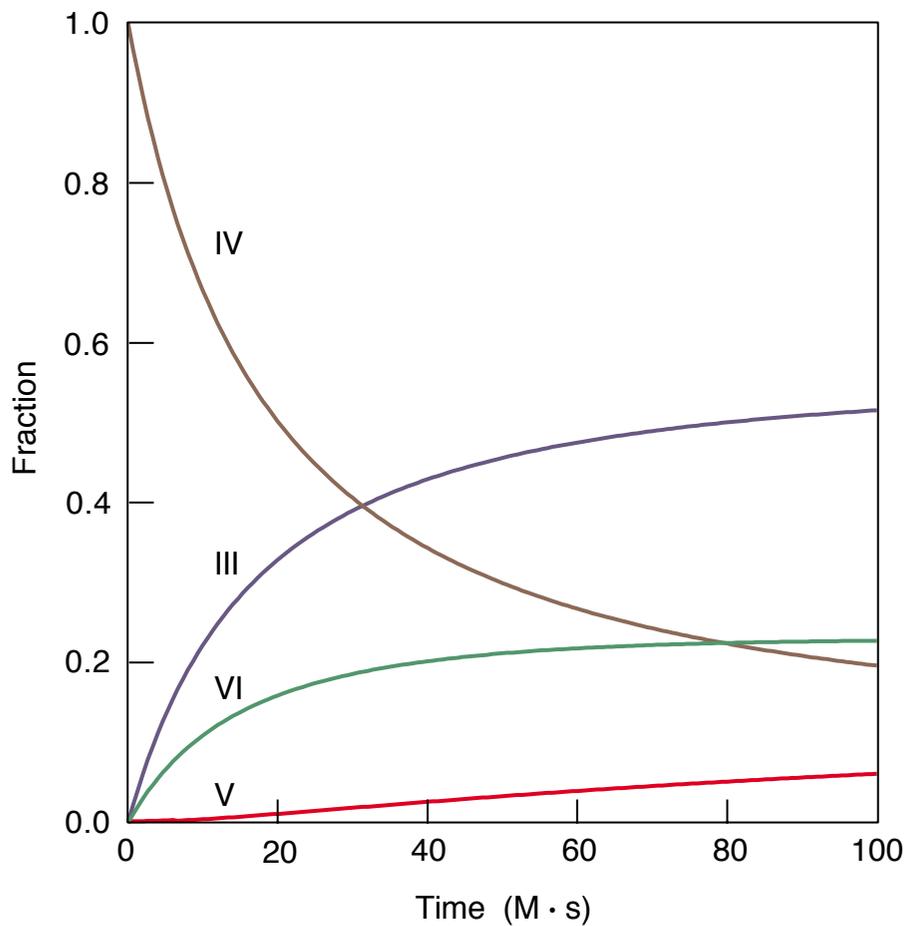
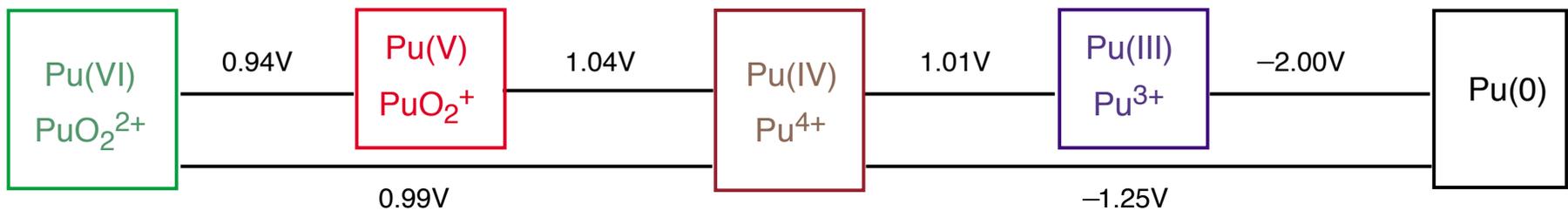
- Actinides in solution
- Disproportionation and negative-U
- 5f orbitals are chemically active due to relativity
- Density functional theory calculations
- Inclusion of spin-orbit interaction & strong correlations
- Environmental chemistry of Yucca Mountain
- Conclusions and open questions

# Reduction-Oxidation (Redox) Electrochemistry Primer



 **oxidizing**      half-reactions       **reducing**





David Clark, "The Chemical Complexities of Plutonium," in *Los Alamos Science: Challenges in Plutonium Science* Vol. II No. 26 (2000).

# “Formal” Oxidation States

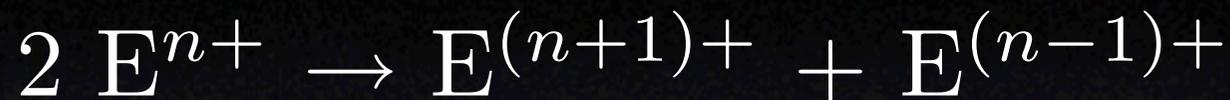
**Table I. Oxidation States of Light Actinides<sup>a</sup>**

Th	Pa	U	Np	Pu	Am	Cm
III	III	III	III	III	<b>III</b>	<b>III</b>
<b>IV</b>	IV	<b>IV</b>	IV	<b>IV</b>	IV	IV
	<b>V</b>	V	<b>V</b>	<b>V</b>	V	
		<b>VI</b>	VI	<b>VI</b>	VI	
			VII	VII		

<sup>a</sup>The environmentally most important oxidation states are bolded.

W. Runde, “The Chemical Interactions of Actinides in the Environment,”  
in *Los Alamos Science: Challenges in Plutonium Science* Vol. II No. 26  
(2000).

# Disproportionation Reactions



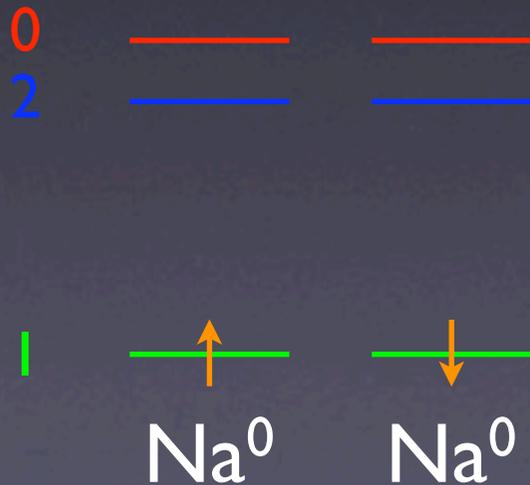
# Ionization levels and negative-U

$$\hat{H}_{eff} = \epsilon_a n + U n_{\uparrow} n_{\downarrow}$$

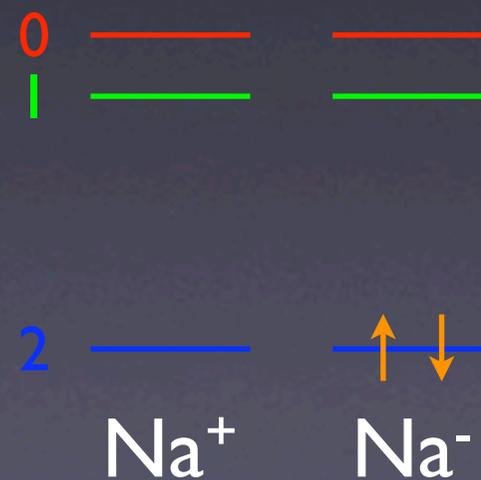
“Atomic” limit of  
Hubbard model

$$E_0 = \begin{cases} 0, & n = 0 \\ \epsilon_a, & n = 1 \\ 2\epsilon_a + U, & n = 2 \end{cases}$$

Na(gas) ( $U > 0$ )



Na in NH<sub>3</sub> ( $U < 0$ )



# Examples of negative-U

- Alkali metals in liquid ammonia
- Other metal ions in aqueous solution:  $\text{In}^{2+}$  and  $\text{Sn}^{3+}$
- Doped chalcogenide glasses [P. W. Anderson 1975]
- Indium in lead telluride
- Interstitial boron or vacancies in silicon (Watkins)

G. D. Watkins, "Negative-U properties for defects in solids"  
Festkörperprobleme (Advances in Solid State Physics) XXIV,  
p.163 P. Grosee (ed.) (Vieweg, Braunschweig 1984).



