

# Higher symmetry 't Hooft anomalies and domain walls

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+ **many earlier related works, by us and by others**

+ may revisit past work

+ some current thoughts

1501.06773

**M. Anber, Tin Sulejmanpasic, EP**

**Motivation:** nonperturbative gauge dynamics

't Hooft anomalies constrain possible IR behavior

Turns out some were missed in the 1980s:  
the ones involving higher symmetries

- Gaiotto, Kapustin, Komargodski, Seiberg, Willett 2014 -

Old phenomena can be seen as due to these  
new matching conditions, e.g. “Dashen phenomenon”,  
while new anomaly point of view allows  
interesting extensions in different directions!

# Outline:

**1.** probably most of the talk:

discrete higher form symmetries and 't Hooft anomalies:  
2d Schwinger model/4d SYM and QCD(adj)

- **simplest QFT (solvable) exhibiting them, many parallels with 4d SYM**
- **will present in detail different points of view on the anomaly**

**2.** time permitting:

anomaly inflow, domain walls in 4d, and recovering  
some string theory results from QFT

I.) new “t Hooft anomaly” between discrete chiral symmetry and center symmetry:  
the simplest example in QFT is the charge-q massless 2d Schwinger model

$$L = -\frac{1}{4e^2} f_{kl} f^{kl} + i\bar{\psi}_+(\partial_- + iqA_-)\psi_+ + i\bar{\psi}_-(\partial_+ + iqA_+)\psi_- \quad \partial_{\pm} \equiv \partial_t \pm \partial_x, \quad A_{\pm} \equiv A_t \pm A_x,$$

“0-form” symmetries:

$U(1)_V$ :  $\psi_{\pm} \rightarrow e^{iq\alpha}\psi_{\pm}$  gauged

$U(1)_A$ :  $\psi_{\pm} \rightarrow e^{\pm i\chi}\psi_{\pm}$  anomalous:  $Z_{\text{ferm.}} \rightarrow e^{i2q\chi T} Z_{\text{ferm.}}$

topological charge:

$$T = \frac{1}{2\pi} \int f_{12} d^2x \in \mathbb{Z}$$

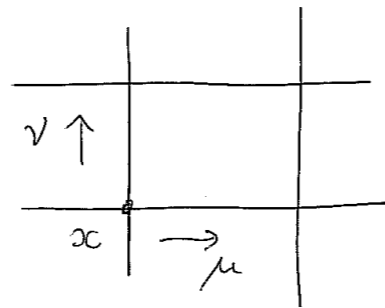
( $\chi = \frac{2\pi}{2q}$  gives anomaly-free subgroup)

$$\mathbb{Z}_{2q}^{d\chi} : \psi_{\pm} \rightarrow e^{\pm i\frac{\pi}{q}}\psi_{\pm}$$

[similar to 4d SYM, anomaly free discrete chiral only]

“1-form” symmetry:

$\mathbb{Z}_q^C$  center symmetry



$$U_{x,\mu} \rightarrow z_{\mu} U_{x,\mu}$$

a  $\mathbb{Z}_q$  phase, one per spacetime direction (global symmetry)

easy to see on lattice: plaquette term in action invariant, fermion hopping as well, since integer charge  $q > 1$

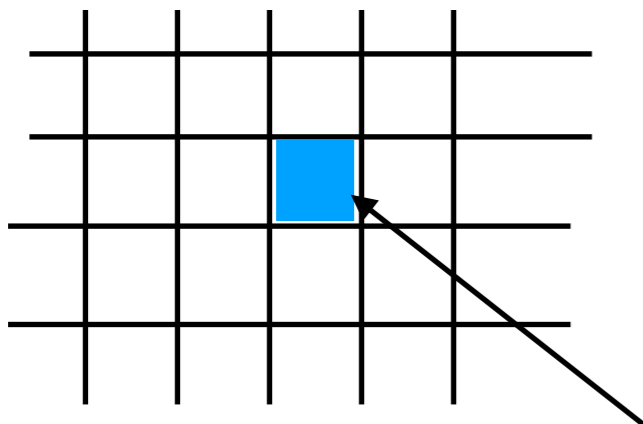
“1-form” symmetry only acts on line operators (hence name):

$$\mathbb{Z}_q^C : e^{i\oint A_x dx} \rightarrow \omega_q e^{i\oint A_x dx}, \quad \omega_q \equiv e^{i\frac{2\pi}{q}}$$

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“0-form”  $\mathbb{Z}_{2q}^{d\chi}$  & “1-form”  $\mathbb{Z}_q^C$  have a mixed anomaly!

also easy(er!) to see on the lattice! Let us gauge the 1-form center symmetry:



for symmetries acting on links (1-form center symmetry), introduce plaquette-based (“2-form”)  $\mathbb{Z}_q$  gauge field to make  $\mathbb{Z}_q$  center symmetry local

to see the anomaly a background 2-form field suffices; in 2d, there is no field strength of the 2-form (no cubes!); introduce a  $\mathbb{Z}_q$  background phase on a single plaquette = “ $\mathbb{Z}_q$  center vortex” [i.e. any 2-form  $\mathbb{Z}_q$  background topological... can move around shaded square by changing link variables]

now recall:

$$U_{\text{plaquette}} = \prod_{\text{link} \in \text{plaquette}} U_{\text{link}} = e^{ia^2 F_{\text{plaquette}}} \longrightarrow \prod_{\text{all plaquettes}} U_{\text{plaquette}} = e^{i \text{flux thru } T^2} = \mathbf{1}$$

$\longrightarrow$  flux thru  $T^2 = 2\pi n$ ,  $n \in \mathbb{Z}$  = integer  $T$  (top. charge in continuum limit) from before!

