

# The stratospheric water vapour puzzle

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(PHH acknowledges collaborations with with Marine Bonnazzola,  
Stephan Fueglistaler, Sue Liu and others)

**DAMTP**

**CCfCS**



# Stratospheric water vapour

## Alan Brewer



551-510-3  
EVIDENCE FOR A WORLD CIRCULATION PROVIDED  
BY THE MEASUREMENTS OF HELIUM AND WATER  
VAPOUR DISTRIBUTION IN THE STRATOSPHERE

By A. W. BREWER, M.Sc., A.Inst.P.

(Manuscript received 23 February 1949)

### 1. INTRODUCTION

Between 1943 and 1945 the writer made some 16 ascents into the stratosphere over Southern England during which humidity measurements were made by means of a frost-point hygrometer. On some of the ascents the carbon dioxide (CO<sub>2</sub>) content of the air of the stratosphere was measured by using the hygrometer at the CO<sub>2</sub> point. The hygrometer has been described by Brewer, Dobson and

Dry air observed by Brewer  
corresponds to frost point  
of ~195K



## ‘Troposphere-stratosphere exchange of trace constituents: the water vapor puzzle’ (Holton 1984).

Brewer (1949) -- ‘Evidence for a world circulation provided by the measurements of helium and water vapour distribution in the stratosphere’

Mean temperatures too high to account for observed concentrations of water vapour -- Newell and Gould-Stewart (1981) -- ‘A stratospheric fountain’

Importance of convective-scale -- convective penetration of stratosphere without hydration of stratosphere -- Danielsen (1982) -- ‘A dehydration mechanism for the stratosphere’

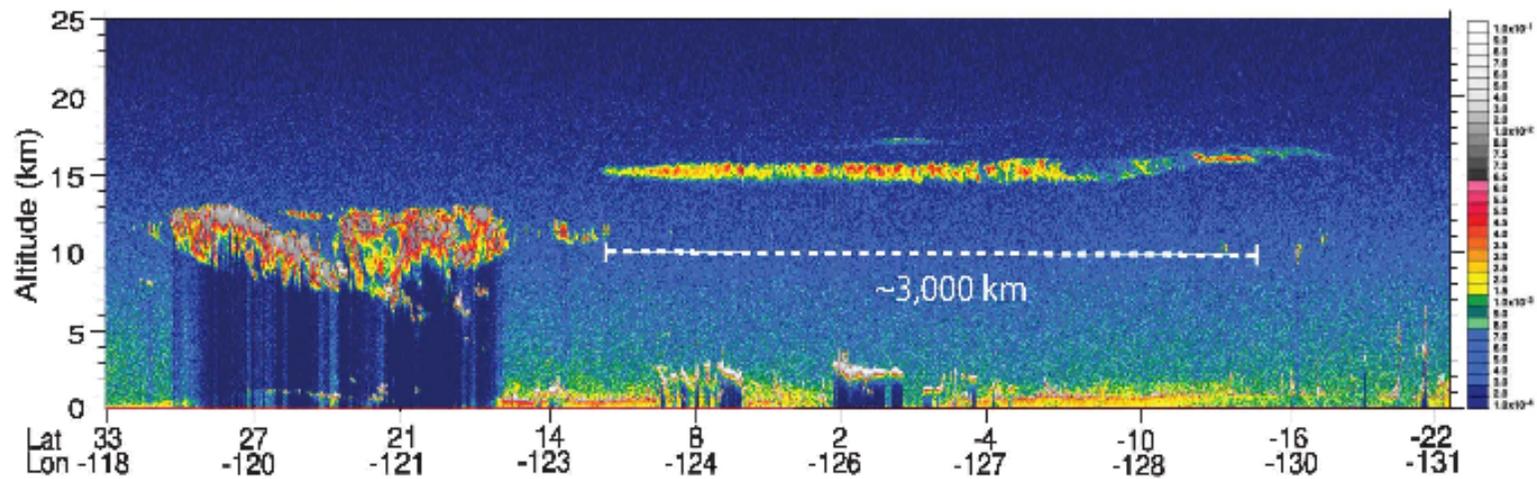
Geographical/seasonal differences in water vapour profiles – Kelly et al (1993)

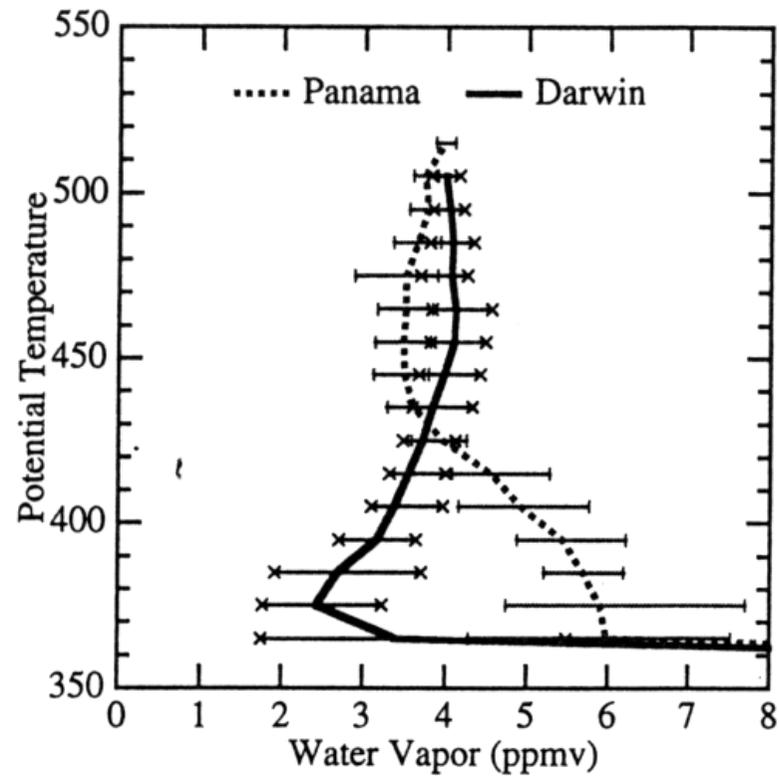
Water vapour trend from 1960s to 1990s? Sharp drop in 2000. Stratospheric water vapour important for tropospheric climate and stratospheric ozone chemistry



# CALIPSO 28 January 2009

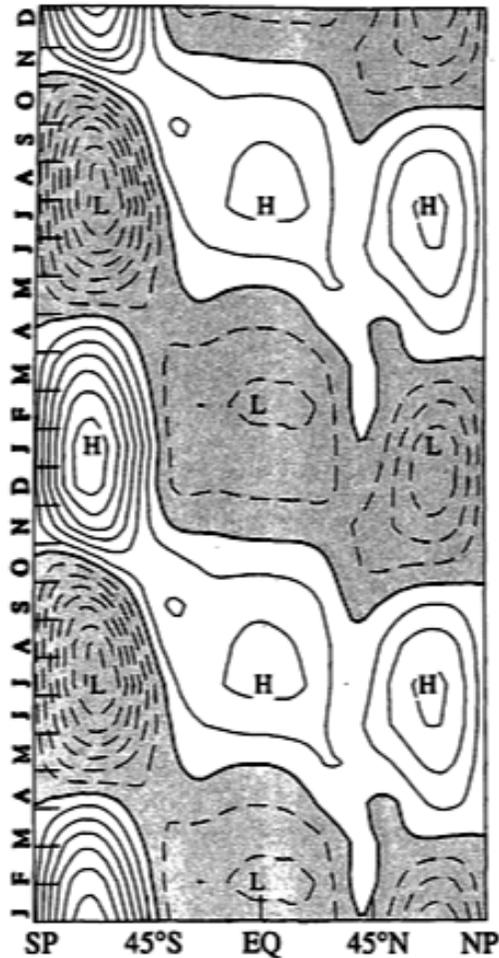
(Taylor et al 2011)





Panama (July) and Darwin (January) water vapour profiles (ER-2)  
(Kelly et al 1993)

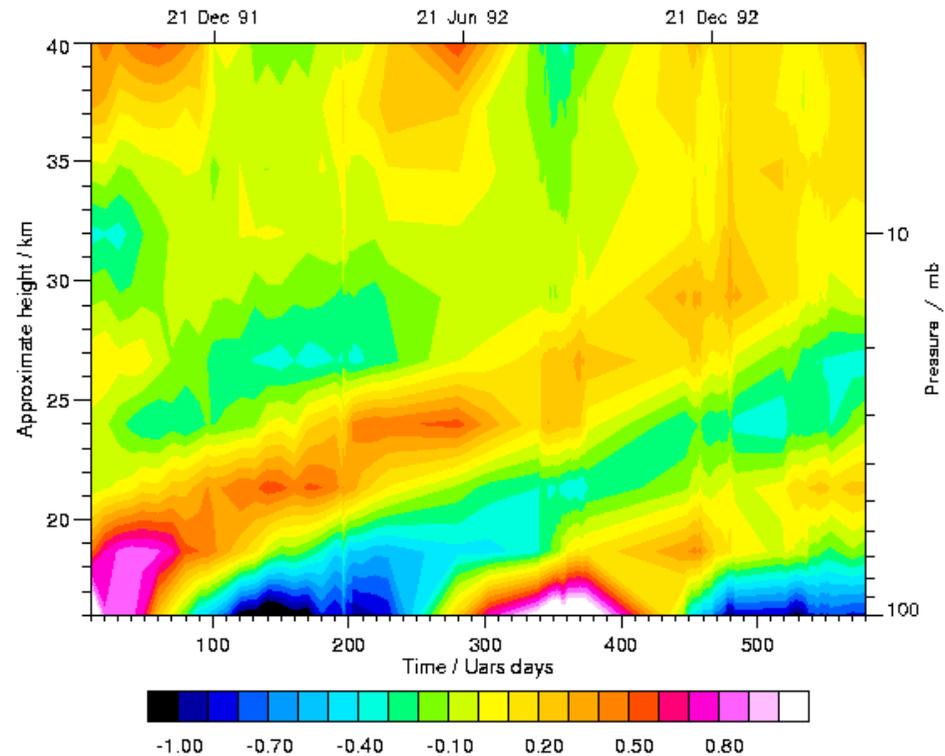




Seasonal variation of tropical tropopause temperature

Yulaeva et al (1994)

## 'Tropical tape recorder'



Variation of tropical water vapour observed by MLS instrument on UARS

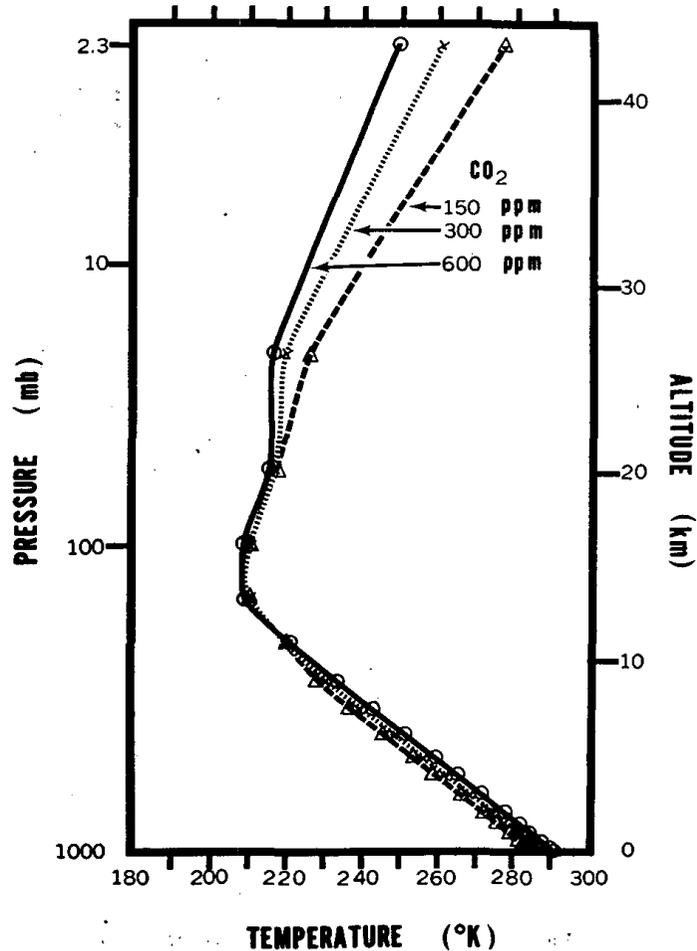
Mote et al (1996)



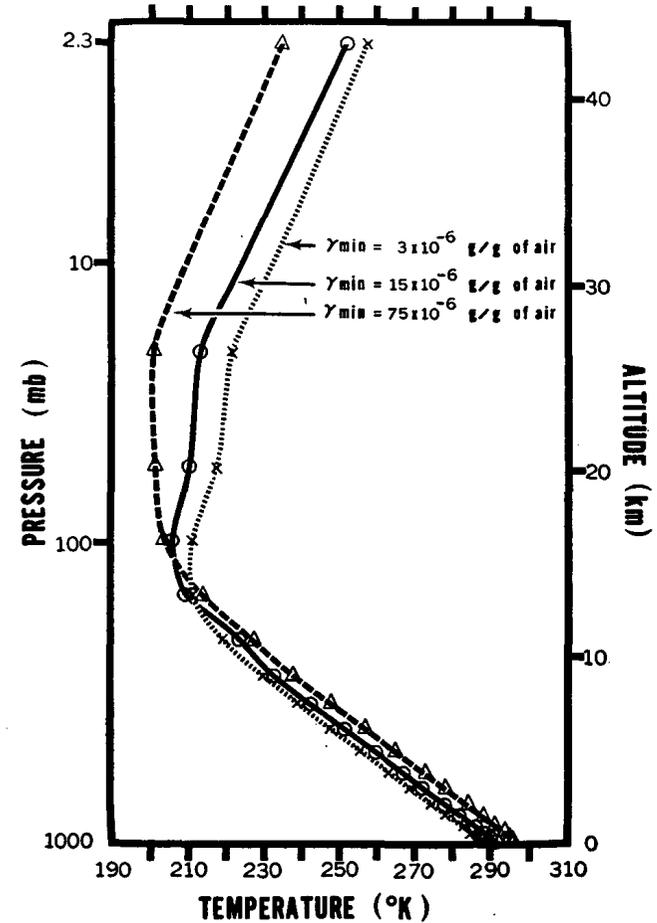
# Effects of CO<sub>2</sub> increase/ stratospheric H<sub>2</sub>O increase