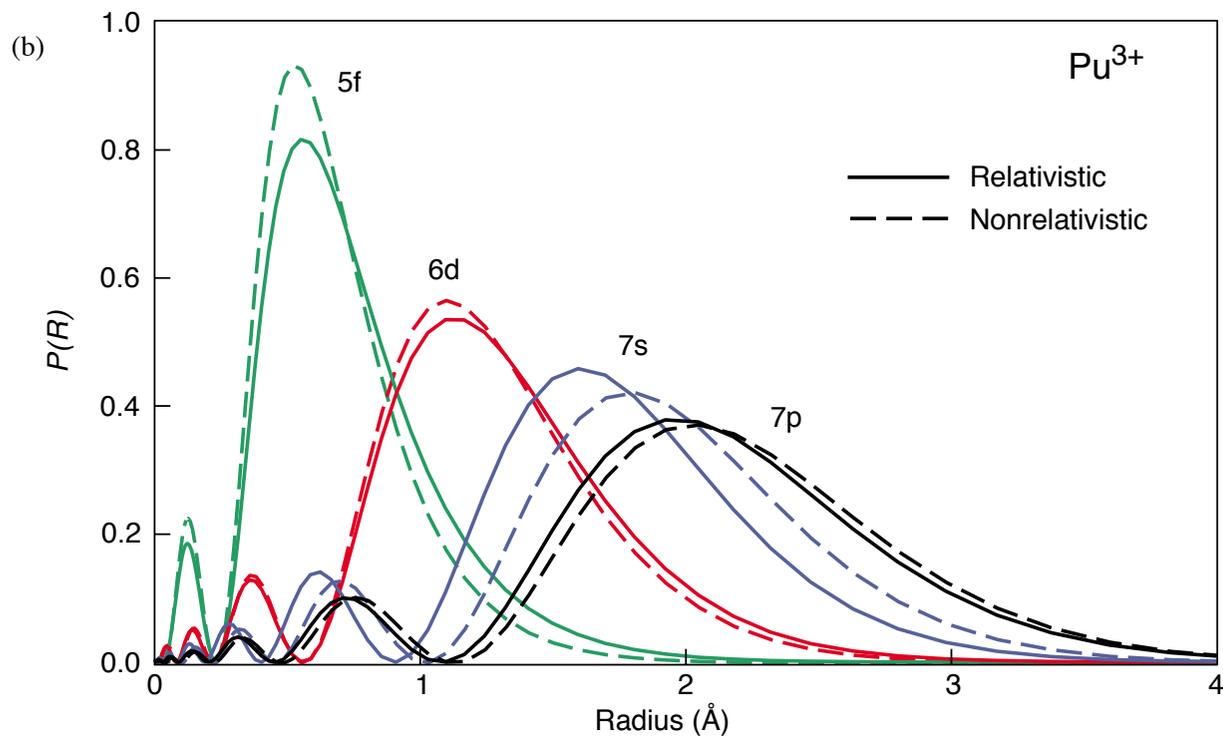
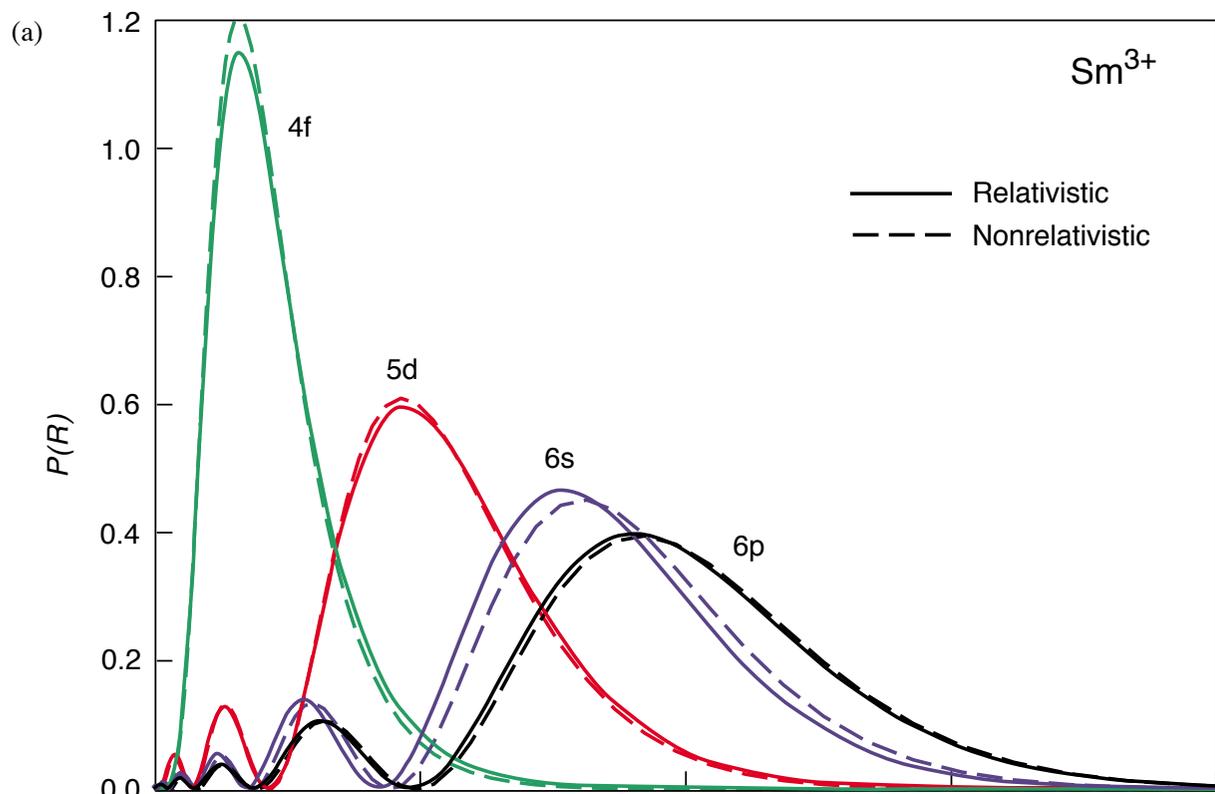
## Actinide

## Configuration

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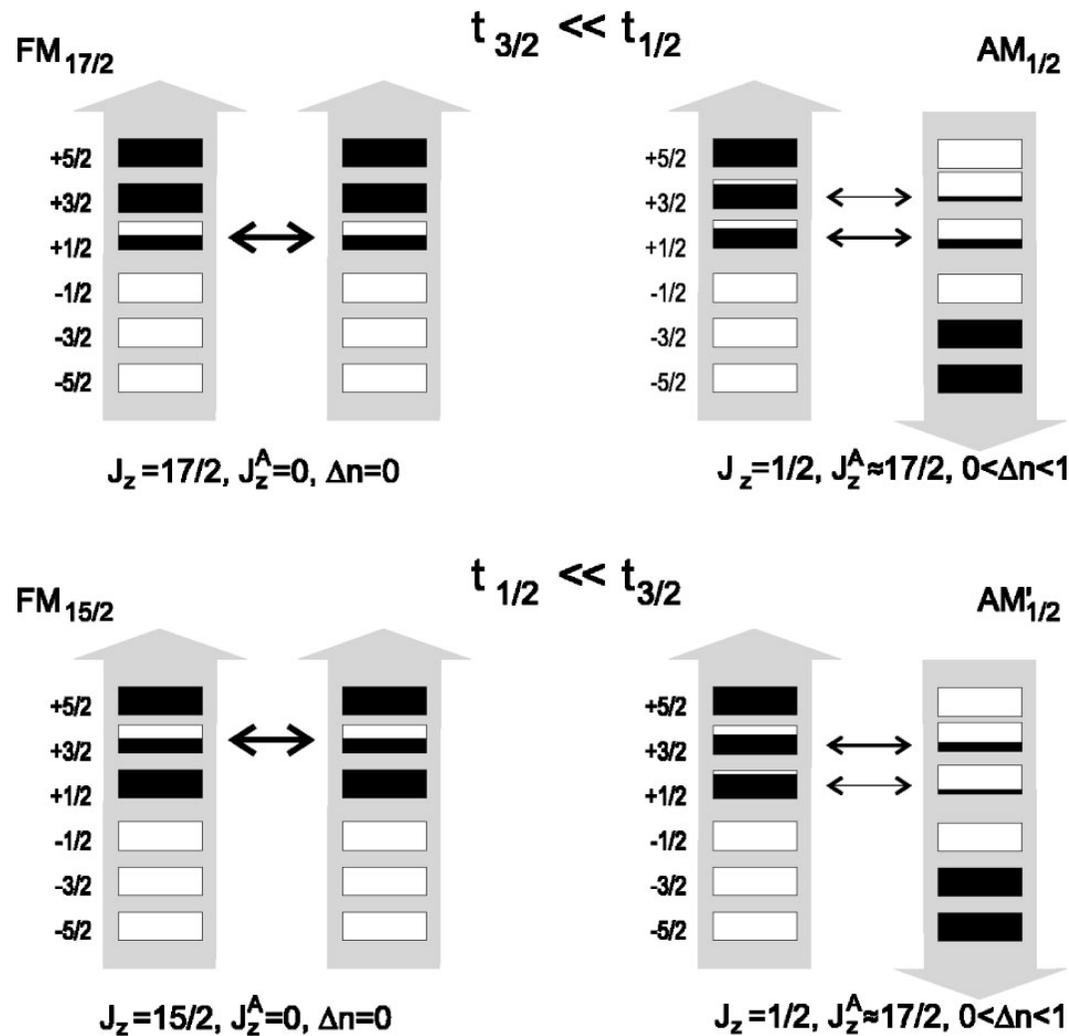
Ac	actinium		$6d^1$	$7s^2$
Th	thorium		$6d$	$7s^2$
Pa	protactinium	$5f^2$	$6d^1$	$7s^2$
U	uranium	$5f^3$	$6d^1$	$7s^2$
Np	neptunium	$5f^4$	$6d^1$	$7s^2$
Pu	plutonium	$5f^6$		$7s^2$
Am	americium	$5f^7$		$7s^2$
Cm	curium	$5f^7$	$6d^1$	$7s^2$
Bk	berkelium	$5f^9$		$7s^2$
Cf	californium	$5f^{10}$		$7s^2$
Es	einsteinium	$5f^{11}$		$7s^2$
Fm	fermium	$5f^{12}$		$7s^2$
Md	mendelevium	$5f^{13}$		$7s^2$
No	nobelium	$5f^{14}$		$7s^2$
Lr	lawrencium	$5f^{14}$	$6d^1$	$7s^2$