But in the background of a single  $\mathbb{Z}_q$  center vortex, we have instead

$$\prod_{\text{all plaquettes}} U_{\text{plaquette}} e^{i\frac{2\pi}{q}} = e^{i \text{flux thru } T^2} = e^{i\frac{2\pi}{q}} = \text{fractional } T \text{ (top. charge)!}$$

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So, after we introduce a center 2-form background (center vortex), we have

$$\prod_{\text{all plaquettes}} U_{\text{plaquette}} e^{i\frac{2\pi}{q}} = e^{i\text{flux thru } T^2} = e^{i\frac{2\pi}{q}} = \text{fractional } T \text{ (top. charge)!}$$

But recall that under a 1-form discrete chiral  $\mathbb{Z}_{2q}^{d\chi}$  we have that

$$\mathbf{Z}_{\text{ferm.}} \rightarrow e^{i2\pi T} \mathbf{Z}_{\text{ferm.}} \text{ and } \mathbf{Z}_{\text{ferm.}} \text{ is invariant if } T \text{ is integer, but not otherwise.}$$

We conclude that if center 1-form symmetry is gauged, the discrete chiral symmetry ceases to be a symmetry, in other words, we have a  $\mathbb{Z}_{2q}^{d\chi} - \mathbb{Z}_q^C$  ‘t Hooft anomaly!

$$\mathbb{Z}_{2q}^{d\chi} : \mathbf{Z}_{\text{ferm.}} \rightarrow e^{i\frac{2\pi}{q}} \mathbf{Z}_{\text{ferm.}}$$

- phase in the chiral transform (in the center vortex bckgd) **IS** mixed ‘t Hooft anomaly
- phase independent on  $T^2$  volume, RG invariant, same on all scales: UV & IR
- like for continuous symmetry ‘t Hooft anomalies must be matched by IR theory:
  - IR CFT, or
  - one or both symmetries should be broken (“Goldstone” mode), and/or
  - IR TQFT

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Gaiotto et al, ’14-’17

The 0-form/1-form mixed anomaly was computed in 4d SYM by Gaiotto et al by turning on discrete gauge backgrounds as I showed above. A ’t Hooft anomaly, however, should be a property of the theory without any backgrounds; it does not require turning on fields. Continuous symmetry ’t Hooft anomalies are seen in  $\langle j j j \rangle$  three-point global symmetry current correlators, as  $1/q^2$  poles [Frishman et al, Coleman et al, 1980s].

Expect the “same” should be true here. The anomalies should involve properties of the quantum operators representing the discrete symmetries. General statements are so far not known (to me) but examples exist: QM & 3d CS theory [Gaiotto et al] and 2d QFT [our work]

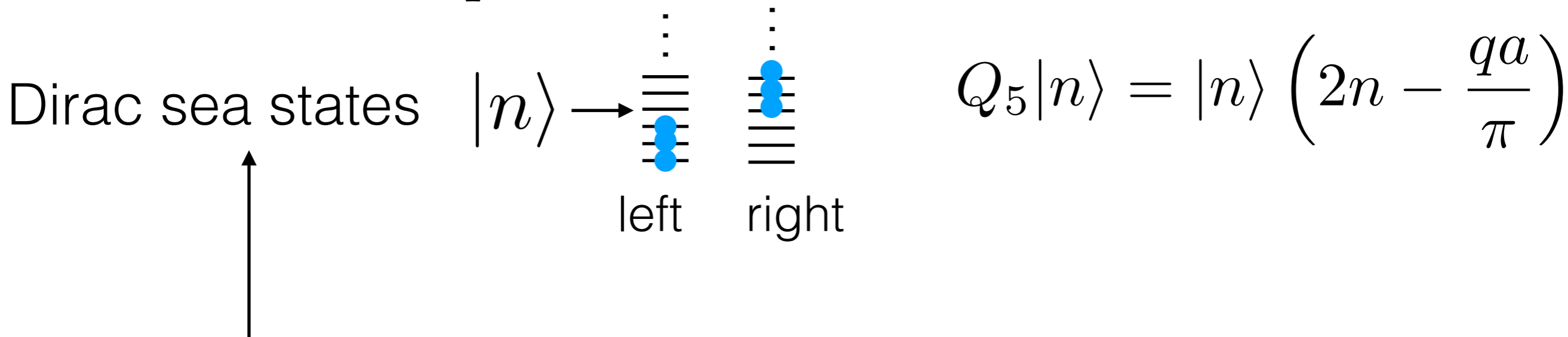
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quantize using Hamiltonian  $A_0=0$  on circle Manton '86; Iso, Murayama '89