Manabe and Wetherald (1967)

## Changing CO<sub>2</sub>



## Changing stratospheric H<sub>2</sub>O

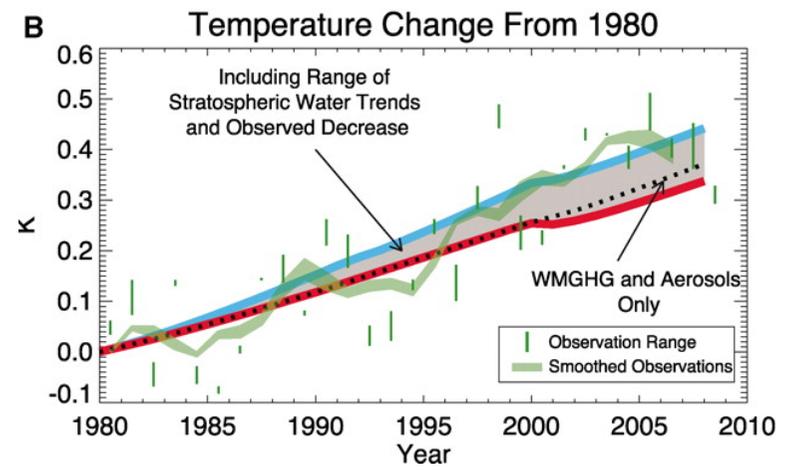
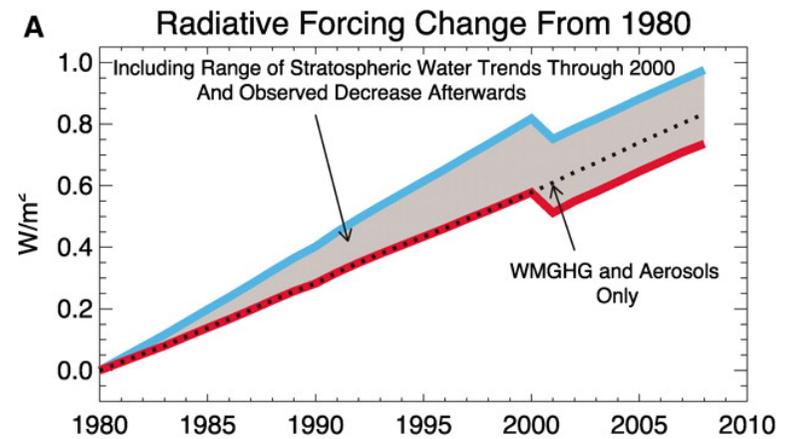
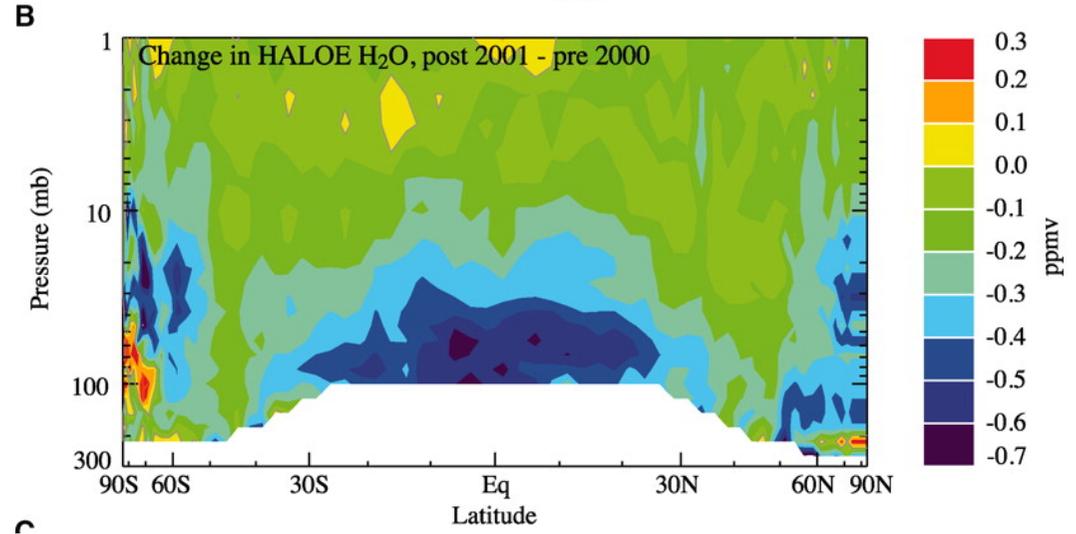
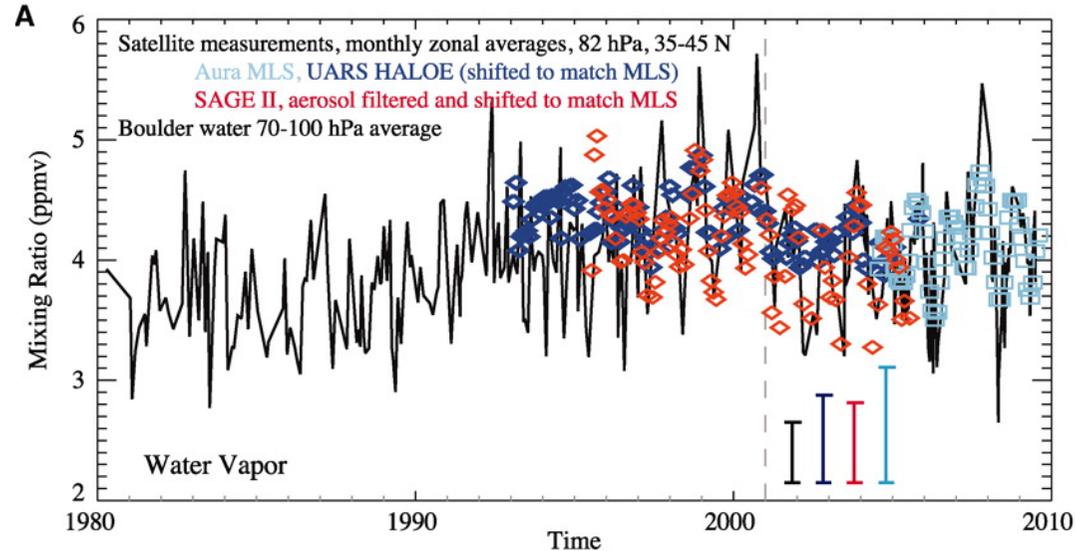


(many subsequent calculations)



# Interannual/decadal changes in stratospheric water vapour

(Solomon et al 2010)



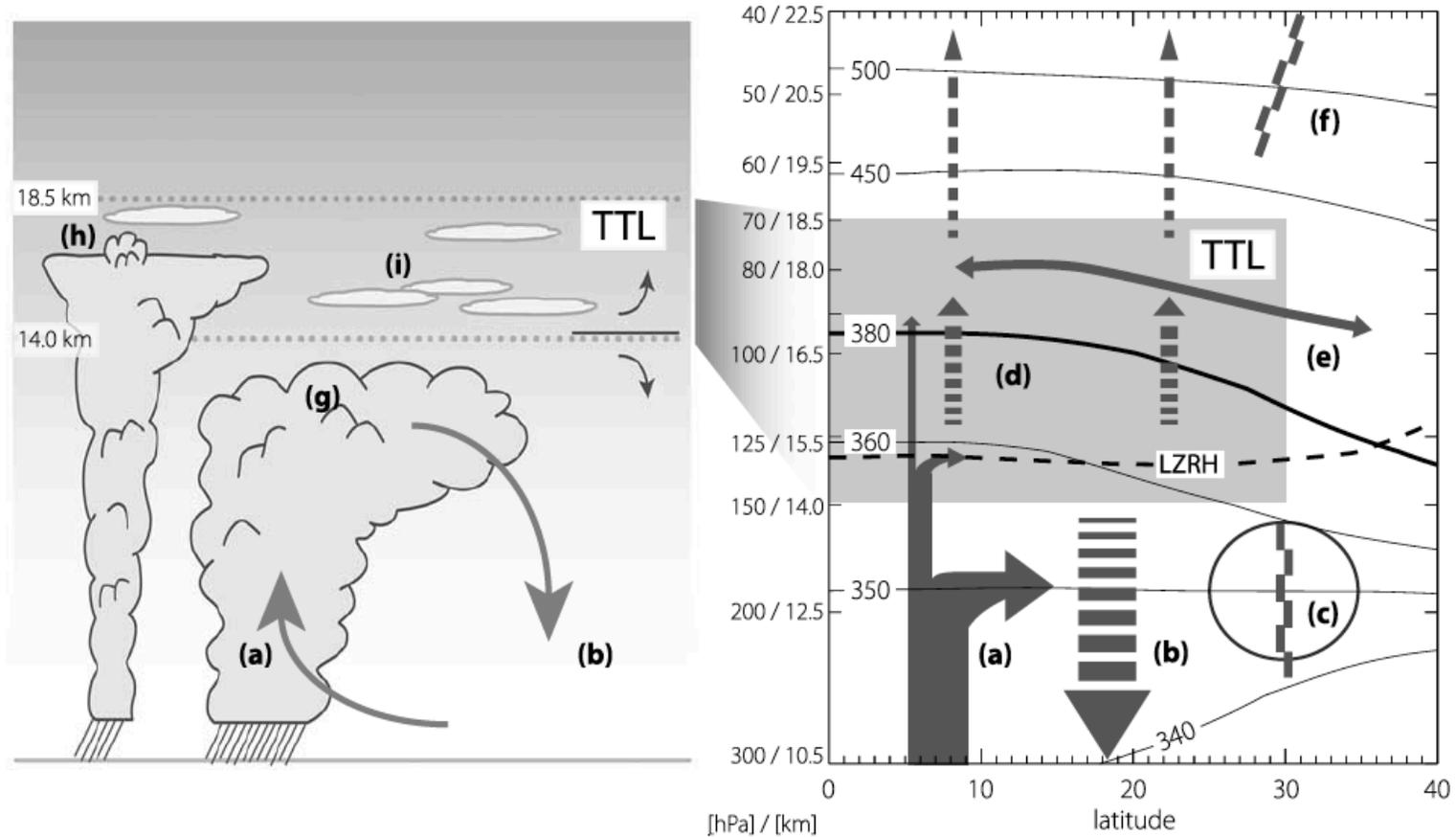
# What determines annual, interannual and longer term variations in stratospheric water vapour?

- Temperatures (spatial scales? time scales? resolved by different observations and by re-analysis datasets? or not?)
- Transport (pathways? rates? convective penetration?)
- Dehydration efficiency (homogeneous vs heterogeneous nucleation? changes in background aerosol?)
- What processes are captured by climate models?



# Tropical Tropopause Layer (TTL)

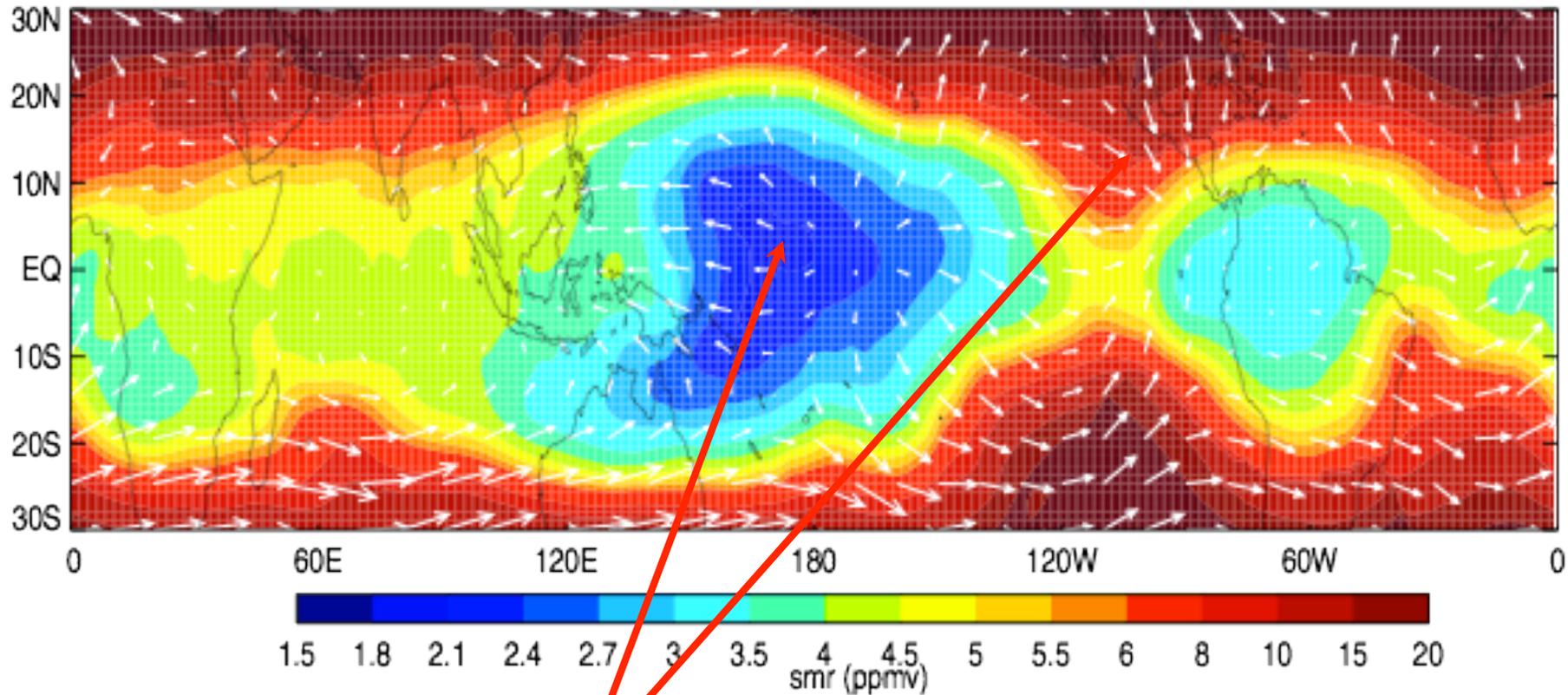
Fueglistaler et al (2009)



Transport from troposphere to stratosphere and dehydration is a 3-D process



# Horizontal variation of smr



In tropical upwelling regions, saturation mixing ratios vary by almost one order of magnitude.