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$$a_0 = \frac{\hbar^2}{me^2}$$

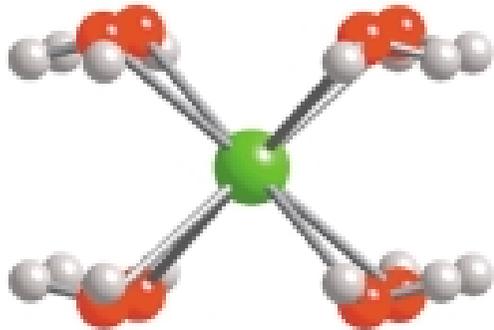
# Disproportionation in a Model of Partially Localized 5f Electrons



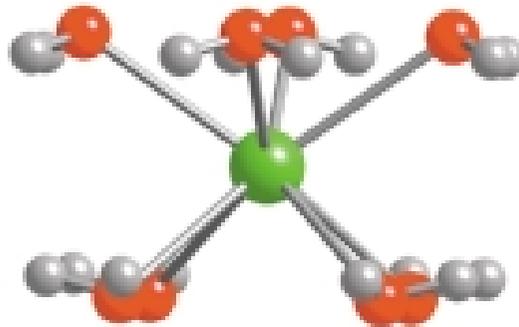
“Approximate treatment of 5f-systems with partial localization due to intra-atomic correlations,” E. Runge, P. Fulde, *et al.*, Phys. Rev. B **69**, 155110 (2004)

# XAFS = X-ray Absorption Fine Structure

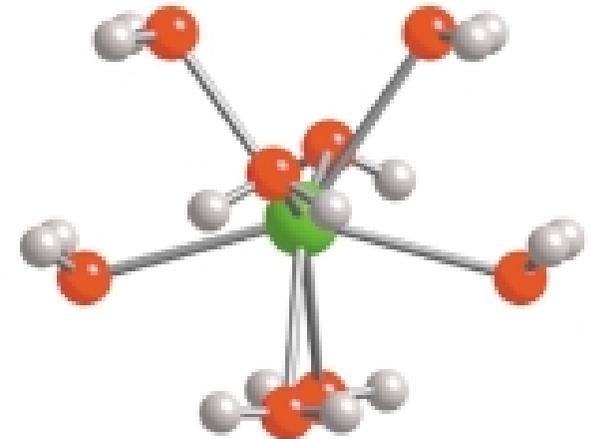
(a) Pu(III,IV): Cube



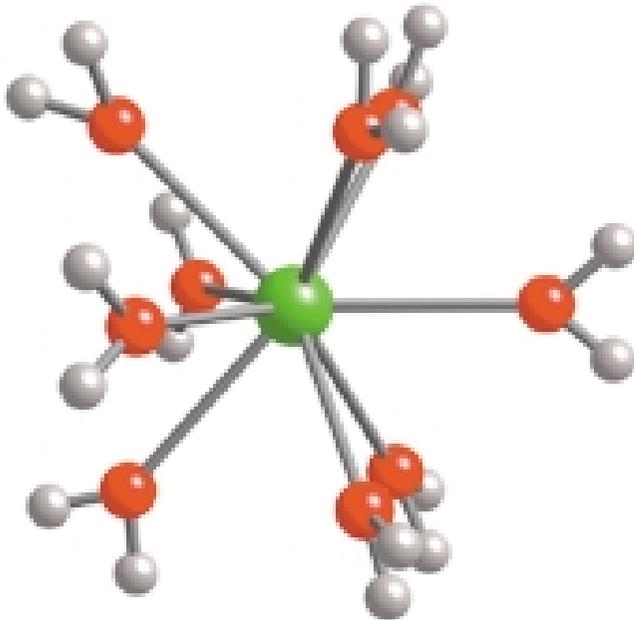
Pu(III,IV): Square antiprism



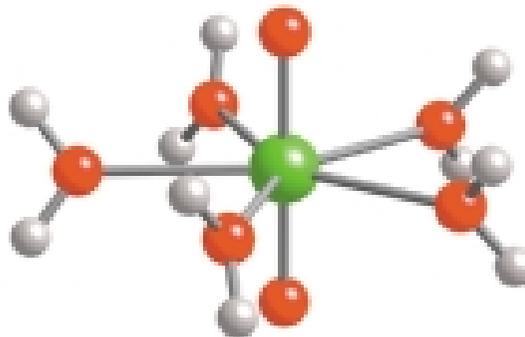
Pu(III,IV): Dodecahedron



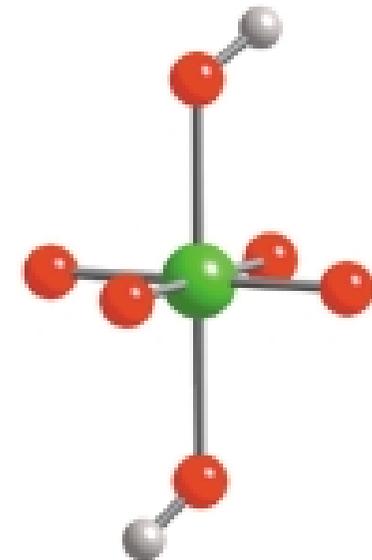
(b) Pu(III,IV): Tricapped trigonal prism



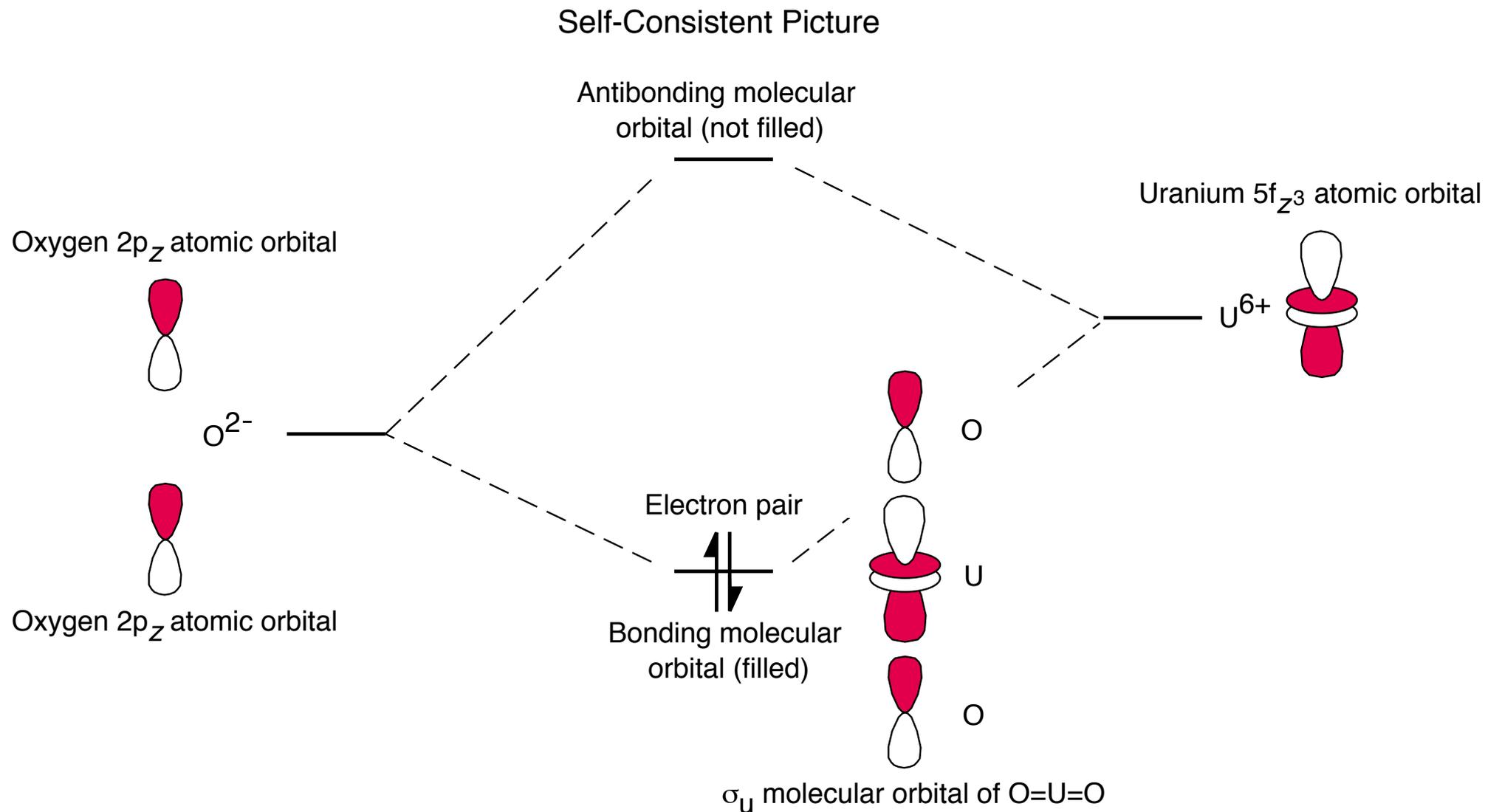
(c) Pu(V,VI): Pentagonal bipyramid



(d) Pu(VII): Tetragonal bipyramid



# O=An=O Actinyl Ion



P. J. Hay and R. L. Martin, "Computational Studies of Actinide Chemistry,"  
in *Los Alamos Science: Challenges in Plutonium Science* Vol. II No. 26  
(2000).