$\oint A_x dx \equiv a$  - only dynamical variable from gauge sector

$$Z_q^C : a \rightarrow a + \frac{2\pi}{q} \quad (Z_q^C)^q = G \quad \text{large gauge trf.}$$



(in 1 spatial dim, unlike in 4d, can solve Dirac equation for any gauge background and explicitly build “Dirac sea” states obeying Gauss law; their chiral charge depends on “ $a$ ”: anomalous)



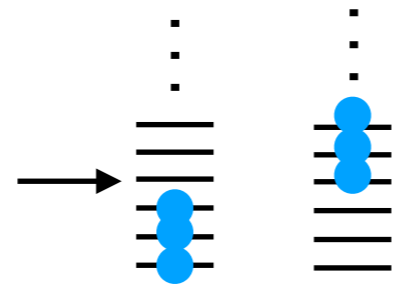
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Dirac sea states  $|n\rangle \rightarrow$    $Q_5 |n\rangle = |n\rangle \left(2n - \frac{qa}{\pi}\right)$   
left right  $G |n\rangle = |n + q\rangle$

anomaly free chiral?

$$\tilde{Q}_5 \equiv Q_5 + \frac{qa}{\pi} \quad \text{not G invariant} \quad G : \tilde{Q}_5 \rightarrow \tilde{Q}_5 + 2q$$

(like 4d, where we can add “CS” current  $\text{tr}(AdA+\dots)$  to make a conserved but not gauge invariant chiral charge!)

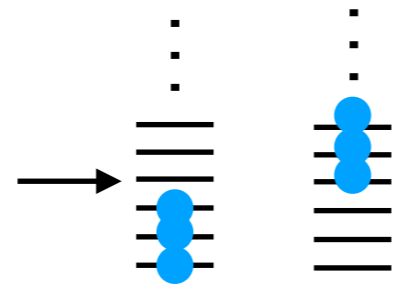
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$$\tilde{Q}_5 \equiv Q_5 + \frac{qa}{\pi} \quad \text{not G invariant} \quad G : \tilde{Q}_5 \rightarrow \tilde{Q}_5 + 2q$$

$$X_{2q} \equiv e^{i\frac{2\pi}{2q}\tilde{Q}_5} \quad \text{G invariant, generates chiral } Z_{2q}^{(0)}$$

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$$X_{2q}|n\rangle = |n\rangle \omega_q^n \quad (\omega_q \equiv e^{i\frac{2\pi}{q}})$$

What generates 1-form center?

$$Y_q : e^{ia} \rightarrow e^{-i\frac{2\pi}{q}} e^{ia} \quad (\text{the 2d 't Hooft "loop"})$$

hence  $Y_q = e^{i\frac{2\pi}{q}\hat{\Pi}_a}$

not commuting with  $X_{2q} \equiv e^{i\frac{2\pi}{2q}\tilde{Q}_5}$

due to “CS term” in  $\tilde{Q}_5 \equiv Q_5 + \frac{qa}{\pi}$

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$$X_{2q}|n\rangle = |n\rangle \omega_q^n \quad (\omega_q \equiv e^{i\frac{2\pi}{q}})$$

$Y_q$  action on Dirac sea states  $(Y_q)^q = G$

$$Y_q|n\rangle = |n+1\rangle \quad G|n\rangle = |n+q\rangle$$

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**q “theta vacua”**  
*instead of one!*  $|\theta, k\rangle \equiv \sum_{n \in \mathbb{Z}} e^{i(k+qn)\theta} |k+qn\rangle, \quad k = 0, 1, \dots, q-1$

and their  $Z_q$  Fourier

$$|P, \theta\rangle \equiv \frac{1}{\sqrt{q}} \sum_{k=0}^{q-1} \omega_q^{kP} |\theta, k\rangle, \quad P = 0, \dots, q-1,$$

(need for clustering)

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$$\langle P', \theta | \bar{\psi}_+(x) \psi_-(x) | P, \theta \rangle = e^{-i\theta} \omega_q^{-P} \delta_{P, P'} C$$

symmetries action on clustering vacua

$$X_{2q} |P, \theta\rangle = |P + 1(\text{mod } q), \theta\rangle \quad - \text{discrete chiral broken}$$

$$Y_q |P, \theta\rangle = |P, \theta\rangle \omega_q^{-P} e^{-i\theta} \quad - \text{discrete E-field in each vacuum}$$

$$X_{2q} Y_q = \omega_q Y_q X_{2q} \quad (\omega_q = e^{i\frac{2\pi}{q}})$$

“central extension” of symmetry algebra, a manifestation of mixed discrete ’t Hooft anomaly

(we explicitly constructed the  $q$  ground states; centrally extended algebra has no 1dim reps, it alone implies vacuum degeneracy)

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“central extension”  
manifestation of  
mixed discrete  
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now recall earlier discussion involving backgrounds and phases in the transforms of partition function:

- phase in the chiral transform (in the center vortex bckgd) **IS** mixed ’t Hooft anomaly
- phase independent on volume, RG invariant, same on all scales: UV & IR
- like for continuous symmetry ’t Hooft anomalies must be matched by IR theory:
  - IR CFT, or
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How does the discrete chiral breaking we found saturate anomaly?

