# Anomalies, tori, and new twists in the gaugino condensate 

## Erich Poppitz (Toronto)

with
Mohamed Anber (Durham)
2210.13568 (w/ some mention of 2307.04795 and work since)
title of 2210.13568 is The gaugino condensate from asymmetric four-torus with twists: sounds like a mouthful \& is 70 pages long!
why now, etc. ?
$\mathcal{N}=1$ SYM: symmetries and nonrenormalization theorems
$S_{S Y M}=\frac{1}{g^{2}} \int_{\mathbb{T}^{4}} \operatorname{tr}\left[\frac{1}{2} F_{m n} F_{m n}+2\left(\partial_{n} \bar{\lambda}_{\dot{\alpha}}+i\left[A_{n}, \bar{\lambda}_{\dot{\alpha}}\right]\right) \bar{\sigma}_{n}^{\dot{\alpha} \alpha} \lambda_{\alpha}\right]$
$G=S U(N)$
chiral $U(1): \lambda \rightarrow e^{i \alpha} \lambda$ by anomaly $\rightarrow Z_{2 N}^{(0)}$
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$\mathrm{G}=\mathrm{SU}(\mathrm{N})$
chiral $U(1): \lambda \rightarrow e^{i \alpha} \lambda$ by anomaly $\rightarrow Z_{2 N}^{(0)}$

$$
Z_{2 N}^{(0)} \rightarrow Z_{2}^{(0)} \quad\left\langle\operatorname{tr} \lambda^{2}\right\rangle \underset{(N=2)}{= \pm 16 \pi^{2} \Lambda^{3}} \quad \begin{aligned}
& \text { the "mother" of all } \\
& \text { exact results in SUSY, } \\
& \text { no further corrections }
\end{aligned}
$$

$\Lambda^{3}=\mu^{3} \frac{e^{-8 \pi^{2} / N g^{2}}}{g^{2}}\left(=\mu^{3} e^{-\frac{8 \varepsilon^{2}}{N \Sigma_{h}^{2}(\mu)}}\right)$ holomorphic scale

## title of 2210.13568 is The gaugino condensate from asymmetric

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## $\mathcal{N}=1$ SYM: symmetries and nonrenormalization theorems

1983-1999: Novikov, Shifman, Vainshtein, Zakharov; Amati, Konishi, Rossi, Veneziano; Affleck, Dine, Seiberg; Cordes; Finnell, Pouliot (SQCD $\rightarrow$ SYM on $R^{4}$ ); Davies, Hollowood, Khoze, Mattis;... 2014 Anber, Teeple, EP (SYM on $R^{3} \times S^{1} \rightarrow>$ SYM on $R^{4}$ )
two weakly-coupled calculations of $\left\langle\lambda^{2}\right\rangle$
$Z_{2 N}^{(0)} \rightarrow Z_{2}^{(0)}$

$$
\left\langle\operatorname{tr} \lambda^{2}\right\rangle= \pm 16 \pi^{2} \Lambda^{3}
$$

( $\mathrm{N}=2$ )
all history...?

$$
\Lambda^{3}=\mu^{3} \frac{e^{-8 \pi^{2} / N g^{2}}}{g^{2}}\left(=\mu^{3} e^{-\frac{8 \pi^{2}}{N 2_{h}^{2}(\mu)}}\right) \text { holomorphic scale }
$$

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$\mathcal{N}=1$ SYM: symmetries and nonrenormalization theorems
$S_{S Y M}=\frac{1}{g^{2}} \int_{\mathbb{T}^{4}} \operatorname{tr}\left[\frac{1}{2} F_{m n} F_{m n}+2\left(\partial_{n} \bar{\lambda}_{\dot{\alpha}}+i\left[A_{n}, \bar{\lambda}_{\dot{\alpha}}\right]\right) \bar{\sigma}_{n}^{\dot{\alpha} \alpha} \lambda_{\alpha}\right]$
chiral $U(1): \lambda \rightarrow e^{i \alpha} \lambda$ broken by anomaly to $Z_{2 N}^{(0)}$
center symmetry: $Z_{N}^{(1)}$, acting on Wilson loops by $Z_{N}$ phase ex. of "generalized symmetries, backgrounds, new anomalies..."

Gaiotto, Kapustin, Komargodski, Seiberg, + hundreds... 2015-

So-called "higher symmetries" are illuminating everything from
particle decays to the behavior of complex quantum systems.

