# Factorization and effective field theory (or How to Finesse the Strong Interactions)

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# Outline:

- 1. The problem
- 2. Factorization 70's & 80's (partons)
- 3. Effective Field Theory classic, modern and postmodern
- 4. Some applications

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The Probem: How do we do physics at proton colliders at all? (i.e. Tevatron, LHC)



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Colliding protons — Colliding quarks and gluons

i.e. top production at Fermilab:



... this is the physics we want to study

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... but protons aren't so simple ...



$$\Lambda_{
m QCD}\sim 300\,{
m MeV}\sim rac{1}{3}m_{
m proton}~~~~~~rac{1}{\Lambda_{
m QCD}}\sim 1\,{
m fm}\sim r_{
m proton}$$

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-> particle production! Indeterminate number of quarks in proton

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#### So a proton looks something like this:

000000  $\Lambda_{\rm OCD}$  $\sim 10^{-15} \mathrm{~m}$ 

"brown muck" of QCD (N. Isgur) - an indeterminate number of strongly coupled light quarks and gluons (horrible stronglycoupled mess)

- quarks & gluons all have momentum  $\sim \Lambda_{QCD} \sim$  few hundred MeV

(Actually, it's a linear superposition of all these states ...)

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... so our simple quark-level process



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... so our simple quark-level process



... is buried in the muck.

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# How can we calculate anything without solving QCD?

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 $\sigma(p(P_1) + p(P_2) \to t\bar{t} + X)$ 

(NB for simplicity, neglecting top quark decay)

$$= \int_0^1 dx_1 dx_2 \sum_f f_f(x_1) f_{\bar{f}}(x_2) \cdot \sigma(q_f(x_1P) + \bar{q}_f(x_2P) \to t\bar{t}) + O\left(\frac{\Lambda_{\text{QCD}}}{2m_t}\right)$$



(Feynman, Bjorken)

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(Feynman, Bjorken)

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 $\sigma(p(P_1) + p(P_2) \to t\bar{t} + X)$ 

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**SHORT DISTANCE**: cross section for free quarks (and gluons) - can calculate in perturbation theory



**LONG DISTANCE**:  $f_f(x_1)$  :probability to find parton f with fraction  $x_1$  of longitudinal momentum of proton ("parton distribution function") - property of the PROTON - can't calculate ... but UNIVERSAL (can measure in another process)

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#### The proofs of factorization are long and complicated

(and based on exhaustive analysis of Feynman diagrams ...)



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(Collins, Soper, Sterman, 1980's)



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$$\sigma(p(P_1) + p(P_2) 
ightarrow tar{t} + X) = \int_0^1 dx_1 dx_2 \sum_f f_f(x_1) f_{ar{f}}(x_2) \cdot \sigma(q_f(x_1P) + ar{q}_f(x_2P) 
ightarrow tar{t}) + O\left(rac{\Lambda_{ ext{QCD}}}{2m_t}
ight)$$

- form of the factorization formula (convolution over light-cone momentum fraction) is non-trivial

- final hadronic state unspecified - sum over all of them ("+X") - probability to hadronize = 1! "inclusive"

- subleading (O( $\Lambda_{QCD}/Q$ )) terms ("power corrections") don't factorize in this way ... fortunately, these are small for Q~2m<sub>t</sub> - don't generally worry about going to higher orders

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More generally, multi-scale problems are complicated theoretically:

- Perturbation theory breaks down terms in perturbation theory are enhanced by powers of  $log(m_1/m_2)$  if ratio is large, perturbation theory breaks down even at weak coupling
- Perturbative and nonperturbative physics is hard to separate
- QCD factorization theorems and the like have power corrections proportional to the ratios of scales - need a systematic expansion to go beyond leading order
- You shouldn't use quantum gravity to calculate projectile motion!

Particle physics is full of important multi-scale problems ... i.e. GUT-scale physics, b-quark decays, Standard Model extensions ... how can we deal with this problem systematically?

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#### We can do this in classical electrodynamics:



Physics at r~L is complicated - depends on details of charge distribution

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We can do this in classical electrodynamics:



BUT ... if we are interested in physics at r>>L, things are much simpler ...

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We can do this in classical electrodynamics:



... can replace complicated charge distribution by a POINT source with additional interactions (multipoles)...