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**Claim:** it does and can be seen explicitly as follows

**(relabel q->N) consider following TQFT:**

$$S_{2-D} = i \frac{N}{2\pi} \int_{M_2} \varphi^{(0)} da^{(1)} \quad e^{i\hat{\varphi}} e^{i\hat{a}} = e^{i\frac{2\pi}{N}} e^{i\hat{a}} e^{i\hat{\varphi}}$$

$$a = \oint a^{(1)}$$

- this is the “chiral lagrangian” of the charge q=N SM
- IR theory is empty, “chiral lagrangian”=theory with N dim Hilbert space = TQFT
- spirit similar to IR TQFT in 4d SYM with SU(N) - (canonically quantize...)



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algebras same

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**chiral**  $\phi^{(0)} \rightarrow \phi^{(0)} + \frac{2\pi}{N}$

**center**  $a^{(1)} \rightarrow a^{(1)} + \frac{1}{N}\epsilon^{(1)}$

canonically quantize  $a_0=0$  gauge, Wilson line and constant mode of scalar are  
 QM variables,  $a = \oint a^{(1)}$ , QM with vanishing Hamiltonian,  
 has N-dim Hilbert space representing operator algebra

The solution of the S.M. represents an explicit derivation of IR TQFT from UV.  
 Can see matching of anomaly explicitly by introducing 2-form background for center.

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algebra

follows

**quantize:**

$a_0^{(1)} = 0$  **gauge, find QM**  $S_{\mathbb{R}_t \times S_1} = \frac{N}{2\pi} \int dt \varphi \frac{da}{dt}$

**QM variables**  $\varphi(t)$  **and**  $a(t) \equiv \oint_{S_1} a^{(1)}$

$$[\hat{\varphi}, \hat{a}] = -i\frac{2\pi}{N}$$

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**gauge center symmetry:**

two form center gauge field

$$B^{(2)} \rightarrow B^{(2)} + d\lambda^{(1)} \quad a^{(1)} \rightarrow a^{(1)} + \lambda^{(1)}$$

$$\oint B^{(2)} = \frac{2\pi\mathbb{Z}}{N} \quad \text{then, under chiral} \quad \delta\varphi^{(0)} = \frac{2\pi}{N}$$

$$S_{2-D} = i \frac{2\pi}{N} \int_{M_2} \frac{N\varphi^{(0)}}{2\pi} \frac{N(da^{(1)} - B^{(2)})}{2\pi}$$

$$\delta_{\mathbb{Z}_N^{d\chi}} S_{2-D} = i \frac{2\pi}{N} \int_{M_2} \frac{N(da^{(1)} - B^{(2)})}{2\pi} = -\frac{i2\pi}{N}$$

**- explicitly see anomalous transform of  $\mathbb{Z}(\mathbb{R})$**

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**SUMMARY SO FAR** lattice- $y$ , Hamiltonian, Euclidean path integral/bosonization (skipped) in

charge- $q$  2d massless Schwinger model

discrete chiral  $Z_q$

discrete 1-form center  $Z_q$

mixed ’t Hooft anomaly RG invariant

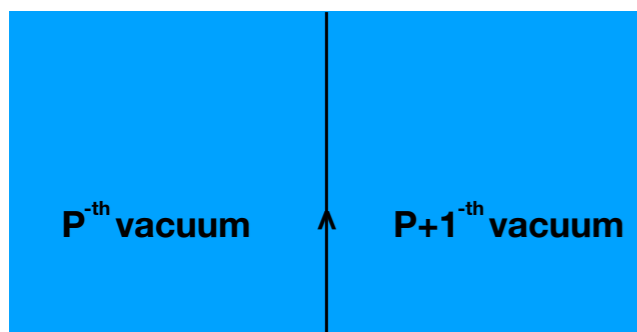
matched in IR by a TQFT describing  $q$

vacua of broken discrete chiral

$$S_{2-D} = i \frac{N}{2\pi} \int_{M_2} \varphi^{(0)} da^{(1)}$$

( $q \rightarrow N$ )

no dynamical DWs (Gaussian, vacua don’t “talk”)