1-form center symmetry $Z_{N}^{(1)}$ acts on Wilson loops (e.g. in SYM)


$$
\begin{aligned}
& \quad Z_{q}^{(1)} \\
& : W_{1} \rightarrow e^{i \frac{2 \pi}{q} l_{1}} W_{1} \\
& : W_{2} \rightarrow e^{i \frac{2 \pi}{q} l_{2}} W_{2} \\
& : W_{3} \rightarrow e^{i \frac{2 \pi}{q} l_{3}} W_{3}
\end{aligned}
$$

well-known on lattice since the mid 1970's - generalized to non-winding loops GKKS+

## title of 2210.13568 is The gaugino condensate from asymmetric

 four-torus with twists: sounds like a mouthful \& is 70 pages long!$\mathcal{N}=1$ SYM: symmetries and nonrenormalization theorems
$S_{S Y M}=\frac{1}{g^{2}} \int_{\mathbb{T}^{4}} \operatorname{tr}\left[\frac{1}{2} F_{m n} F_{m n}+2\left(\partial_{n} \bar{\lambda}_{\dot{\alpha}}+i\left[A_{n}, \bar{\lambda}_{\dot{\alpha}}\right]\right) \bar{\sigma}_{n}^{\dot{\alpha} \alpha} \lambda_{\alpha}\right]$
center/chiral mixed anomaly!
chiral $U(1): \lambda \rightarrow e^{i \alpha} \lambda$ broken by anomaly to $Z_{2 N}^{(0)}$ center symmetry: $Z_{N}^{(1)}$, acting on Wilson loops by $Z_{N}$ phase ex. of "generalized symmetries, backgrounds, new anomalies..."

Gaiotto, Kapustin, Komargodski, Seiberg, + hundreds... 2015new developments warrant a new look at some old studies of gaugino condensate...

## title of 2210.13568 is The gaugino condensate from asymmetric

 four-torus with twists : sounds like a mouthful \& is 70 p. long!
## recent motivation

I. semiclassical studies of confinement...
in a controlled way, show relevance of objects of fractional $Q_{\text {top. }}$. for confinement and $\chi \mathrm{SB}$, advocated by many (González-Arroyo,...)
semiclassical - small spaces
eg $R^{2} \times T^{2}$ Tanizaki, Ünsal, 2022 or
Ünsal, +w/ Yaffe, w/ Shifman +...: 2007-
$R^{3} \times S^{1} S U(N) \rightarrow U(1)^{N-1} \ldots+$ any G SYM
2. generalized symmetries, backgrounds, new anomalies
Gaiotto, Kapustin, Komargodski, Seiberg, +... 2015-
2-form backgrounds for 1-form center also lead to fractional $Q_{\text {top }}$
relation of "'t Hooft twists" 't Hooft, van Baal 1980s to anomalies now clearly understood
I. semiclassical studies of confinement...
2. generalized symmetries, backgrounds, new anomalies
one of two weakly-coupled calculations of $\left\langle\lambda^{2}\right\rangle$ : continuous connection to $R^{4}$

$$
\left\langle\lambda^{2}\right\rangle=16 \pi^{2} \Lambda^{3}
$$

using this new and deeper knowledge, revisit old (1984!) calculations of $\left\langle\lambda^{2}\right\rangle$ on $T^{4}$

$$
\left\langle\lambda^{2}\right\rangle=c 16 \pi^{2} \Lambda^{3} \quad \begin{aligned}
& \text { Cohen, Gomez ‘84; } \\
& \text { Shifman, Vainshtein } 86
\end{aligned}
$$

how well do we understand semiclassics in the femtouniverse?
is there continuity to infinite volume limit?

- test for condensate, in SYM, where some exact results are known
what fluctuations contribute to the gaugino condensate?
one of two weakly-coupled calculations of $\left\langle\lambda^{2}\right\rangle$ : continuous connection to $R^{4}$

$$
\left\langle\lambda^{2}\right\rangle=16 \pi^{2} \Lambda^{3}
$$

$$
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& \text { Cohen, Gomez ‘84; } \\
& \text { Shifman, Vainshtein } 86
\end{aligned}
$$

in 2021, w/ Cox \& Wandler studied 1-form center/O-form anomaly in YM, SYM,..., in Hamiltonian on twisted $T^{3}$ of any size. Anomaly implies exact degeneracies!
discuss on board... or... ?

## we now canonically quantize of $S U(N)$ on $T^{3}$ :

Hilbert space with spatial 't Hooft twist $n_{12}=1$ (e.g., suffices), $A_{0}=0$ gauge, "by the book"
't Hooft, van Baal Lüscher, Witten, González-Arroyo 1980's
$\Psi(A)$ with $A_{R}=\Omega_{1}\left(A_{L}-i d\right) \Omega_{1}^{-1}$, etc., with some chosen gauge for $\Omega_{1,2,3}$
obeying $\Omega_{1}\left(L_{2}\right) \Omega_{2}(0)=e^{i \frac{2 \pi}{N}} \Omega_{2}\left(L_{1}\right) \Omega_{1}(0)$ and no 13 and 23 twists
1 -form $Z_{N}^{(1)}: \hat{T}_{i}, i=1,2,3$, generated by gauge transforms (maps $T^{3} \rightarrow S U(N)$ )
periodic up to center element and preserving b.c. w/ $\Omega_{i}$
$\left[\hat{T}_{i}, \hat{H}\right]=0 \Longrightarrow\left|E, e_{1}, e_{2}, e_{3}\right\rangle, \hat{T}_{i}\left|E, e_{1}, e_{2}, e_{3}\right\rangle=\left|E, e_{1}, e_{2}, e_{3}\right\rangle e^{i \frac{2 \pi}{N} e_{i}}$
"electric flux sectors" (changed by winding Wilson loop)
$\stackrel{x_{3}}{\uparrow}$