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#### Multipole expansion:

$$V(r)=rac{m q}{r}+rac{ec p\cdotec x}{r^3}+rac{1}{2}m Q_{m im j}rac{x_ix_j}{r^5}+\cdots$$

$$q, p_r, Q_{ij}, \dots$$

 $q, \ p_i, \ Q_{ij}, \ \ldots$  : short distance quantities (depend on details of charge distribution)

$$\left\langle rac{1}{r} 
ight
angle, \left\langle rac{x_i}{r^3} 
ight
angle, \left\langle rac{x_i x_j}{r^5} 
ight
angle, \ \cdots$$

 : long distance quantities (independent of short distance physics)

## FACTORIZATION!

higher multipole moments <-> new effective interactions from "integrating out" short distance physics .. effects are suppressed by powers of L/r

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#### Field Theory generalization: Effective Field Theory

-at low momenta p<< $\Lambda$ , a theory can be described by an effective Hamiltonian where degrees of freedom at scale  $\Lambda$  have been "integrated out":

$$H_{\text{eff}} = H_0 + \sum_{i} \frac{C_i}{\Lambda^{n_i}} \mathcal{O}_i$$
Hamiltonian in
Arice limit corrections determined by ma

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itrix elements of operators  $O_i$  - power counting determined by dimensional analysis

 $C_n$ 's

- : short distance quantities (in QCD: perturbatively calculable if  $\Lambda \gg \Lambda_{QCD}$ )
- $\langle \mathcal{O}_n \rangle \mathbf{S}$ : long distance quantities (in QCD: nonperturbative ... need to get them elsewhere)

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- $\langle \mathcal{O}_n \rangle \mathbf{S}$ : long distance quantities (in QCD: nonperturbative ... need to get them elsewhere)
- Effective Field Theory automatically factorizes the calculation
- by keeping more terms, can work to arbitrary accuracy in  $1/\Lambda$

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 lowering cutoff - effects of virtual excitations removed from dynamics, incorporated into parameters of theory (Renormalization Group)



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 lowering cutoff - effects of virtual excitations removed from dynamics, incorporated into parameters of theory (Renormalization Group)

- at thresholds, heavy particles removed from theory ("integrated out"), effects incorporated into local operators

$$H(\Lambda < m_X) \sim H(\Lambda > m_X) + \sum_i rac{C_1}{M_X^{n_i}} \mathcal{O}_i$$



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energy

Mx

M2

Mз

02

 lowering cutoff - effects of virtual excitations removed from dynamics, incorporated into parameters of theory (Renormalization Group)

- at thresholds, heavy particles removed from theory ("integrated out"), effects incorporated into local operators

$$H(\Lambda < m_X) \sim H(\Lambda > m_X) + \sum_i rac{C_1}{M_X^{n_i}} \mathcal{O}_i$$

- ideally, keep lowering cutoff until only a single scale is left ... all short-distance physics is now in the coefficients  $C_i$  of local operators, long distance physics is in their matrix elements -**FACTORIZATION** 

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cutoff

- classic example: K-K mixing in the Standard Model (Gilman, Wise, '83)

- W, Z and successive quarks integrated out, renormalization group used to sum terms of order

 $lpha_s^n \log^n rac{m_c}{m_{t,W}}$ 



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(2) "Classic" -> "Modern": Heavy Quark Effective Theory ("HQET")

Qu: how do you lower the cutoff of an EFT below the mass of a particle in the initial state? (i.e. not virtual)

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(2) "Classic" -> "Modern": Heavy Quark Effective Theory ("HQET")

- precision b quark decays provide a powerful tool to probe new physics virtually ... but QCD muddles the waters: (Isgur, Wise, Georgi, Voloshin, Shifman, ...)



(and to believe small discrepancy = new physics, need model independent predictions - challenge for theory!)

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We can use usual EFT methods to integrate out physics above  $m_b$  - but what happens when we lower the cutoff BELOW the b mass?



- unlike virtual excitations, b quark doesn't get removed from the theory ... instead, the EFT describes the low-energy dynamics of a heavy quark



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Interactions in the effective theory don't deflect the worldline of the heavy quark

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HQET: Wilson line

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Interactions in the effective theory don't deflect the worldline of the heavy quark

- appropriate description is a classical colour charge moving with a constant velocity - "Wilson line" (timelike)

- other than this, technology is still the same
- NB: the mass, spin of the guark have become irrelevant: extra symmetry in low energy theory! (not manifest in QCD)

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# This field became suddenly fashionable in the 1990's ...