$w$  “domain wall” = charge-1 **external** static charge

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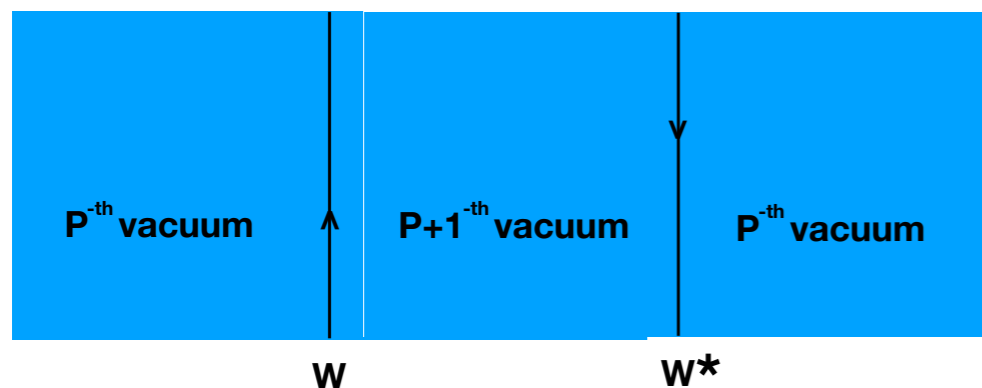
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$(q \rightarrow N)$

no dynamical DWs



charge-1 probe is deconfined  
*perimeter law or “broken center”*  
*picture reminiscent of  $\theta = \pi$  2d QED*

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## COMPARE WITH 4D SYM

charge-q 2d massless Schwinger model

discrete chiral  $Z_q$

discrete 1-form center  $Z_q$

mixed 't Hooft anomaly RG invariant

matched in IR by a TQFT describing q

vacua of broken discrete chiral

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( $q \rightarrow N$ )

no dynamical DWs (Gaussian...)

charge-1 probes deconfined  
(perimeter law)

SU(N) 4d SYM

discrete chiral  $Z_N$

discrete 1-form center  $Z_N$

mixed 't Hooft anomaly RG invariant

matched in IR by a TQFT describing N

vacua of broken discrete chiral

$$S_{4d} = i \frac{N}{2\pi} \int_{M_4} \phi^{(0)} da^{(3)}$$

→  
similar...

dynamical DWs exist

fundamental probes confined  
(area law)

**now,**

**turn to a study of DWs here...**

## 2.) domain walls in 4d SYM/QCD(adj) & anomaly inflow...

- re-obtaining some stringy results

---

some further motivation:

- turns out some of the DW worldvolume theories are related to the simplest study case of QFT with mixed 0-form/1-form 't Hooft anomalies - our solvable 2d ex.
- physics on the high-T DW (2d) shares features of the low-T theory, both bulk (4d) and DW (2d/3d)
- high-T DW are a semiclassical counterpart to “center vortices,” field configurations thought to be responsible for area law of Wilson loop at low-T in pure YM (not theoretically controllable; seen in lattice simulations)

[Greensite+...; 'D Elia, de Forcrand;... 1998-]

## 2.) domain walls in 4d SYM/QCD(adj) & anomaly inflow...

### - re-obtaining some stringy results

---

$SU(2)$  Yang-Mills theory endowed with  $n_f$  adjoint Weyl fermions  $T \gg \Lambda_{QCD}$

$$S_{3D}^{\text{boson}} = \frac{\beta}{g^2} \int_{\mathbb{R}^3} \left( \frac{1}{2} \text{tr} (F_{ij} F_{ij}) + \text{tr} (D_i A_4)^2 + g^2 V(A_4) + \mathcal{O}(g^4) \right) \left| \begin{array}{l} V(A_4) = -\frac{1}{12\pi\beta^4} \left[ -6\pi (\beta A_4^3)^2 + 4 (\beta A_4^3)^3 \right], \quad \text{for } \beta A_4^3 \in [0, \pi] \\ \text{(shown for } n_f=1 \text{ SYM)} \end{array} \right.$$

■ **two vacua**  $\beta A_4^3 = 0, 2\pi$  **broken center** (“0-form”, along  $x_4$ )  $\frac{1}{2} \langle \text{Tr}_F \exp \left[ i \oint_{S^1_\beta} A_4 \right] \rangle = \pm 1$



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$$S_{3D}^{\text{boson}} = \frac{\beta}{g^2} \int_{\mathbb{R}^3} \left( \frac{1}{2} \text{tr} (F_{ij} F_{ij}) + \text{tr} (D_i A_4)^2 + g^2 V(A_4) + \mathcal{O}(g^4) \right) \left| \begin{array}{l} V(A_4) = -\frac{1}{12\pi\beta^4} \left[ -6\pi (\beta A_4^3)^2 + 4 (\beta A_4^3)^3 \right], \quad \text{for } \beta A_4^3 \in [0, \pi] \\ \text{(shown for } n_f=1 \text{ SYM)} \end{array} \right.$$

- **two vacua**  $\beta A_4^3 = 0, 2\pi$  **broken center** (“0-form”, along  $x_4$ )  $\frac{1}{2} \langle \text{Tr}_F \exp \left[ i \oint_{S^1_\beta} A_4 \right] \rangle = \pm 1$
- $Z_2$  “domain walls”, or “interfaces”, or “center vortices” of width  $\sim 1/gT$  Bhattacharya et al 1991
- $Z_2$  0-form center restored on DW ...

## 2.) domain walls in 4d SYM/QCD(adj) & anomaly inflow...

### - re-obtaining some stringy results

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- **U(1) unbroken on wall** (Polyakov loop not  $\sim 1$ ) **Cartan of SU(2) massless; W-boson mass  $\sim T$**   
localized 2d U(1) on wall **not very interesting except  $\theta = \pi$  pure YM!** Gaiotto et al 2017

- and even richer in SYM and QCD(adj)!

