



Photonic crystal light trapping for photocatalysis

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Abstract: The Achilles heel of wide-band photocatalysts such as TiO₂ is the insufficient photogeneration in the visible range under sunlight. This has been a longstanding impediment to large-scale, real-world deployment of titania-based photocatalysis applications. Instead of traditional band engineering through heavy-doping, we suggest enhancing photocatalytic efficiency of lightly-doped TiO₂ using photonic crystal (PC) structures. This strongly increases solar photogeneration through novel wave-interference-based light trapping. Four photocatalyst structures — simple cubic woodpile (wdp), square lattice nanorod (nrPC), slanted conical-pore (scPore), and face-centered cubic inverse opal (invop) — are optimized and compared for light harvesting in the sub- and above-gap (282 to 550 nm) regions of weakly absorbing TiO₂, with the imaginary part of the dielectric constant 0.01 in the visible range. The optimized lattice constants for the first three, and opal center-to-center distance for invop, are ~300 - 350 nm. For fixed PC thickness, the ranking of visible light harvesting capability is: scPore > wdp ~ nrPC > invop. The scPore PC deposited on highly reflective substrate is ideal for photocatalysis given its combination of enhanced light trapping and superior charge transport.

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1. Introduction

Photocatalysis has been widely studied since the early 20th century and has become a recent research focus due to global problems of energy harvesting and storage, removal of environmental pollutants, and public health. TiO₂ draws broad interest as a functional material [1,2] due to its favorable photochemical activity, physical and chemical stability, abundancy, nontoxicity, and biocompatibility. It is extensively used as white pigment, for dielectric coatings [1], sensors [3], photovoltaics [4], electrochromic devices [5], and medical implants [6]. TiO₂-based photocatalysis holds promise for hydrogen fuel generation by water splitting [7,8], environmental remediation [9], and biomedical germicide and drug delivery [10,11]. Improving TiO₂ photocatalytic efficiency is the key to real-world deployment of many applications. This involves the management of charge carriers surface redox reaction, bulk charge transport, and their photogeneration from sunlight.

The crucial step of electron-hole pair photogeneration sets a fundamental limit for the photocatalytic process. The large bandgap of TiO₂ in the near-UV range, critically restricts the reaction efficiency under sunlight. Essentially, only the ~ 4% UV energy of solar irradiance is effective for pristine titania-based photocatalyst, while the ~ 42% visible light energy is not involved in photocarrier generation. To solve this problem, extensive effort has been devoted to the band engineering of TiO₂ by introducing various intrinsic and extrinsic defect states to lower the absorption bandgap to enable visible light harvesting [1,2].

Intrinsic oxygen vacancies naturally occur in TiO₂ during crystal growth, as well as in samples that are electron bombarded or thermally treated (in reducing atmospheres) [12]. They account for the n-type nature of TiO₂, and introduce defect states commonly in the form of Ti³⁺ lying ~ 1 eV below the conduction band minimum [13–15]. Although these defect states may act as electron-hole recombination centers [16,17], they can nonetheless be beneficial for enhancing photocatalytic activity in the visible range [18,19]. Extrinsic doping of some non-metal anions

(C, N, S, F, etc.) and a wide range of transition metal and inner transition metal cations [1,20,21], as well as the co-doping of their various combinations [22,23] and disorder-engineering (e.g., by hydrogenation) [24,25] have been applied to reduce the bandgap of TiO₂. While the visible light absorptivity is commonly enhanced by the extrinsic doping, the defect sites may serve as charge carriers trapping centers. Such defects affect the transport and recombination according to their wavefunction localization, details of associated intrinsic defects and surface and bulk disorders [1,26]. Whether this deteriorates or improves the photocatalytic activity depends on the detailed doping strategies [22,23,27] and dopant concentrations and distributions [1,20,28,29].

Dye photosensitization, quantum dots and noble metal nanostructures have also been applied to extend the photocatalytic reactivity to longer wavelength region [21]. For example, gold nanoparticles [30] and gold nanorods [31] have been integrated with TiO₂, to provide localized surface plasmon resonances in the visible and near infrared spectral ranges [32]. After absorption of visible light, photogenerated hot electrons are injected from the metal particles to TiO₂ [33–36] with efficiency ~ 20% - 50% for plasmonic on resonance excitation [37]. Although the mechanism of the surface plasmon enhancement of the photocatalytic activity is not fully understood [21,38], the large inherent absorption loss in the metal likely curtails further improvement of the reaction efficiency.

A completely different route of increasing the light absorptivity in the sub-gap region and/or charge carrier transport is to strategically modify the larger scale morphology of the TiO₂ photocatalyst. Two types of TiO₂ photocatalyst morphologies have been widely adopted in experiments, namely, porous structures and nanowires. The advantage of these architectures lies in the ample surface area for chemisorption of reactants and straightforward carrier diffusion path for transport, respectively. The corresponding light harvesting, however, primarily relies on enhanced random scattering and long physical thickness (nanowire length). When these structures are periodically ordered (i.e., inverse opal and nanorod array as discussed in this work), optical Bloch modes emerge and a new light harvesting mechanism is enabled by light-trapping in photonic crystals (PC) [39]. Over the past decade, considerable literature has been devoted to enhancing the photocatalytic efficiency using PC photocatalysts [40,41] (see also references in [42]). Appropriate PC architectures significantly improve the light absorptivity [43] without the degradation of bulk transport. Moreover, they offer potential synergies with other techniques for enhancing photocatalytic activity. For example, TiO₂ PCs have been loaded with noble metal nanoparticles [44,45] and sensitized with quantum dots [46].

Despite experimental effort devoted to PC photocatalysts over the past 15 years [42], there has been limited quantitative theoretical guidance provided [47,48]. Most research has concentrated on opal and inverse opal structures. In these opal and inverse opal structures, light trapping for photocatalysis has been focused mainly on the narrow-band slow-light modes surrounding the fundamental stop gap. Very recently it was shown that the higher-order slow-light modes of inverse opals provide much better light trapping capability [49]. Given the complexity of multiple processes involved in photocatalysis, a theoretical roadmap of PC photocatalyst structures is invaluable for exploiting the full potential of photonic crystal light trapping and harvesting.

In this paper, we optimize and compare light trapping in the spectral region of $\lambda \in [282, 550]$ nm of four types of TiO₂ PCs: simple cubic woodpile (wdp), square lattice nanorod (nrPC), square lattice slanted conical-pore (scPore), and face-centered cubic inverse opal (invop) on both glass and highly reflective substrates. The most efficacious photonic bands of the invop PC are from 5th to 15th [49], instead of the widely studied fundamental stop gap bandedge modes. These higher-order, slow-light photonic bands are placed into the visible light region of $\lambda \in [400, 550]$ nm when the opal center-to-center distance is ~ 350 nm. As the realization of these optimized photonic bands of invop requires stringent quality control in fabrication, we discuss an alternative wdp PC that can be fabricated layer-by-layer with more easily achieved precision [43,50]. The most efficacious photonic bands of the wdp PC are from 8th to 20th.

These bands are placed into $\lambda \in [400, 550]$ nm by choosing the lattice constant $a \sim 350$ nm and TiO_2 filling fraction of 50%. With only 4 layers of woodpile logs (2 unit cells), and assuming the imaginary part of the dielectric constant is 0.01 in the visible light region, this PC demonstrates ~ 5 times enhancement of maximum achievable photocurrent density (MAPD) compared with a planar film with the same volume of TiO_2 . Since the large-scale implementation of high-quality wdp PC may be expensive, we also consider square lattice nanorod and slanted conical-pore PCs. These latter two structures are optimized for solar light trapping by choosing a lattice constant $\sim 300 - 350$ nm. Although TiO_2 nanowires have been widely used for photocatalysis, the TiO_2 nrPC remains unexplored. The most efficacious two-dimensional (2D) photonic bands of the nrPC are from 6th to 12th. These bands are placed into $\lambda \in [400, 550]$ nm by choosing the lattice constant $a \sim 300$ nm and large nanorod diameter ($\gtrsim a/2$). The optimized scPore PC has cone apices vertically below the edge of the cone bases, with base diameter equal to or 1.15 times the lattice constant. It traps light more effectively than the nrPC, without compromising the transport advantage. Comparing all four types of PCs, the scPore structure demonstrates the best sunlight harvesting for a given PC thickness, and also facilitates excellent charge carrier collection.

2. Optical models of TiO_2 and figures of merit of PCs

We consider anatase TiO_2 photocatalyst lightly doped with intrinsic oxygen vacancies [19] or extrinsic metal and nonmetal dopants. Such light doping is assumed to result in a weak sub-gap absorptivity without introducing excess recombination centers for photogenerated electrons and holes. The polymorph of anatase is chosen because of its higher photocatalytic activity than rutile [51]. Optical properties of TiO_2 thin films vary significantly with synthesis methods, deposition conditions and sample morphologies due to the differences in void fractions, unintentional intrinsic defects [52], and surface conditions [53]. Two different dielectric models, Model I and II, are considered in this work to reveal the influence of fundamental material properties.

In Model I we assume a dispersionless dielectric function $\varepsilon = \varepsilon' + i\varepsilon''$, where ε' and ε'' are its real and imaginary parts, for the discussion of visible light harvesting in TiO_2 sub-gap region of $\lambda \in [400, 550]$ nm spectral range. The real part is $\varepsilon' = 5.06$ and the imaginary part is $\varepsilon'' = 0.001$ or 0.01, corresponding to pristine or lightly-doped polycrystalline anatase, respectively [43]. This imaginary part of the dielectric constant is appended to “Model I” to specify the optical model being used. For example, the pristine TiO_2 with $\varepsilon = 5.06 + 0.001i$ in Model I is denoted as “Model I.001”. Although the extinction coefficient of TiO_2 is small in this sub-gap region, more solar photons are available for electron-hole pair generation than in the UV range above the electronic band gap. For hypothetical, complete absorption of incident sunlight, the MAPD [see Eq. (2) for the definition] is 1.35 mA cm^{-2} for $\lambda \in [300, 400]$ nm and 8.32 mA cm^{-2} for $\lambda \in [400, 550]$ nm. It is primarily the sub-gap visible light absorptivity that determines the efficiency of sunlight harvesting in lightly doped TiO_2 . Model I also enables the scaling of Maxwell’s equations with a frequency-independent dielectric constant [49]. Conclusions drawn from Model I can be generalized by rescaling the PC lattice constant and the wavelength range by a factor of s . Provided that $0.87 \lesssim s \lesssim 1.3$, Model I remains in the sub-gap region of TiO_2 .

In Model II, we include the material dispersion of TiO_2 based on experimental data for single-crystal anatase [54]. Optical properties of single-crystal anatase were measured in different experiments [54–57], reporting an electronic bandgap around 3.5 eV [55,56]. A recent experiment measured an indirect bandgap of 3.47 eV and direct bandgap of 3.97 eV for bulk, single-crystal anatase at the temperature 20 K [57]. The polycrystalline anatase dielectric function used in our simulation is assumed to be the weighted average of the dielectric functions for light polarizations along and perpendicular to the optical axis of single crystalline anatase. The imaginary parts of the dielectric function in Ref. [54], however, are not available for $\lambda \gtrsim 350$ nm. In Model II, we supplement the available experimental data with a constant $\varepsilon'' = 0.01$ in the sub-gap region to model lightly doped TiO_2 (see Appendix A for details).

We discuss four different PC structures: face-centered cubic inverse opal (invop), simple cubic woodpile (wdp), square lattice nanorod PC (nrPC), and square lattice slanted conical-pore (scPore) [see Figs. 9(a), 1(a), 3(a) and 5(a), respectively]. The PCs are immobilized on a substrate of transparent fused silica glass (FS, refractive index 1.46) or highly reflective perfect mirror (MR) and immersed in aqueous environment with refractive index 1.33. We refer to the various PCs on FS (MR) substrates as “invop-FS(MR)”, “wdp-FS(MR)”, “nrPC-FS(MR)”, and “scPore-FS(MR)”, respectively. These structures are assumed to be infinite in the transverse (x - y) plane with finite numbers of layers n_L and/or heights along the natural growth or deposition direction (z).