(changed by winding 't Hooft loop)
torus Hilbert space, with or without twists, splits into $N^{3}$ electric flux sectors

$$
\hat{T}_{i} \hat{W}_{j}=e^{i \frac{2 \pi}{N} \delta_{i j}} \hat{W}_{j} \hat{T}_{i}
$$

we now canonically quantize of $S U(N)$ on $T^{3}$ :
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1 -form $Z_{N}^{(1)}: \hat{T}_{i}, i=1,2,3$, generated by gauge transforms (maps $T^{3} \rightarrow S U(N)$ ) periodic up to center element and preserving b.c. w/ $\Omega_{i}$

$$
\left[\hat{T}_{i}, \hat{H}\right]=0 \Longrightarrow\left|E, e_{1}, e_{2}, e_{3}\right\rangle, \hat{T}_{i}\left|E, e_{1}, e_{2}, e_{3}\right\rangle=\left|E, e_{1}, e_{2}, e_{3}\right\rangle e^{i \frac{2 \pi}{N} e_{i}}
$$



## Crucial observation ('t Hooft)

$\hat{T}_{3}$, the $Z_{N}^{(1)}$ generator in the direction orthogonal to the (12) plane of the twist has winding number $\mathrm{Q}=\frac{n_{12}}{N}(\bmod Z)$
torus Hilbert space, with or without twists, splits into $N^{3}$ electric flux sectors

## Crucial observation ('t Hooft)

idea only (details are plentiful... see eg appx of 2106 paper w/ Cox, Wandler)
$\hat{T}_{3}$, the $Z_{N}^{(1)}$ generator in the direction orthogonal to the (12) plane of the twist has winding number $\mathrm{Q}=\frac{n_{12}}{N}(\bmod Z)$

$$
Q=\frac{1}{8 \pi^{2}} \int \operatorname{tr} F \wedge F=\frac{1}{64 \pi^{2}} \int d^{4} x F_{\mu \nu}^{a} F_{\lambda \sigma}^{a} \epsilon^{\mu \nu \lambda \sigma}=\int d^{4} x \partial_{\mu} K^{\mu}
$$

integrand a total derivative, Q only depends on transition functions for a 4d field configuration twisted by $T_{3}$ (denoted C ) in time and $n_{12}$ in space:

$$
Q[C]=\frac{1}{24 \pi^{2}} \int_{\mathbb{T}^{3}} \operatorname{tr}\left(C d C^{-1}\right)^{3}
$$

a direct calculation (only requires cocycle conditions, good gauge choice, not explicit form of $\mathrm{C}=\mathrm{T}$ _3), then gives

$$
\mathrm{Q}=\frac{n_{12}}{N}(\bmod Z)=\text { winding of } \hat{T}_{3}(\vec{x}), \text { as map } T^{3} \rightarrow S U(N)
$$

(considering 4d field configuration is a clutch ('t Hooft); equiv., can explicitly construct $\hat{T}_{3}(\vec{x})$ and compute winding...)

## we now canonically quantize of $S U(N)$ on $T^{3}$ :

$$
\left[\hat{T}_{i}, \hat{H}\right]=0 \Longrightarrow\left|E, e_{1}, e_{2}, e_{3}\right\rangle, \hat{T}_{i}\left|E, e_{1}, e_{2}, e_{3}\right\rangle=\left|E, e_{1}, e_{2}, e_{3}\right\rangle e^{i \frac{2 \pi}{N} e_{i}}
$$


but then, since the change of CS functional is the winding number
torus Hilbert space, with or without twists, splits into $N^{3}$ electric flux sectors

Crucial observation ('t Hooft)
$\hat{T}_{3}$, the $Z_{N}^{(1)}$ generator in the direction orthogonal to the (12) plane of the twist has winding number $\mathrm{Q}=\frac{n_{12}}{N}(\bmod Z)$

$$
\Longrightarrow \hat{T}_{3} e^{i 2 \pi \int_{T^{3}} \operatorname{tr}(\hat{A} d \hat{A}+\ldots)} \hat{T}_{3}^{-1}=e^{i \frac{2 \pi}{N}} e^{i 2 \pi \int_{T^{3}} \operatorname{tr}(\hat{A} d \hat{A}+\ldots)}
$$