# Redox Reactions



# Density Functional Theory (DFT)

$$\hat{H}|\Psi_0\rangle = E_0|\Psi_0\rangle$$

$$n(\vec{r}) = N \int |\Psi(\vec{r}, \vec{r}_2, \dots, \vec{r}_N)|^2 d^3r_2 d^3r_3 \cdots d^3r_N$$

$$E[n(\vec{r})] = \langle \Psi | \hat{H} | \Psi \rangle$$

# DFT Ingredients

- Use ADF ([www.scm.com](http://www.scm.com)) with n=4 frozen cores
- Scalar ZORA relativity (spin orbit included later)
- Unrestricted spin
- Revised Perdew-Burke-Ernzerhof (RPBE) exchange-correlation functional
- Geometry relaxation
- Vibrational modes contribute to free energy
- First solvation sphere treated quantum mechanically
- Beyond first solvation sphere: use “conductor-like screening” (COSMO) solvation model

# Zero Order Regular Approximation (ZORA)

$$E = \sqrt{m_0^2 c^4 + \vec{p}^2 c^2} + V(\vec{r})$$

$$\begin{aligned}\tilde{E} &\equiv E - m_0 c^2 \\ &= m_0 c^2 \left( \sqrt{1 + \frac{\vec{p}^2}{m_0^2 c^2}} - 1 \right) + V(\vec{r}) \\ &\approx \frac{\vec{p}^2}{2m_0} - \frac{\vec{p}^4}{8m_0^3 c^2} + \dots + V(\vec{r})\end{aligned}$$

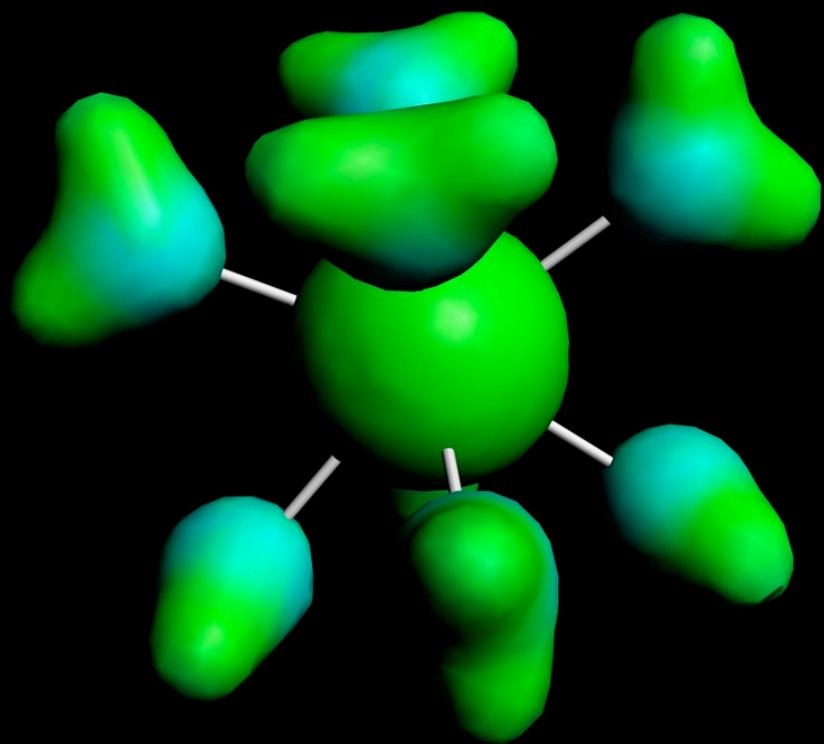
$$|\vec{p}| < m_0 c$$

$$E < 2m_0c^2 - V(\vec{r})$$

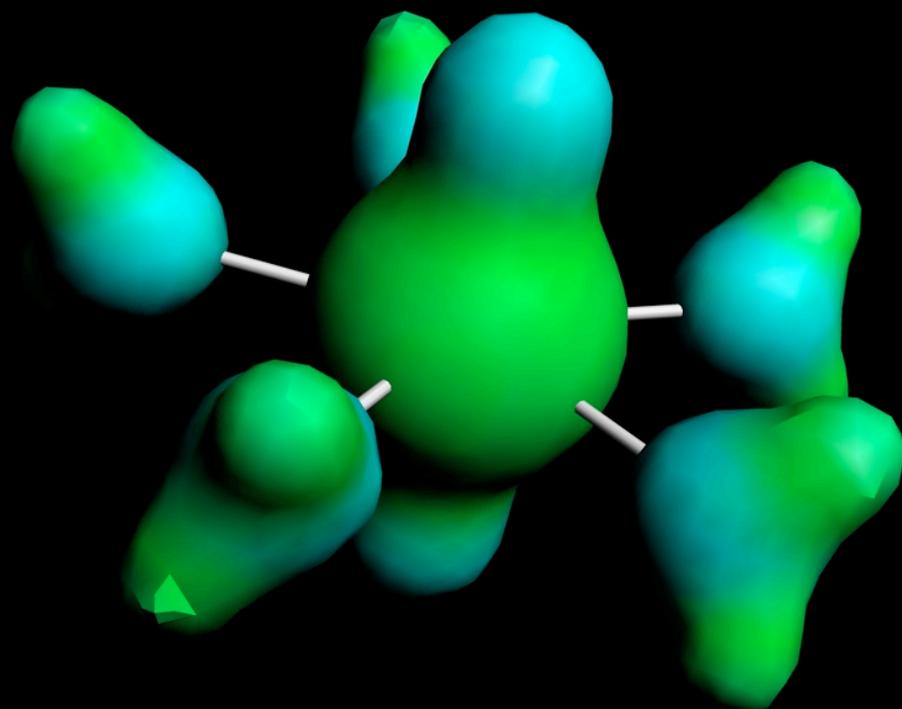
$$V(\vec{r}) \sim -\frac{Ze^2}{r}$$

$$\begin{aligned}\tilde{E} &= \sqrt{m_0^2c^4 + \vec{p}^2c^2} - m_0c^2 + V(\vec{r}) \\ &= \frac{\vec{p}^2c^2}{m_0c^2 + \sqrt{m_0^2c^4 + \vec{p}^2c^2}} + V(\vec{r}) \\ &= \frac{\vec{p}^2c^2}{2m_0c^2 + \tilde{E} - V(\vec{r})} + V(\vec{r}) \\ &= \frac{\vec{p}^2c^2}{(2m_0c^2 - V(\vec{r})) \left(1 + \frac{\tilde{E}}{2m_0c^2 - V(\vec{r})}\right)} + V(\vec{r})\end{aligned}$$

$$\tilde{E}_{\text{ZORA}} \equiv \frac{\vec{p}^2c^2}{2m_0c^2 - V(\vec{r})} + V(\vec{r})$$



$\text{Pu}(\text{H}_2\text{O})_8^{3+}$



$\text{PuO}_2(\text{H}_2\text{O})_5^{2+}$

# DFT Results

Pu-O distance (Angstroms)			
Oxidation State	Hay, Martin, & Schreckenbach	This work	Experiment (XAFS)
V	1.81	1.80	1.81
VI	1.74	1.75	1.74

Pu-OH <sub>2</sub> distance (Angstroms)			
Oxidation State	Hay, Martin, & Schreckenbach	This work	Experiment (XAFS)
III		2.50	2.49
IV		2.38	2.39
V	2.61	2.62	2.47
VI	2.49	2.45	2.41

# DFT Results (cont.)

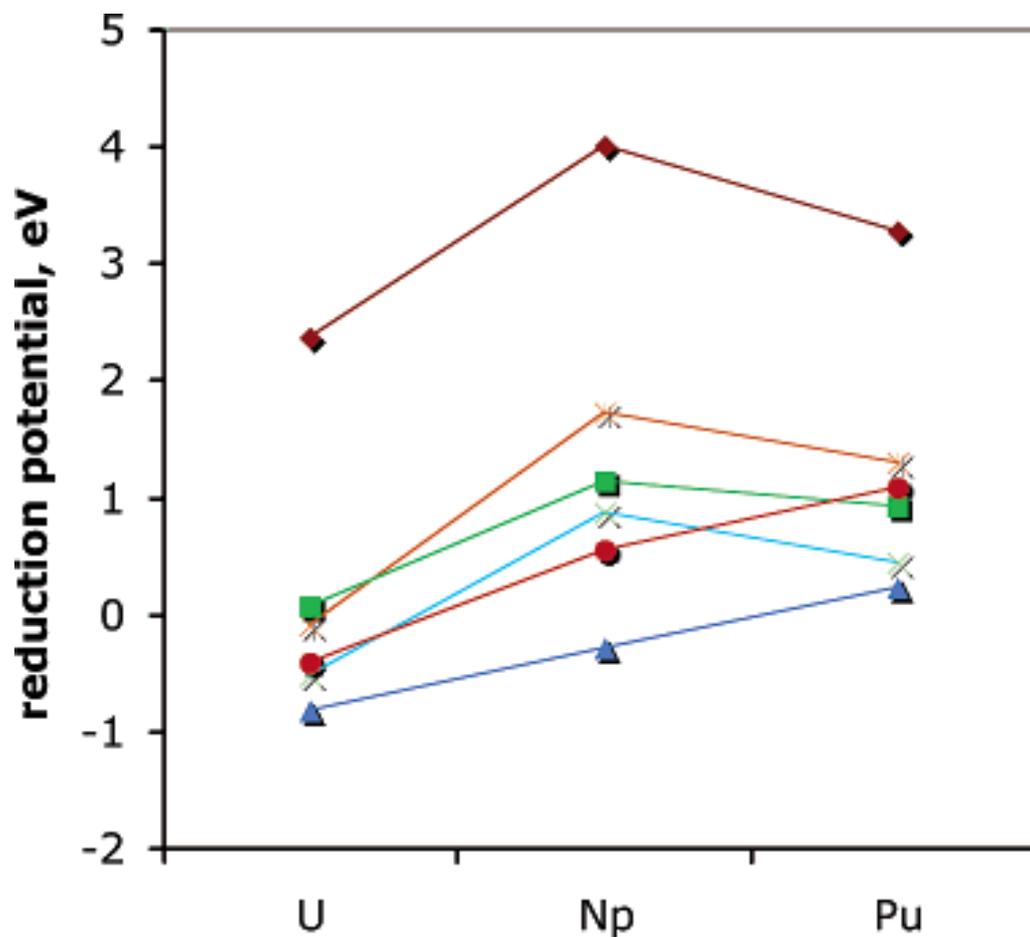
Reduction-Oxidation Potentials (volts) -- No spin orbit			
Reduction Reaction	Hay, Martin, & Schreckenbach	This work	Experiment
VI to V	3.19	1.70	0.95
V to IV		-0.88	1.03
IV to III		1.94	1.01