- heavy meson spectroscopy
- semileptonic decays (measure parameters of Standard Model - calibration)
  - inclusive (sum over all hadronic states)
  - exclusive (decays to specific final states particular those with charm quarks - "Heavy Quark Symmetry")
- nonleptonic decays (lifetimes)
- rare (inclusive) decays i.e.  $b 
  ightarrow s\gamma$ ,  $b 
  ightarrow s\mu^+\mu^-$

All can be handled in an expansion in  $\Lambda_{QCD}/m_b \sim 1/10$  ... remarkable success over past decade or so

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## $O(\Lambda_{QCD}/m_b)$ : ~20% correction

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"Killer App": Inclusive semileptonic b->c decay: (need to determine b->c weak coupling constant V<sub>cb</sub>)  $\Gamma(B \to X_c \ell \bar{\nu}) = \frac{G_F^2 |V_{cb}|^2}{192\pi^3} (0.534) \left(\frac{m_{\Upsilon}}{2}\right)^5 \times \left[1 - 0.22 \left(\frac{\Lambda_{1S}}{500 \text{ MeV}}\right) - 0.011 \left(\frac{\Lambda_{1S}}{500 \text{ MeV}}\right)^2 - 0.052 \left(\frac{\lambda_1}{(500 \text{ MeV})^2}\right) - 0.071 \left(\frac{\lambda_2}{(500 \text{ MeV})^2}\right)$ 

 $O(\Lambda_{QCD}/m_b)$ : ~20% correction  $O(\Lambda_{QCD}^2/m_b^2)$ : ~5-10% correction

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 $O(\Lambda_{QCD}/m_b)$ : ~20% correction  $O(\Lambda_{QCD}^3/m_b^3)$ : ~1-2% correction  $O(\Lambda_{QCD}^2/m_b^2)$ : ~5-10% correction

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"Killer App": Inclusive semileptonic b->c decay: (need to determine b->c weak coupling constant  $V_{cb}$ ) B  $\Gamma(B o X_c \ell ar{
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ight)^5 imes$  $\left[1 - 0.22 \left(\frac{\Lambda_{1S}}{500 \text{ MeV}}\right) - 0.011 \left(\frac{\Lambda_{1S}}{500 \text{ MeV}}\right)^2 - 0.052 \left(\frac{\lambda_1}{(500 \text{ MeV})^2}\right) - 0.071 \left(\frac{\lambda_2}{(500 \text{ MeV})^2}\right)^2\right]$  $-0.006 \left(\frac{\lambda_1 \Lambda}{(500 \text{ MeV})^3}\right) + 0.011 \left(\frac{\lambda_2 \Lambda}{(500 \text{ MeV})^3}\right) - 0.006 \left(\frac{\rho_1}{(500 \text{ MeV})^3}\right) + 0.008 \left(\frac{\rho_2}{(500 \text{ MeV})^3}\right)$  $+0.011 \left(\frac{T_1}{(500 \,\mathrm{MeV})^3}\right) + 0.002 \left(\frac{T_2}{(500 \,\mathrm{MeV})^3}\right) - 0.017 \left(\frac{T_3}{(500 \,\mathrm{MeV})^3}\right) - 0.008 \left(\frac{T_4}{(500 \,\mathrm{MeV})^3}\right)$  $-0.096 \epsilon - 0.030 \epsilon_{BLM}^2 + 0.015 \epsilon \left( \frac{\Lambda_{1S}}{500 \text{ MeV}} \right) + \dots$ 

 $O(\Lambda_{QCD}/m_b)$ : ~20% correction  $O(\Lambda_{QCD}^3/m_b^3)$ : ~1-2% correction  $O(\Lambda_{QCD}^2/m_b^2)$ : ~5-10% correction Perturbative: ~few %

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 $O(\Lambda_{QCD}/m_b)$ : ~20% correction  $O(\Lambda_{QCD}^3/m_b^3)$ : ~1-2% correction  $O(\Lambda_{QCD}^2/m_b^2)$ : ~5-10% correction Perturbative: ~few % -> This is a PRECISION field!

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Global fits:

(Bauer, Ligeti, ML, Manohar and Trott)

(up to  $1/m^3$ )



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Global fits:

The fit also allows us to make precise predictions of other moments as a cross-check:

$$D_{3} \equiv \frac{\int_{1.6 \text{ GeV}} E_{\ell}^{0.7} \frac{d\Gamma}{dE_{\ell}} dE_{\ell}}{\int_{1.5 \text{ GeV}} E_{\ell}^{1.5} \frac{d\Gamma}{dE_{\ell}} dE_{\ell}} = \begin{cases} 0.5190 \pm 0.0007 & \text{(theory)} \\ 0.5193 \pm 0.0008 & \text{(experiment)} \end{cases}$$
$$D_{4} \equiv \frac{\int_{1.6 \text{ GeV}} E_{\ell}^{2.3} \frac{d\Gamma}{dE_{\ell}} dE_{\ell}}{\int_{1.5 \text{ GeV}} E_{\ell}^{2.9} \frac{d\Gamma}{dE_{\ell}} dE_{\ell}} = \begin{cases} 0.6034 \pm 0.0008 & \text{(theory)} \\ 0.6036 \pm 0.0006 & \text{(experiment)} \end{cases}$$

(some fractional moments of lepton spectrum are very insensitive to  $O(1/m^3)$  effects, and so can be predicted very accurately) (C. Bauer and M. Trott)

## NB: these were REAL PREdictions (not postdictions)

Hadronic physics with < 1% uncertainty!