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- SYM has  $Z_2$  center 1-form and  $Z_4$  chiral 0-form w/ mixed 't Hooft anomaly!  
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  - at  $T < T_c$  ,  $Z_4$  chiral broken to  $Z_2$ , matching the anomaly (assume  $T_{\text{chi}} = T_c$ )
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- domain walls, in either phase, are “nontrivial”: anomaly inflow! Gaiotto et al 2014-17
- high-T center vortices have mixed  $Z_4$  chiral/ $Z_2$  center anomaly on 2d worldvolume
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- adjoint fermions at high-T have zero modes on the wall  
for  $n_f=1$ , two are normalizable, leading to worldvolume L:

$$\mathcal{L}_{DW}^{\text{axial}} = \frac{1}{4e^2} F_{kl} F_{kl} + i\bar{\lambda}_+ [\partial_1 + i\partial_2 - i2(A_1 + iA_2)] \lambda_+ + i\bar{\lambda}_- [\partial_1 - i\partial_2 + i2(A_1 - iA_2)] \lambda_-$$

axial Schwinger model of charge-2!  
L and R have opposite charge

- In 2d axial and vector easily mapped to each other:  $Z_4$  chiral symmetry and  $Z_2$  center.

From  $q=2$  Schwinger model results, chiral and center broken, so:

- **nonzero fermion condensate** -on DW in chirally restored phase +  
**Wilson loop perimeter law on the high-T “center vortex”** [for lattice!]

## 2.) domain walls in 4d SYM/QCD(adj) & anomaly inflow...

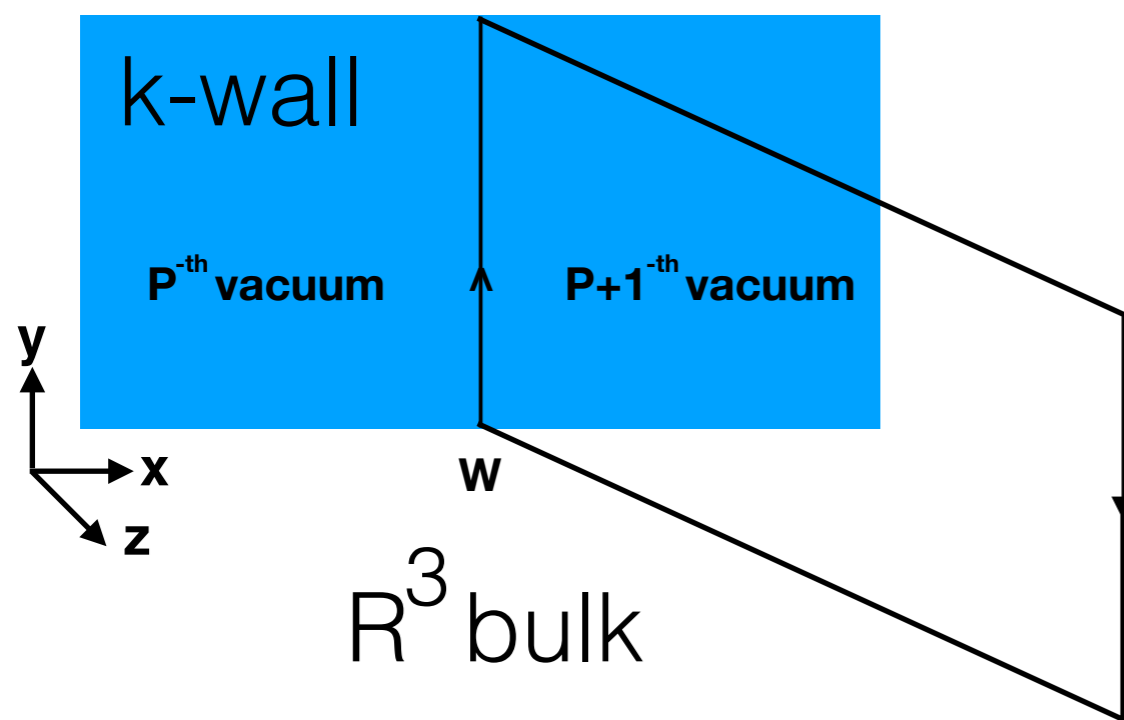
- re-obtaining some stringy results

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(story also generalizes to k-walls in high-T SU(N), so picture borrowed)

high-T SYM:  $T \gg \Lambda$  k-wall

$Z_{2N}^{(0)} Z_N^{(1)}$  't Hooft anomaly on worldvolume



- 1 fermion condensate on k-wall
- 2 quarks deconfined on k-wall

$Z_N^{(1)}$  broken (not in bulk)

first via holography:  $F1$  on  $D1$

[Aharony, Witten 1999;...]

here, QFT: 2d YM with massless fermions screens

[Schwinger model - many; nonabelian - Gross, Klebanov, Matytsin, Smilga 1995; Armoni, Frishman, Sonnenschein 1997;... ]