The simulations of optical absorptivities, A , of TiO₂ PC structures and planar films are carried out by solving Maxwell’s wave equation for x -polarized light (unless otherwise specified) at normal incidence, using the finite-difference time-domain (FDTD) method. The resolution for the simulation is taken to be 70 mesh grids per lattice constant.

We define the average absorptivity as the absorptivity spectrum $A(f)$, integrated over a given frequency range $[f_2, f_1]$, divided by the bandwidth:

$$A_{\text{avg}} = \frac{1}{f_1 - f_2} \int_{f_2}^{f_1} A(f) df. \quad (1)$$

To evaluate photocatalytic response, we weight the optical absorptivity of the catalyst by the solar irradiance spectrum. This is expressed by the maximum achievable photocurrent density (MAPD) in a wavelength region $[\lambda_1, \lambda_2]$:

$$\text{MAPD} = \frac{e}{hc} \int_{\lambda_1}^{\lambda_2} A(\lambda) I_s(\lambda) \lambda d\lambda. \quad (2)$$

Here e is the electron charge, h is the Planck constant (not to be confused with the PC height), c is the speed of light in vacuum, and I_s is the AM1.5G solar irradiance spectrum.

To enable the comparison of different PC architectures on light harvesting, both the average absorptivity and the MAPD are normalized by either the catalyst masses or the thicknesses of the PCs. The former isolates the light harvesting improvement due to the morphology of the photocatalyst for a given volume of the absorbing material. More specifically, we normalize A_{avg} and MAPD by that of a planar solid film with the same volume of TiO₂. The thickness of said film is called equivalent bulk thickness d_{TiO_2} . The absorptivity enhancement factor is defined as [49,58]:

$$\beta = A_{\text{avg}}^{\text{PC}} / A_{\text{avg}}^{\text{bulk}}, \quad (3)$$

and the MAPD enhancement factor is defined as:

$$\zeta = \text{MAPD}^{\text{PC}} / \text{MAPD}^{\text{bulk}}. \quad (4)$$

Here, $A_{\text{avg}}^{\text{PC}}$ (MAPD^{PC}) is the average absorptivity (MAPD) of a PC placed on FS or MR substrate, while $A_{\text{avg}}^{\text{bulk}}$ ($\text{MAPD}^{\text{bulk}}$) is that of a planar film of equivalent bulk thickness placed on a FS substrate (denoted as “bulk-FS”), calculated in the same spectral interval.

The second way of normalizing A_{avg} and MAPD is by the overall PC height d_{PC} . A photocatalyst with large d_{PC} may have disadvantages because the photogenerated electrons can only migrate to a distance of $\sim 10 \mu\text{m}$ before recombination occurs [59]. A comparison of visible light trapping by different PC structures on highly reflective perfect mirror substrates as functions of both equivalent bulk thickness, d_{TiO_2} , and overall PC height, d_{PC} , is discussed in Sec. 6.

3. Simple cubic woodpile PC

The simple cubic woodpile (wdp) PC [Fig. 1(a)] can be fabricated by two-photon lithography [60] and polyelectrolyte ink writing [61] of templates, followed by backfilling with dielectric materials

via techniques such as chemical vapor deposition. Alternatively, layer-by-layer microfabrication techniques provide a precise top-down approach to fabricate high quality wdp PCs [43,50]. Specifically, a simple cubic TiO_2 wdp produced by such layer-by-layer technique has demonstrated strong PC light trapping effect over a broad spectrum using higher order photonic modes [43].

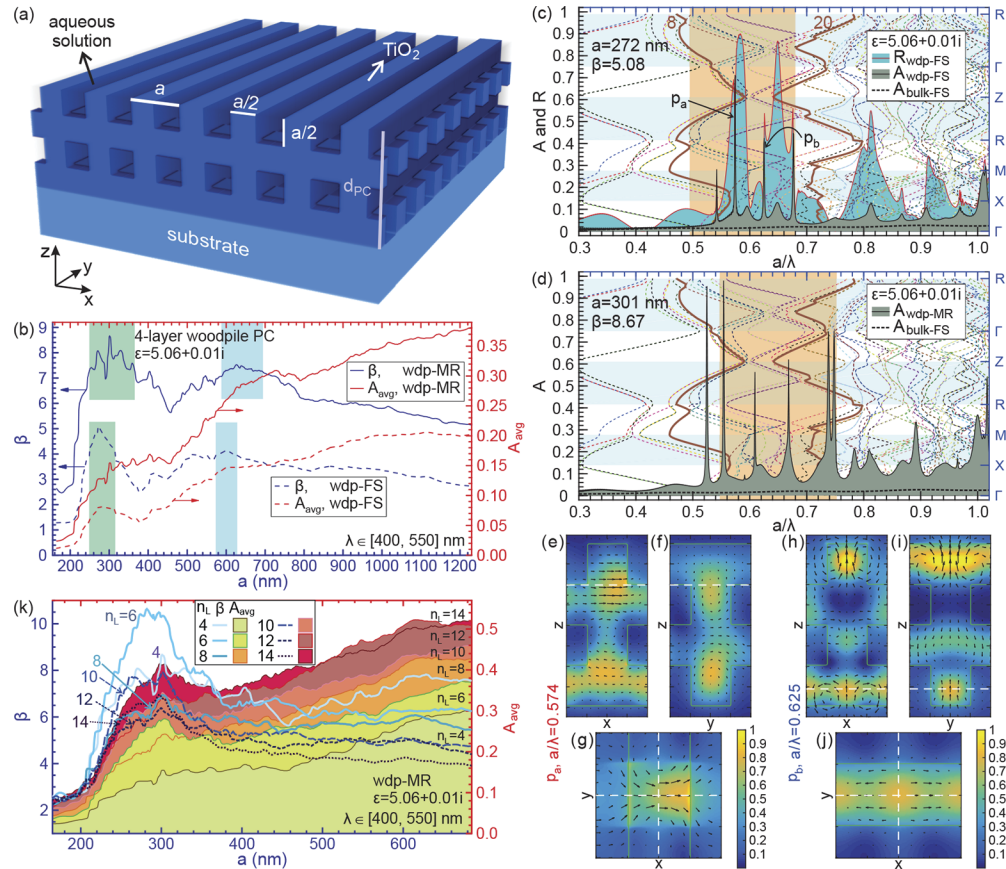


Fig. 1. Light trapping of TiO_2 simple cubic woodpile in aqueous solution for $\lambda \in [400, 550]$ nm using dispersionless dielectric Model I.01. (a) Illustration of wdp PC with lattice constant a and filling fraction $F = 50\%$. (b) A_{avg} and β for wdp-FS and wdp-MR architectures, with color shades highlighting the optimized ranges of a . (c, d) Spectra of absorptivity A and reflectivity R of 4-layer (c) wdp-FS and (d) wdp-MR architectures, and that of a planar TiO_2 film with equivalent bulk thickness (d_{TiO_2}) on FS substrates. The background color dashed lines depict the band diagram of simple cubic wdp in aqueous solution. The orange shadings demark $\lambda \in [400, 550]$ nm for (c) $a = 272$ nm and (d) $a = 301$ nm, respectively, for which β is calculated. (e-j) Distributions of electromagnetic energies (color map) and Poynting vectors (black arrows) at absorption peaks (e-g) p_a and (h-j) p_b as indicated in (c), in (e-f, h-i) longitudinal planes cut by white dashed lines in (g, j) transverse planes, and vice versa. The green contours outline the TiO_2 -electrolyte interface. (k) A_{avg} (filled colors) and β (blue lines) for wdp-MR configuration of $n_L = 4, 6, \dots, 14$ log layers.

Unless otherwise specified, we consider a simple cubic wdp PC with $n_L = 4$ layers of identical TiO_2 woodpile logs (2 unit cells). As shown in Fig. 1(a), each log has a cuboid shape with equal width and height and infinite length, corresponding to a filling fraction (the fraction of total volume of the catalyst material) of 50%.

3.1. Optimized slow-light modes in simple cubic wdp PC for light trapping

The optimized slow-light modes for light trapping in the simple cubic wdp are investigated using the dispersionless dielectric Model I.01 for lightly doped anatase [43]. In Fig. 1(b) we plot the average absorptivity and its enhancement in the spectral region of $\lambda \in [400, 550]$ nm by varying the lattice constant a . Clearly, the enhancement factor β exhibits a significant peak for $a \sim 240$ to 300 nm. The general tendency of rising A_{avg} with increasing a is due to the increase of overall TiO_2 volume.

To understand the dependence of A_{avg} and β on the lattice constant a , we plot the absorptivity and reflectivity of the 4-layer wdp-FS architecture in Fig. 1(c), depicted on top of the band diagram of wdp PC. When $a = 272$ nm, the absorption spectrum in the sub-gap spectral region of $\lambda \in [400, 550]$ nm ($a/\lambda \in [0.495, 0.680]$) features four strong absorption spikes between photonic bands 8 and 20. The average absorptivity A_{avg} reaches 0.081, with an enhancement factor $\beta = 5.08$. When the lattice constant is increased to $a = 375$ nm, the same spectral region (now $a/\lambda \in [0.682, 0.938]$) shifts out of the scope of photonic bands 8 to 20 and enters into the region of bands 20 to 45. The associated photonic modes of the latter band region are not as absorptive or as efficiently excited, resulting in a dip in β at $a = 375$ nm for the wdp-FS configuration in Fig. 1(b). When the lattice constant is further increased to $a = 600$ nm, higher order photonic modes between band numbers 70 and 190 shift down into the region of $\lambda \in [400, 550]$ nm, improving the absorptivity enhancement factor to $\beta = 4.12$ (with $A_{\text{avg}} = 0.147$).

To explore the mechanism of the strong light absorption in between photonic bands 8 to 20, we investigate the energy and Poynting vector distributions of absorption peaks marked in Fig. 1(c), p_a at $a/\lambda = 0.574$ and p_b at $a/\lambda = 0.625$. Here, we neglect the small imaginary part of the dielectric constant while keeping $\epsilon' = 5.06$. Figures 1(e-j) show that in both cases the electromagnetic energy is concentrated in TiO_2 wdp backbone rather than in aqueous solution, as required for light harvesting in the photocatalyst. Moreover, from the patterns of the Poynting vector distribution, the energy flows primarily in the transverse plane of the wdp structure, perpendicular to the light incident direction. This is the parallel-to-interface-refraction (PIR) of normal incident light, which substantially increases the optical path length and light-matter interaction time for charge carrier photogeneration [43,62–66]. Due to these PIR slow-light modes, photonic bands 8 to 20 are remarkably effective for light trapping. The light absorptivity at $a/\lambda = 0.574$ reaches 0.711 in the dispersionless dielectric Model I.01.

When the transparent fused silica glass bottom support is replaced by a perfect mirror substrate, the average absorptivity is increased to $A_{\text{avg}} = 0.128$ and its enhancement factor reaches $\beta = 8.05$ for $\lambda \in [400, 550]$ nm at $a = 272$ nm, comparing with $\beta = 5.08$ for the wdp-FS architecture. The absorptivity enhancement is further increased to $\beta = 8.67$ (with $A_{\text{avg}} = 0.155$) at $a = 301$ nm, the optimized lattice constant for the wdp-MR configuration [see Fig. 1(d) for the absorption spectrum]. The perfect mirror substrate eliminates the transmission loss and in general increases the absorptivity by ~ 2 times [62,66], as depicted in Fig. 1(b). Similar to the invop PC, a reflective substrate shifts the optimum lattice constant of the wdp structure to larger values by about 10 to 50 nm [49]. In addition, the enhancement factor in the wdp-MR configuration exhibits a second maximum at $a \sim 627$ nm, with $A_{\text{avg}} = 0.281$ and $\beta = 7.53$. In such a case, the photonic band numbers in the region of $\lambda \in [400, 550]$ nm range from 80 to 210.