[ERA-Interim, January 2001]

3-D circulation is important! e.g. Holton and Gettelman 2001

The relation between Eulerian temperature field and atmospheric water vapour is strongly modulated by transport.



## 3-D view of dehydration

Schematic models: Holton and Gettelman (2001), Plumb (2002)

Trajectories from GCM: Hatsushika and Yamazaki (2003)

Trajectories from operational analysis/re-analysis data:

Jensen and Pfister (2004), Fueglistaler et al (2004), Bonazzola and H (2004)

Fueglistaler, Bonazzola, H and Peter (2005), Fueglistaler and H (2005), .....

Liu, Fueglistaler and H (2010), .....

Dessler and Schoeberl (2011), ...

Ploeger et al (2011), ...



# Lagrangian approach to relating temperatures to stratospheric water vapour

(e.g. Liu et al 2010)

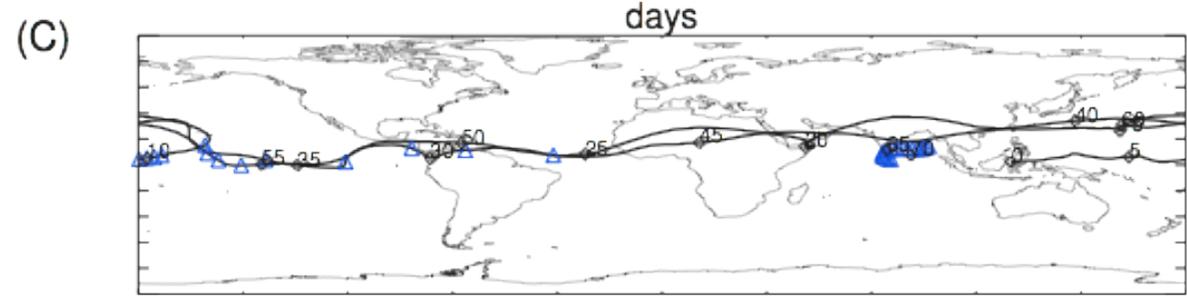
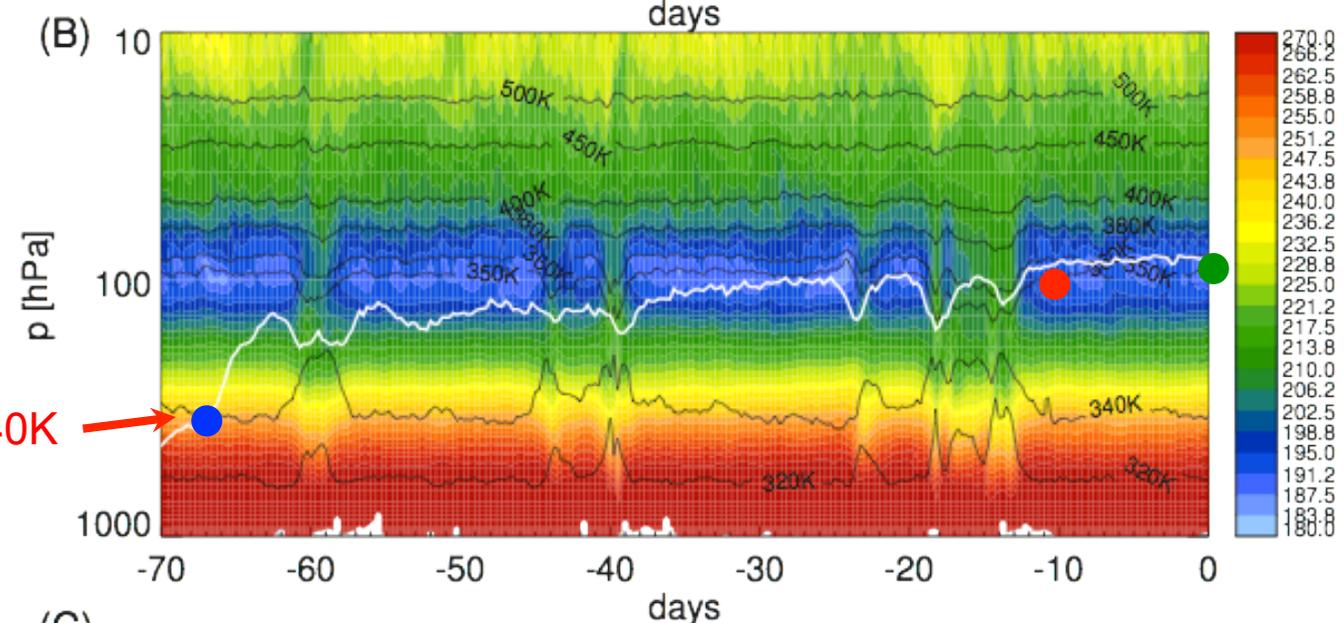
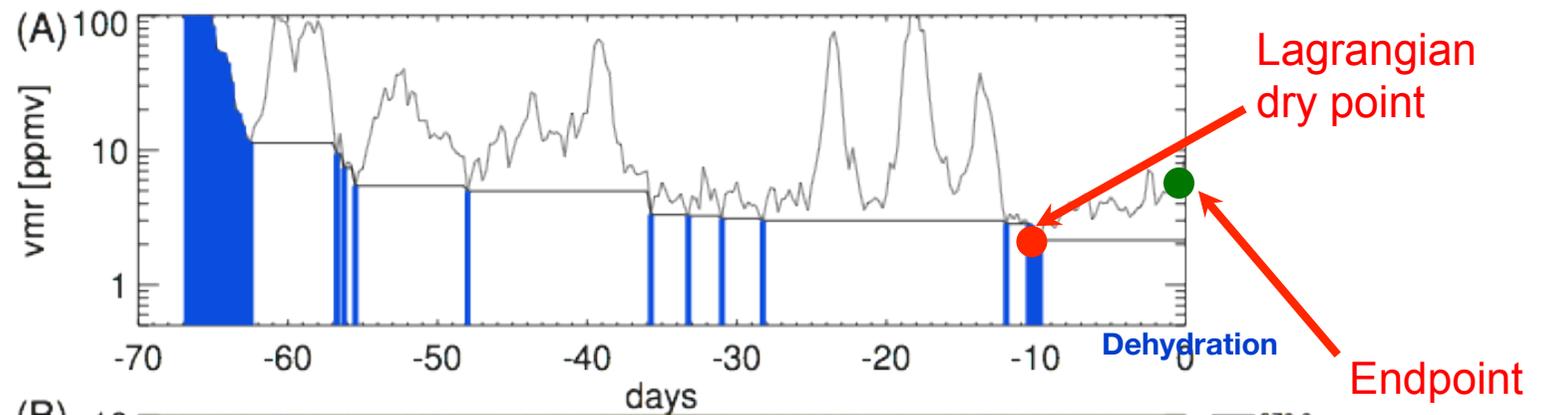
(i) Back trajectories (using ECMWF re-analysis data) are started in the lower stratosphere

(ii) Those that can be traced back to the troposphere within integration time (1-year) form the Troposphere-to-Stratosphere (TST) ensemble

(iii) Find Lagrangian Dry Point (LDP) of the TST-ensemble (note T, lat, lon, pres, time) - this is the last point at which trajectories encounter 100% RH before the endpoint

Results are assumed to be statistically representative (large ensembles of trajectories calculated - usually on the order of  $10^6 - 10^7$ ). Individual trajectories are not accurate.



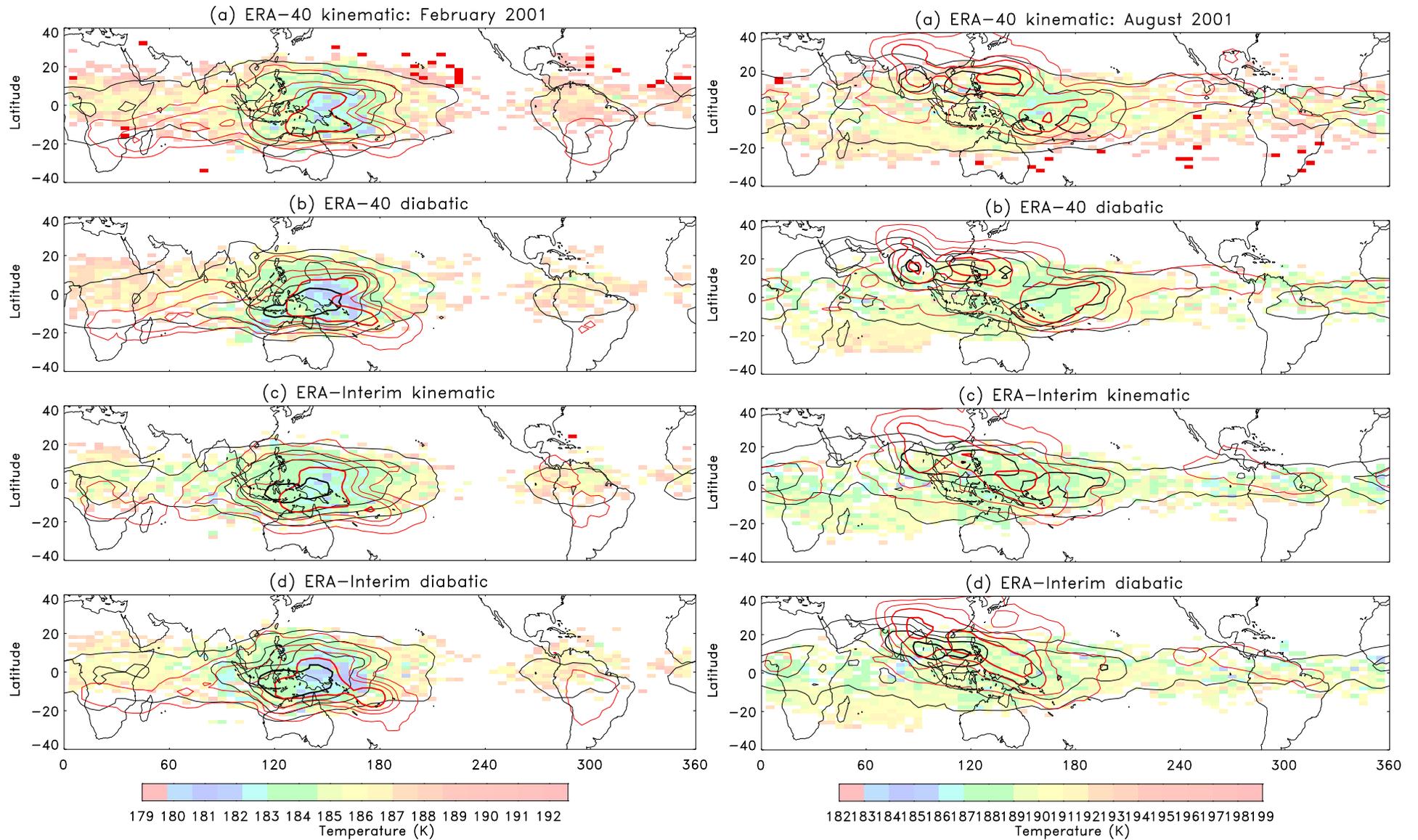


Data: ERA-Interim  
2005020100

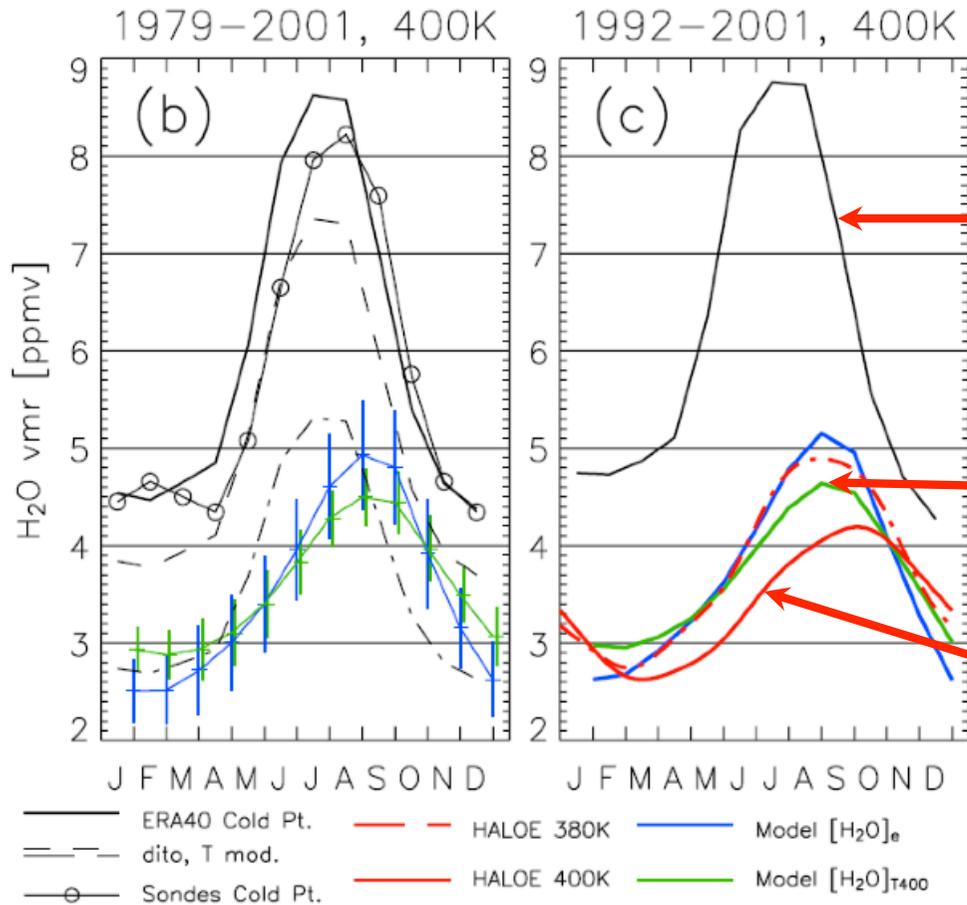


# LDP distribution

Liu (2009)



# Previous results using ERA-40 data



Climatologically averaged annual cycle of tropical mean (30°S - 30°N) water vapour on 400K

Estimate from local Eulerian column minimum temperature

Estimate using trajectories

Observation (HALOE)

Tropically averaged model results are in quite good agreement with observations!