P. J. Hay, R. L. Martin, and G. Schreckenbach, "Theoretical studies of the properties and solution chemistry of  $AnO_2^{2+}$  and  $AnO_2^+$  aquo complexes for  $An = U, Np,$  and  $Pu,$ " J. Phys. Chem. A **104**, 6259 -- 6270 (2000).

# Spin-Orbit Interaction from ZORA

$$\hat{H}_{SO} = \lambda_{SO} \vec{\ell} \cdot \vec{s} + \alpha$$
$$\Delta E \approx \begin{cases} -0.1 \text{ eV}, & j = 5/2 \\ 0.9 \text{ eV}, & j = 7/2 \end{cases}$$

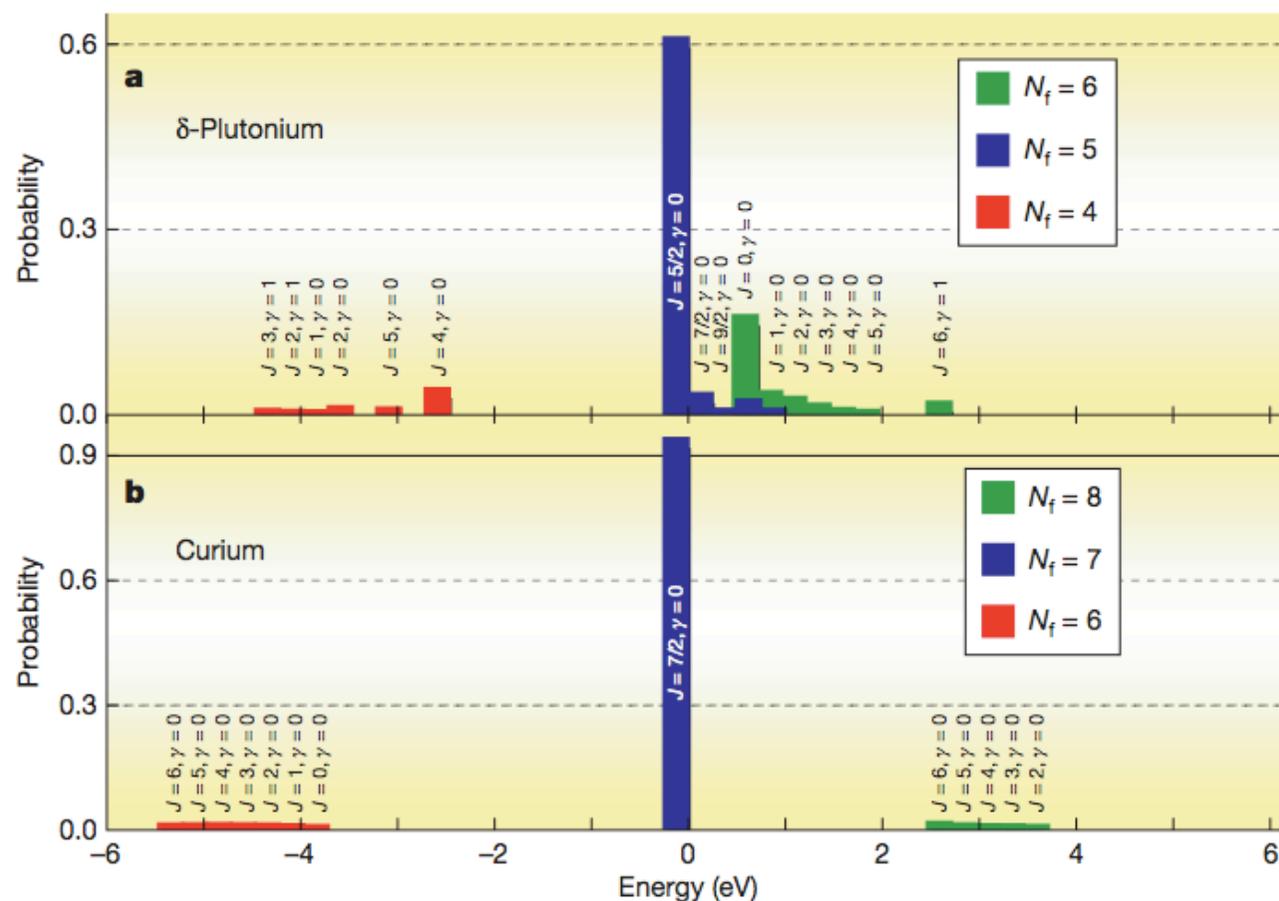
Redox Potentials (volts) With Spin-Orbit Interaction			
Reduction Reaction	Hay, Martin, & Schreckenbach	This work	Experiment
VI to V	3.28	0.88	0.95
V to IV		-0.42	1.03
IV to III		1.76	1.01



**Figure 4.** Calculated and experimental  $[\text{AnO}_2(\text{H}_2\text{O})_5]^{2+}/[\text{AnO}_2(\text{H}_2\text{O})_5]^{1+}$  reduction potentials according to Table 10. Calculated values without and with the inclusion of spin-orbit and multiplet corrections are shown; see text. (Dark red diamonds) LC-ECP-B3LYP values from ref 20; (red circles and blue triangles) SC-ECP-B3LYP and Priroda-PBE values without the corrections; (orange stars and light blue crosses) SC-ECP B3LYP and Priroda PBE values with the corrections applied, correspondingly; (green squares) experimental values<sup>87</sup> as cited from ref 20.

# Fluctuating valence in a correlated solid and the anomalous properties of $\delta$ -plutonium

J. H. Shim<sup>1</sup>, K. Haule<sup>1</sup> & G. Kotliar<sup>1</sup>



# Toy Model of Strong Correlations

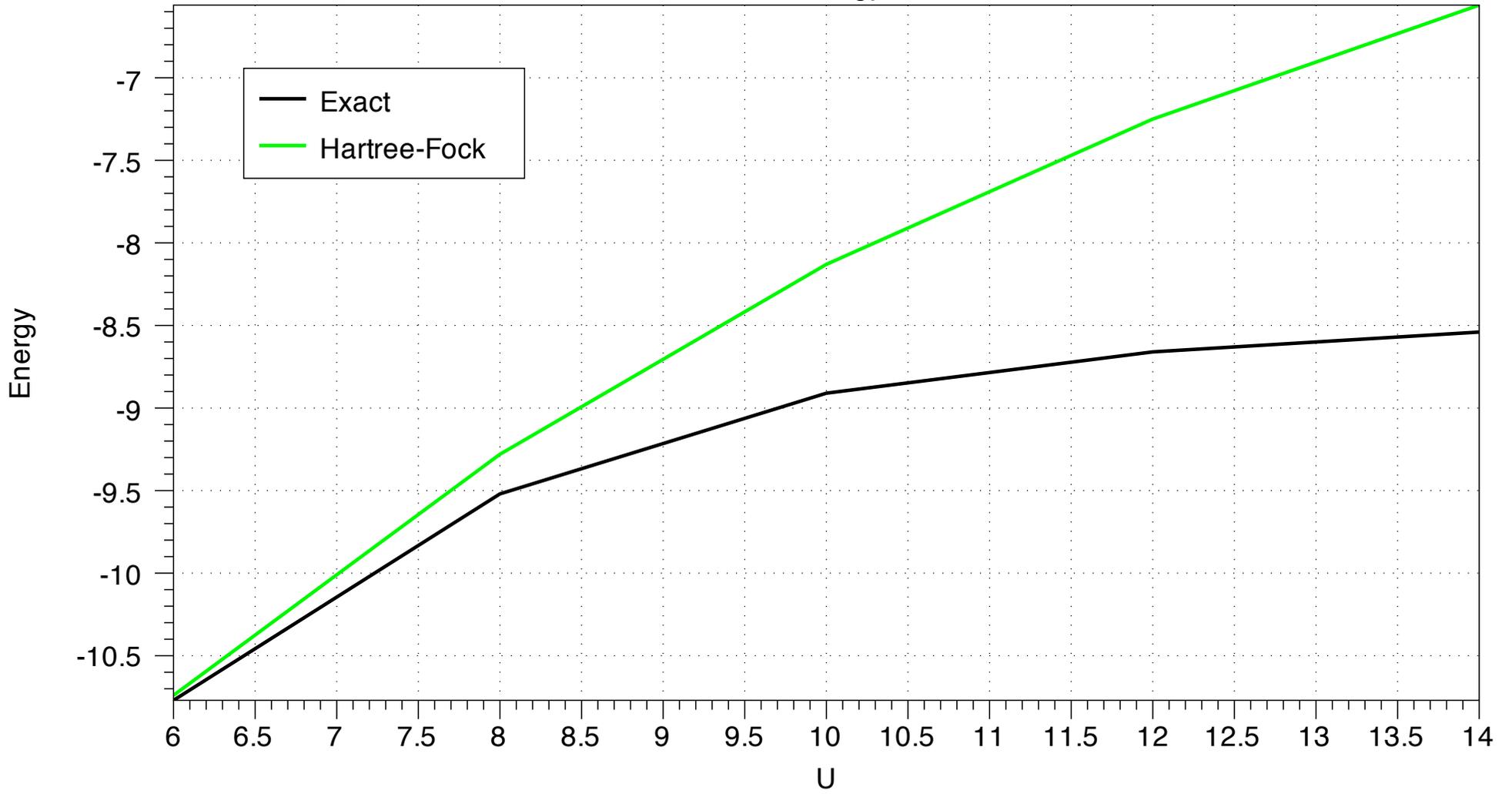
$$\hat{H} = e f^{\dagger\sigma} f_{\sigma} - t (f^{\dagger\sigma} c_{\sigma} + H.c.) + U f^{\dagger\uparrow} f^{\dagger\downarrow} f_{\downarrow} f_{\uparrow}$$