Experimentally, photonic modes between the 8th and 20th bands have been observed to demonstrate strong absorptivity enhancement in wdp structure with lattice constant 450 nm [43]. The observation of the high-lying photonic modes among the 80th and 210th, on the other hand, requires very high resolution fabrication. A larger lattice constant of $a \sim 627$ nm partially eases the fabrication challenge, but has yet to be demonstrated experimentally.

Increasing the number of woodpile logs n_L raises the average absorptivity due to the increase of the active TiO_2 volume. However, the enhancement factor does not grow monotonically with n_L due to the existence of optimized PC modes for light trapping and absorption saturation. In

Fig. 1(k) we investigate the wdp-MR configuration with $n_L = 4, 6, 8, 10, 12$ and 14 woodpile log layers. At $a \sim 300$ nm, the average absorptivities dramatically increase for 6-, 10- and 14-layer wdp-MRs, while for 8- and 12-layer wdp-MRs the improvements are small. As a result the 8- and 12-layer wdp-MRs exhibit low β around the optimized lattice constant ~ 300 nm, while the 6-layer wdp-MR demonstrates the highest β . Similarly, for the wdp-FS architecture with optimized lattice constant $a \sim 270$ nm, $\beta = 4.9$ for $n_L = 4$ and 6, $\beta = 4.4$ for $n_L = 10$ and 14, $\beta = 4.0$ for $n_L = 8$ and $\beta = 3.8$ for $n_L = 12$. Therefore, the 6-layer wdp PC appears to be the most advantageous for light-trapping. We focus on the 4-layer wdp PC in this work due to its facile fabrication. In the next subsection we align the photonic modes between the 8th and 20th bands with the sub-gap region of TiO_2 in a 4-layer wdp PC, taking into account the material dispersion at and above the electronic band gap.

3.2. Light harvesting by wdp PC in the UV-visible spectral range

We now discuss the optimized lattice constant of wdp structure placed on a MR substrate using the dispersive dielectric Model II in the spectral region from 282 nm to 550 nm. The MAPD and its enhancement factor ζ are the criteria we use to evaluate wdp structures in this subsection.

From Fig. 2(a), the optimum lattice constant for light harvesting using wdp-MR configuration is $a \sim 350$ nm. We break the MAPD of the entire UV-visible spectral range into contributions from the UV ($\lambda \in [282, 400]$ nm) and the visible ($\lambda \in [400, 550]$ nm) regions. In the UV region, the MAPD and its enhancement ζ remain flat as functions of a , due to the large intrinsic absorptivity and the scarcity of sunlight. In the visible region, the MAPD enhancement exhibits a

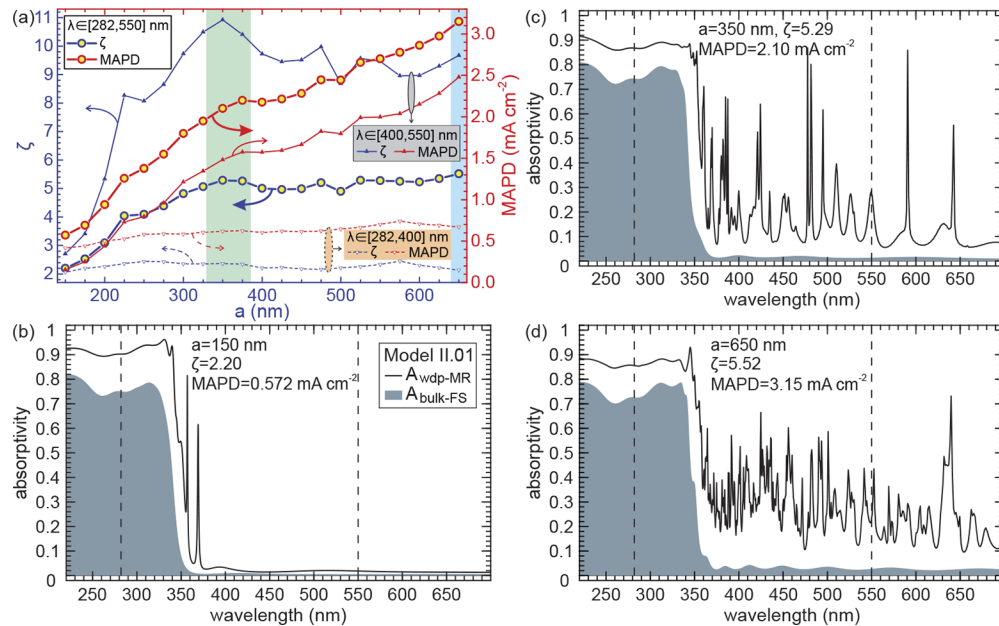


Fig. 2. Light harvesting of 4-layer TiO_2 simple cubic woodpile PC on MR substrate using the dispersive dielectric Model II.01. (a) The MAPD (red lines) and its enhancement factor ζ (blue lines) in different wavelength regions, with color shades highlighting the optimized ranges of the lattice constant a . (b-d) Absorptivity spectra (black lines) of wdp-MR architectures and planar TiO_2 films with equivalent bulk thicknesses on FS substrates (gray-blue filled color). The vertical black dashed lines demarcate $\lambda \in [282, 550]$ nm regions for (b) $a = 150$ nm, (c) $a = 350$ nm, and (d) $a = 650$ nm, respectively, for which ζ and MAPD are calculated.

peak at $a = 350$ nm due to the strong light trapping ability of the photonic modes between bands 8 to 20. Since the photon numbers in the $\lambda \in [400, 550]$ nm are six times that in the UV range, the optimum lattice constant for the entire UV-visible range is determined by the visible light harvesting. The enhancement in 4-layer wdp-MR configuration as a function of lattice constant is more flat [Fig. 2(a)] than for the invop PC [49]. This reduced sensitivity to variation in lattice constant suggests that fabrication requirements are likewise less stringent.

The absorption spectra of wdp-MR configuration for different lattice constants are given in Figs. 2(b-d). At $a = 150$ nm, low order photonic modes fall into the vicinity of the TiO₂ electronic band edge, giving rise to an MAPD enhancement factor of $\zeta = 2.20$. This enhancement is weak as it comes solely from the UV region with scarce sunlight. As the lattice constant increases, higher order photonic modes shift to the UV-visible frequency range. At $a = 350$ nm, optimum photonic bands 8 to 20 emerge in the visible light region, dramatically increasing ζ to 5.29. At $a = 650$ nm, much higher order photonic bands are activated in the $\lambda \in [282, 550]$ nm range, leading to an MAPD enhancement factor of $\zeta = 5.52$. As more photonic bands (of higher orders) are placed in this spectral region (using larger lattice constant), the absorption enhancement is much more broadband than for the case of $a = 150$ nm.

The delicate and precise layer-by-layer fabrication of the wdp PC enables the use of high order photonic bands. As a result, large absorptivity and MAPD enhancements can be achieved with small PC thickness because normal incident light is diffracted into high order slow-light modes, propagating laterally in the PC film, ensuring longer light-matter interaction time. Small PC thickness then enables efficient charge carrier collection. However, the large-scale fabrication of high quality woodpiles may be expensive, making this architecture challenging for broad application.

4. Square lattice nanorod PC

Nanorods and/or nanowires are widely studied in photovoltaics and photocatalysis. In photoelectrochemical cells [67], they have shown enhancement in carrier photogeneration and good charge carrier transport in the catalyst. The advantage of nanorods, comparing with planar photoelectrodes, lies in the dissociation of light absorption and minority charge carrier collection. A long nanorod length may enhance absorptivity of light propagating along the rod axis, while a small nanorod diameter may reduce the distance that minority charges need to diffuse to the catalyst surface before bulk recombination. Moreover, nanorods provide a large surface area for chemical redox reactions at the semiconductor-electrolyte interface.

We consider nanorod PC (nrPC) in the form of a 2D square lattice of identical nanorods with lattice constant a , rod radius r and length h [see Fig. 3(a)]. Here, light trapping is enhanced by a densely distributed set of photonic Bloch modes, compared to the sparse leaky modes for individual, isolated nanorods [65,68,69]. The optical absorption of square lattice nrPCs has been theoretically investigated for materials of Si [70–74], InP [73,75], and GaAs [73,74,76]. Highly ordered nanorod arrays have been fabricated using various dry etching and chemical deposition methods [77] with Si [78–80], Ge [81], GaN [82], InAs [83], InP [84], ZnO [85], etc., demonstrating enhanced light trapping [79,80].

However, previous studies of TiO₂ nanorod solar cells [86] and photocatalysis [87] have focused on non-periodic arrays synthesized by bottom-up approaches such as hydrothermal [86,87], metal-organic chemical vapor deposition (MOCVD) [88] and sol-gel [89] methods. A few ordered TiO₂ nanorod arrays were fabricated using pulsed laser deposition [90], oblique angle deposition [91] and photoelectrochemical etching [92] with limited control over the geometric shape. High quality TiO₂ nrPC with wide range tunability of the PC lattice constants, rod radii and rod lengths may be potentially fabricated by techniques such as MOCVD.

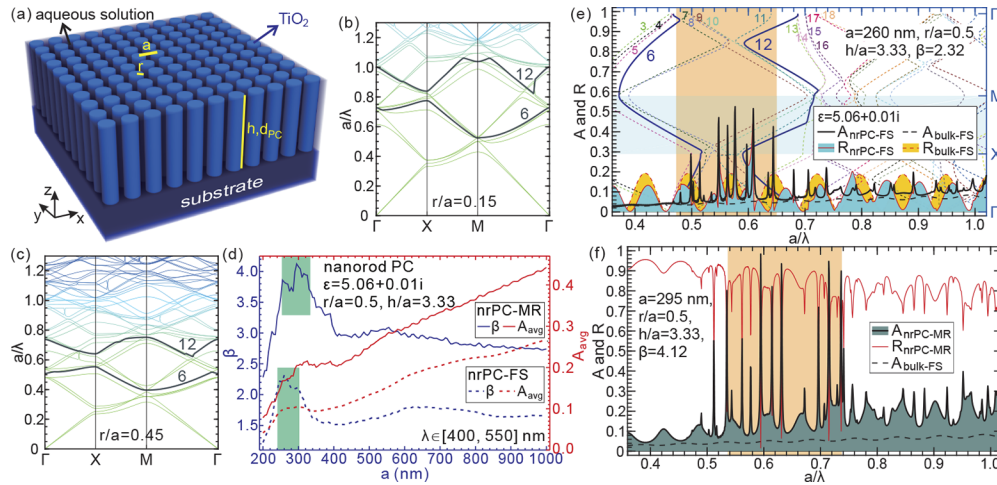


Fig. 3. Light trapping of TiO₂ square lattice nanorod PC in aqueous solution for $\lambda \in [400, 550]$ nm using the dispersionless dielectric Model I.01. (a) Illustration of nrPC with lattice constant a , rod radius r and rod length h . (b, c) The band diagrams of nrPC in aqueous solution of $r/a = 0.15$ and 0.45 , respectively, with the 6th and 12th photonic bands highlighted. (d) A_{avg} (red lines) and β (blue lines) for nrPC-FS (dashed lines) and nrPC-MR (solid lines) architectures, with color shades highlighting the optimized ranges of a . (e, f) Spectra of absorptivity A and reflectivity R of (e) nrPC-FS and (f) nrPC-MR with $r/a = 0.5$ and $h/a = 3.33$, and bulk-FS architectures. The background color lines depict the band diagram of the corresponding nrPC in aqueous solution, with band numbers 3 to 18 labeled on top. The orange shadings demark $\lambda \in [400, 550]$ nm regions for (e) $a = 260$ nm and (f) $a = 295$ nm.