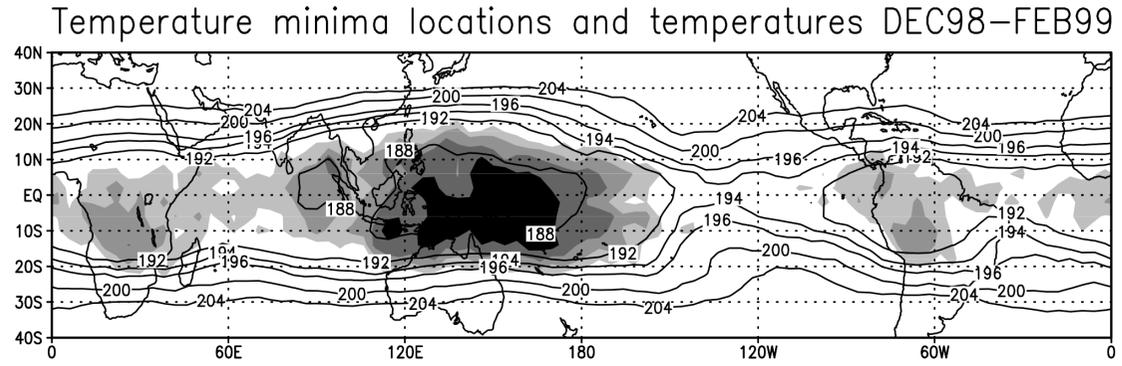
(a) Vertical propagation **too fast**

(b) Results have a **moist bias**

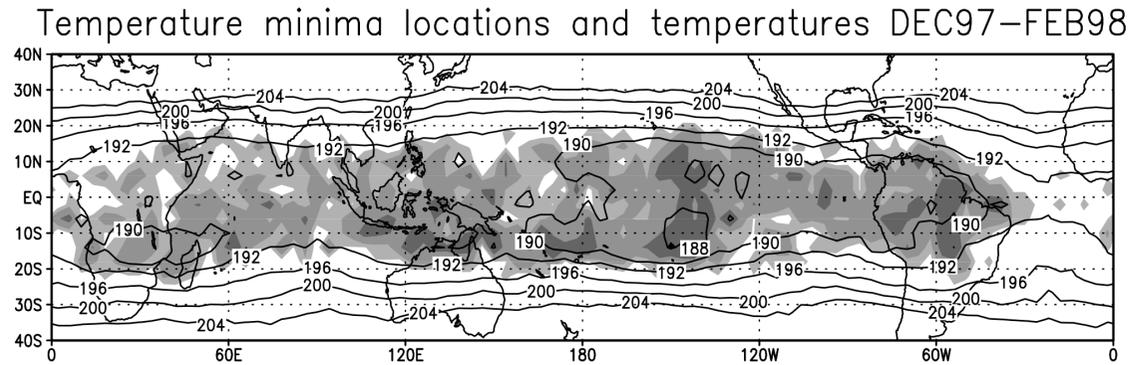
Fueglistaler *et al.* 2005,  
JGR



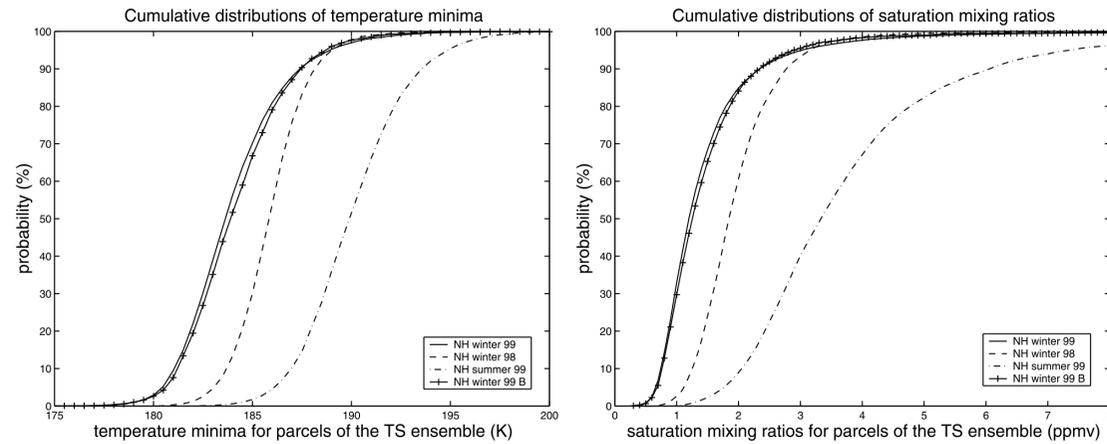
La Nina (1998-99)



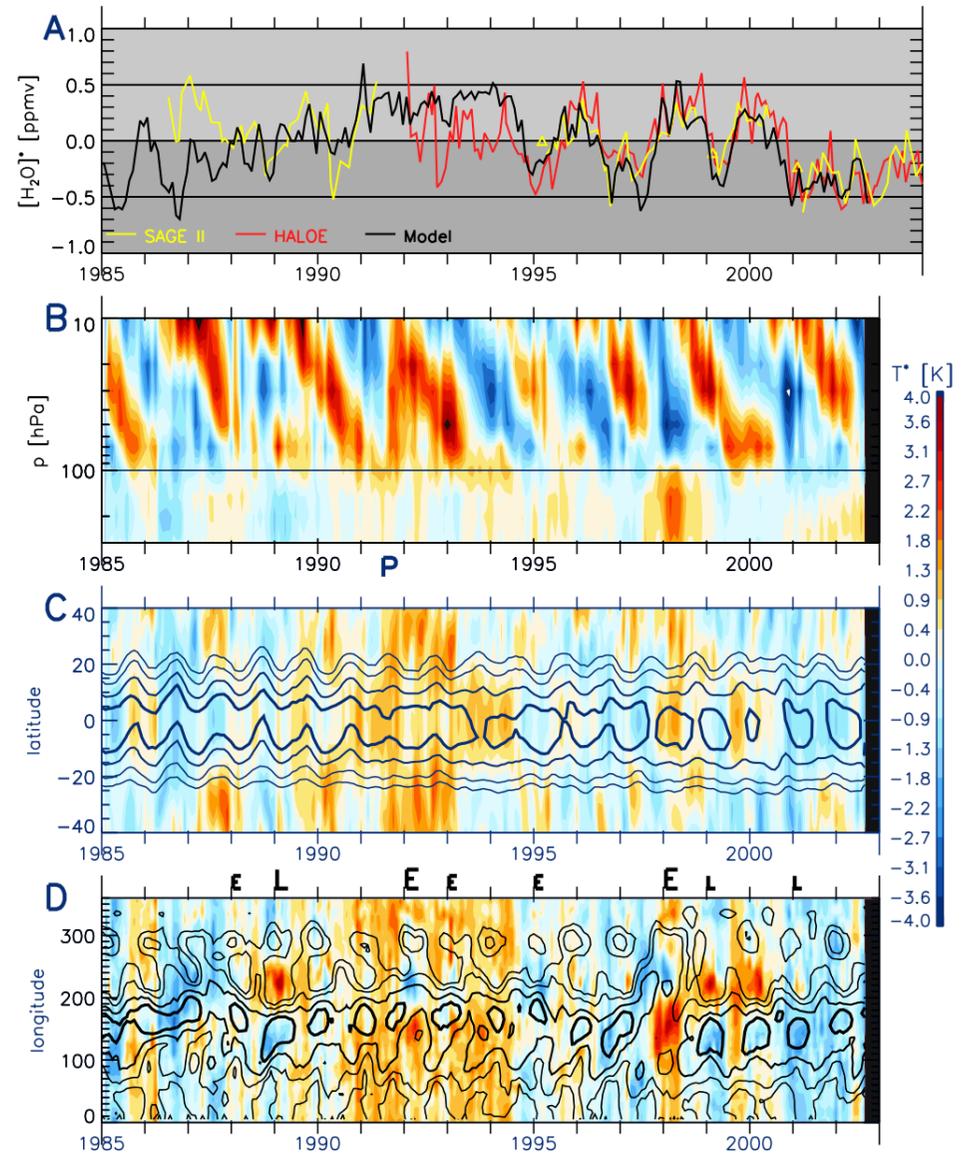
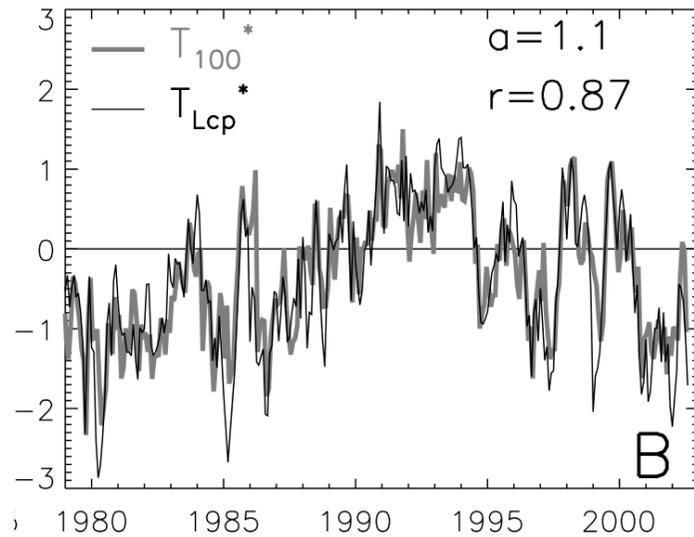
El Nino (1997-98)



Bonazzola and H (2004)



