# **Fractional instantons and** nonperturbative gauge theory

## Erich Poppitz, U. of Toronto

mostly work with Mohamed Anber (Durham U.) and some with F. David Wandler (was at Toronto, now in neuroscience)

+ the work of many other people...

## the big picture:

determining the vacuum structure, showing confinement and dynamical mass generation are difficult, strong coupling problems in nonperturbative gauge theory

attitudes

## after all, problem is solved on the lattice (confinement "proven")

but, lattice can't deal with many theories:  $\theta \neq 0, \chi GT$ , (most of) SUSY

use the magic of SUSY (Seiberg-Witten theory)

not 'real world'... **but some hints** 



this talk!

roughly, about the role of nonperturbative fluctuations in the YM vacuum





## this talk: about the role of nonperturbative fluctuations in the vacuum in 4d SU(N) YM

objects in YM; do not cause confinement (no disordering of Wilson loops)

fractional instanton solutions found 19

dynamics?



hints taken seriously by some (few) ... Zhitnitsky ~ '91 +

dilute gas of fractional instantons (van Baal, González-Arroyo et al, 1980s –>1990s) review a little of the history - but first:

instantons (1970's: BPST, ADHM... integer Q) - oldest known nonperturbative

79: 't Hooft, 
$$\mathbf{Q} = \frac{r}{N}, r \in \mathbb{Z}$$

al Q: 
$$\theta \rightarrow \frac{\theta}{N}$$
 at large-N, Witten 1980  
 $E_{vac} = N^2 \min_k F(\frac{\theta - 2}{N})$ 

semiclassical dynamics on small  $\mathbb{T}^3$  (weak coupling)



this talk will be about nonperturbative effects in (super) YM on compactified  $\mathbb{R}^4$ : mostly on  $\mathbb{R} \times \mathbb{T}^3$  or  $\mathbb{T}^4$ ...

## why, as not the real world?!

- don't know that real world does not have a very large  $\mathbb{T}^3$ , so long as >> Hubble
- stat mech: spontaneous symmetry breaking- TD limit from finite V, e.g.  $\mathbb{T}^3$
- lattice is usually  $\mathbb{T}^4$
- space with two-cycles, e.g.  $\mathbb{T}^4$

reveal unusual fractionally charged objects which disorder Wilson loops interesting insight (at a price - not enough to get Clay Prize)... but best there is, so far

## - generalized anomalies involving, e.g. 1-form center symmetry revealed on

 $\checkmark$  provided compact space <<  $\Lambda^{-1}$ 

- various YM theories: semiclassically calculable on  $\mathbb{T}^4$ ,  $\mathbb{T}^3 \times \mathbb{R}$ ,  $\mathbb{T}^2 \times \mathbb{R}^2$ ,  $\mathbb{S}^1 \times \mathbb{R}^3$ 







$$W_{3} = tr_{F} P e^{i \int_{0}^{t} A_{3} dx^{3}}$$

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$$W_{12} = 1$$

**mix analytic/numerical:** no analytic solutions, but dilute gas of Q = 1/2 seen in lattice configs.; SU(2)

large-L ( $L\Lambda \gg 1$ ): continuous transition of  $\Sigma$  to infinite volume limit, no phase transition analytic control lost; conjectured "Fractional Instanton Liquid Model" (González-Arroyo, review 2302.12356)



small-L ( $L\Lambda \ll 1$ ): dilute gas of fractional instantons give area law  $\Sigma = \frac{2c}{r^2} e^{-\frac{8\pi^2}{Ng^2}}$ 





reminder of old-fashioned language (~1980): canonical quantization on torus 1 center symmetry:  $\hat{T}_i$ , i = 1, 2, 3: "gauge" transforms periodic in  $x_i$ up to a center element; only act nontrivially on winding loops:

 $[\hat{T}_{i}, \hat{H}] = 0 \quad \hat{T}_{i} \hat{W}_{i} \hat{T}_{i}^{-1} =$ 

2 electric flux sectors in Hilbert space on  $\mathbb{T}^3$ 



$$e^{i\frac{2\pi}{N}\delta_{ij}}\hat{W}_{j}$$

# value of $e_i$ is changed by one unit by acting with $\hat{W}_i$ on state: $|E, e_1, e_2, \mathcal{A}_N \rangle_{\hat{T}_l} = |E, \vec{e} \rangle_{e^{i\frac{2\pi}{N}e_l}} |E, \vec{e} \rangle = |E, \vec{e} \rangle e^{i\frac{2\pi}{N}e_i}$

 $\hat{T}_i(\hat{W}_i | \vec{e} \rangle) = (\hat{W}_i | \vec{e}_i \rangle) e^{i\frac{2\pi}{N}(e_i+1)}$ 



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in pure YM, at  $\theta \neq \pi$ , as  $L \to \infty$ , only one electric flux sector ( $\vec{e} = 0$ ) has finite energy, while all others have energy  $\sim L$  with coefficient given by the k-string tension; studied much on and off the lattice: 't Hooft '80, Lüscher, van Baal, Witten '82,... "Madrid group"



reminder of old-fashioned language (~1980)

- 1 center symmetry in gauge theories on  $\mathbb{T}^3$

- "t Hooft fluxes" or "t Hooft twisted b.c."

**3** "magnetic" fluxes on  $\mathbb{T}^3$ : b.c. in 2-planes of  $\mathbb{T}^3$  twisted by center symmetry  $\overline{\mathcal{M}}$ , mod N integers, one per 2-plane (-> as per R. Narayanan talk) "2-form background field for 1-form symmetry"

for 2-form abelian/ $\mathbb{Z}_N$  gauge field,

$$\oint B_{\mu\nu} d^2 \sigma^{\mu\nu}$$
 is gauge invariant

on  $\mathbb{T}^3$  we can introduce curvature-free background for  $\mathbb{Z}_N$  2-form field

("1-form symmetry") 2 e-flux sectors in Hilbert space on  $\mathbb{T}^3$ :  $\vec{e}$ , mod N integers, one per 1-cycle

> ignore  $x_1, x_2$ -plane  $\oint dx^1 dx^2 B_{12} = \frac{2\pi m_3}{N} (\text{mod}2\pi)$  $\oint dx^2 dx^3 B_{23} = \frac{2\pi m_1}{M} (\text{mod}2\pi)$  $\oint dx^3 dx^1 B_{31} = \frac{2\pi m_2}{N} (\text{mod}2\pi)$







## crucial observation ('t Hooft)

 $\hat{T}_3$ , the  $Z_N^{(1)}$  generator in the direction orthogonal to the (12) plane of the twist has winding *-maps three torus to gauge group* - number  $Q = \frac{m_3}{N} \pmod{Z}$ 

(\*) 
$$\hat{T}_3 e^{i2\pi \int_{T^3} \operatorname{tr}(\hat{A}d\hat{A}+...)} \hat{T}_3^{-1} = e^{i\frac{2\pi}{N}m_3} e^{i2\pi \int_{T^3} \operatorname{tr}(\hat{A}d\hat{A}+...)}$$

- fractional winding first explained by 't Hooft  $\sim$  1980, then van Baal etc...

Gaiotto, Kapustin, Komargodski, Seiberg: 2014-...

we care because  $2\pi$  shifts of  $\theta$  can be part of physical symmetry

- have to accept - take  $\overrightarrow{m} = (0,0,m_3)$ 

- as an equation in Hilbert space (\*) appears first in unpublished Ch. 3 of van Baal's PhD thesis, 1984

[GKKS+]

- Eq. (\*): Hilbert space expression of what GKKS ~2014 call  $\theta$  -periodicity anomaly (GKKS study Euclidean path integral)