4.1. Optimized slow-light modes in nrPC for light trapping

We first investigate light trapping of nrPCs in the sub-gap region of TiO₂ to identify the optimum lattice constant and photonic band region for visible light harvesting using the dispersionless dielectric Model I.01. In Figs. 3(b, c) we plot the 2D band diagrams of nrPC in aqueous solution for $r/a = 0.15$ and 0.45 . Photonic bands shift towards lower frequency as the lattice constant a increases (both for fixed r/a ratio and fixed r alone). Increasing r , for fixed a , also pulls higher order bands down in frequency. The aim is to place a high spectral density of slow-light modes into the 400 - 550 nm band. Both light trapping and absorption are enhanced by a large r/a ratio. In this subsection, we focus on the close-packed limit of $r/a = 0.5$, corresponding to a filling fraction of $F = 78.54\%$. The variation of r/a is further discussed in Sec. 4.2.

In Fig. 3(d) we plot the average absorptivity and its enhancement β for both nrPC-FS and nrPC-MR architectures with nanorod length $h = 3.33a$ using dielectric Model I.01 for $\lambda \in [400, 550]$ nm. The optimized lattice constant is around ~ 300 nm. At $a = 260$ nm, the nrPC-FS architecture demonstrates $A_{\text{avg}} = 0.102$ and $\beta = 2.32$, while at $a = 295$ nm the nrPC-MR architecture demonstrates $A_{\text{avg}} = 0.204$ and $\beta = 4.12$. The corresponding spectra of absorptivity A and reflectivity R are illustrated in Fig. 3(e) for nrPC-FS and 3(f) for nrPC-MR architectures.

From Fig. 3(e), both the nrPC and planar TiO₂ film demonstrate regular multiple reflection peaks due to interference. The nrPC generally reduces the reflectivity compared with the planar thin film structure [69,84,93,94]. The contrast, however, is small in Fig. 3(e) due to the high filling fraction in our example. Nevertheless, the 2D nrPC demonstrates smaller reflectivity comparing with the three-dimensional (3D) PCs such as invop [see Figs. 10(c-e)] and wdp [see Fig. 1(c)] structures. This makes nrPC a good anti-reflection [70,76] layer in composite architectures of photocatalyst.

The absorption spectra in Figs. 3(e, f) exhibit a series of strong resonant peaks. These highly absorptive resonances, marked by orange shadings, are photonic modes between band numbers 6 and 12. They are placed in the TiO_2 subgap of [400, 550] nm by proper choice of lattice constant $a = 260$ nm for nrPC-FS and $a = 295$ nm for nrPC-MR. Outside this region the PC photonic modes become less absorptive. For fixed h/a and r/a , this results in a linear increase of A_{avg} as a function of a for $a > 400$ nm in Fig. 3(d).

4.2. Light harvesting by nrPC in the UV-visible spectral range

The influences of the lattice constant, nanorod radii and lengths on optical absorption have been theoretically investigated for narrower bandgap semiconductors such as Si, Ge, InP, GaAs, InGaP, CdTe [70,71,73–76]. The TiO_2 nrPC has substantially different material dispersion and requires its own optimization (using dielectric Model II.01) for light harvesting in the 282 - 550 nm range and for comparison with other TiO_2 PC structures.

In Figs. 4(a, b), the MAPD and its enhancement ζ are plotted for nrPC-MR architecture for different geometries with nanorod length of $h = 1.3 \mu\text{m}$. In Fig. 4(a) and the inset of Fig. 4(b) we vary a by fixing $r = 80$ nm and $r/a = 0.48474$, respectively. The choice of r/a in the inset of Fig. 4(b) corresponds to a filling fraction of $F = 73.82\%$ that enables a direct comparison with the scPore structure with $r = 0.5a$ in Sec. 5.2 [see Figs. 6(a, b)]. From Fig. 4(a), both MAPD

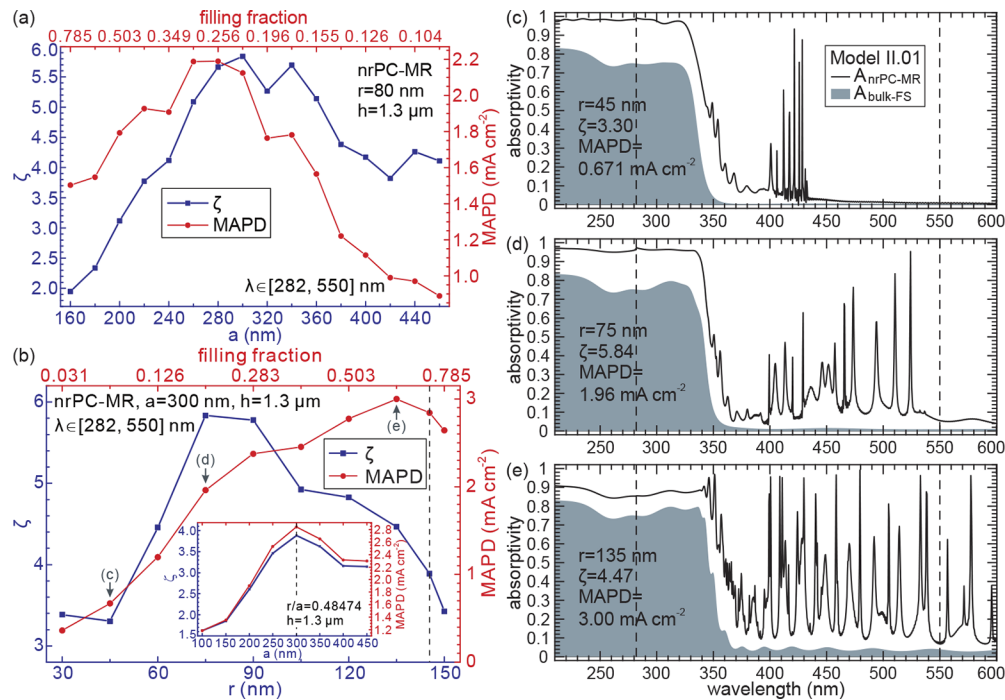


Fig. 4. Light harvesting of a TiO_2 square lattice nrPC-MR architecture for $\lambda \in [282, 550]$ nm using the dielectric Model II.01. (a, b) MAPD (red lines) and ζ (blue lines) for nrPC-MR with $h = 1.3 \mu\text{m}$ as functions of (a) a for fixed $r = 80$ nm and (b) r for fixed $a = 300$ nm. The inset in (b) shows that the optimum lattice constant is $a = 300$ nm for fixed $h = 1.3 \mu\text{m}$ and $r/a = 0.48474$ ($F = 73.82\%$, marked by the vertical dashed black line in the main plot). (c-e) Absorptivity spectra of nrPC-MR (black lines), with $a = 300$ nm and $h = 1.3 \mu\text{m}$, and bulk-FS (gray-blue filled color) architectures for (c) $r = 45$ nm, (d) $r = 75$ nm, and (e) $r = 135$ nm. The vertical black dashed lines demarcate $\lambda \in [282, 550]$ nm regions for which ζ and MAPD are calculated.

and ζ increase as a reduces from ~ 420 nm. The optimum lattice constant, as shown in Figs. 4(a, b), is ~ 300 nm. A lattice constant smaller than ~ 260 nm reduces the light trapping strongly despite the increase of the filling fraction.

In the main plot of Fig. 4(b), we fix the lattice constant $a = 300$ nm and nonrod length $h = 1.3 \mu\text{m}$ and vary the nanorod radius (and the filling fraction, accordingly). The MAPD increases with r from $r = 30$ nm (0.36 mA cm^{-2}) to $r = 135$ nm (3.00 mA cm^{-2}), due to the emergence of light-trapping PC modes and the increase in volume of absorptive TiO_2 . Further increase of r strongly suppresses light trapping in nrPC, reducing the MAPD in spite of the increase in absorptive material volume. Light trapping optimization is seen from the MAPD enhancement factor ζ , which exhibits a peak in the $r = 75$ to 90 nm range (i.e., $r/a \in [0.25, 0.3]$). The enhancement factor ζ reaches 5.84 (where $\text{MAPD} = 1.96 \text{ mA cm}^{-2}$) at $r = 75$ nm for $\lambda \in [282, 550]$ nm.

To explain the dependence of MAPD and ζ on r , we plot the absorption spectra of nrPC-MR with $a = 300$ nm, $h = 1.3 \mu\text{m}$ for three different nanorod radii $r = 45, 75,$ and 135 nm in Figs. 4(c-e). For the sparse nrPC with $r/a = 0.15$, the strongly absorptive photonic modes are confined to the spectral region of $\lambda \in [400, 430]$ nm. As the r/a increases to 0.25, these modes move to the spectral region of interest from 400 to 550 nm. This dramatically increases ζ as shown in Fig. 4(b). This trend agrees with the band diagrams in Figs. 3(b, c), showing red shifts with increasing rod radius r . The PC light trapping saturates when the nanorod radius increases to 135 nm with $r/a = 0.45$. This provides the best MAPD of 3.0 mA cm^{-2} , but reduces the MAPD enhancement factor ζ . The maximum enhancement occurs when the nrPC has a filling fraction $F \sim 20\% - 30\%$, whereas the best MAPD is realized near close-packing. In the next section we discuss a better 2D structure that further suppresses the reflection of incident light by a gradient refractive index.

5. Square lattice slanted conical-pore PC

We now consider a square lattice of conical pores etched into bulk TiO_2 . In the slanted version of this structure, namely, slanted conical-pore (scPore), each pore has an oblique orientation, with the apex aligned away from below the base center [see Figs. 5(a) and 11]. The scPore PC exhibits strong synergy between the photonic slow-light modes and reduced reflection loss due to its graded refractive index profile [58,95–97]. Recently, scPore PC was suggested as a photoelectrode for solar fuel generation in photoelectrochemical cell, demonstrating superior light trapping and charge transport comparing with traditional structures of photoelectrode [58]. Similar PCs of inverted pyramids with periodicity 500 nm to 1500 nm [98,99] and parabolic-pores of lattice constant $1.2 \mu\text{m}$ [100] have been fabricated on crystalline silicon using wet and dry etching methods, respectively. Both have comparable light trapping abilities [101].

As shown in Figs. 5(a) and 11, the scPore PC is characterized by four parameters: the square lattice constant a , the radius r of the base of the cone, the height h of the cone, and the slant distance p . In this work, unless otherwise specified, we assume $p = r$ [meaning the apex of the cone is vertically aligned to the edge of the base of the cone as in Fig. 5(a)] and mainly discuss $a = 2r$ (filling fraction $F = 73.82\%$) and $a = 1.739r$ ($F = 66.09\%$, see Appendix C for details).