$$\begin{aligned}
 U f^{\dagger\uparrow} f^{\dagger\downarrow} f_{\downarrow} f_{\uparrow} &= U n_{\uparrow} n_{\downarrow} \\
 &\approx U (\langle n_{\uparrow} \rangle n_{\downarrow} + \langle n_{\downarrow} \rangle n_{\uparrow} - \langle n_{\uparrow} \rangle \langle n_{\downarrow} \rangle) \\
 U_{HF}(\langle n \rangle, n) &= \frac{U}{2} \langle n \rangle n - \frac{U}{4} \langle n \rangle^2
 \end{aligned}$$

$t = 1, e = -8$

Ground State Energy Calculation



# Strong Correlations Between 5f Electrons

M. X. LaBute, R. G. Endres, and D. L. Cox, "An Anderson impurity model for efficient sampling of adiabatic potential energy surfaces of transition metal complexes," J. Chem. Phys. **121**, 8221 (2004).

$$\hat{H}_{el-el} = \sum_{\substack{m_1 m_2 m_3 m_4 \\ m_1 + m_2 = m_3 + m_4}} I_{m_1, m_2, m_3, m_4} f_{m_1}^{\dagger \sigma_1} f_{m_2}^{\dagger \sigma_2} f_{m_3, \sigma_2} f_{m_4, \sigma_1}$$

$$I_{m_1, m_2, m_3, m_4} = \sum_{L=0,2,4,6} F^L c^{(L)}(m_1, m_4) c^{(L)}(m_2, m_3)$$

Gaunt coefficients

Slater-Condon parameters

# Tight-Binding Model of Pu(5f) and O(2p) Orbitals

Kohn-Sham molecular orbitals may be expressed as a linear combination of (orthonormal) Lowdin atomic orbitals. Project onto 5f-2p subspace.

$$\hat{H} = \sum_{i,j}^{z^3, xz^2, \dots} t_{\sigma ij}^{\sigma'} \left( f_i^{\dagger\sigma} f_{j\sigma'} + H.c. \right)$$

Pu(H<sub>2</sub>O)<sub>8</sub>: 14 independent-particle states

PuO<sub>2</sub>(H<sub>2</sub>O)<sub>5</sub>: 26 independent-particle states

Sparse matrices with up to 500 million matrix elements

# Slater-Condon Parameter $F_0 = U$

Ionization Reaction	Experiment (eV)	DFT (eV)
$\text{Pu}^{3+}$ to $\text{Pu}^{4+} + e^-$	34.6	35.29
$\text{PuO}_2^+$ to $\text{PuO}_2^{2+} + e^-$	15.1(4)	15.65

# Slater-Condon Parameters $F_2$ , $F_4$ , and $F_6$

M. Norman, "Calculation of effective Coulomb interaction for  $\text{Pr}^{3+}$ ,  $\text{U}^{4+}$ , and  $\text{UPt}_3$ ," PRB52, 1421 (1995)

I. S. Poirot *et al.*, "Optical study and analysis of  $\text{Pu}^{4+}$  in single crystals of  $\text{ZrSiO}_4$ ," PRB39, 6388 (1989)

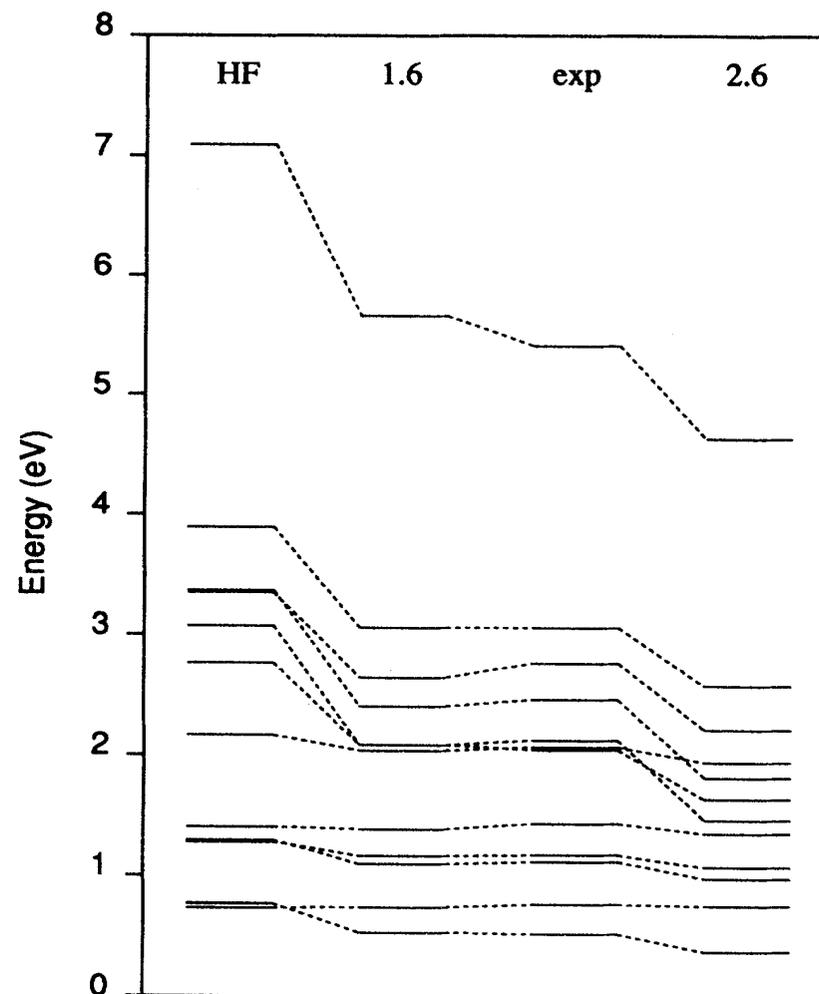


FIG. 3. Multiplet spectra (eV) relative to the  $^3H_4$  ground state of  $\text{U}^{4+}$  for Hartree-Fock (HF),  $\lambda=1.6$  (1.6), experiment (exp) Ref. 14, and  $\lambda=2.6$  (2.6). The latter  $\lambda$  was fit to the observed multiplet splitting in  $\text{UPt}_3$  (Ref. 16). The first excited state is  $^3F_2$  except for Hartree-Fock, where it is  $^3H_5$ .

# Toy Model of System / Environment

$$\hat{H} = e f^{\dagger\sigma} f_{\sigma} - t (f^{\dagger\sigma} c_{\sigma} + H.c.) + U f^{\dagger\uparrow} f^{\dagger\downarrow} f_{\downarrow} f_{\uparrow}$$



$$\begin{aligned}
 U f^{\dagger\uparrow} f^{\dagger\downarrow} f_{\downarrow} f_{\uparrow} &= U n_{\uparrow} n_{\downarrow} \\
 &\approx U (\langle n_{\uparrow} \rangle n_{\downarrow} + \langle n_{\downarrow} \rangle n_{\uparrow} - \langle n_{\uparrow} \rangle \langle n_{\downarrow} \rangle) \\
 U_{HF}(\langle n \rangle, n) &= \frac{U}{2} \langle n \rangle n - \frac{U}{4} \langle n \rangle^2
 \end{aligned}$$

$$E_0^{corrected}(n) = E_0^{HF} - U_{HF}(\langle n \rangle, n) + \frac{U}{2}(n^2 - n)$$