(simplest: parity in pure-YM<sub> $\theta=\pi$ </sub>)



$$W_{3} = t_{r_{F}} \mathcal{P} e^{i \int_{0}^{C_{3}} A_{3} dx^{3}}$$

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$$T_{12} = 1$$

$$W_{12} = 1$$

**mix analytic/numerical:** no analytic solutions, but dilute gas of Q = 1/2 seen in lattice configs.; SU(2)

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hence:  $\langle W_3^{\dagger} W_3 \rangle \sim e^{-T(E_1 - E_0)}$  so, use lattice to find  $E_1 - E_0$ 

on the other hand... at small torus with  $m_3 = 1$  (skip detail) classically degenerate discrete vacua (in SUSY - Witten index), <u>in non-SUSY tunnelling</u>. at small-T<sup>3</sup> w/  $m_3 = 1$  semiclassical tunnelling:  $E_1 - E_0 = L\Sigma$ ,  $\Sigma \sim (g^2 L)^{-2} e^{-\frac{4\pi^2}{g^2}}$ 



$$\langle {}^{\dagger}W_{3} \rangle = \text{Tr} \left( e^{-\frac{\beta - T}{2}H} W_{3}^{\dagger} e^{-\text{TH}} W_{3} e^{-\frac{\beta - T}{2}} \right)$$

$$= e^{-E_0 \frac{\beta - T}{2}} e^{-E_1 T} |\langle e_3, E_0 | W_3^{\dagger} | e_3 + 1, E_1 \rangle$$

difference of min energies in  $e_3 = 0, e_3 = 1$  sectors







numerical fractional Q=1/2 instanton in SU(2)

pic from Wandler 2406.07636

(1990s, "Madrid group")













+ aside: vacuum degeneracy at  $\theta = \pi$  (example the second 

<u>González-Arroyo, Martínez, García Pérez et al</u> 1980s –>1990s

fit semiclassical ansatz to lattice data...1993-95 papers split of pertubatively degenerate e-fluxes grows with L

$$W_{3}^{\dagger}W_{3} \rangle \sim e^{-TL\left(\frac{2c}{L^{2}}e^{-\frac{4\pi^{2}}{g^{2}}\right)}} \sum_{\substack{p \text{ van Baal, 1984, PhD thesis, unpublished Ch.3 ->? 't Hoof}} E_{1} - E_{0} = \frac{2c}{L}e^{-\frac{4\pi^{2}}{g^{2}}}\cos\frac{6\pi^{2}}{2}} \sum_{\substack{p \text{ van Baal, 1984, PhD thesis, unpublished Ch.3 ->? 't Hoof}} E_{1} - E_{0} = \frac{2c}{L}e^{-\frac{4\pi^{2}}{g^{2}}}\cos\frac{6\pi^{2}}{2}} \sum_{\substack{p \text{ van Baal, 1984, PhD thesis, unpublished Ch.3 ->? 't Hoof}} E_{1} - E_{0} = \frac{2c}{L}e^{-\frac{4\pi^{2}}{g^{2}}}\cos\frac{6\pi^{2}}{2}} \sum_{\substack{p \text{ van Baal, 1984, PhD thesis, unpublished Ch.3 ->? 't Hoof}} E_{1} - E_{0} = \frac{2c}{L}e^{-\frac{4\pi^{2}}{g^{2}}}\cos\frac{6\pi^{2}}{2}} \sum_{\substack{p \text{ van Baal, 1984, PhD thesis, unpublished Ch.3 ->? 't Hoof}} E_{1} - E_{0} = \frac{2c}{L}e^{-\frac{4\pi^{2}}{g^{2}}}\cos\frac{6\pi^{2}}{2}} \sum_{\substack{p \text{ van Baal, 1984, PhD thesis, unpublished Ch.3 ->? 't Hoof}} E_{1} - E_{0} = \frac{2c}{L}e^{-\frac{4\pi^{2}}{g^{2}}}\cos\frac{6\pi^{2}}{2}} \sum_{\substack{p \text{ van Baal, 1984, PhD thesis, unpublished Ch.3 ->? 't Hoof}} E_{1} - E_{0} = \frac{2c}{L}e^{-\frac{4\pi^{2}}{g^{2}}}\cos\frac{6\pi^{2}}{2}} \sum_{\substack{p \text{ van Baal, 1984, PhD thesis, unpublished Ch.3 ->? 't Hoof}} E_{1} - E_{0} = \frac{2c}{L}e^{-\frac{4\pi^{2}}{g^{2}}}\cos\frac{6\pi^{2}}{2}} \sum_{\substack{p \text{ van Baal, 1984, PhD thesis, unpublished Ch.3 ->? 't Hoof}} E_{1} - E_{0} = \frac{2c}{L}e^{-\frac{4\pi^{2}}{g^{2}}}\cos\frac{6\pi^{2}}{2}} \sum_{\substack{p \text{ van Baal, 1984, PhD thesis, unpublished Ch.3 ->? 't Hoof}} E_{1} - E_{0} = \frac{2c}{L}e^{-\frac{4\pi^{2}}{g^{2}}}\cos\frac{6\pi^{2}}{2}} \sum_{\substack{p \text{ van Baal, 1984, PhD thesis, unpublished Ch.3 ->? 't Hoof}} E_{1} - E_{0} = \frac{2c}{L}e^{-\frac{4\pi^{2}}{g^{2}}} \sum_{\substack{p \text{ van Baal, 1984, PhD thesis, unpublished Ch.3 ->? 't Hoof}} E_{1} - E_{0} = \frac{2c}{L}e^{-\frac{4\pi^{2}}{g^{2}}}} \sum_{\substack{p \text{ van Baal, 1984, PhD thesis, unpublished Ch.3 ->? 't Hoof}} E_{1} - E_{0} = \frac{2c}{L}e^{-\frac{4\pi^{2}}{g^{2}}}} \sum_{\substack{p \text{ van Baal, 1984, PhD thesis, unpublished Ch.3 ->? 't Hoof}} E_{1} - E_{0} = \frac{2c}{L}e^{-\frac{4\pi^{2}}{g^{2}}}} \sum_{\substack{p \text{ van Baal, 1984, PhD thesis, unpublished Ch.3 ->? 't Hoof}} E_{1} - E_{1}$$