## we now canonically quantize of $S U(N)$ on $T^{3}$ :

$$
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$$



$$
\hat{T}_{3} e^{i 2 \pi \int_{T^{3}} \operatorname{tr}(\hat{A} d \hat{A}+\ldots)} \hat{T}_{3}^{-1}=e^{i \frac{2 \pi}{N}} e^{i 2 \pi \int_{T^{3}} \operatorname{tr}(\hat{A} d \hat{A}+\ldots)}
$$

i.e., operator shifting $\theta$ angle by $2 \pi$ does not commute with

1 -form center symmetry in the direction orthogonal to the twist

- Pierre van Baal PhD thesis, 1984, Ch 3, unpublished! (**)
- "theta-periodicity anomaly"... [GKKS+] ~ 2010's (in Euclidean)
(**) admittedly, while commutation relation appears there, its significance as an anomaly and implications for large volume theory was not appreciated back then... (why?)
we now canonically quantize of $S U(N)$ on $T^{3}$ :
consider $S U(N)$ with adjoints, for definiteness take $S Y M, \underline{n}_{f}=1$ below:

$$
\partial_{\mu} \hat{j}_{f}^{\mu}=\partial_{\mu}\left(\hat{\lambda}^{a \dagger} \bar{\sigma}^{\mu} \hat{\lambda}^{a}\right)=2 n_{f} N \partial_{\mu} \hat{K}^{\mu} \longrightarrow \text { R-current not conserved }
$$

$$
\begin{aligned}
& \hat{J}_{5}^{\mu}=\hat{j}_{f}^{\mu}-2 n_{f} N \hat{K}^{\mu} \\
& \hat{Q}_{5}=\int d^{3} x \hat{y}_{5}^{0}=\int d^{3} x \hat{j}_{f}^{0}-2 n_{f} N \int d^{3} x \hat{K}^{0}
\end{aligned} \longrightarrow \text { conserved but not gauge invariant }
$$

$$
\hat{X}_{2 N}=e^{i \frac{2 \pi}{2 N} \hat{Q}_{5}}=e^{i \frac{2 \pi}{2 N} \int d^{3} x \hat{j}_{f}^{0}} e^{-i 2 \pi \int d^{3} x \hat{K}_{0}} \longrightarrow \text { of }_{2 N}^{\text {gauge invariant operator }} \text { discrete R-symmetry }
$$

$$
\hat{T}_{3} e^{i 2 \pi \int_{T_{3} 3} \operatorname{tr}(\hat{A} d \hat{A}+\ldots)} \hat{T}_{3}^{-1}=e^{i \frac{i \pi}{N}} e^{i 2 \pi \int_{T_{3}} \operatorname{tr}(\hat{A} d \hat{A}+\ldots)} \Longrightarrow \hat{T}_{3} \hat{X}_{2 N} \hat{T}_{3}^{-1}=e^{-i \frac{2 \pi}{N}} \hat{X}_{2 N}
$$

$$
\iint_{d x}^{d x} \dot{\hat{K}_{0}}
$$

## Ex 1.: SYM on twisted $T^{3}$ - invertible chiral/center anomaly

Hilbert space with spatial 't Hooft twist $n_{12}=1$ (e.g., suffices); SYM has two global symmetries, $\hat{T}_{3}$ and $\hat{X}_{2 N}$, 1-form and 0-form, invertible (=normal unitary operators on Hilbert space) commute with Hamiltonian, but not with each other:

$$
\hat{T}_{3} \hat{X}_{2 N} \hat{T}_{3}^{-1}=e^{-i \frac{2 \pi}{N}} \hat{X}_{2 N} \longrightarrow \hat{X}_{2 N}\left|E, e_{3}\right\rangle=\left|E, e_{3}-1\right\rangle
$$

action of chiral symmetry changes flux of state (the one in 3rd direction, for 12 twist) all energy levels on the twisted $T^{3}$ are N -fold degenerate, exact degeneracy, for any volume, provided $n_{12}=1$ !
[Cox, Wandler, EP 2106]
as volume goes to infinity, if theory confines (center unbroken), clustering ground states are the lowest energy degenerate flux states, related by broken discrete chiral symmetry - here, a consequence of the mixed anomaly!
does not require SUSY, similar degeneracies in non-SUSY QCD(adj) exact degeneracies less severe if gauge group has smaller center... SP, Spin, E6, E7
[Cox, Wandler, EP 2106]
in 2021, w/ Cox \& Wandler studied 1-form center/0-form anomaly in YM, SYM,..., in Hamiltonian on twisted $T^{3}$ of any size. Anomaly implies exact degeneracies!

$e_{3}=0$

$e_{3} \in\{0,1\} \quad \hat{T}_{3}$-eigenvalue: $\hat{T}_{3}\left|e_{3}\right\rangle=e^{i \pi e_{3}}\left|e_{3}\right\rangle$
$\hat{T}_{3}$ - generator of $Z_{2}$ center symmetry along $(0,0,1)$

$$
\hat{T}_{3} \hat{W}_{3}=-\hat{W}_{3} \hat{T}_{3}
$$

$\hat{X}$ - generator of $Z_{4}$ chiral symmetry

Hilbert space with spatial 't Hooft twist $\underline{n_{12}=1:}|\ldots\rangle_{\left(n_{12}\right)}$

$$
\left|E, e_{3}=1\right\rangle_{\left(n_{12}\right)} \text { degenerate } \mathbf{w} /\left|E, e_{3}=0\right\rangle_{\left(n_{12}\right)} \text { for all } E \text {, any size } T^{3} \text { interchanged by chiral symmetry }
$$

anomaly: $\quad \hat{T}_{3} \hat{X}=(-)^{n_{12}} \hat{X} \hat{T}_{3}$

$$
\Longrightarrow \hat{X}\left|E, e_{3}=0\right\rangle_{\left(n_{12}\right)} \sim\left|E, e_{3}=1\right\rangle_{\left(n_{12}\right)}
$$