# Interannual variability (Fueglistaler and H 2005)

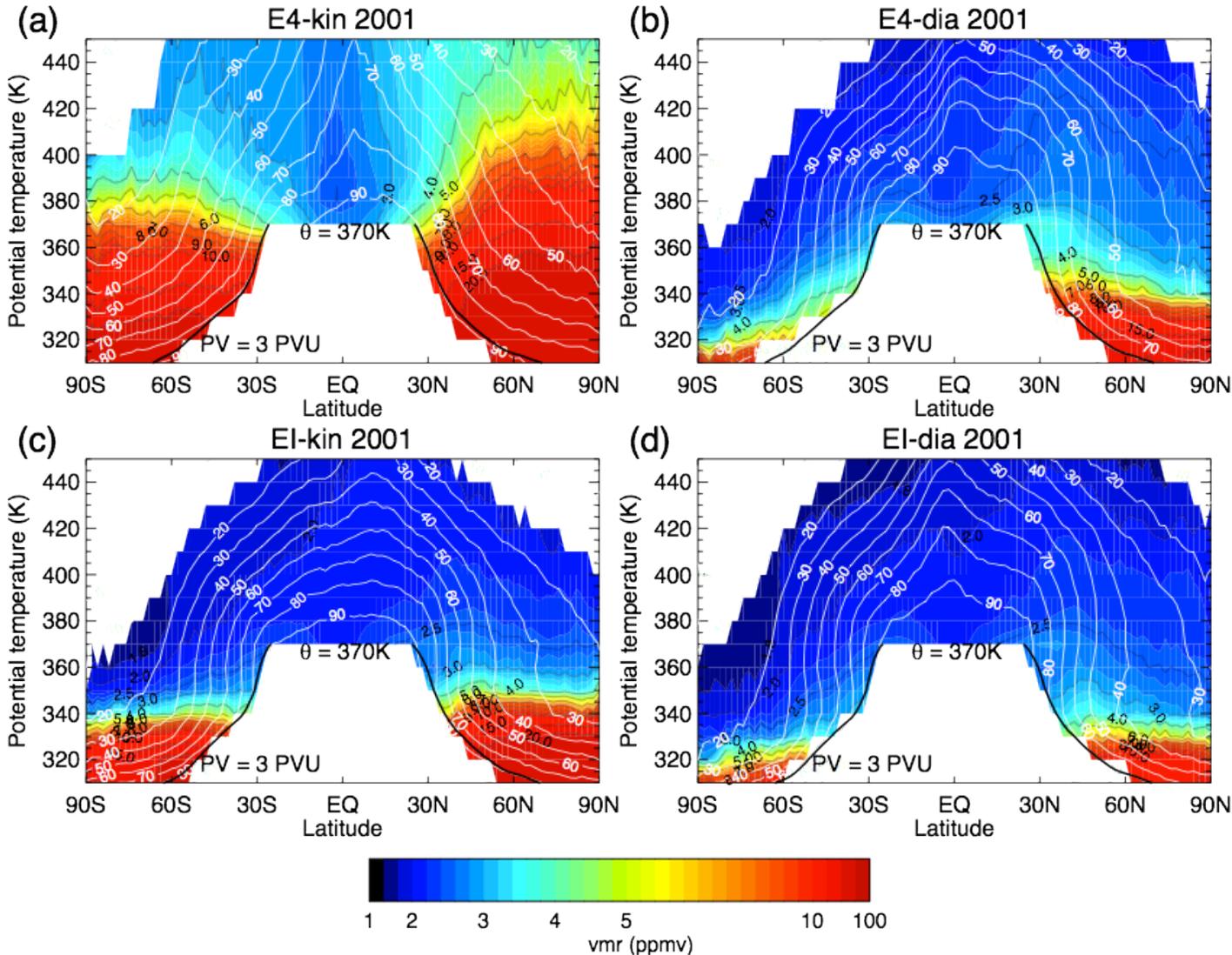


# Dependence on data and trajectory type

Liu *et al.*, 2010

LDP water vapour from TST-ensemble only

6-hourly T159L60



**E4 - ERA-40**  
(3D-var assimilation ends 2002)

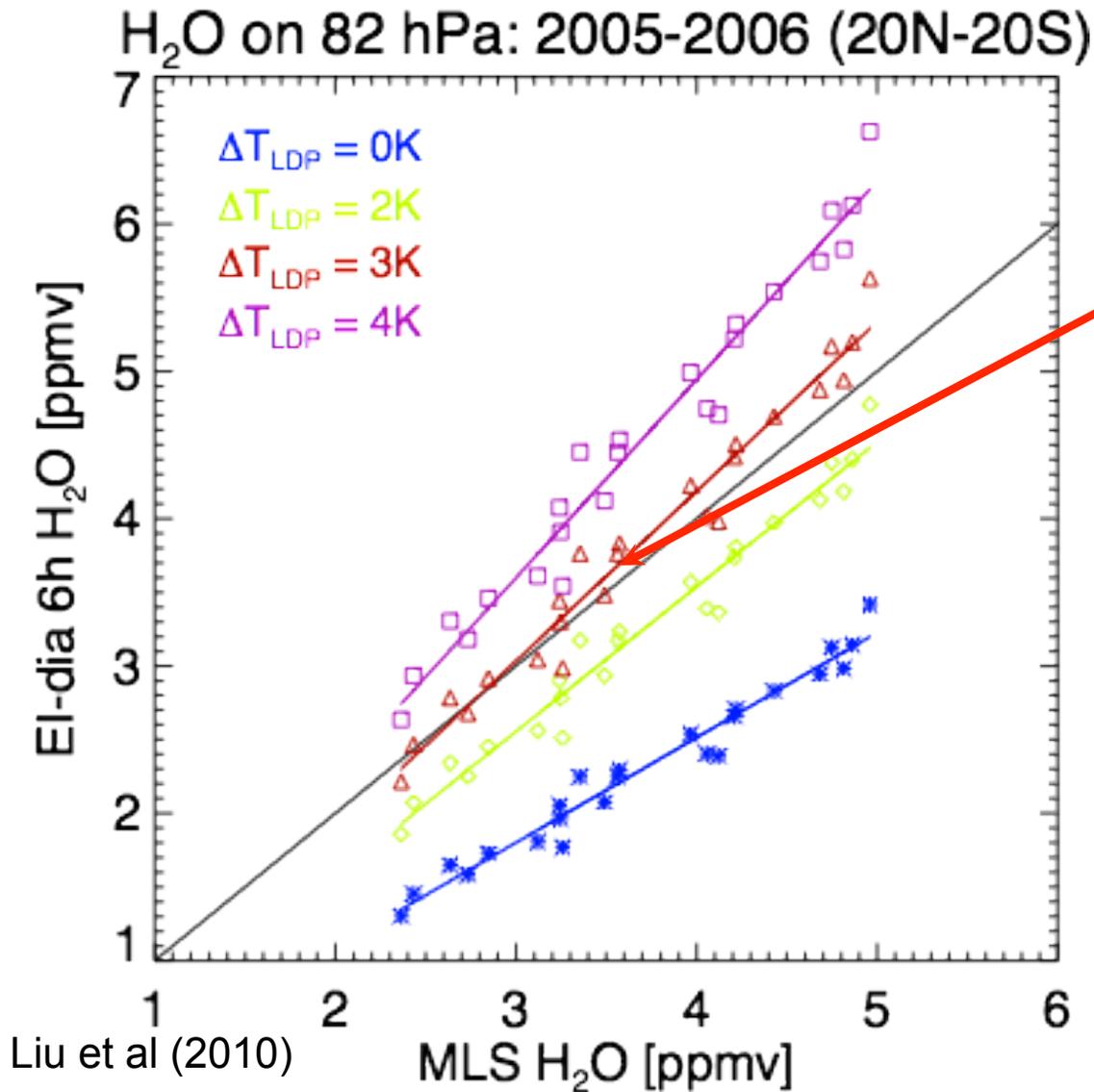
**EI - ERA-Interim**  
(4D-var assimilation 1989 - present)

**kin - kinematic**  
(vertical velocity calculated from continuity equation)

**dia - diabatic**  
(vertical motion from heating rates)



# Simple LDP correction



Increase LDP temperature by +3K to bring results to agreement with MLS!

(Surprisingly, such a simple LDP temperature correction can correct much of the bias in both the mean and amplitude)

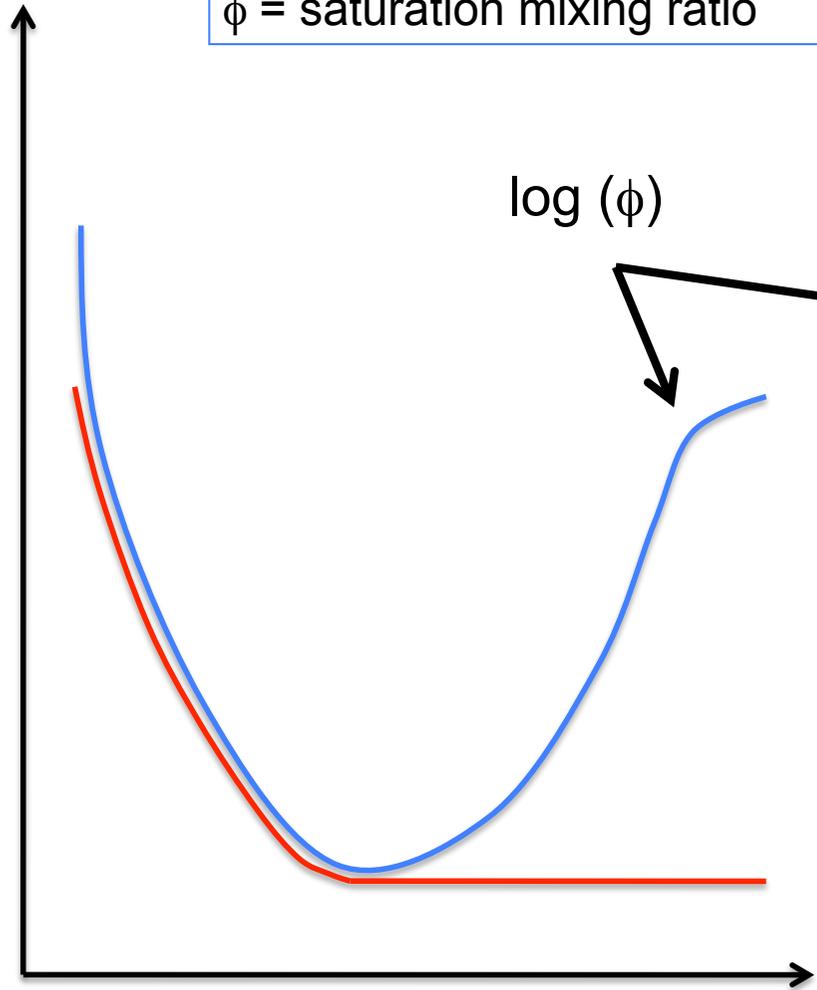


## Liu et al (2010) -- summary

- The simplest advection-condensation model (dehydration at 100% relative humidity, immediate and complete sedimentation of condensates) is **dry biased** - Good news, since in better agreement with what we might expect for such a model. Previous results showing moist bias less easy to understand.
- After considering errors incurred in applying the model (errors in reanalysis temperature and transport), we estimate that a Lagrangian Dry Point temperature correction of **~3K** is sufficient to bring results into agreement with observations.
- How to explain the dry bias in the A-C model? Quantify roles of microphysics/mixing/convective penetration.

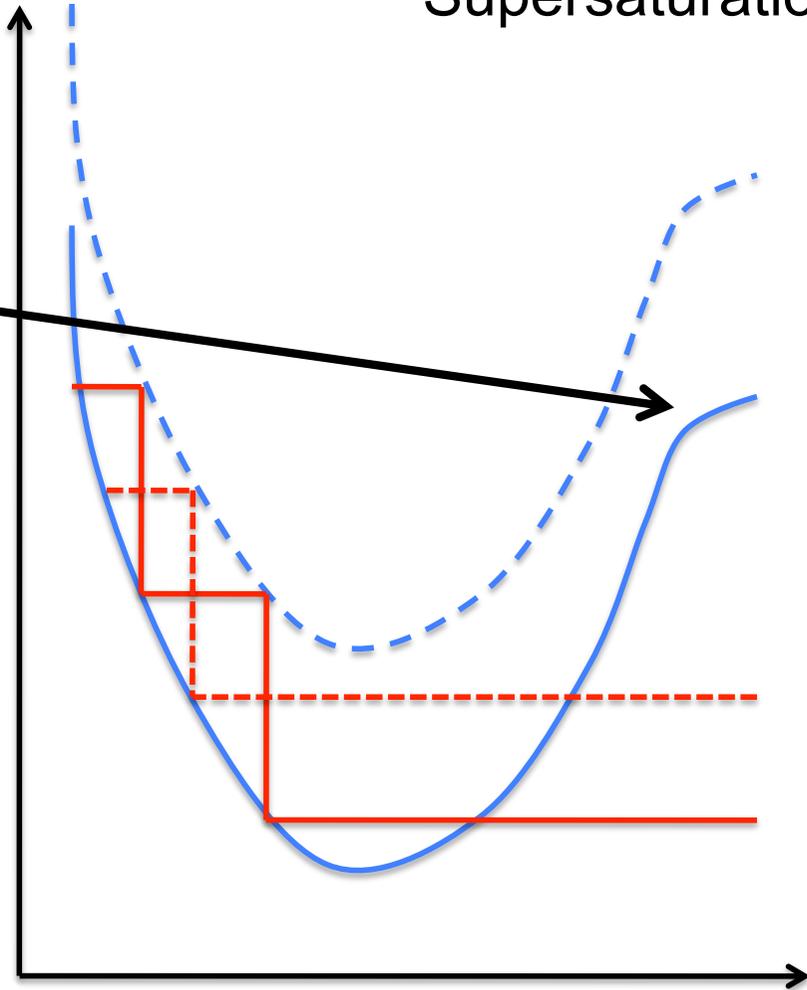


$\log(\chi)$   
 $\chi =$  water vapour concentration  
 $\phi =$  saturation mixing ratio



instantaneous condensation to local saturation mixing ratio

$\log(\chi)$   
Supersaturation?



160% supersaturation followed by instantaneous condensation to local saturation mixing ratio



given supersaturation is equivalent to given temperature correction

$$e_s(T) = e_s(T_0) \exp\left[\frac{L_v}{R_v} \left(\frac{1}{T_0} - \frac{1}{T}\right)\right] \propto \exp\left(-\frac{6300\text{K}}{T}\right)$$

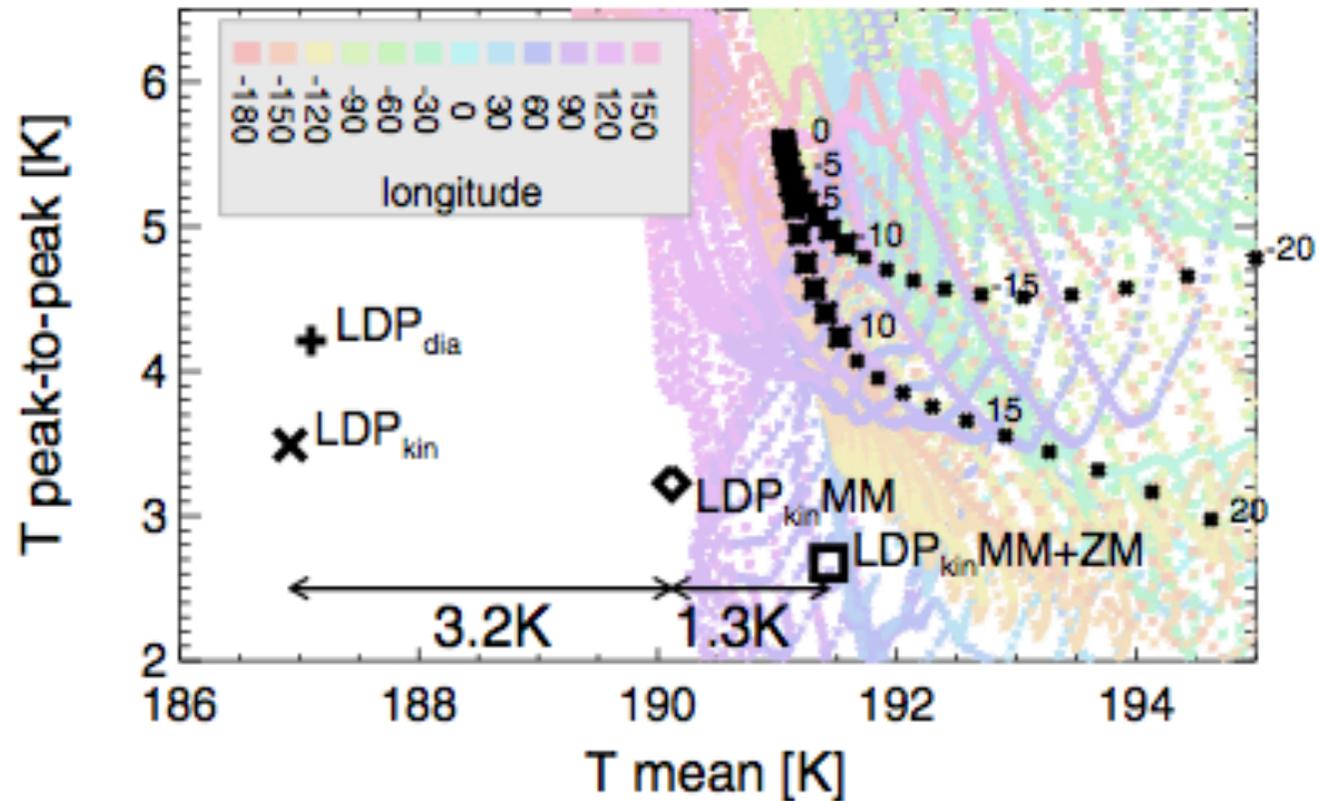
supersaturation  $\alpha > 1$



temperature correction  $\Delta T \sim T^2 \log \alpha / 6300\text{K} \sim (6\text{K}) \log \alpha$



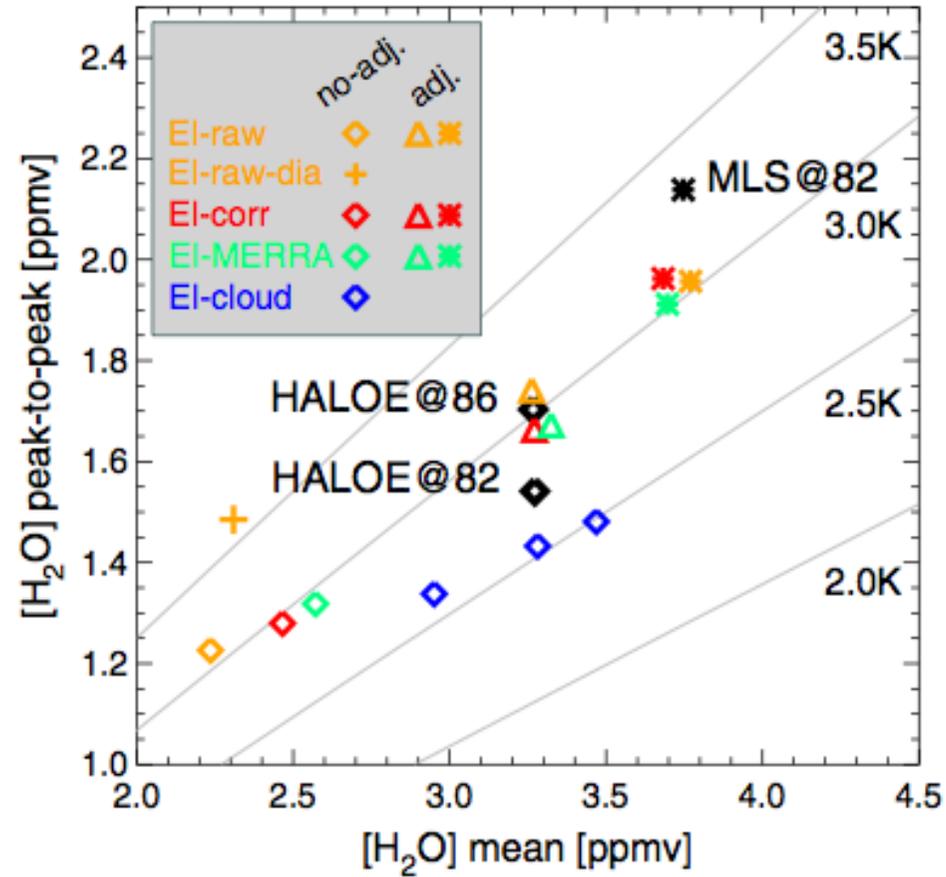
# Update on LDP analysis of annual cycle