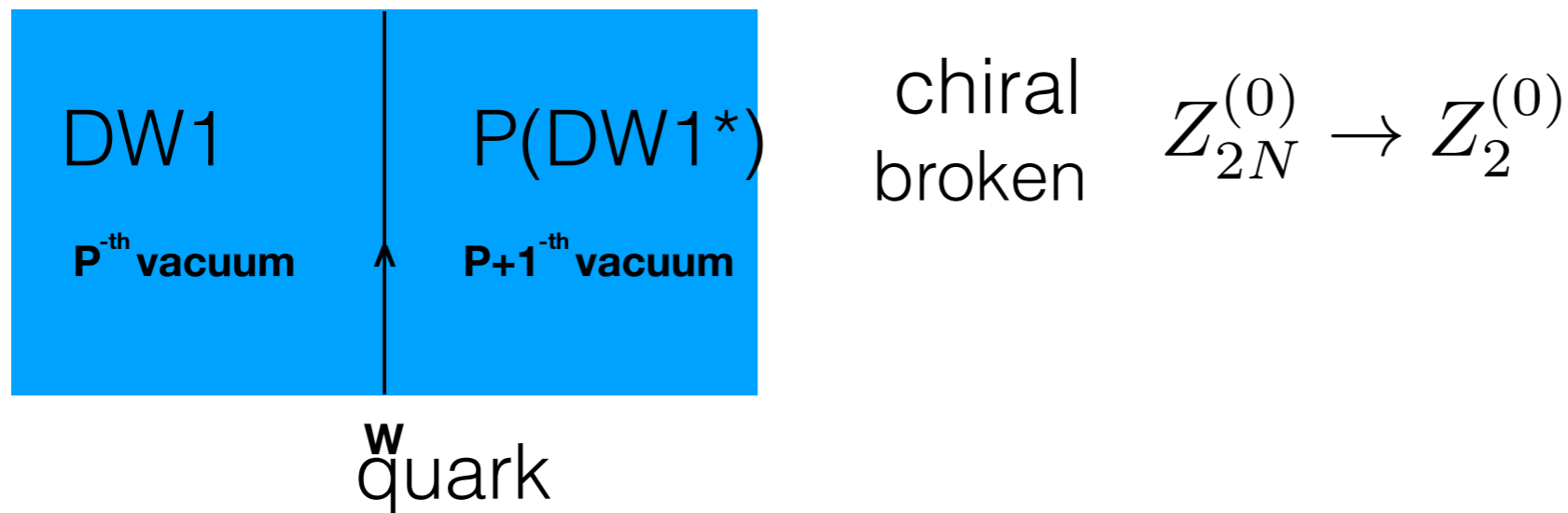
so we find “D-branes” and “strings”, once again, in QFT

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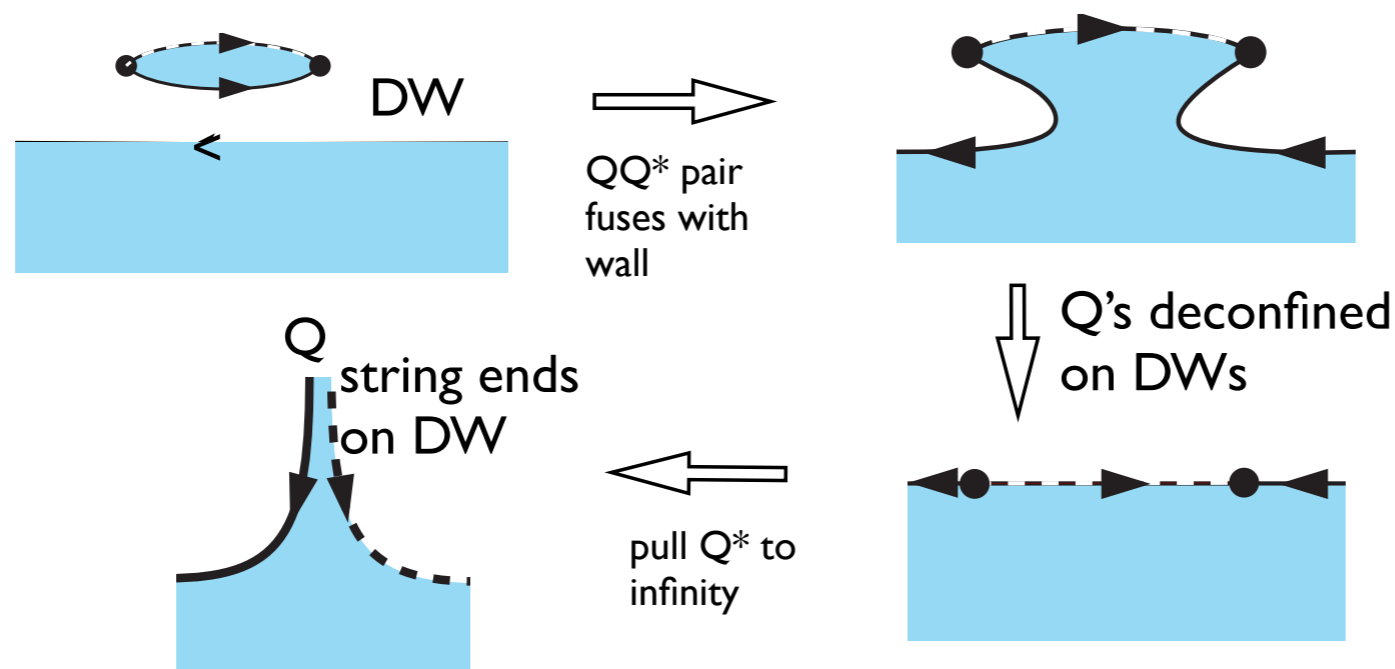
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### when first saw...experienced a flashback: low-T small-S1 SYM