(phase depends on whether B or F)

## remarks on infinite vs. finite volume in 't Hooft flux $n_{12}=1$ background



Assuming confinement (unbroken center) $->$ broken chiral

$$
\begin{array}{ll}
\left|E=0, e_{3}=1\right\rangle_{\left(n_{12}\right)} & \text { two clustering vacua in } \\
\left|E=0, e_{3}=0\right\rangle_{\left(n_{12}\right)} & \text { infinite volume limit }
\end{array}
$$ twisted b.c. should be irrelevant in gapped theory in $\infty$ volume lattice pure-YM, $\theta=0$ : string tensions, glueballs agree $V \gg V_{0}$ twist vs no twist

## remarks on infinite vs. finite volume in 't Hooft flux $n_{12}=1$ background


Assuming confinement (unbroken center) $->$ broken chiral

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\begin{array}{ll}
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\left|E=0, e_{3}=0\right\rangle_{\left(n_{12}\right)} & \text { infinite volume limit }
\end{array}
$$


armed with this, consider condensate, $\lambda^{2} \equiv \operatorname{tr} \lambda^{2}:$

$$
\left\langle\lambda^{2}\right\rangle_{n_{12}, n_{34}}=2 \sum_{E}(-)^{F} e^{-\beta E}\langle E, 0| \lambda^{2}|E, 0\rangle_{\left(n_{12}\right)}
$$

normalize by path integral without $\lambda^{2}$ and $\hat{T}_{3}$ (i.e. no $n_{34}$ twist, only $n_{12}$ ), i.e. Witten index

$$
\langle 1\rangle_{n_{12}, 0}=\operatorname{Tr}_{\mathscr{H}_{n_{12}}} e^{-\beta H}(-1)^{F}=\sum_{E ; e_{3}=0,1}(-)^{F} e^{-\beta E}\left\langle E, e_{3} \mid E, e_{3}\right\rangle_{\left(n_{12}\right)}=2
$$

$$
\begin{aligned}
& \begin{array}{c}
\left\langle\lambda^{2}\right\rangle_{n_{12}, n_{34}}=\operatorname{Tr}_{\mathscr{H}_{n_{12}}} e^{-\beta H}(-1)^{F} \hat{T}_{3} \lambda^{2}=\sum_{E ; e_{3}=0,1}(-)^{F} e^{-\beta E}(-1)^{e_{3}}\left\langle E, e_{3}\right| \lambda^{2}\left|E, e_{3}\right\rangle_{\left(n_{12}\right)} \\
\hat{X}|E, 0\rangle_{\left(n_{12}\right)} \sim|E, 1\rangle_{\left(n_{12}\right)} \text { and } \hat{X} \lambda^{2} \hat{X}^{\dagger}=-\lambda^{2} \text { imply that } \lambda^{2} \text { has opposite signs in degenerate flux states }
\end{array}
\end{aligned}
$$

$$
Q \in Z+1 / 2
$$

semiclassical expansion expected to hold at small $T^{4}$ ("femtouniverse")
$Q=\frac{1}{2}$, the leading contribution to numerator, will have two undotted $\lambda$ zero modes we shall discuss this calculation... but first the big picture

$$
\begin{aligned}
& A\left(x_{y}=\beta\right)=A\left(x_{y}=0\right)^{T_{3}(x)}
\end{aligned}
$$

$Q \in Z+1 / 2$
$A\left(x_{y}=\beta\right)=A\left(x_{r}=0\right)^{T_{3}(x)}$

take $\beta$ infinite: only $\mathrm{E}=0$
take $L_{1,2,3}$ infinite:
$R^{4}$ gaugino condensate in one of the vacua
$Q \in Z+1 / 2$

$$
A\left(x_{y}=\beta\right)=A\left(x_{p}=0\right)^{T_{3}(x)}
$$

$$
\equiv\left\langle\lambda^{2}\right\rangle=\sum_{E}(-)^{F} e^{-\beta E}\langle E, 0| \lambda^{2}|E, 0\rangle_{\left(n_{12}\right)}
$$

take $\beta$ infinite: only $\mathrm{E}=0$
take $L_{1,2,3}$ infinite:
$R^{4}$ gaugino condensate in one of the vacua

- made assumptions, stated later!
+ argue that result is $L_{\mu}, g_{Y M}$-independent
$Q \in Z+1 / 2$

$$
A\left(x_{y}=\beta\right)=A\left(x_{p}=0\right)^{T_{3}(x)}
$$

$Q=\frac{1}{2}$, the leading semiclassical contribution to numerator, w/ two undotted $\lambda$ zero modes.
what are these instantons?
't Hooft, 1981, $Q=\frac{1}{2}$ constant flux background
BPS if symmetric $T^{4}: L_{1} L_{2}=L_{3} L_{4}$

$$
F_{m n}^{(0)}=\frac{\tau^{3}}{2}\left(\begin{array}{cccc}
0 & -\frac{2 \pi}{L_{1} L_{2}} & 0 & 0 \\
\frac{2 \pi}{L_{1} L_{2}} & 0 & 0 & 0 \\
0 & 0 & 0 & -\frac{2 \pi}{L_{3} L_{4}} \\
0 & 0 & \frac{2 \pi}{L_{3} L_{4}} & 0
\end{array}\right)
$$