# fractional instantons - forgotten by many and unknown to the youngest...

- fractional instanton solutions first found 1979: 't Hooft,  $Q = \frac{r}{N}$ ,  $r \in \mathbb{Z}$ 
  - hints for fractional Q:  $\theta \rightarrow \frac{\theta}{N}$  at large-N, Witten 1980  $E_{vac} = N^2 \min_k F(\frac{\theta 2\pi k}{N})$ hints taken seriously by some (few) ... Zhitnitsky ~ '91 +
    - semiclassical dynamics on small  $\mathbb{T}^3$  (weak coupling) dilute gas of fractional instantons P. van Baal, González-Arroyo et al, 1980s –>1990s

this talk is about the recent "pick-up" in this 30+ years old activity and the role of - the reason why just reviewed!





## recent "pick-up" in this 30+ years old activity for various reasons...

"Quanta" Spring '23 —>



# renewed interest in $\mathbb{T}^4$ due to generalized anomalies

### missed in the 1980s

# to see need spacetime with noncontractible 2-cycles

Gaiotto, Kapustin, Komargodski, Seiberg 2014-

## A New Kind of Symmetry Shakes Up Physics

MATHEMATICAL PHYSICS

 So-called "higher symmetries" are illuminating everything from particle decays to the behavior of complex quantum systems.



The symmetries of 20th-century physics were built on points. Higher symmetries are based on one-dimensional lines.

Magazine

## recent "pick-up" in this 30+ years old activity for various reasons...



## and the relation between the seemingly different fractional instantons on $\mathbb{R}^2 \times \mathbb{T}^2, \mathbb{R}^1 \times \mathbb{T}^3, \mathbb{R}^3 \times \mathbb{S}^1, \mathbb{T}^4$

(García Pérez, González-Arroyo...1990s; Wandler EP 2022; Wandler 2024; Ünsal et al 2024; Tanizaki et al 2024)

 $\mathbb{R}^3 \times \mathbb{S}^1$  D-brane work of K. Lee and P. Yi from 1990s!