5.1. Light trapping by scPore PC in the sub-gap region of TiO_2

We first investigate the influence of the slant distance p on light trapping in scPore PCs using the dispersionless dielectric Model I.01. In Fig. 5(b) we consider an scPore PC on fused silica glass substrate with $r = 0.5a$ and $h = 3.71a$. The absorptivity enhancement is significant around $a = 300$ nm, as well as $a \sim 850$ nm for p/r in the range of 0.4 to 0.9. The smaller lattice constant utilizes lower order resonant photonic modes, which are more robust against lattice disorder. Moreover, it reduces the necessary distance that photogenerated minority charge carriers have to diffuse to reach the reaction sites on the TiO_2 -electrolyte interface. For $a \sim 300$ nm, the

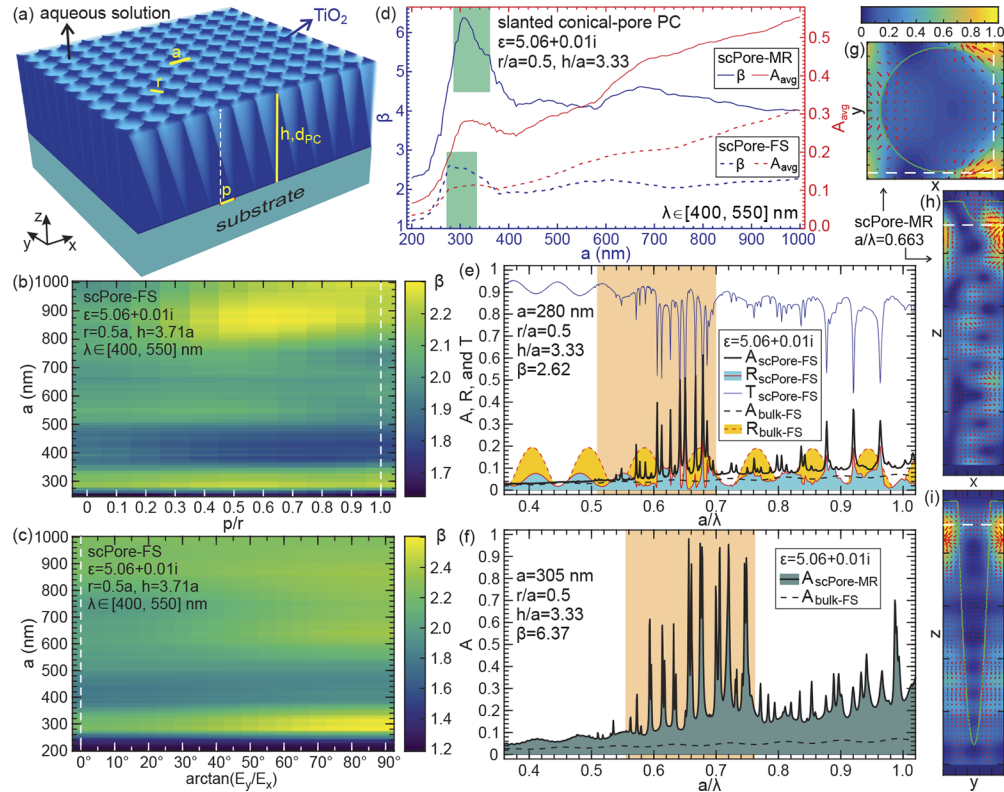


Fig. 5. Light trapping of TiO₂ square lattice (slanted) conical-pore in aqueous solution for $\lambda \in [400, 550]$ nm using the dispersionless dielectric Model I.01. (a) Illustration of scPore with lattice constant a , base radius r , cone height h , and the slant distance $p = r$. (b) Color map of β for scPore-FS architecture as a function of a and p/r (slanted to the positive x -direction) under normal, x -polarized incidence. (c) β for scPore-FS with $r = 0.5a$, $h = 3.71a$ and $p = r$, as a function of a and the polarization angle of incident light. (d) A_{avg} (red lines) and β (blue lines) for scPore-FS (dashed lines) and scPore-MR (solid lines) of $r = 0.5a$ and $h = 3.33a$, with color shades highlighting the optimized ranges of a . (e, f) The spectra of A , R and transmissivity T of (e) scPore-FS, (f) scPore-MR, and bulk-FS architectures. The vertical orange shadings demark $\lambda \in [400, 550]$ nm region for (e) $a = 280$ nm and (f) $a = 305$ nm, respectively, for which β is calculated. (g-i) Distributions of electromagnetic energies (color map) and Poynting vectors (red arrows) at $a/\lambda = 0.663$ of an scPore-MR architecture along (g) transverse and (h, i) longitudinal planes indicated by the white dashed lines in (h, i) and (g), respectively. The solid green lines depict the TiO₂-electrolyte interface.

conical-pore PC with oblique cones ($p/r \neq 0$) demonstrates stronger absorption enhancement than right cones ($p/r = 0$). In what follows we consider $p/r = 1$, with the apex of the cone shifted to the positive x -direction [see Fig. 5(a)].

Since the scPore PC is anisotropic, it is worthwhile to consider the response to different polarizations of incident light. In Fig. 5(c) we change the polarization angle of the normal incident light with respect to the slant direction (x) of the scPore PC on FS substrate. The polarization angle is defined as $\arctan(E_y/E_x)$, where $E_{x,y}$ are the x - and y -components of the electric field of the incident irradiation. Figure 5(c) shows the absorptivity increases with the polarization angle. Namely, a y -polarized photon is absorbed more strongly than an x -polarized photon by an scPore PC slanted to the x -direction. This is true for both scPore-FS and scPore-MR architectures. In this paper (unless otherwise specified), we consider x -polarized incident light. The absorption of unpolarized solar light by the scPore PC is, therefore, stronger than our depictions for x -polarized light.

In Fig. 5(d) we plot the average absorptivity A_{avg} and its enhancement factor β by varying the lattice constant a for fixed $r = 0.5a$ and $h = 3.33a$. It is seen that for both scPore-FS and scPore-MR architectures, $a \sim 300$ nm is the optimum lattice constant for light trapping. This is independent of the height of the PC. At $a = 280$ nm, the scPore-FS architecture demonstrates $A_{\text{avg}} = 0.105$ and $\beta = 2.62$, while at $a = 305$ nm, the scPore-MR architecture demonstrates $A_{\text{avg}} = 0.279$ and $\beta = 6.37$.

The corresponding spectra of absorptivity A , reflectivity R , and transmissivity T of the scPore PC are illustrated in Figs. 5(e, f). The reflectivity of scPore-FS is noticeably smaller than that of a planar film on fused silica glass. The filling fraction of the scPore PC ($F = 73.82\%$) shown in Fig. 5(e) is similar to that of the nrPC ($F = 78.54\%$) shown in Fig. 3(e), and the ratio $h/a = 3.33$ is the same. This enables a direct comparison of the anti-reflection properties of these two types of PCs. Clearly, the scPore PC exhibits better anti-reflection effect than the nrPC. The latter, nevertheless, is less reflective than the invop and wdp PCs.

When $a = 280$ nm, dense group of absorption spikes appears in the $\lambda \in [400, 550]$ nm region [see Fig. 5(e)], corresponding to optimized light trapping. Unlike invop and wdp structures, the reflectivity of scPore on fused silica glass substrate remains small in the entire spectral region from $a/\lambda = 0.4$ to 1.8. Instead, the transmissivity can be used experimentally to identify the optimized light-trapping parameters for the scPore-FS architecture. When the scPore PC is placed on a perfect mirror substrate, a higher density of stronger absorption peaks appears in $\lambda \in [400, 550]$ nm range at $a = 305$ nm as shown in Fig. 5(f). This leads to $\beta = 6.37$, in contrast to $\beta = 2.62$ for the scPore-FS architecture at $a = 280$ nm.

A close inspection of highly absorptive photonic modes reveals PIR slow light in the optimized scPore structure. Figures 5(g-i) illustrate the electromagnetic energy and Poynting vector distributions at the frequency of $a/\lambda = 0.663$ ($\lambda = 452$ nm for $a = 300$ nm), assuming $\varepsilon = 5.06$ for TiO_2 . Significant energy flux flows transversely around the conical pore, enabling high absorptivity of normal incident light with small thickness of the PC.

5.2. Light harvesting by scPore PC in the UV-visible spectral range

In this subsection we optimize sunlight harvesting of the scPore-MR architecture in the UV to visible spectrum using the dispersive dielectric Model II.01, taking into account the realistic TiO_2 material dispersion. In Fig. 6(a), we vary the lattice constant a with fixed $r/a = 0.5$ and cone height $h = 1.3 \mu\text{m}$. In contrast to the result for the visible spectrum in Sec. 5.1, the optimum a now shifts from ~ 300 nm to 350 nm as a result of material dispersion, above-gap absorption and the actual solar spectrum. With the same filling fraction $F = 73.82\%$ and PC thickness $1.3 \mu\text{m}$, scPore-MR demonstrates superior light trapping compared with nrPC-MR architecture [see Fig. 4(b)].

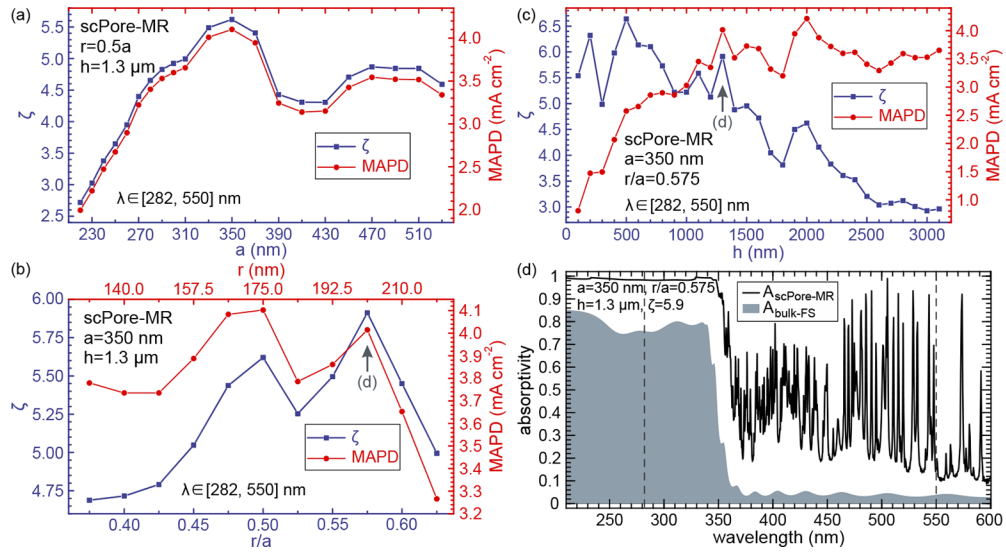


Fig. 6. Light trapping of square lattice scPore-MR in aqueous solution for $\lambda \in [282, 550]$ nm using the dispersive dielectric Model II.01. (a-c) The MAPD and ζ as functions of the lattice constant a , base radius r , and pore depth h . (d) Absorptivity spectrum of scPore-MR (black line), with $a = 350 \text{ nm}$, $r = 0.575a$ and $h = 1.3 \mu\text{m}$ [corresponding to dark green arrows in (b) and (c)], and bulk-FS (gray-blue filled color) architectures. The vertical black dashed lines demarcate $\lambda \in [282, 550] \text{ nm}$ region in which ζ and MAPD are calculated.

In Fig. 6(b) we optimize r by fixing the optimum $a = 350 \text{ nm}$ and assuming $h = 1.3 \mu\text{m}$. Two optimum radii of the cone base become apparent: $r = 0.5a$ and $r = 0.575a$. We note that the filling fraction decreases as r/a increases (see Appendix C for details). Stronger MAPD enhancement can occur with less TiO_2 . Specifically, ζ is 5.62 for $r = 0.5a$, whereas it reaches 5.91 for $r = 0.575a$.

Lastly, we investigate the influence of the cone height h by fixing the optimized $a = 350 \text{ nm}$ and $r = 0.575a$. Increasing h increases the TiO_2 volume with fixed filling fraction. This raises the MAPD and decreases its enhancement (due to absorption saturation), as seen in Fig. 6(c). Of particular interest is the rate of increase of MAPD with cone height. Figure 6(c) reveals two distinct regimes of MAPD: $h \lesssim 1100 \text{ nm}$ and $h \gtrsim 1100 \text{ nm}$. The former regime exhibits rapid increase of MAPD with h and remarkable PC light trapping. When $h = 500 \text{ nm}$, ζ reaches 6.64 (with $\text{MAPD} = 2.58 \text{ mA cm}^{-2}$). For $h > 1100 \text{ nm}$, the increase of the light absorptivity becomes slower and ζ drops. When $h = 2.0 \mu\text{m}$, MAPD reaches 4.21 mA cm^{-2} with $\zeta = 4.62$.

Figure 6(d) shows the absorptivity spectrum of an scPore PC, on perfect mirror substrate, with $a = 350 \text{ nm}$, $r = 201.3 \text{ nm}$ and $h = 1.3 \mu\text{m}$. This structure exhibits MAPD of 4 mA cm^{-2} and enhancement factor of $\zeta = 5.91$. The visible light absorptivity is strongly enhanced, and the UV light absorptivity is increased to near unity.

6. Comparison of light trapping in optimized photonic crystals

We now compare the simple cubic woodpile, slanted conical-pore, and nanorod PC with each other and the more widely studied inverse opal PC. The optimization of the invop PC is described in Ref. [49]. Structural and light trapping properties of the invop PC are provided in Appendix B.