Fueglistaler et al (2013)



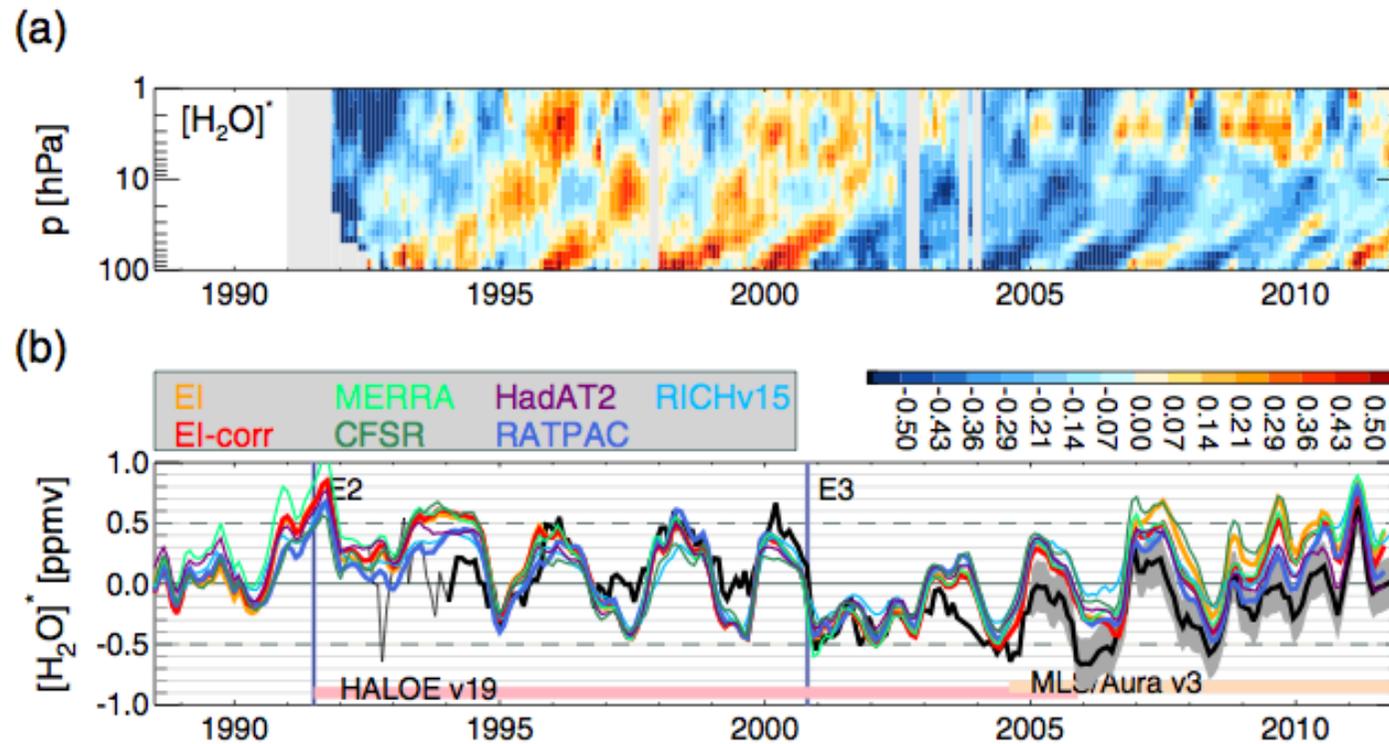
# Comparison of LDP predictions with observations



Fueglistaler et al 2013



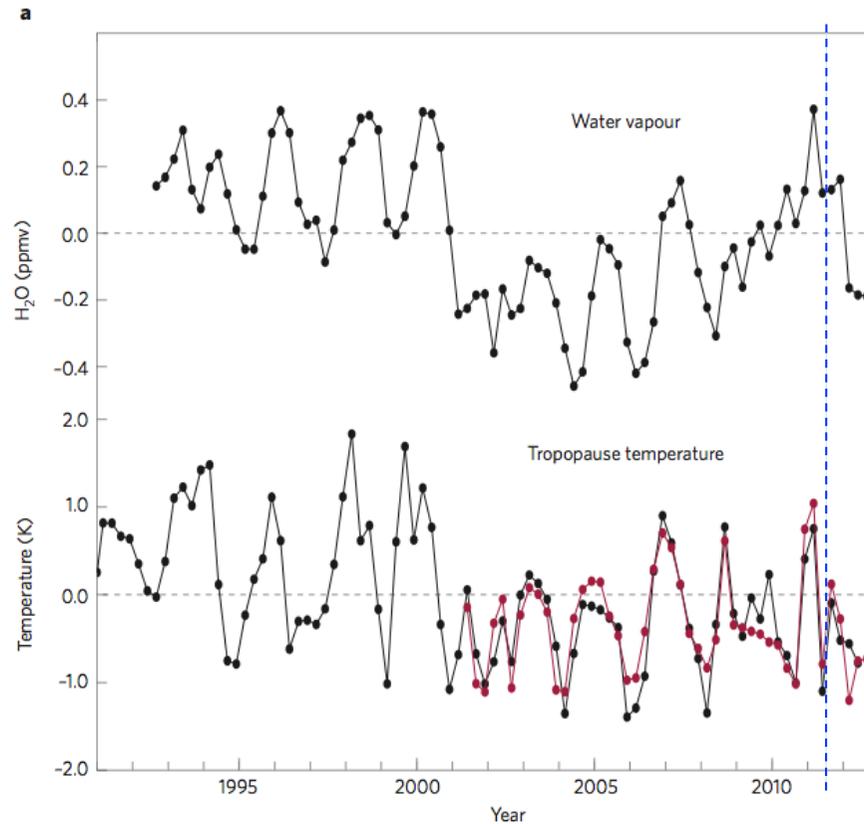
# LDP predictions of interannual variability



Fueglistaler et al 2013



# Recent interannual variability



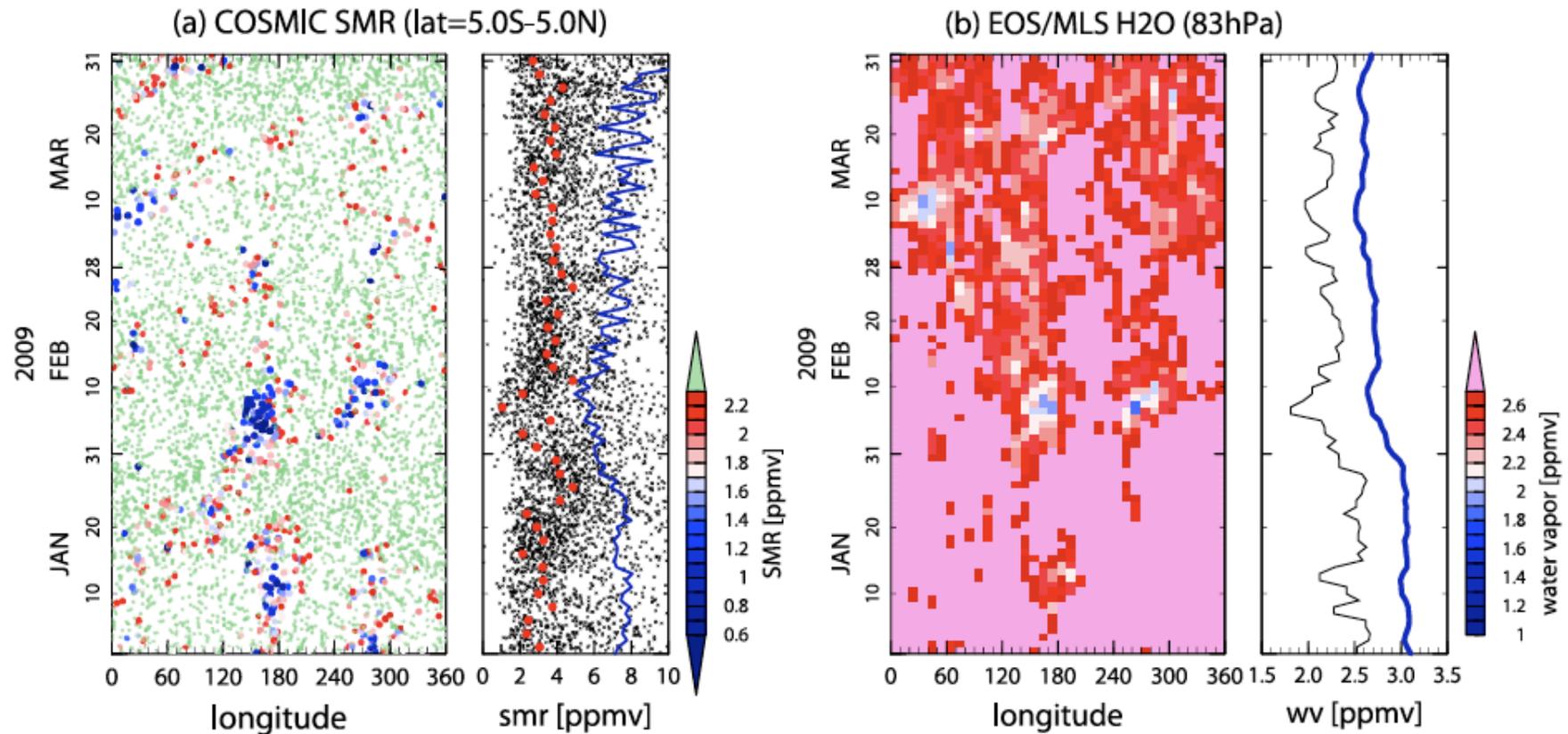
Randel and Jensen (2013)

post-2011 drop

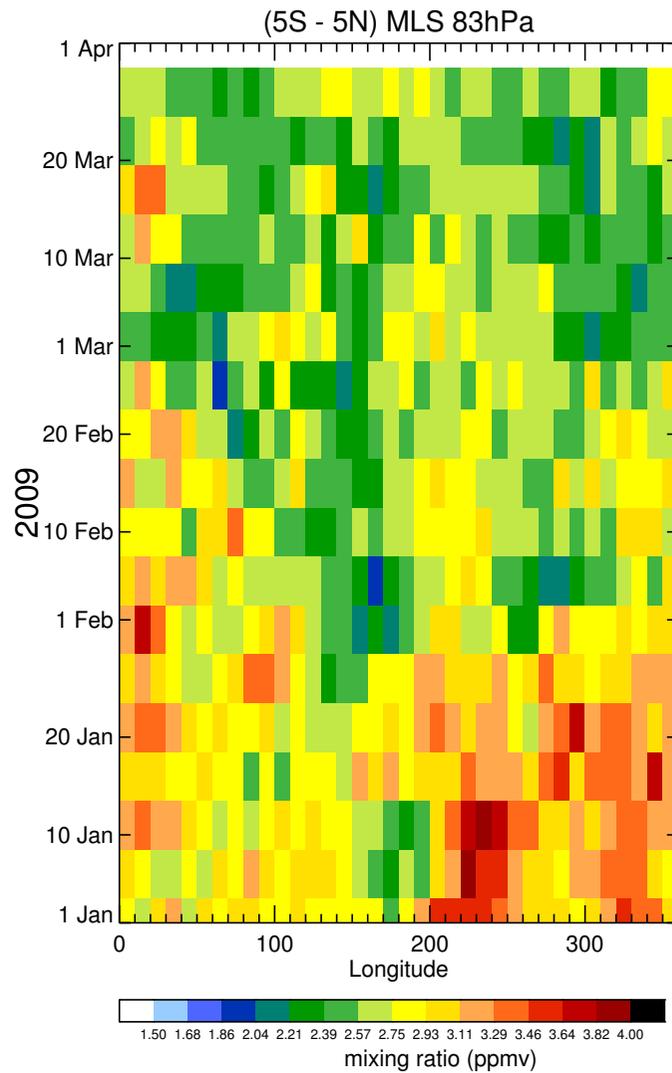


# Role of localised cold events in large-scale dehydration?

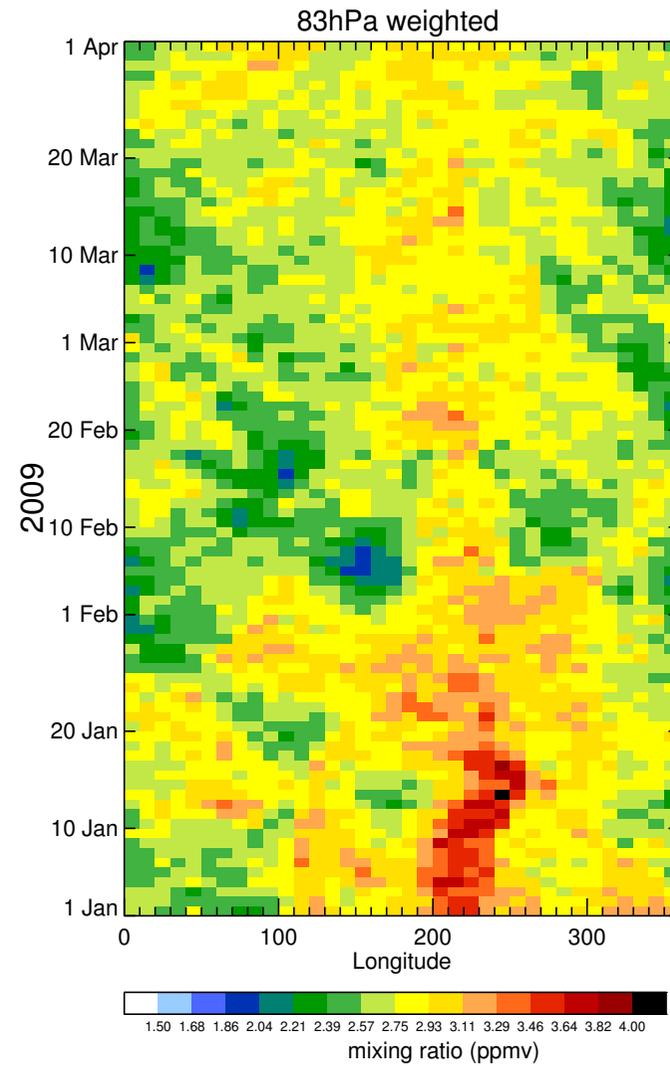
Takashima et al (2010)