here: center unbroken  $Z_N^{(0)C}$  [1501.06773 Anber, Sulejmanpasic, EP]



further, as seen in MQCD [Witten, 1998] confining strings end on DW



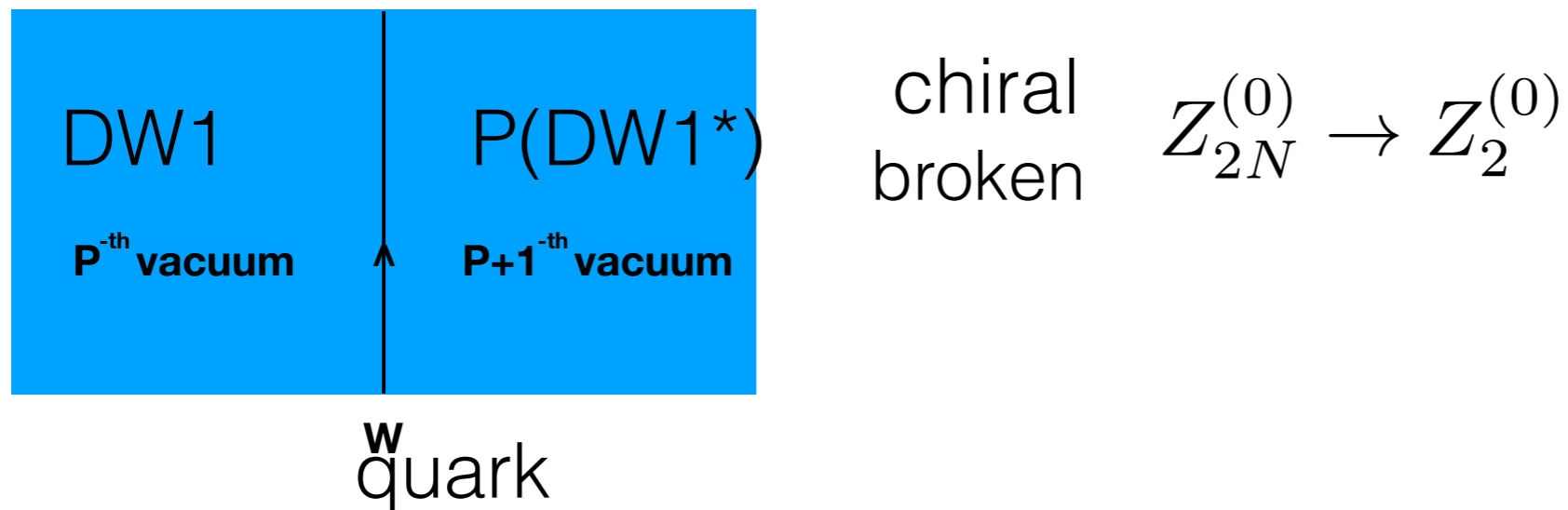
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reason for this similarity is that the 2d worldvolume IR TQFTs matching the relevant anomalies are identical in the high-T/large-L and low-T/small-L DWs [back in '15 wasn't aware that studying TQFTs...]



## Time to wrap up...

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- new higher-symmetry 't Hooft anomalies imply a rich structure
- in some cases, they can be understood from different points of view
  - lattice is the natural habitat for discrete higher symmetries
  - however, lattice makes other 'things' harder, such as CS theory and anomalies
  - good to understand using variety of approaches - *operator in SYM/adj?*
- mostly concentrated on DW physics, where "inflow" implications very strong
  - *it should be possible to study our predictions on the lattice and follow to lower-T*

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- mostly concentrated on DW physics, where "inflow" implications very strong
  - *it should be possible to study our predictions on the lattice and follow to lower-T*
- **phases and symmetry realization of 'bulk' 4d (or <4d) theories can also be affected by discrete anomalies**
  - didn't dwell upon, but used, ordering of thermal phase transitions, also constrained by matching
  - witness two-flavour QCD(adj) 1805.12290 Anber, EP + subsequent activity on non-spin backgrounds, TQFTs etc. Cordova, Dumitrescu; Bi, Senthil; Wan, Wang -
    - *can't help but wonder how above anomalies, seen using complicated backgrounds, are reflected in the operator structure/representation; I don't think level of understanding similar to that of usual 0-form continuum anomalies is reached yet!*
  - finally, other theories with such mixed anomalies can also be studied