We first compare the average absorptivities for different PC-MR architectures in the sub-gap region $\lambda \in [400, 550] \text{ nm}$ of pristine TiO_2 described by the dispersionless dielectric Model I.001 (i.e., $\varepsilon = 5.06 + 0.001i$). The comparison is made with respect to the equivalent bulk thickness

d_{TiO_2} and the overall PC thickness d_{PC} . For a given volume filling fraction F , d_{TiO_2} and d_{PC} can be varied by rescaling the whole PC (keeping the fixed ratios of the structural parameters). Alternatively, the number of layers n_L (for invop and wdp PCs) or the height h of the elementary structure (for scPore and nrPC) can be changed. Experimentally, the wdp PC favors overall rescaling since it is difficult to align a large number of layers of woodpile logs using layer-by-layer fabrication. On the other hand, the overall thickness of the invop PC is easily increased by deposition and self-assembly of opal spheres [102]. Here we focus on the second way as it preserves the optimum photonic bands in frequency for comparison.

The light absorptivities as a function of d_{TiO_2} illustrate the light trapping capabilities of the PC structures. In Fig. 7(a), we fix the lattice constant for each type of PC to its optimum and vary d_{TiO_2} . The optimized invop-MR architecture has $D \sim 350$ nm [49], and the optimized wdp-MR, nrPC-MR and scPore-MR architectures have $a \sim 300$ nm. Comparing the four optimized structures the rank of light trapping capability for given mass of TiO_2 (fixed d_{TiO_2}) is invop-MR > scPore-MR > wdp-MR > nrPC-MR.

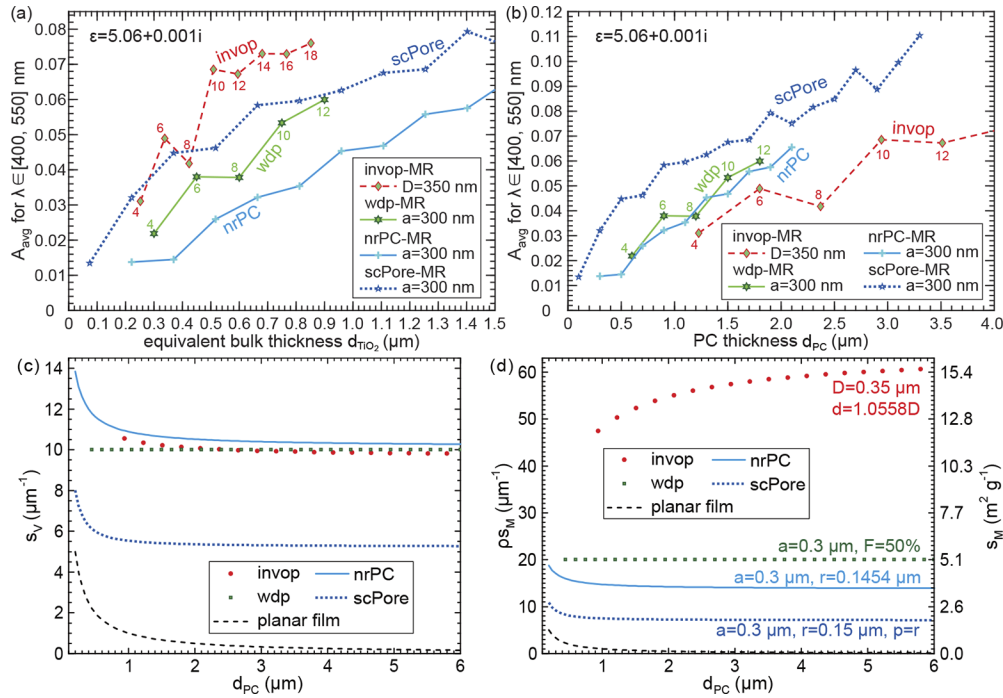


Fig. 7. Comparisons of the (a, b) average absorptivities A_{avg} for $\lambda \in [400, 550]$ nm, (c) volume-specific surface area s_V , and (d) mass-specific surface area s_M of the face-centered cubic inverse opal, simple cubic woodpile, square lattice nanorod, and square lattice slanted conical-pore PCs on highly reflective perfect mirror substrates. Comparisons are made with respect to (a) d_{TiO_2} and (b-d) d_{PC} , using the dispersionless dielectric Model I.001 with $\epsilon = 5.06 + 0.001i$. d_{TiO_2} and d_{PC} are varied by changing the number of layers n_L , or cone and/or nanorod heights, with fixed lattice constant a . The numbers 4, 6, \dots , 18 in (a, b) indicate the number of woodpile log layers for wdp PC and opal layers for invop PC. The mass density of TiO_2 is assumed to be $\rho = 3.9 \text{ g cm}^{-3}$ in (d). The structural parameters are fixed as follows: For invop, $d = 1.0558D$ (where d is the diameter of the opal sphere and D is the center-to-center distance between adjacent spheres). For wdp, the height and width of each woodpile log are $a/2$. For nrPC, $r = 0.48474a$ and for scPore, $r = a/2$ and $p = r$. The nrPC and scPore PC have the same filling fraction $F = 73.82\%$.

A comparison based on d_{PC} involves both the PC light trapping for photogeneration and the subsequent charge carriers transport. In Fig. 7(b), the absorptivities of the same structures as in Fig. 7(a) are plotted as functions of d_{PC} instead of d_{TiO_2} . Clearly, the ranking of the light trapping capability for fixed PC height becomes scPore-MR > wdp-MR ~ nrPC-MR > invop-MR. This is due in part to their relative volume filling fractions: $F_{scPore} = 73.82\%$, $F_{wdp} = 50\%$, and $F_{invop, n_L=\infty} = 15\%$ (see Appendix B.1 for the n_L -dependent filling fraction of invop PC).

In applications such as photoelectrochemical cell for water splitting, photogenerated electrons are collected by the bottom contact (photocathode) for reduction reactions, while holes move to the semiconductor-electrolyte interface (photoanode) for oxidation reactions. Charge separation and transport are heavily influenced by the structure of the photocatalyst [58]. Typically, in a n-type semiconductor, the minority carriers (holes) have much shorter diffusion length than the majority carriers (electrons). The photocatalyst structure should (i) trap light strongly, (ii) possess hierarchical length scales appropriate to the electron and hole diffusions, and (iii) provide straight conduction path for charge collection.

In PC structures the emergence of the PIR slow-light modes steers the normal incident light into the effectively infinite transverse plane of the photocatalyst. It significantly enhances the light absorptivity and decouples the photon propagation and the primary longitudinal charge conduction pathways. This alleviates the strong mismatch between the long light absorption length and the short charge diffusion length, therefore reduces the necessary catalyst thickness for photogeneration and, in turn, decreases the charge recombination during diffusion. In our PC architectures the relevant hierarchical length scales are the lattice constant, a , and the PC thickness, d_{PC} . In general, photogenerated holes need only to diffuse $\lesssim a/2$ to reach the reaction sites on the surface of the catalyst, while the photogenerated electrons have to diffuse $\gtrsim d_{PC}$ to be collected by the substrate. In TiO_2 , the hole diffusion length is $\sim 10 - 300$ nm [103–106] and the electron diffusion length is ~ 10 μm [59]. The former length scale roughly matches the optimum lattice constants $a \sim 300$ nm of wdp, nrPC and scPore structures. For invop structure, the necessary distance holes travel to the catalyst surface is determined by the thin scaffold wall which is typically much smaller than $a/2$. However, electrons must traverse a very precarious path to reach a bottom contact.

Both the scPore PC and nrPC offer straight conduction paths for majority charge carriers (electrons for n-type TiO_2) to the bottom contacts. Their similar morphology suggests they have comparable charge carrier transport properties. For comparison we choose their filling fractions to be the same in Fig. 7. The scPore-MR is clearly superior to nrPC-MR in light trapping capability. There are also some straight conduction paths for electrons in the wdp structure, but the interfaces of different woodpile logs may contain a high density of recombination centers. The invop structure does not provide any straight electron conduction paths [58], resulting in propitious charge carrier recombination.

Large specific surface area of the photocatalyst structure may boost reaction rates by increasing the exposure to the reactants. The volume-specific surface area of a PC is $s_V = S_{tot}/V_{PC}$, where S_{tot} and V_{PC} are the total surface area and volume, respectively, of a column of PC unit cells. For wdp PC with 50% filling fraction, $S_{tot} = (3/2)n_L a^2$, and for nrPC with $r \leq a/2$, $S_{tot} = \pi r^2 + 2\pi r h$. So $s_V = 3/a$ for wdp PC and $s_V = [\pi r(r + 2h)]/(a^2 h)$ for nrPC. The mass-specific surface area is $s_V/(\rho F)$, where ρ is the mass density of TiO_2 . The analytical expressions of volume- (s_V) and mass-specific (s_M) surface areas of invop and scPore PCs are given in Appendices B.1 and C, respectively. In Figs. 7(c, d) we compare specific surface areas of different PCs shown in Figs. 7(a, b) as functions of PC thickness.

From Figs. 7(c, d), the wdp, nrPC and invop structures have similarly large volume-specific area ~ 10 μm^{-1} . The invop PC exhibits the largest mass-specific surface area due to its porous structure. On the other hand, the scPore PC has noticeably less s_V and s_M . However, additional surface area can be introduced by texturing the inner surfaces of the scPore PC with nanowires

[58], similar to ZnO nanowires integrated on top of TiO₂ invop PC [107] and TiO₂ nanowires grown on silicon rods [108]. Augmented surface area of this nature has been shown to enhance the photoelectrochemical activity [109].

7. Conclusion

The Achilles heel of titania-based photocatalysis is its low efficiency under sunlight due to poor visible-light absorptivity. Strong modification on TiO₂ by heavy-doping often introduces excess recombination centers deteriorating the charge transport. Using lightly doped TiO₂ as a weak absorber in the sub-gap region and shaping it into photonic crystal (PC) architecture, the electron-hole recombination can be minimized while the photogeneration can be significantly enhanced through resonant photonic slow-light modes. Specific PC structures not only enable strong wave-interference-based light trapping, but also branch electron and hole diffusions, further diminishing charge carrier recombination. Parallel-to-interface-refraction, photonic modes decouple the light absorption from the charge conduction by guiding the normal incident light into transverse directions, increasing light-matter interaction time without proliferating the electron diffusion path. Therefore, strong photogeneration is achieved with small PC thickness which, in turn, reduces carrier loss. We compared four optimized PC structures of lightly doped TiO₂ for sunlight harvesting in the UV (above-gap) to visible (sub-gap) spectral range $\lambda \in [282, 550]$ nm, which is summarized in Table 1. Similar results apply to other wide-band semiconductor photocatalysts.

Table 1. Optimization of four PC structures on perfect mirror substrates for light harvesting in $\lambda \in [282, 550]$ nm range assuming dispersive dielectric Model II.01.

PC	Optimization					Example			
	a (nm)	r (nm)	p	F	n_L	bands	d_{PC} (μm)	MAPD (mA cm^{-2})	ζ
invop ^a	537	201		15%		5 - 15	1.953	2.1	5.1
wdp	350				6	8 - 20	0.7 ^b	2.1	5.3
nrPC	300	75		20%		6 - 12	1.3	2.0	5.8
scPore ^c	350	200	r	66%			1.3	4.0	5.9

^aResults of invop-MR are from Ref. [49] for center-to-center opal distance $D = 380$ nm and $n_L = 6$, where r is the radius of the opal sphere. The optimization on F for invop-FS architecture is discussed in Appendix B.2.

^bHere $n_L = 4$ and $F = 50\%$ is taken as an example due to its facile fabrication.

^cThe MAPD increases to 7.65 mA cm^{-2} for a $10 \mu\text{m}$ -thick scPore with also optimized $r = 175$ nm ($F = 74\%$) [58].