Commun. Math. Phys. 81, 267-275 (1981)

## Some Twisted Self-Dual Solutions

for the Yang-Mills Equations on a Hypertorus*
such an action. All our solutions will be represented in a suitably chosen gauge that makes them look essentially translationally invariant and Abelian. However, considering the difficulty we had in finding them it looked worth-while to publish the result.

$$
\begin{aligned}
& \bar{A}_{n}(x, z)=\bar{A}_{n}^{3}(x, z) \frac{\tau^{3}}{2}: \quad \bar{A}_{1}^{3}=\frac{2 \pi x_{2}}{L_{1} L_{2}}+\frac{z_{1}}{L_{1}}, \\
& \begin{array}{l}
\bar{A}_{2}^{3}=\frac{z_{2}}{L_{2}}, \\
\bar{A}_{3}^{3}=\frac{2 \pi x_{4}}{L_{3} L_{4}}+\frac{z_{3}}{L_{3}},
\end{array} \text { moduli } \\
& \bar{A}_{4}^{3}=\frac{z_{4}}{L_{4}} .
\end{aligned}
$$

't Hooft, 1981, $Q=\frac{1}{2}$ constant flux background

$$
\text { BPS if symmetric } T^{4}: L_{1} L_{2}=L_{3} L_{4}
$$

BPS - minimum action for given $Q$

- preserves $1 / 2$ SUSY
(SYM: B/F det's of nonzero modes cancel, up to power of PV regulator mass)
attempting symmetric $T^{4} \ldots$ all looks bad!
- find $4 \lambda$ and $2 \bar{\lambda}$ zero modes
(explicit, 2210.13568)
- these source gauge field EOM... lifted? how?

> (we don’t know!)

- $L_{1} L_{2}=L_{3} L_{4}$ does not allow taking some interesting limits, e.g., $R^{2} \times T_{n_{12}}^{2}$

Tanizaki Ünsal 2022
't Hooft, 1981, $Q=\frac{1}{2}$ constant flux background
BPS if symmetric $T^{4}: L_{1} L_{2}=L_{3} L_{4}$
BPS - minimum action for given Q

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- these source gauge field EOM... lifted? how? (we don't know!)
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Tanizaki Ünsal 2022

Cohen, Gomez 1984 gave an expression using this solution ("toron") unaware (?) of subtleties mentioned, or of coefficient.
In any case, since Hilbert space at finite $T_{n_{12}}^{3}$ was not understood at the time, interpretation would have been difficult.
't Hooft, 1981, $Q=\frac{1}{2}$ constant flux background

$$
\text { BPS if symmetric } T^{4}: L_{1} L_{2}=L_{3} L_{4}
$$

## González-Arroyo, Pérez, Pena 2000

attempting symmetric $T^{4} \ldots$ all looks bad! $\longrightarrow$ deform the symmetric $T^{4}$, impose BPS :

- find $4 \lambda$ and $2 \bar{\lambda}$ zero modes
(explicit, 2210.13568)
- these source gauge field EOM... lifted? how? (we don't know!)
- only $2 \lambda$ zero modes
- no source term in YM field EOM
- $L_{1} L_{2}=L_{3} L_{4}$ does not allow taking some interesting limits, e.g., $R^{2} \times T_{n_{12}}^{2}$

Tanizaki Ünsal 2022

- $L_{1} L_{2} \neq L_{3} L_{4}$, so can take limits Sounds fantastic!?

There is "bad news," too: deformed $-T^{4}$ analytic BPS solution is only known to leading order in

$$
\Delta=\frac{L_{3} L_{4}-L_{1} L_{2}}{\sqrt{V}}
$$

for $\operatorname{SU}(2)$, there is numerical evidence for uniqueness and convergence upon comparing to "exact" (=numerical) solution for $\Delta \leq 0.08 \ldots$
so, for now, we stick with $\operatorname{SU}(2)$

## Remark:

If there were general statements known about the moduli space of $Q=\frac{r}{N}$ instantons on $T^{4}$, one could do certain calculations in SYM only using this knowledge (not explicit form of solutions) as integrals for some correlators reduce to those over bosonic and fermionic moduli.

## Alas...not known!

hence, we proceed by "trial and error" (consistency)
(as l'll discuss, our results may be taken to suggest that it is here where we likely need help!)