# MLS 83hPa



# Trajectory reconstruction

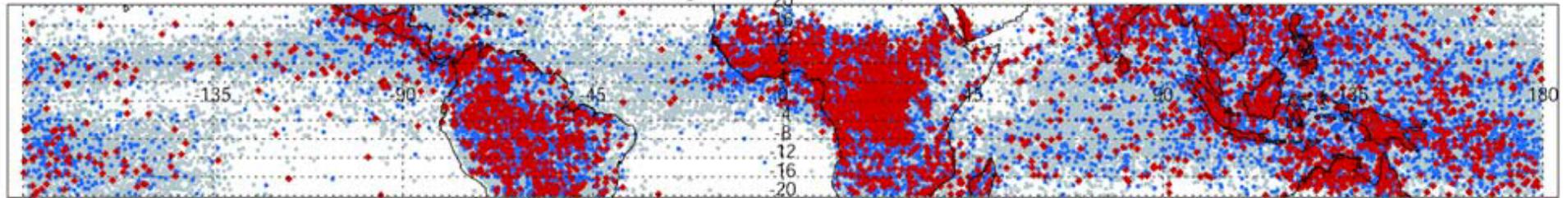


(Sue Liu, personal communication)

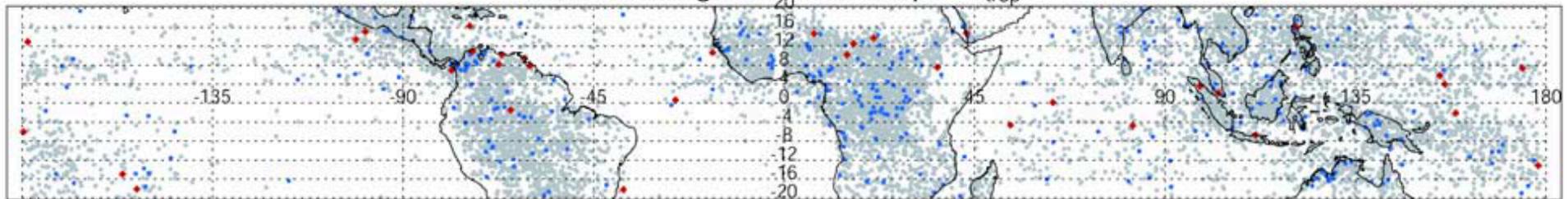


# Role of overshooting convection?

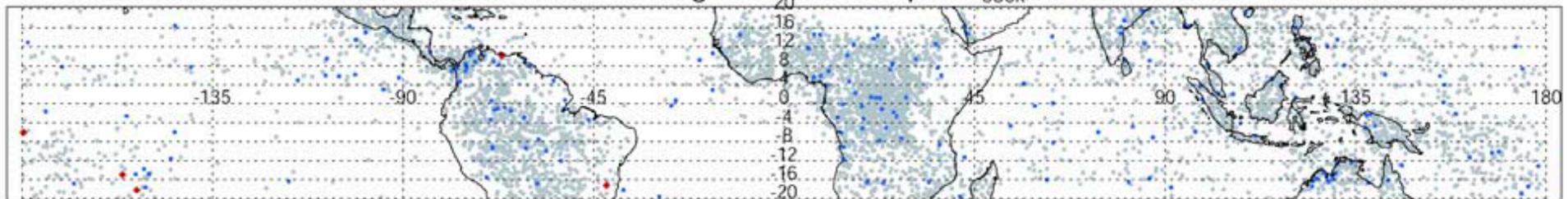
overshooting PFs (cloud top > 14km)



overshooting PFs (cloud top >  $Z_{trop}$ )



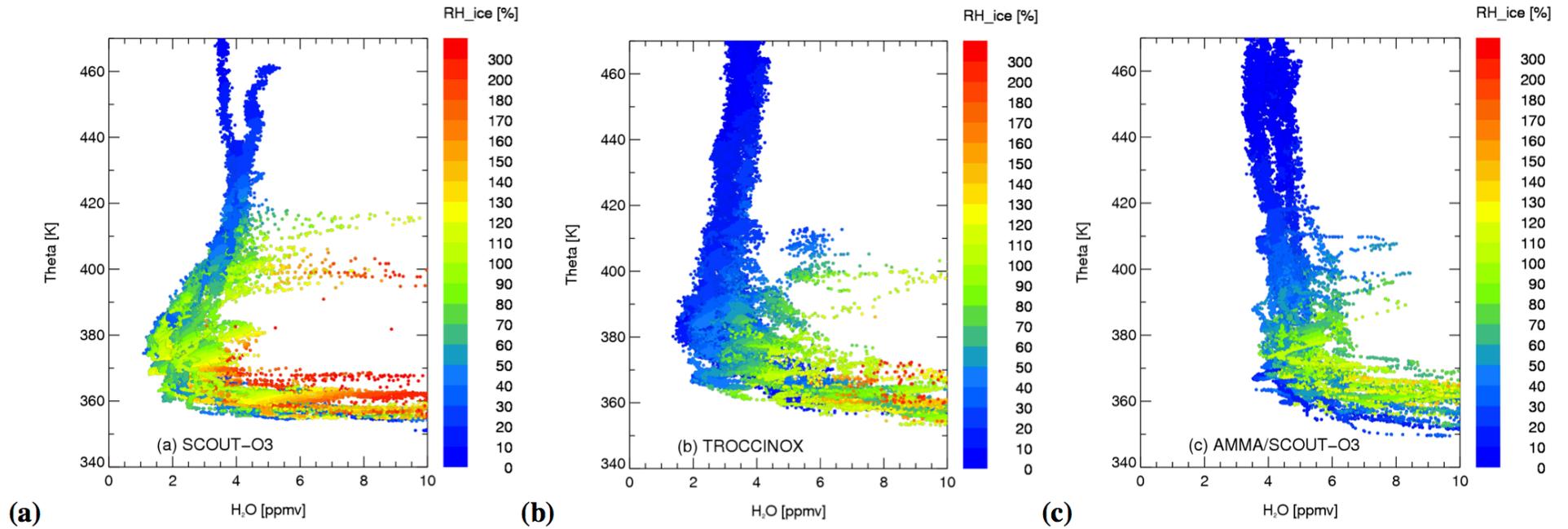
overshooting PFs (cloud top >  $Z_{380k}$ )



Liu and Zipser (2005)



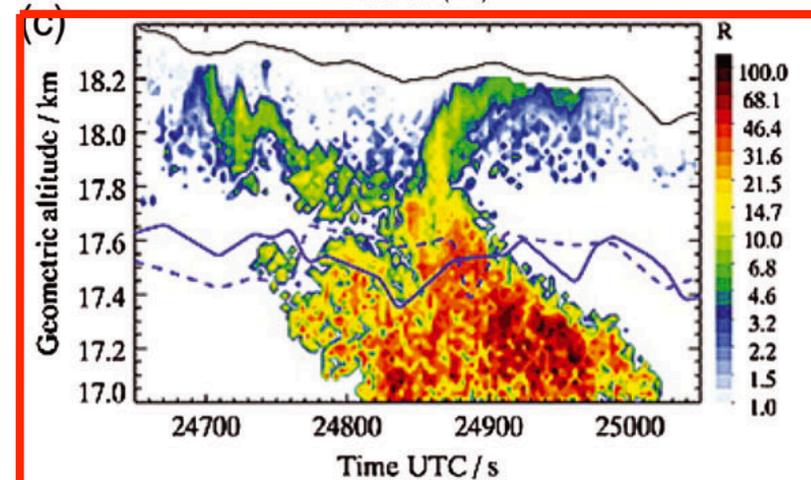
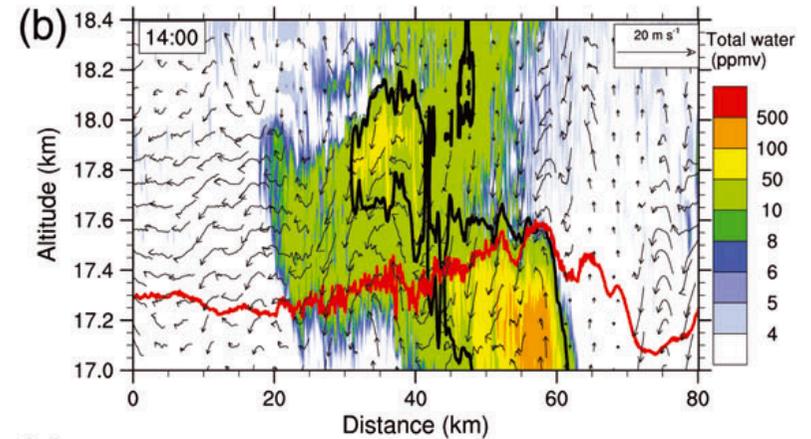
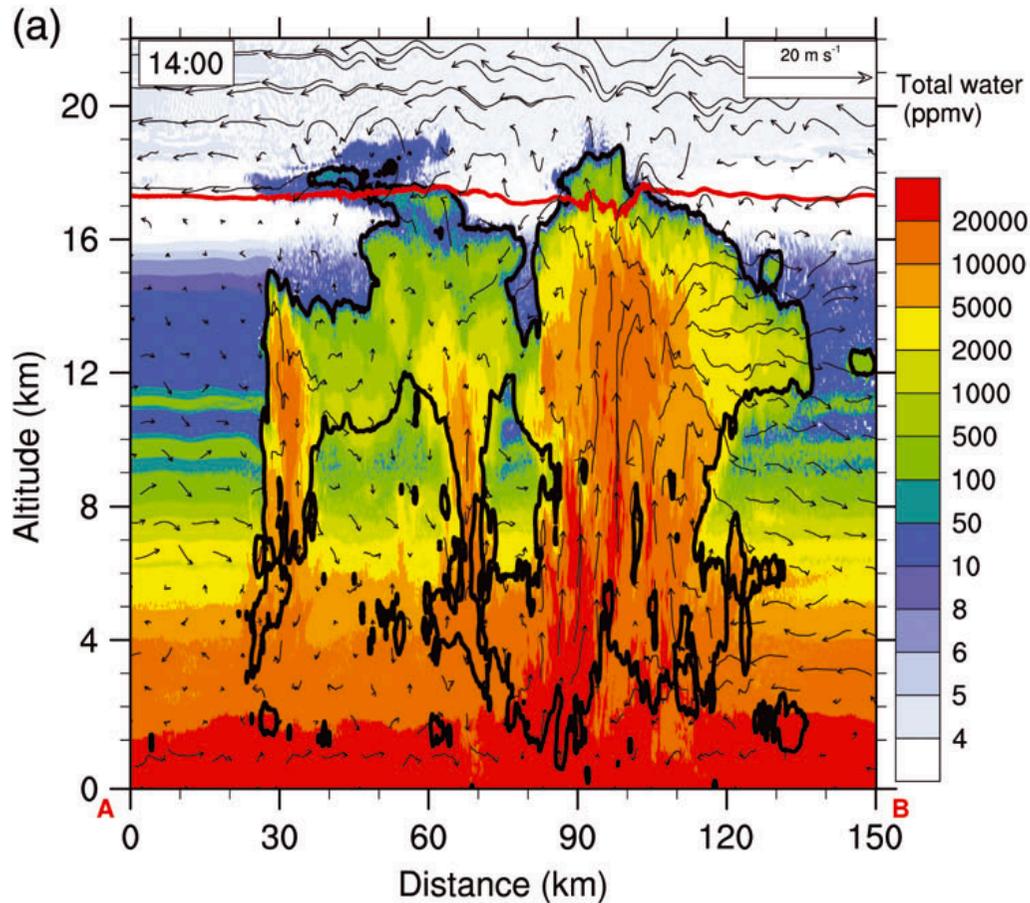
# Moistening effect of convection



Schiller et al (2009)