The inverse opals (invop) are useful for low-cost, low-efficiency photocatalysis without long-distance charge transport, such as large-scale environmental remediation applications. In the past, slow-light modes on the edges of the fundamental stop gap of invops have been utilized. Higher quality invops with sphere diameter ~ 350 nm improve the photocatalytic efficiency considerably by trapping sunlight in the higher-order slow-light photonic bands 5 to 15.

The simple cubic woodpiles (wdp) may be suitable for small-scale, high-efficiency photocatalysis using the experimentally demonstrated higher-order photonic bands. The charge conduction paths are much shorter and more straightforward than in invops, reducing the carrier recombinations.

The optimized nanorod array (nrPC) and slanted conical-pore (scPore) PCs strongly reduce incident light reflectivity and enhance light absorptivity. Both facilitate excellent charge transport, offering unobstructed conduction paths to the catalyst-electrolyte interface and the back contact. Photogenerated holes with short diffusion length can reach the catalyst-electrolyte interface by diffusing a distance less than one lattice constant (~ 350 nm), while photogenerated electrons with long diffusion length can be collected at the bottom of the PC after traveling a distance on the order of the PC thickness. Such bifurcation of the minority and majority carrier flow,

together with the light trapping capability, makes these two structures suitable for high-efficiency photocatalysis and solar fuel generation by water splitting. The optimized scPore is superior to the nrPC since it traps sunlight even more effectively and enables excellent charge transport. For lightly doped TiO₂ with imaginary part of the sub-gap dielectric constant 0.01, the MAPD reaches 4 mA cm⁻² in 1.3 μm-thick scPore of 66.1% filling fraction on perfect mirror substrate. This increases to 7.65 mA cm⁻² with 10 μm thickness of 74% TiO₂ filling fraction (see Table 1).

Of the four PCs studied, the scPore emerges as the best overall architecture for solar energy harvesting through a combination of best antireflection behaviour, best light trapping, and straightforward charge carrier transport.

Photocatalysis involves carrier photogeneration, electron and hole transports in the bulk, and charge transfer at the catalyst-electrolyte interface. In this work, we primarily focus on the significant improvement in photogeneration by optimized solar light trapping in PCs. Future investigation may combine optimized light trapping with comprehensive and microscopic modeling of bulk and interfacial charge carrier dynamics. This requires further detailed experimental measurements of key parameters such as surface recombination velocity of charges at the interface between lightly doped TiO₂ and aqueous solution. We hope our findings will stimulate more focused experimental studies on efficient photocatalysis based on optimized photonic crystals.

Appendix A. Dispersive dielectric model of anatase TiO₂

We characterize the optical properties of anatase by a Lorentz model of the dielectric function

$$\varepsilon(\omega) = \varepsilon_{\infty} + \sum_n \frac{s_n \omega_n^2}{\omega_n^2 - \omega^2 - i\omega\gamma_n}, \quad (5)$$

where $\varepsilon = \varepsilon' + i\varepsilon''$, ω is the frequency of incident light, ε_{∞} is a real constant number equal to ε' at the infinite frequency, ω_n and γ_n are the resonance frequency and width of the n th Lorentz oscillator, respectively, and the dimensionless real number s_n specifies the strength of the n th resonance.

The dispersive dielectric Model II of TiO₂ is obtained by fitting the experimental data reported in the early work on optical properties of single crystalline anatase [54]. The imaginary parts of

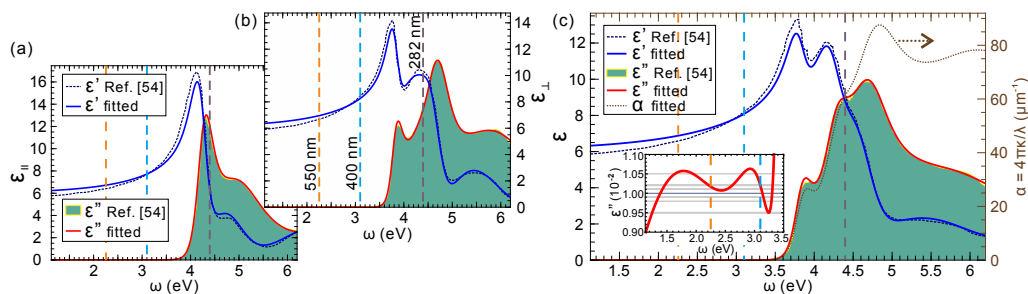


Fig. 8. Dielectric Model II of lightly doped anatase TiO₂. The deep violet dashed lines and green filled yellow lines correspond to the experimental data fetched from Ref. [54], and the solid blue and red lines are the fitted analytical curves of dielectric functions using Lorentz model (5) and Table 2 for electric fields (a) parallel and (b) perpendicular to the optical axis of single crystalline anatase. (c) The dielectric function of polycrystalline anatase is taken to be the weighted average of ε_{\parallel} and ε_{\perp} . The corresponding absorption coefficient α is also given in (c). The inset is a zoom-in of ε'' below 3.5 eV, with the horizontal grids marking the $\pm 1\%$, 2% , and 5% variations with respect to the designated value of 0.01. The vertical purple, cyan, and orange dashed lines label the 282, 400, and 550 nm wavelengths.

the dielectric functions are not available for frequencies less than ~ 3.5 eV in Ref. [54]. This is complemented with a constant $\varepsilon'' = 0.01$ to model lightly doped anatase, and fitted using Eq. (5) from 200 to 1100 nm wavelength. The fitting parameters of the dielectric functions for incident polarizations (electric field) parallel (ε_{\parallel}) and perpendicular (ε_{\perp}) to anatase optical axis are given in Table 2, and the fitting result is shown in Fig. 8.

Table 2. Fitting parameters of the dielectric function for lightly doped single crystalline anatase TiO₂ in Ref. [54] using Lorentz model (5).

	ε_{∞}	n	s_n	ω_n (eV)	γ_n (eV)
ε_{\parallel}	3.575772	1	3.484559	4.28117418	0.54553045
		2	-2.208034	4.87629831	2.38545587
		3	0.846480	7.37210011	1.81760827
		4	3.289875	5.00524187	1.57459925
		5	-2.876320	4.22042193	0.67695369
ε_{\perp}	3.456722	1	5.126594	4.65932597	0.98691418
		2	1.877224	5.95124126	2.10773128
		3	1.319631	3.84350998	0.38683068
		4	-1.305584	4.51550431	0.67447401
		5	-1.112714	3.80011551	0.47361962
		6	-0.777115	4.34564596	3.72696484
		7	-1.663916	4.90977404	1.04890627
		8	-0.693751	3.94269734	1.42829790

The polycrystalline anatase dielectric function used in our FDTD simulation is assumed to be the weighted average of ε_{\parallel} and ε_{\perp} , which is illustrated in Fig. 8(c). Depending on the sample preparation, doping strategy, and environmental temperature, polycrystalline anatase may exhibit a reduced band gap, a more gradual slope of the dielectric function at the band edge, and a moderate material dispersion in the sub-gap region. In this work we neglect these changes on the dielectric function. The inset of Fig. 8(c) shows a $\lesssim \pm 5\%$ variation of the fitted curve from the designated value of $\varepsilon'' = 0.01$ right below the band edge. Such variation is considered to be insignificant based on the smallness of the absorption coefficient.

Appendix B. Face-centered cubic inverse opal PC

B.1. Structure model

An opal PC made of close-packed, monodisperse spheres may form either face-centered cubic (fcc), hexagonal close-packed (hcp), or disordered structures. We consider, here, fcc opal configuration achieved by self-assembly of nanospheres. The densities of states for fcc and hcp inverse opals are similar [110,111]. We model the fcc opal template as composed of overlapping monodisperse, sacrificial spheres of diameter d surrounding hypothetical close-packed spheres of diameter D . The inverse opal consists of filling the void regions with TiO₂ and the sacrificial spheres with aqueous solution [see Fig. 9(a)]. If $d > D$, the overlapping spheres form an interconnected network. The TiO₂ inverse opal has a finite thickness along its natural growth direction [111] (defined as z direction) and is infinite in the x - and y -directions. The conventional fcc lattice constant is $a = \sqrt{2}D$.

The filling fraction F of the inverse opal depends on the number of opal layers n_L for fixed d and D [49]. We denote F_{n_L} as the filling fraction of an n_L -layer invop. In Fig. 9(b) we plot the filling fractions F of an 18-layer and infinite-size invops.

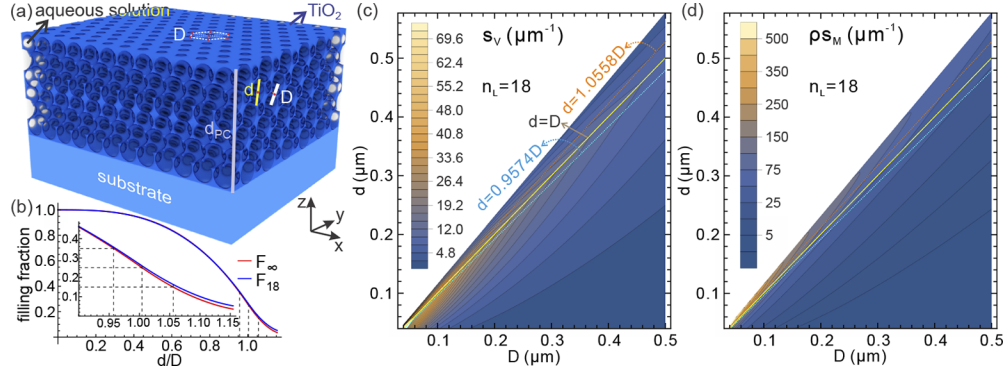


Fig. 9. The model of face-centered cubic inverse opal PC. (a) Illustration of TiO₂ 6-layer invop, with void center-to-center distance D and opal sphere diameter d , placed on a fused silica glass or perfect mirror substrate and immersed in aqueous solution. The overall height of the PC is d_{PC} . (b) The filling fractions F as functions of d/D for invop PCs of infinite size ($n_L = \infty$) and of $n_L = 18$ opal layers. The dashed lines mark the cases of $F_\infty = 0.15$, 0.25 and 0.35, corresponding to Figs. 10(c), (d) and (e), respectively. (c, d) Color maps of the volume- and mass-specific surface areas, s_V and s_M , respectively, of an 18-layer invop as functions of d and D .

The volume-specific surface area for n_L -layer invop is

$$s_{V,n_L} = \frac{1}{D} \left[\frac{2\pi\eta^2 n_L}{\sqrt{3}\eta + \sqrt{2}(n_L - 1)} + \frac{1}{\eta + \frac{2}{\sqrt{6}}(n_L - 1)} \right], \quad \text{for } 0 \leq \eta \leq 1, \quad (6)$$

and

$$s_{V,n_L} = \frac{1}{D} \left\{ \frac{2\pi\eta^2 \left[n_L \left(\frac{6}{\eta} - 5 \right) + 3 \left(1 - \frac{1}{\eta} \right) \right]}{\sqrt{3}\eta + \sqrt{2}(n_L - 1)} + \frac{1}{\eta + \frac{2}{\sqrt{6}}(n_L - 1)} \right\}, \quad \text{for } 1 \leq \eta \leq \frac{2}{\sqrt{3}}, \quad (7)$$

where $\eta = d/D$. The mass-specific surface area is then given by

$$s_{M,n_L} = s_{V,n_L} / (\rho F_{n_L}), \quad (8)$$

where ρ is the TiO₂ mass density and F_{n_L} can be found in Ref. [49] (for $\eta \in [1, 2/\sqrt{3}]$). In Figs. 9(c) and (d) we plot the color maps of s_V and s_M for an 18-layer invop as functions of d and D , respectively.

Experimentally, invops have been fabricated in a bottom-up approach by sol-gel infiltration [112], chemical vapor deposition [102], atomic layer deposition (ALD) [113], and electrodeposition [114] into self-assembled opal templates of sphere radii 100 nm to 1 μm .