## As an aside

at order $\Delta^{1}$, gauge invariant densities (constant at $\Delta^{0}$ ) acquire x-dependence

this is $\mathrm{Q}=3 / \mathrm{N}$, in $\mathrm{SU}(\mathrm{N}>3)$, 12 moduli are positions of 3 lumps
(yellow, red, blue; 2-torus shown doubled in size)
see Anber, EP 2307.04975

$$
\Delta=\frac{L_{3} L_{4}-L_{1} L_{2}}{\sqrt{V}}
$$

## Anber, EP 2210.13568:

deforming the symmetric $T^{4}$, we find
all orders
$\Delta$-independence

- only $2 \lambda$ (no $\bar{\lambda}$ ) zero modes
 explicit expressions to $O(\Delta)$ SUSY
- four translational moduli
- measure $\Delta$-independent to all orders
- condensate $\Delta$-independent to all orders argument assumes
convergence (+ uses SUSY)


pure YM, Hamiltonian argument:


## Most importantly: range of moduli?

$$
\left\langle W_{1}\right\rangle_{n_{12}, n_{34}}=\operatorname{Tr}_{\mathscr{H} n_{12}} e^{-\beta H_{\theta}} \hat{T}_{3} W_{1}=0, \text { as }\langle E, \vec{e}| W_{1}|E, \vec{e}\rangle=0
$$

to find range of $z_{n}$ moduli, require $\left\langle W_{\mu}\right\rangle=0$ in pure-YM theory in femtouniverse with twists (use uniqueness):

$$
e^{-\frac{4 \pi^{2}}{g^{2}}-i \frac{\theta}{2}} \frac{V}{g^{4}} \int_{M} \prod_{k=1}^{4} d z_{k} W\left(x, z, C_{n_{1}, n_{2}, n_{3}, n_{4}}\right)+\text { h.c. }=0(\forall x, \theta) \text { iff } z_{k} \in(0,4 \pi)
$$

winding loop in $\mathrm{Q}=1 / 2$ self-dual background

$$
\begin{array}{ll}
= & W\left(x, C_{n_{1}, n_{2}, n_{3}, n_{4}}\right) \\
& 2 \cos \left[\frac{1}{2}\left(n_{1}\left(z_{1}+\frac{2 \pi x_{2}}{L_{2}}\right)+n_{2}\left(z_{2}-\frac{2 \pi x_{1}}{L_{1}}\right)+n_{3}\left(z_{3}+\frac{2 \pi x_{4}}{L_{4}}\right)+n_{4}\left(z_{4}-\frac{2 \pi x_{\mathbb{B}}}{L_{2}}\right)\right)\right] \\
& \times[1+\Delta \mathcal{F}(x, z)] . \tag{5.5}
\end{array}
$$

## Most importantly: range of moduli?

- to find range of $z_{n}$ moduli, require $\left\langle W_{\mu}\right\rangle=0$ in pure-YM theory in femtouniverse with twists (use uniqueness):

$$
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$$

winding loop in $\mathrm{Q}=1 / 2$ self-dual background

- range of moduli found by demanding vanishing of Wilson loop vevs in pure-YM, is equivalent to that found by demanding that there exist gauge invariants, evaluated in solution background, differentiate between all points $(0,4 \pi)$ - i.e., we are not integrating over gauge equivalent values of moduli

Remark: Range of $z_{n}$ moduli $(0,4 \pi)$ means that instanton wraps twice around each direction of torus.
Local gauge invariants identify $z \sim z+2 \pi$, but ones dressed by Wilson loops see difference.

Recall what we compute (factor of 2 from Witten index already divided out)

$$
\left\langle\lambda^{2}\right\rangle=\left.\sum_{E}(-)^{F} e^{-L_{4} E}\langle E, 0| \lambda^{2}|E, 0\rangle\right|_{n_{12}=1, V_{3}=L_{1} L_{2} L_{3}, \frac{L_{3} L_{4}-L_{1} L_{2}}{\sqrt{L_{1} L_{2} L_{3} L_{4}}} \ll 1, L_{i} \Lambda \ll 1}
$$

all qualifications stated!

Collecting everything, we find

$$
\begin{aligned}
&\left\langle\lambda^{2}\right\rangle=32 \pi^{2} \Lambda^{3}=2 \times \frac{16 \pi^{2} \Lambda^{3}}{\uparrow} \\
& \text { two times the } R^{4}, R^{3} \times S^{1} \text { result of weak-coupling } \\
& \text { calculations, all use same def. of scale } \Lambda^{3}=\frac{M_{P V}^{3}}{g^{2}} e^{-\frac{4 \pi^{2}}{g^{2}}}
\end{aligned}
$$

Recall what we compute (factor of 2 from Witten index already divided out)

$$
\left\langle\lambda^{2}\right\rangle=\left.\sum_{E}(-)^{F} e^{-L_{4} E}\langle E, 0| \lambda^{2}|E, 0\rangle\right|_{n_{12}=1, V_{3}=L_{1} L_{2} L_{3}, \frac{L_{3} L_{4}-L_{1} L_{2}}{\sqrt{L_{1} L_{2} L_{3} L_{4}}} \ll 1, L_{i} \Lambda \ll 1}
$$

Collecting everything, we find

$$
\left\langle\lambda^{2}\right\rangle=32 \pi^{2} \Lambda^{3}=2 \times \frac{16 \pi^{2} \Lambda^{3}}{\bigcap_{R^{4}, R^{3} \times S^{1}}}
$$

to get to $R^{4}$, say, take $L_{4} \rightarrow \infty$, obtaining $\left\langle\lambda^{2}\right\rangle=(-)^{F}\langle 0,0| \lambda^{2}|0,0\rangle_{n_{12}=1, V_{3}=L_{1} L_{2} L_{3}}$
then, take $V_{3} \rightarrow \infty$ there's a discrepancy only if "nothing happens" while these limits are taken
argue that result is $L_{\mu}, g_{Y M}$-independent?