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(Bauer, ML, Fleming, Stewart, Pirjol, ...)

What is the correct EFT to describe the dynamics of a very LIGHT, ENERGETIC quark?

$$p_Q = (p^+, p^-, p_\perp) \sim (Q, \lambda^2 Q, \lambda Q)$$

NB: using light-cone coordinates!

High Energy  $E \sim Q$  massless  $p_Q^2 = 0$ 

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Why would you want to do this? lots of reasons, i.e.

(1) (original) B decays - to reduce backgrounds, often need to look at restricted regions of phase space - i.e.  $b \rightarrow s\gamma$  near photon endpoint,  $b \rightarrow ue\bar{\nu}$  near electron energy endpoint. HQET expansion observed to break down in this region.



jet of hadrons (large energy, low invariant mass)

(2) collider physics - hard QCD processes - Drell-Yan, jet production, event shapes, ...

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BUT ... the quark can also emit a hard, collinear gluon

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What is the correct EFT to describe the dynamics of a very LIGHT, ENERGETIC quark?



Interactions with soft gluons don't deflect the worldline of the energetic quark

BUT ... the quark can also emit a hard, collinear gluon

- get a JET of final state particles

- jet energy is large, invariant mass is parametrically smaller

 $E_J\sim Q$   $p_J^2\sim\lambda Q\ll Q^2$ 

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Ex: qq production current:

## (1) QCD



Ex: qq production current:





The resulting SCET vertex is correspondingly complicated ...

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Factorization formulas - more complex than before: discrete sum over operators becomes a convolution



(this form of factorization has been known since the 1980's, but now it is at the level of the Lagrangian of the EFT)

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energy

energy Factorization formulas - more complex than before: discrete sum over operators becomes a convolution "hard" function J(x)S(x)dx $C_i \mathcal{O}_i$ short distance "jet" function "soft" function long distance (this form of factorization has been known since the 1980's, but now it is at the level of the Lagrangian of the EFT)

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Factorization formulas - more complex than  $e^{nergy}$ before: discrete sum over operators becomes a convolution Q



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Factorization formulas - more complex than before: discrete sum over operators becomes a convolution Q



## SCET: what you get out of it

Lots of applications:

(1) B decays .. grew out of HQET in regions of phase space where final state was restricted to be jet-like

(2) jets and collider physics - we come full circle. No "killer app" yet, but lots of directions - ex: top production, event shape distributions, jets, etc. ...

The "shape function" (parton distribution function for b quark in a meson)



#### Exclusive B decays - i.e. $B ightarrow \pi\pi$



### Exclusive B decays - i.e. $B ightarrow \pi\pi$



## Angularity Distributions in Jet production

(Lee, Hornig, Ovanesyan, 2009)



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FIG. 1: Sequence of effective field theories used to compute the invariant mass distribution.



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## Factorization for jet production

## (Cheung, Freedman, ML, Zuberi, in progress)

- UV divergent phase space integrals in SCET treated consistently

- factorization studied for different jet definitions (SW,  $k_T$ , JADE)



FIG. 3: Phase space corresponding to two-jet events using the  $k_{\perp}$  algorithm in (a) QCD, (b) the *n*-collinear gluon sector, (c) the soft gluon sector, and (d) the zero-bin sector. As before, the arrows indicate integrations to infinity.

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## **Final Comment**

This is always going to be with us ... need to factorize problems for nonperturbative lattice QCD calculations as well!





- need L>1 fm to simulate proton
  need a<1/Q to simulate short-</li>
  distance physics w/momentum Q
- extremely inefficient to simulate short-distance (perturbative)
   physics on the lattice!

Factorization -> do short-distance physics analytically, long-distance physics numerically with lattice spacing a>>1/Q

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## Summary:

- factorization allows us to separate short-distance (interesting) physics from long-distance QCD in a model-independent way - required to make rigorous predictions
- factorization takes many forms, from the relatively simple (inclusive B decays), to the more complicated (hard QCD processes, some B decays) - the form of factorization, and its generalizations to higher orders, can be determined using effective field theory
- lots of applications ...

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