A modified Bragg formula is widely used in experiments to crudely estimate the positions of the photonic stop gaps [49]:

$$\lambda = 2 \frac{d_L}{m} \sqrt{n_{\text{eff}}^2 - \sin^2 \theta}, \quad (9)$$

where d_L is the spacing between the structural layers, m is the diffraction order, θ is the incident angle, and $n_{\text{eff}} = F n_{\text{TiO}_2} + (1 - F) n_{\text{H}_2\text{O}}$ is an effective refractive index, where F is the filling fraction of TiO₂, n_{TiO_2} and $n_{\text{H}_2\text{O}}$ are the refractive indices of TiO₂ and water (aqueous solution), respectively. Here we consider the case of normal incidence along the [111] direction, therefore $\theta = 0$. F is taken to be that of an infinite size of PC lattice along the z direction.

B.2. Light trapping optimization of invop PC

Previous studies of the inverse opal PC for photocatalysis have focused on slow-light modes near the edges of the fundamental optical stop gap (1st to 4th photonic bands along the Γ -L direction) [40,42]. However, the optimal slow-light modes [49] for light trapping occur between the 5th and 15th photonic bands. With opal spheres of ~ 380 nm diameter, these optimum light-trapping modes are aligned with the visible solar spectral region 400 - 550 nm.

In Ref. [49] a filling fraction $F \sim 15\%$ was assumed. For close-packed opal spheres, a complete infiltration of the voids leads to a TiO_2 filling fraction of 26%, for an infinite-layer invop. By depositing a conformal layer of additional sacrificial material on the opal template, air channels can be created between spherical voids after TiO_2 infiltration and template removal. These air channels enable a filling fraction of $>60\%$ using a secondary ALD backfilling with TiO_2 [113]. Here we investigate the range of experimentally accessible filling fractions from 5% to 60% [113] using the dispersionless dielectric Model I.01.

In Figs. 10(a, b) we plot A_{avg} and β for an 18-layer invop-FS architecture as functions of opal center-to-center distance D and filling fraction F . The low values of β along the red dashed line in Fig. 10(b) is due to strong reflection loss from the fundamental stop gap of invops. Slightly

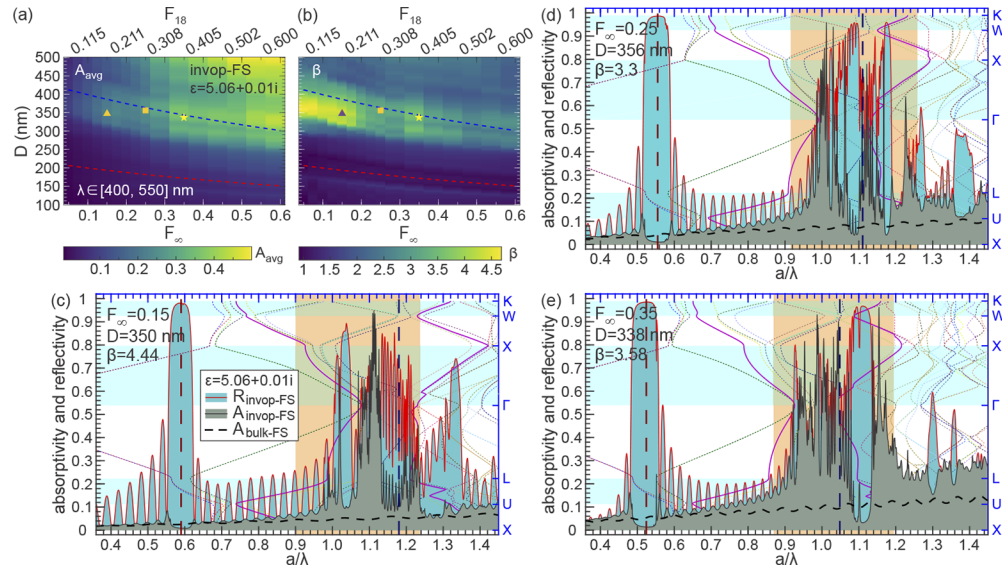


Fig. 10. Light trapping of face-centered cubic inverse opal PC in aqueous solution for $\lambda \in [400, 550]$ nm using the dispersionless dielectric Model I.01. (a, b) Color maps of the (a) A_{avg} and (b) β for an 18-layer invop-FS architecture as functions of opal center-to-center distance D and filling fraction F . The red and blue dashed lines mark the contours when the first and second order Bragg reflection peaks from modified Bragg formula (9), respectively, locate at $\lambda = 463$ nm. The solid triangles, squares, and pentagams mark the parameter sets illustrated by (c), (d), and (e), respectively. (c-e) The absorptivity (grey filled thin black lines) and reflectivity (cyan filled thin red lines) spectra of an 18-layer invop-FS architecture of (c) $F_{\infty} = 0.15$, (d) $F_{\infty} = 0.25$ and (e) $F_{\infty} = 0.35$. The absorptivities of bulk-FS architectures are depicted as thick black dashed lines. The background color lines depict the inverse opal band diagram, with the 5th and 15th bands highlighted. The orange shadings demarcate $\lambda \in [400, 550]$ nm regions in which β is calculated. The vertical dark red and dark blue dashed lines indicate the first and second Bragg reflection peaks obtained from the modified Bragg formula (9), respectively, with $d_L = (\sqrt{6}/3)D$, $\theta = 0$, $n_{\text{TiO}_2} = 2.25$, $n_{\text{H}_2\text{O}} = 1.33$, and $F = F_{\infty}$. The frequency is given in terms of a/λ , where $a = \sqrt{2}D$ is the lattice constant.

below and above the red dashed line, a small improvement occurs due to the red- and blue-edge slow-light modes, respectively. Figures 10(a) and (b) reveal that far superior light absorption is enabled by using larger opal spheres that place higher order slow-light modes into the 400 - 550 nm range.

Increasing the filling fraction of TiO_2 in the PC enhances the average absorptivity but reduces the enhancement factor β . It also shifts all photonic bands to lower frequency [see Figs. 10(c-e)]. As a result, the optimum value of D , placing the higher-order slow-light modes in $\lambda \in [400, 550]$ nm region, becomes smaller for larger F , as shown in Figs. 10(a, b). $F \sim 15\%$ [Fig. 10(c)] is an optimum filling fraction in terms of absorption enhancement. $F \sim 35\%$ [Fig. 10(e)] also shows a rise of β . However, the fabrication of the invop with $F \sim 35\%$ involves a more complicated process of secondary back-filling with TiO_2 after the original sacrificial template is removed.

In Figs. 10(c-e) we show the absorptivity and reflectivity spectra of an 18-layer invop-FS architecture with $F_{18} = 16.3\%$ ($F_\infty = 15\%$, $D = 350$ nm, $d = 369.5$ nm), $F_{18} = 25.9\%$ ($F_\infty = 25\%$, $D = 356$ nm, $d = 357.5$ nm), and $F_{18} = 35.6\%$ ($F_\infty = 35\%$, $D = 338$ nm, $d = 323.6$ nm). Around the fundamental stop gap, the absorptivity of red-edge slow-light is slightly higher than that of the blue-edge. The slow-light modes between bands 5 to 15 exhibit superior light trapping than either edge of the fundamental stop gap. When these higher-order photonic modes are placed into the spectral region of $\lambda \in [400, 550]$ nm, the inverse opal with optimum filling fractions of $F_\infty = 15\%$ [Fig. 10(c)] demonstrates an average absorptivity enhancement factor of 4.44, while that with $F_\infty = 35\%$ [Fig. 10(e)] demonstrates $\beta = 3.58$. Figure 10 also reveals the actual location of the “second-order reflection” peak. This reflection peak is not accurately predicted by the modified Bragg formula (9), as seen by the dark blue dashed line in Fig. 10(e).

Appendix C. Structure model of slanted conical-pore PC

A right cone with base radius r , height h and apex P is depicted in Fig. 11(a). The corresponding slanted cone, or oblique cone, is obtained by shifting the apex P, in the positive x direction, by the slant distance p . For numerical purposes we model the slanted cone by a stack of very thin cylinders, each with a height of one FDTD mesh size (i.e., $a/70$ where a is the lattice constant). The elementary cylinder at the vertical position z centering at $((1 - z/h)p, 0, z)$ has radius $(r/h)z$. The scPore PC is obtained by filling all regions exterior to a square lattice array of such cones, with TiO_2 and interior regions with aqueous solution. In this work we mainly discuss the case

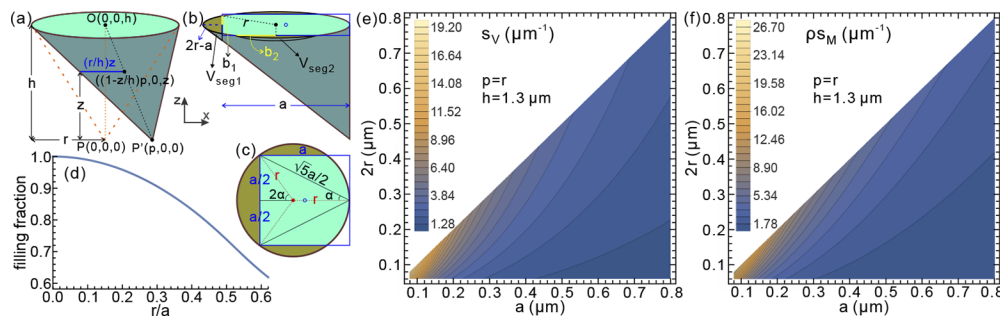


Fig. 11. The model of the square lattice slanted conical-pore PC. (a) A general slanted cone is modeled as a stack of elementary cylinders with radii and centers changing with z . (b) The chosen PC unit cell cuts off three segment cones from each slanted cone, one with volume V_{seg1} (half-base b_1) and two with volumes V_{seg2} (half-bases b_2). (c) Top view of the slanted cone and PC unit cell when $r/a = 5/8$. (d) The TiO_2 filling fraction as a function of r/a of an scPore PC. (e, f) Color maps of the volume- and mass-specific surface areas of a $1.3 \mu\text{m}$ -thick scPore PC as functions of $2r$ and a for $r/a \leq 1/2$.

of $p = r$, with the vertical side of the slanted cone aligned to one side of the PC unit cell [see Figs. 11(b) and (c)]. The height of the PC is h .

The TiO₂ filling fraction of such an scPore PC depends on the relative size of the base radius r and the lattice constant a . When $r \leq a/2$, each slanted cone has a volume of $(1/3)\pi r^2 h$, and the filling fraction of the PC is simply $F = 1 - (1/3)\pi(r/a)^2$. When $a/2 \leq r \leq (5/8)a$, the PC unit cell cuts off three disconnected “segment cones” from the the slanted cone [as illustrated in Fig. 11(b)] which needs to be taken into account for the TiO₂ volume fraction. The resulting filling fraction F for $r \leq (5/8)a$ depends only on the ratio of r/a . In Fig. 11(d) the filling fraction F is plotted as a function of r/a for $r/a \leq 5/8$.

It is straightforward to obtain the lateral surface area of a single, isolated, intact slanted cone:

$$S_{\text{lateral}} = \frac{r}{2} \int_0^{2\pi} \sqrt{h^2 + (r - p \sin \theta)^2} d\theta. \quad (10)$$

For the sake of simplicity we consider only the case of $2r \leq a$. Then the total surface area is the sum of the lateral and top surface areas $S_{\text{tot}} = S_{\text{lateral}} + a^2 - \pi r^2$. The volume-specific surface area is $s_V = S_{\text{tot}}/(a^2 h)$, and the mass-specific surface area is $s_M = S_{\text{tot}}/(a^2 h \rho F)$ where ρ is the TiO₂ mass density and F is the filling fraction. In Figs. 11(e) and (f) we plot the color maps of s_V and s_M of an scPore PC with $h = 1.3 \mu\text{m}$ for $r \leq a/2$.

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Data availability. Data underlying the results presented in this paper are not publicly available at this time but may be obtained from the authors upon reasonable request.

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