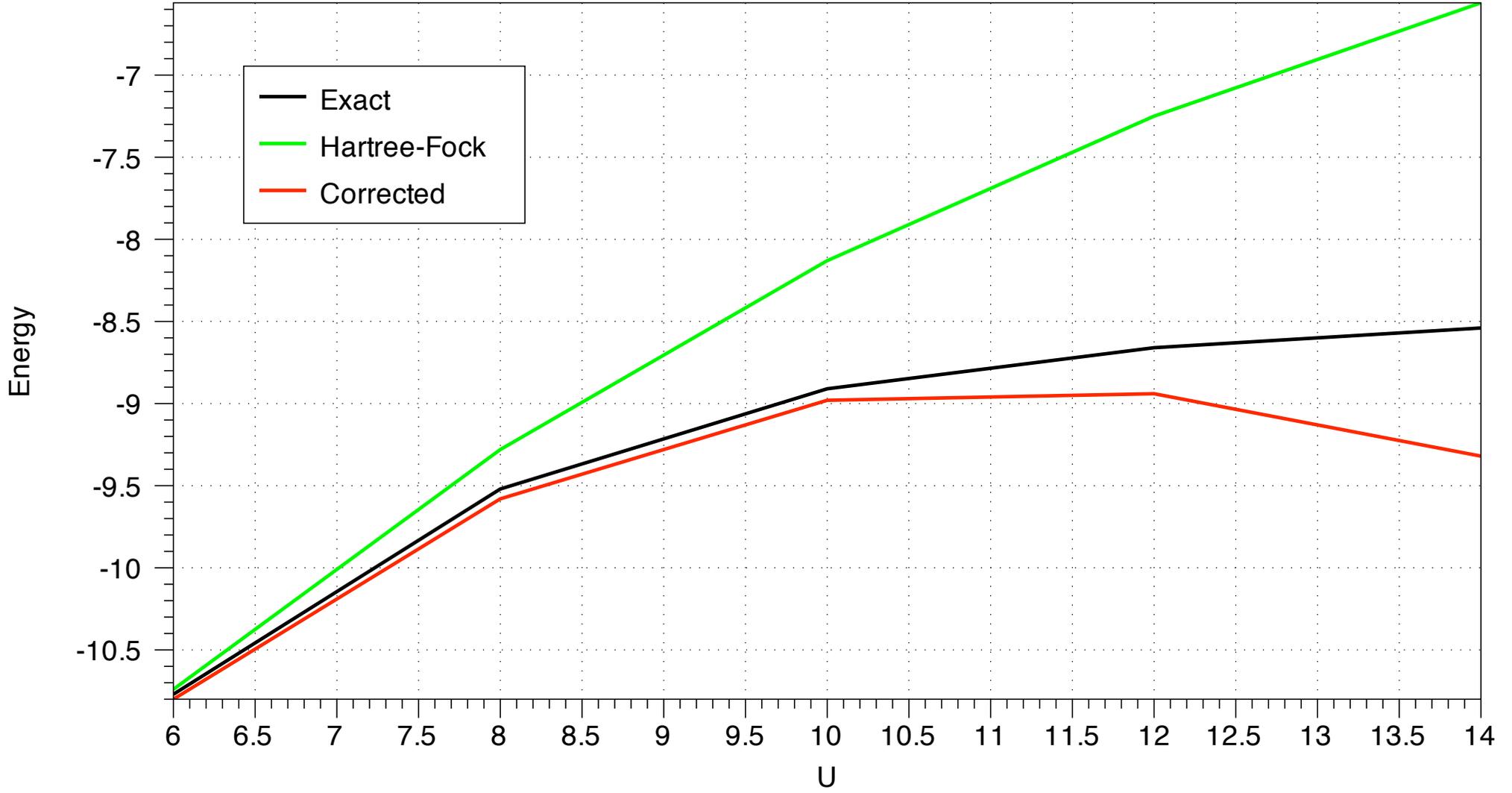
$$\langle n \rangle = 1 + x$$

$$E_0^{corrected} = (1 - x)E_0^{corrected}(1) + xE_0^{corrected}(2)$$

$$t = 1, e = -8$$

U	Exact	$\langle n \rangle$	HF	E(1)	E(2)	E
6	-10.77	1.79	-10.74	-11.29	-10.68	-10.80
8	-9.52	1.61	-9.28	-10.53	-8.98	-9.58
10	-8.91	1.42	-8.13	-7.28	-10.20	-8.98
12	-8.66	1.25	-7.25	-10.06	-5.55	-8.94

Ground State Energy Calculation



# Strong Correlations Alter Redox Potentials

$$f_{m_1}^{\dagger\sigma_1} f_{m_3\sigma_2} \rightarrow f_{m_1}^{\dagger\sigma_1} f_{m_3\sigma_2} - \langle f_{m_1}^{\dagger\sigma_1} f_{m_3\sigma_2} \rangle_0$$

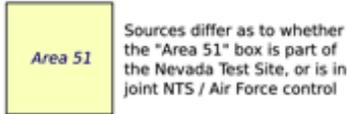
$$\langle \hat{H}_{el-el} \rangle_0 = 0$$

Redox Potentials From Hubbard Model			
Model	VI to V (volts)	V to IV (volts)	IV to III (volts)
DFT + SO	0.88	-0.42	1.76
Hubbard ( $F_0 = 3$ eV)	-0.08	1.05	0.70
Experiment	0.95	1.03	1.01

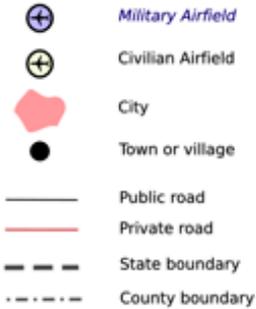
# Yucca Mountain

## FEDERAL LANDS IN SOUTHERN NEVADA

### Land use:



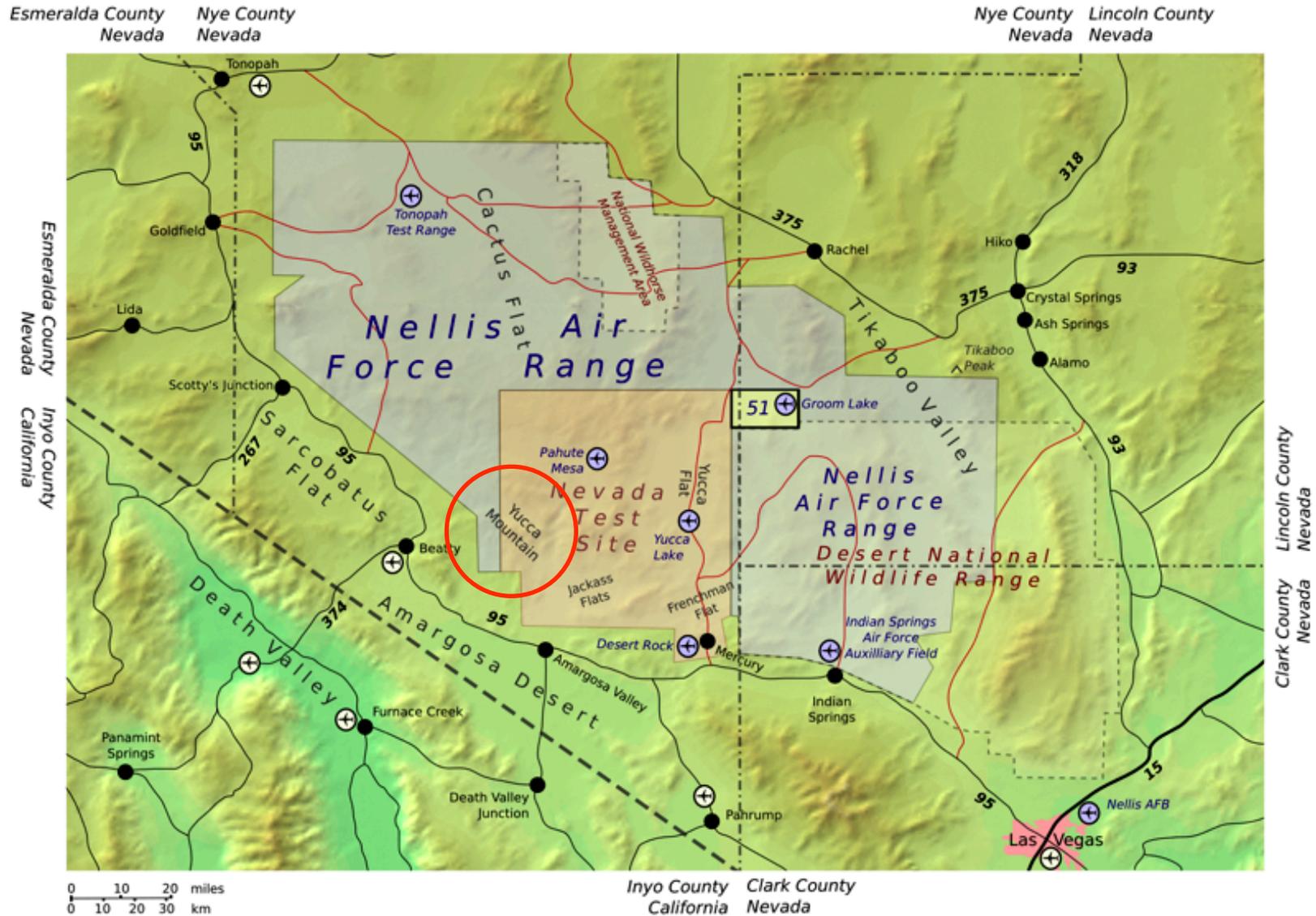
### Legend:



### Location:



Location of main map

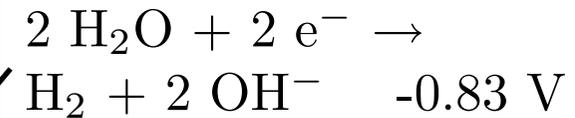
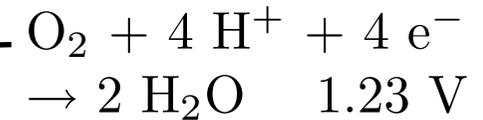
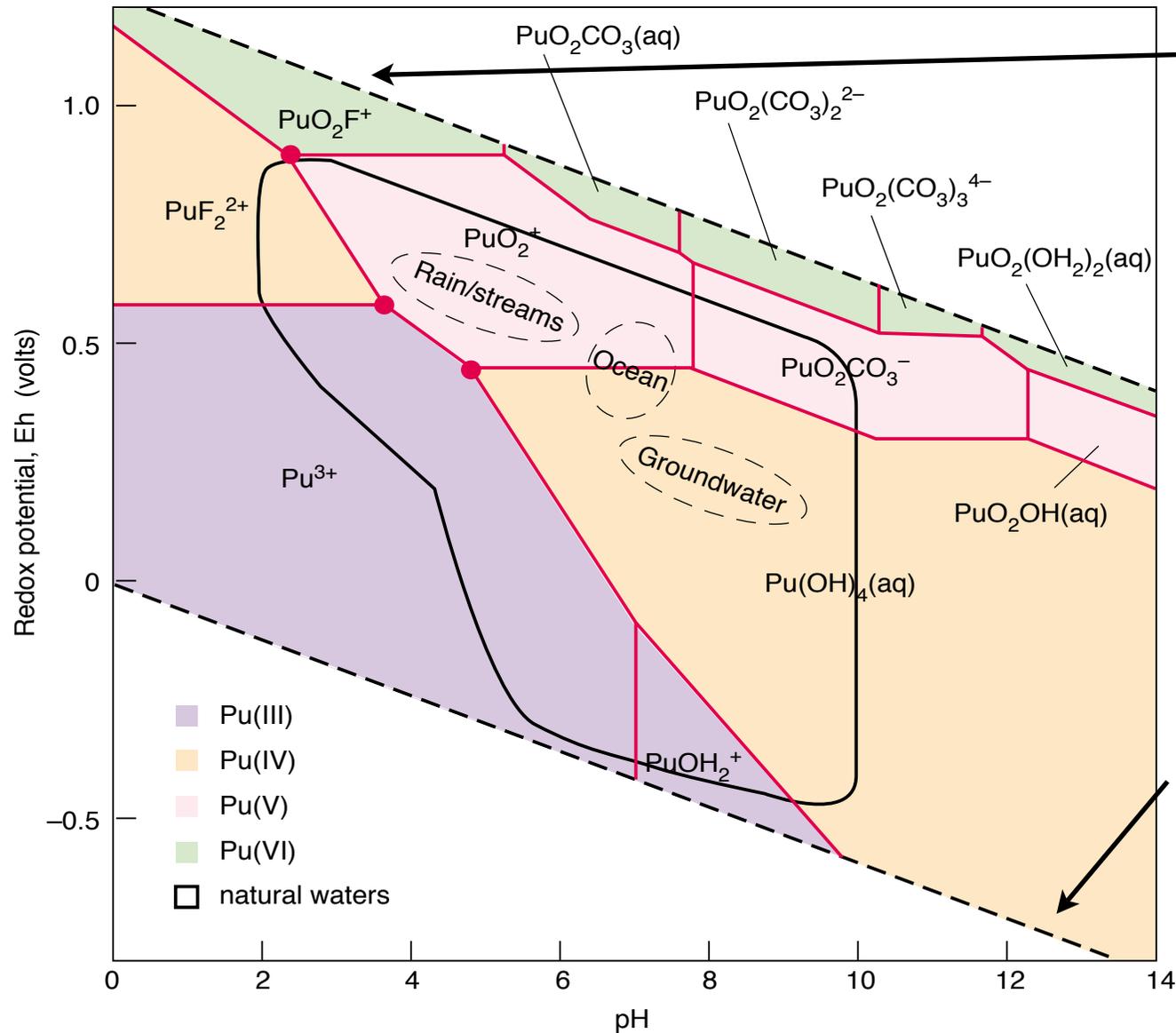




# Complexation Follows Effective Ion Charge



More Oxidizing Environment



The Np(IV) species  
 $\text{Np}(\text{H}_2\text{O})_8^{4+}$

Redox reactions change an actinide's oxidation state and help to establish equilibrium between species

Redox

$\text{NpO}_2(\text{CO}_3)_3^{5-}$

Complexation with different ligands can stabilize actinides in solution and enhance their transport through the environment

Complexation

Transport

Actinides can migrate by water transport or by sorption onto mobile particulates or colloids

Bioavailability

Microbes can facilitate actinide redox processes, while sorption or uptake by the microbes may be a potential transport or immobilization mode.

Actinides typically form large molecular complexes in solution. Neptunium assumes the Np(V) species,  $\text{NpO}_2(\text{H}_2\text{O})_5^+$ , in many natural waters.

Dissolution  
Precipitation

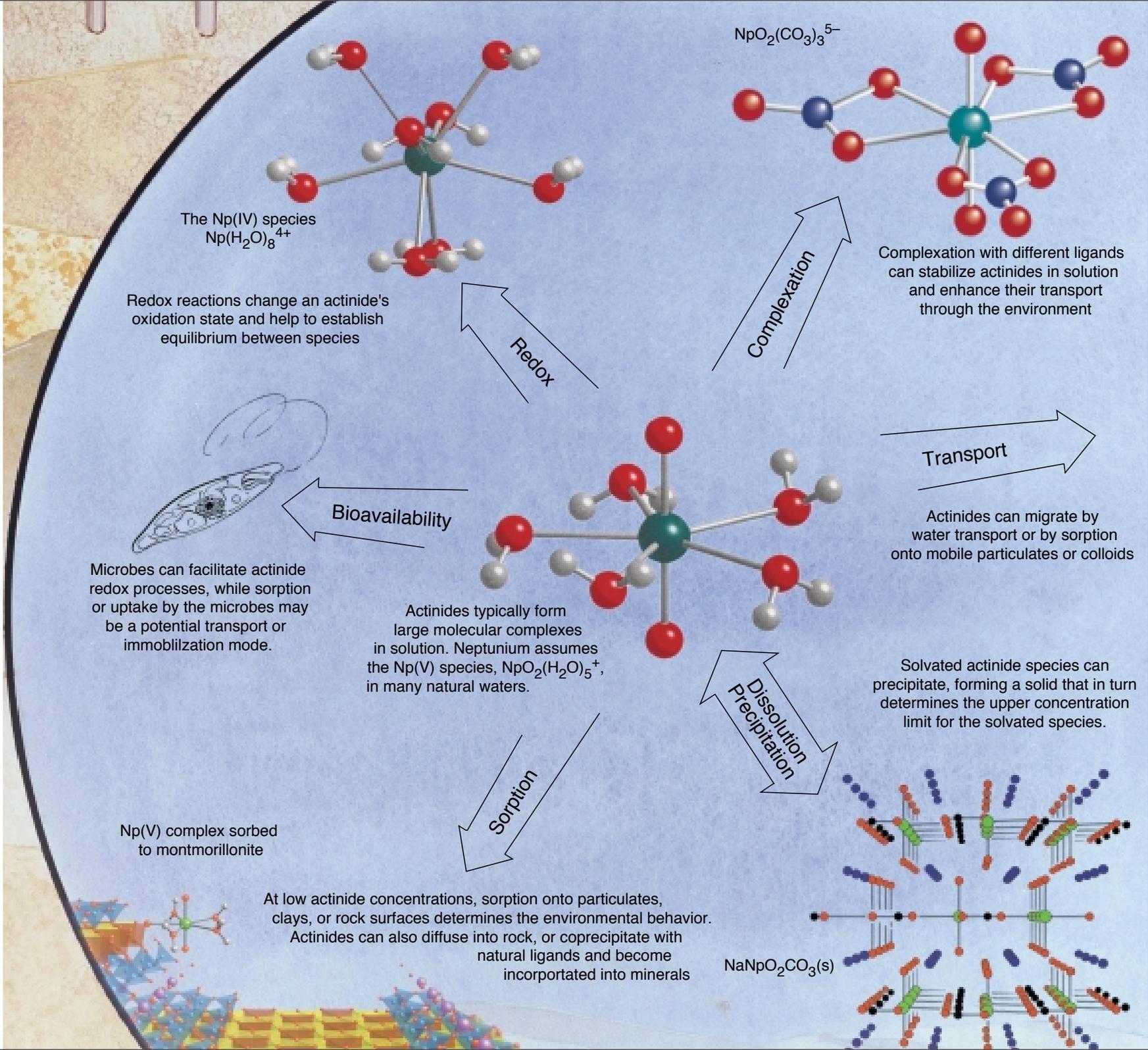
Solvated actinide species can precipitate, forming a solid that in turn determines the upper concentration limit for the solvated species.

Np(V) complex sorbed to montmorillonite

Sorption

At low actinide concentrations, sorption onto particulates, clays, or rock surfaces determines the environmental behavior. Actinides can also diffuse into rock, or coprecipitate with natural ligands and become incorporated into minerals

$\text{NaNpO}_2\text{CO}_3(\text{s})$



# Conclusions & Open Questions

- Relativistic DFT calculations of aqueous actinides have been carried out.
- Evidence of strong correlations.
- Can better quantitative agreement be achieved?
- Will the same approach explain neptunium and americium?
- Organizing principle at work?
- Application to complexation with carbonates, etc.?