Recall what we compute (factor of 2 from Witten index already divided out)

$$
\left\langle\lambda^{2}\right\rangle=\left.\sum_{E}(-)^{F} e^{-L_{4} E}\langle E, 0| \lambda^{2}|E, 0\rangle\right|_{n_{12}=1, V_{3}=L_{1} L_{2} L_{3}, \frac{L_{3} L_{4}-L_{1} L_{2}}{\sqrt{L_{1} L_{2} L_{3} L_{4}}} \ll 1, L_{i} \Lambda \ll 1}
$$

Collecting everything, we find

$$
\left\langle\lambda^{2}\right\rangle=32 \pi^{2} \Lambda^{3}=2 \times \frac{16 \pi^{2} \Lambda^{3}}{R^{4}, R^{3} \times S^{1}}
$$

Holomorphy on $T^{4} ?$

$$
\begin{gathered}
\Lambda^{*} \frac{d}{d \Lambda *}\left\langle\lambda^{2}\right\rangle \sim\left\langle\lambda^{2} F *\right\rangle \sim\left\langle\lambda^{2} \bar{Q}_{\dot{\alpha}} \bar{\psi}^{\dot{\alpha}}+\lambda^{2} \bar{\psi}^{\dot{\alpha}} \bar{Q}_{\dot{\alpha}}\right\rangle \sim\left\langle\bar{Q}_{\dot{\alpha}} \lambda^{2} \bar{\psi}^{\dot{\alpha}}+\lambda^{2} \bar{\psi}^{\dot{\alpha}} \bar{Q}_{\dot{\alpha}}\right\rangle=0 \\
T^{3} \text { : for each } E, e_{3}, \quad \sum_{\uparrow}(-)^{F}\langle E| X_{2} \bar{Q}_{\dot{1}}+\bar{Q}_{\dot{1}} X_{\dot{2}}|E\rangle=0, \text { states } \in \text { reps. of }\left\{Q_{\alpha}, \bar{Q}_{\dot{\beta}}\right\}=\delta_{\alpha \dot{\beta}} E \quad \text { on } R^{4}
\end{gathered}
$$

—> holomoprphy on $T^{4}$ as well, $\left\langle\lambda^{2}\right\rangle=c \Lambda^{3}$, holomorphy -> no $L|\Lambda|$-dependence
holomorphy argument appears known/ obvious to S.\&V., the authors of 1986 "Solution of anomaly puzzle..."

Holomorphy wrt $\Lambda$ leaves open dependence on dim-less ratios, like $\Delta$, but seen not to occur...
thus, we seem to have a problem...

- we made an algebraic mistake (all factors spelled out in glory detail in paper)
- there is a loophole in $L_{i}$-independence argument?
- misidentified moduli space? (missed some global identification? need rationale?)
- other backgrounds contribute?
- to boot, using one (no numeric study of uniqueness here!) of 't Hooft $\mathrm{SU}(\mathrm{N})$ solutions ( $\pm \Delta \ldots$ ) we find

$$
\left\langle\lambda^{2}\right\rangle=N \times 16 \pi^{2} \Lambda^{3} \quad N \text { times the } R^{4}, R^{3} \times S^{1} \text { weak coupling instanton result, }
$$ in the usual normalization (N-fold degeneracy divided out, as in $\mathrm{SU}(2)$ )

## SUMMARY:

```
one of two weakly-coupled calculations
of }\langle\mp@subsup{\lambda}{}{2}\rangle\mathrm{ : continuous connection to }\mp@subsup{R}{}{4
```

using this new and deeper knowledge, revisit old (1984!) calculations of $\left\langle\lambda^{2}\right\rangle$ on $T^{4}$

$$
\begin{array}{ll}
\left\langle\lambda^{2}\right\rangle_{R^{4}} & \\
& \left\langle\lambda^{2}\right\rangle_{T^{4}} \\
& \left\langle\lambda^{2}\right\rangle_{T^{4}}=2 \times\left\langle\lambda^{2}\right\rangle_{R^{4} \text { for SU(2) }} \\
\text { why? }
\end{array}
$$

important for pushing \& checking ‘adiabatic continuity' program qualitatively

## FUTURE:

wish for better understanding of fractional charge instantons, semiclassics, and their role in gauge dynamics (for which some evidence has accumulated)
input from math-phys/string?
(as in Dp-4 inside Dp <-> ADHM...; fractionalization of BPST on Coulomb branch)