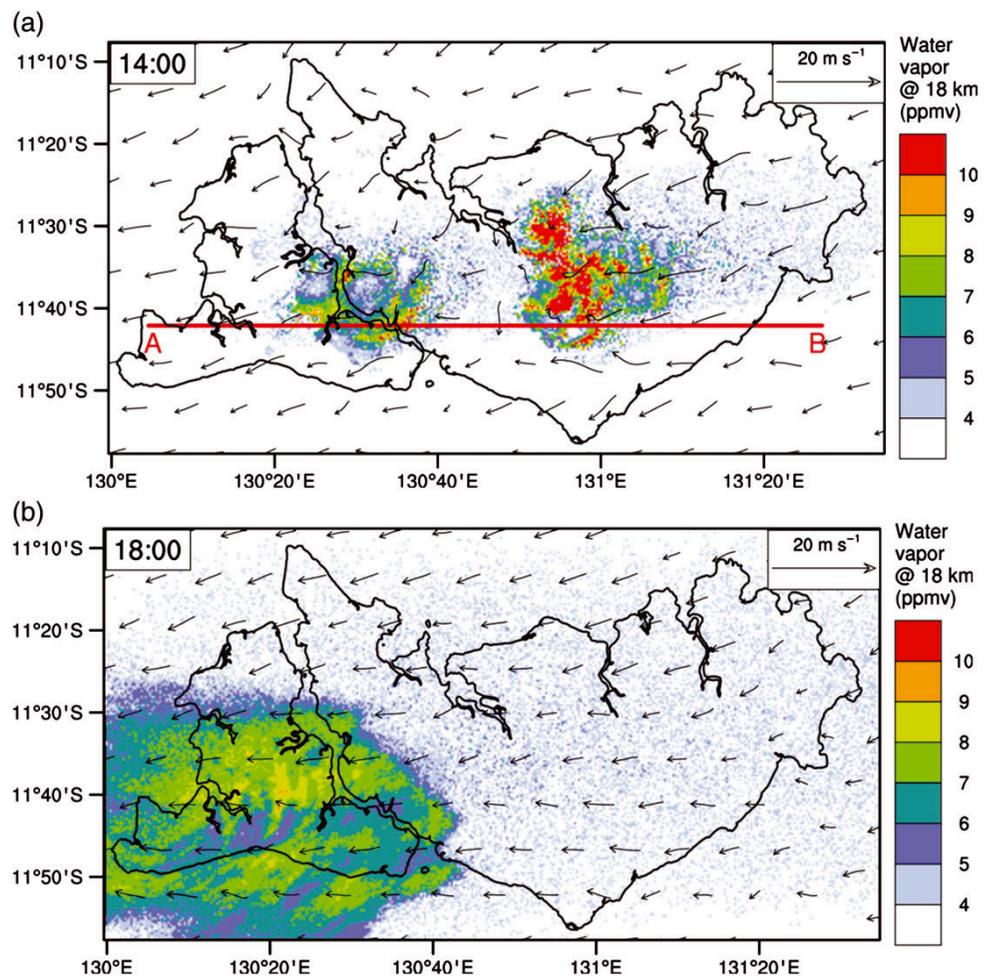
# Numerical simulations of “Hector” system



Dauhut et al (2015)  
[ $1.3 \times 10^9$  grid points on 16000 processors]

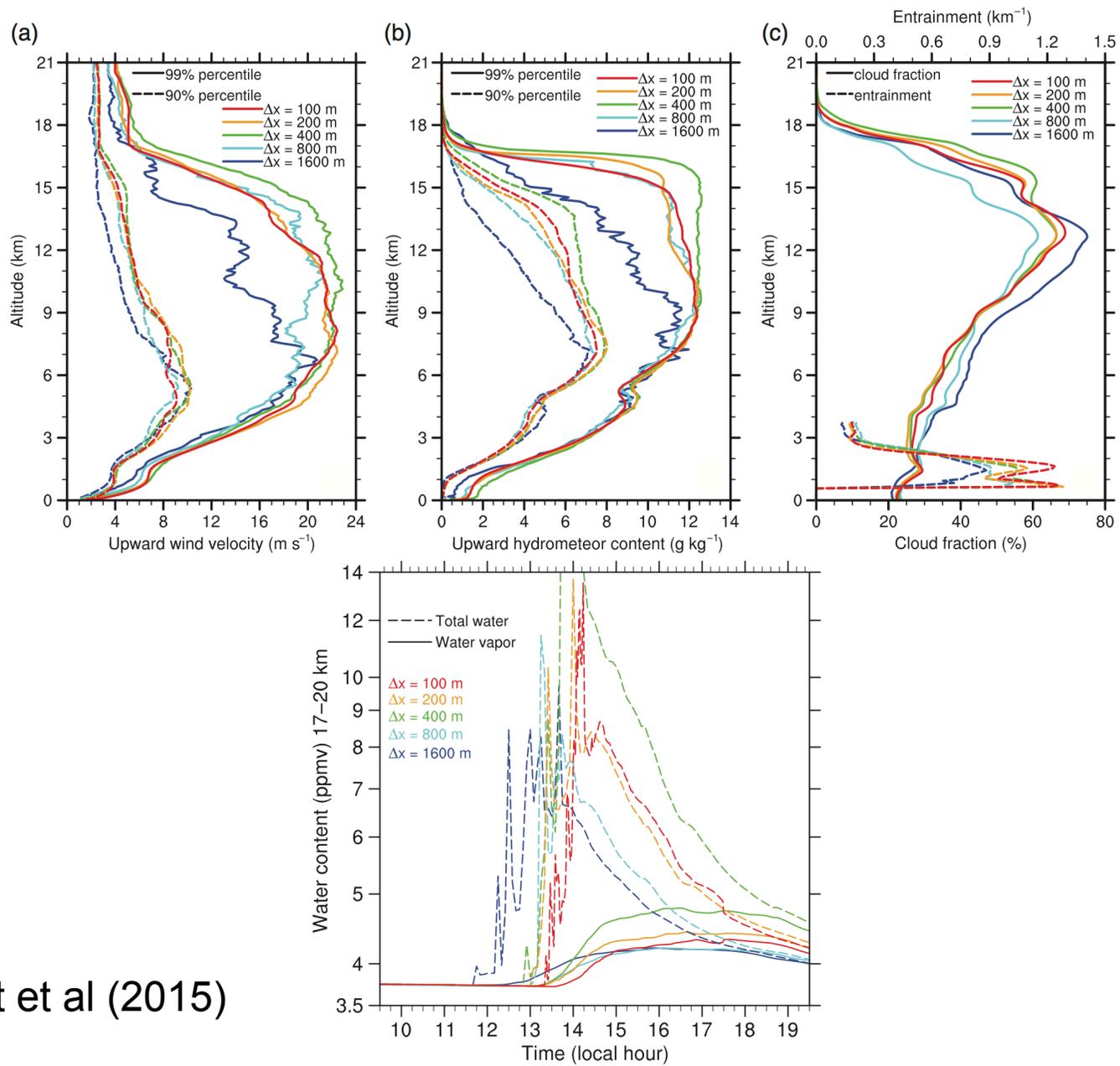
Observations -- Corti et al (2008)





Dauhut et al (2015)

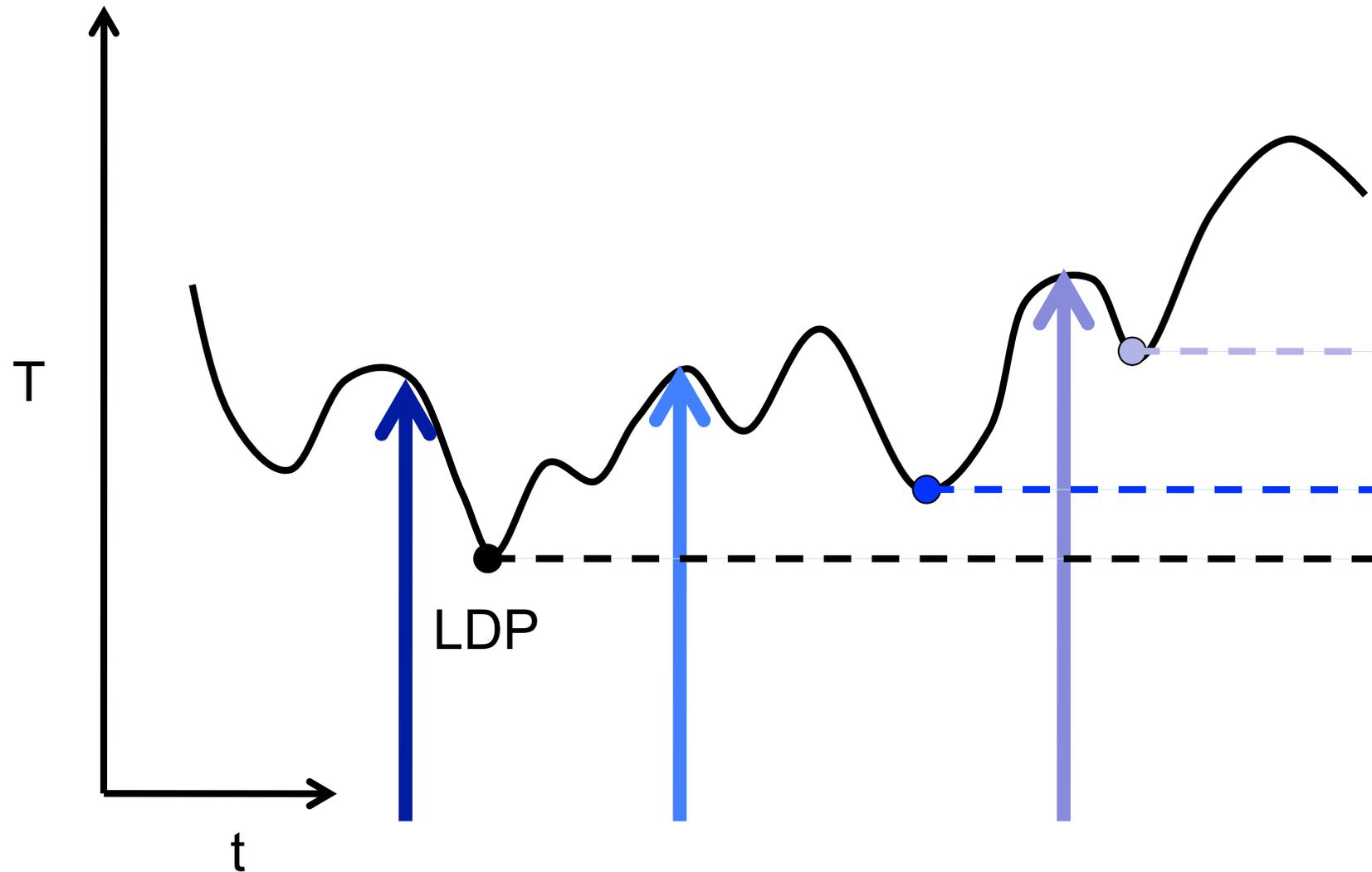




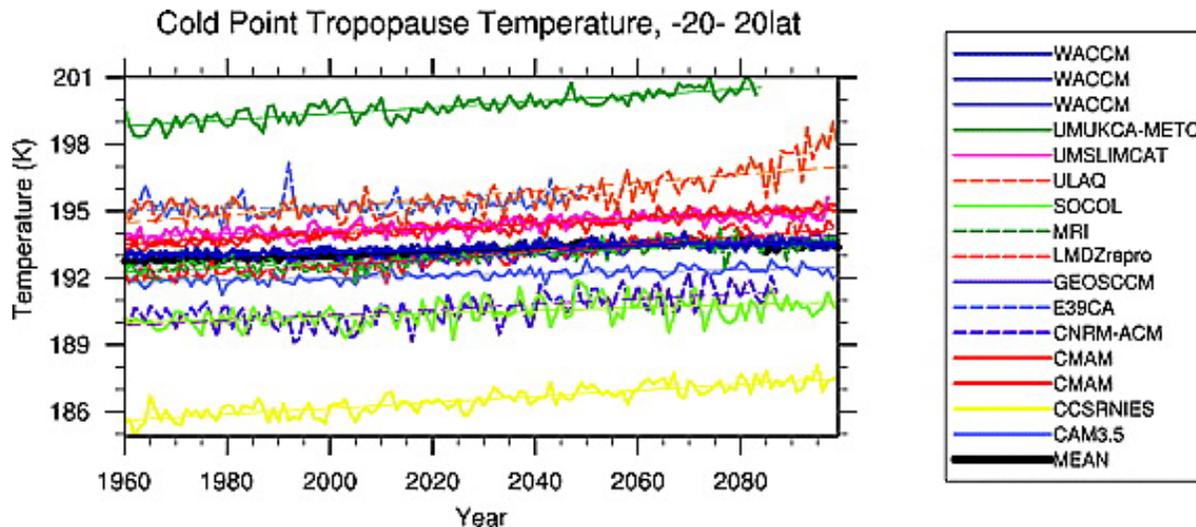
Dauhut et al (2015)



# Implication of convective injection?

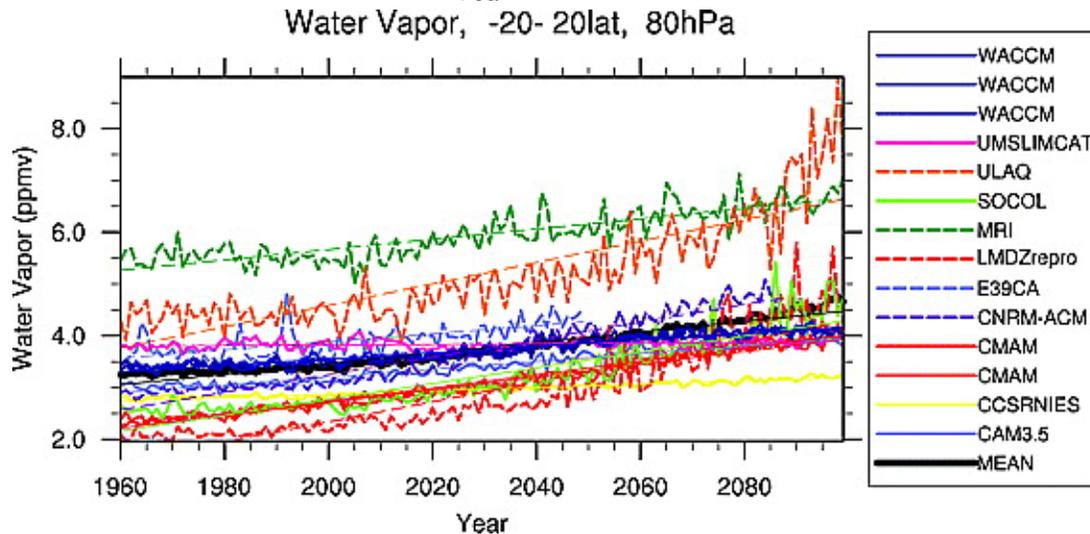


# CCMVal model predicted trends



Gettelman et al (2010)

~0.5-1K/100yrs



~0.5-1ppmv/100yrs



# Summary

- Tropical TST is 3-dimensional process and Lagrangian approach is a useful way to explore and quantify.
- Annual mean and annual cycle can be captured with constant temperature correction – what physical effects is this capturing?
- Time variation (less than one month) of temperature field is very important.
- Large part of interannual variability in stratospheric water vapour is captured by Lagrangian Dry Point calculation – the temperature constraint is strong on interannual time scales.
- There is observational evidence for convective moistening but none for convective drying. Extrapolation from localised observations/modelling of convective moistening to the large scale is difficult.
- Models predict increasing stratospheric water vapour.
- Is this simply a temperature effect? (Longitudinal structure important – Garfinkel et al 2013)
- Are other changes important on longer time scales (e.g. aerosol?, gravity waves – Kim and Alexander 2015? ‘ice lofting’ – Dessler et al 2016)