## Ünsal...2007+;

(but also García Pérez, González-Arroyo...1990s)

Tanizaki-Ünsal...2020+



recent "pick-up" in this 30+ years old activity for various reasons...



symmetry



- recent interest in  $\mathbb{R}^3 \times \mathbb{S}^1$  compactifications of 4d gauge theories Ünsal...2007+;
  - ... and relations between fractional instantons on  $\mathbb{R}^2 \times \mathbb{T}^2$ ,  $\mathbb{R}^1 \times \mathbb{T}^3$ ,  $\mathbb{R}^3 \times \mathbb{S}^1$ ,  $\mathbb{T}^4$



progress in analytically constructin

## renewed interest in $\mathbb{T}^4$ due to generalized anomalies involving 1-form center

Gaiotto, Kapustin, Komargodski, Seiberg 2014-

ng solutions with 
$$Q = \frac{k}{N}$$

García Pérez, González-Arroyo...2000, González-Arroyo 2018; Anber, EP 2022, 2023, 2024

will tell you about these + role in chiral symmetry breaking via the calculation the gaugino condensate in SYM



### rest of talk about:

## SYM in 4d: SU(N) + 1 massless adjoint Weyl fermion $\lambda_{\alpha}^{a}$ (SUSY emergent when $m_{\lambda} = 0$ ) chiral U(1) broken to $\mathbb{Z}_{2N}$ by anomaly

$$\langle \lambda^2 \rangle = e^{i \frac{2\pi k}{N}} c \Lambda^3, k = 1,...,N, c = 16\pi^2$$

the "mother" of all exact results in SUSY

semiclassical weakly-coupled instanton calculations + power of SUSY

recent independent large-N lattice determination!

## $\mathbb{Z}_{2N}$ spontaneously broken to $\mathbb{Z}_2$ by bilinear gaugino condensate ( $\lambda^2(x) \equiv \operatorname{tr} \lambda^{\alpha}(x)\lambda_{\alpha}(x)$ )

1983-1999: Novikov, Shifman, Vainshtein, Zakharov; Amati, Konishi, Rossi, Veneziano; Affleck, Dine, Seiberg; Cordes; Finnell, Pouliot (SQCD  $\rightarrow$  SYM on  $R^4$ ); Davies, Hollowood, Khoze, Mattis;... 2014 Anber, Teeple, EP (SYM on  $R^3 \times S^1 \longrightarrow S^1$ SYM on  $R^4$ )

2406.08955 Bonnano, García Pérez, González-Arroyo, Okawa et al









# chiral U(1) broken to $\mathbb{Z}_{2N}$ by anomaly

$$\langle \lambda^2 \rangle = e^{i \frac{2\pi k}{N}} c \Lambda^3, k = 1,...,N, c = 16\pi^2$$

## here, I will discuss the calculation of the condensate on $\mathbb{T}^4$

it had been noted a long time ago that a nonzero bilinear adjoint fermion condensate requires an instanton with two adjoint zero modes, i.e. topological charge 1/N, since "index(adjoint Dirac) = 2 N Q"... a.k.a. "instanton quarks"

- SYM in 4d: SU(N) + 1 massless adjoint Weyl fermion  $\lambda_{\alpha}^{a}$  (SUSY emergent when  $m_{\lambda} = 0$ )
  - $\mathbb{Z}_{2N}$  spontaneously broken to  $\mathbb{Z}_2$  by bilinear gaugino condensate ( $\lambda^2(x) \equiv \operatorname{tr} \lambda^{\alpha}(x)\lambda_{\alpha}(x)$ )







 $\langle \lambda^2 \rangle = e^{i \frac{2\pi k}{N}} c \Lambda^3, k = 1,...,N, c = 16\pi^2$ 

Cohen and Gomez, could not and did not compute "c" at the time

to both center vortices and monopoles, argued to be responsible for confinement/mass gap/chiral symmetry breaking as opposed to BPST/ADHM instantons used in  $\mathbb{R}^4$  calculation

**3.** the calculation raises interesting questions about semiclassics, boiling down to the basic definition of path integrals ... (recent progress...)

- 1. finish a 40 years old story: new developments allow it! first attempt in 1984,
- 2. the semiclassical objects (instantons on twisted torus) are closely related

use both new insights: *a.*) generalized anomalies + *b.*) moduli space of  $Q = \frac{k}{N}$  on torus

(also, SYM is the one theory where one expects small-L and  $\mathbb{R}^4$  results to precisely match!)







# in fact, on $\mathbb{T}^4$ we'll be able to do more than $\langle \lambda^2 \rangle = c \Lambda^3$

## SUSY Ward identities: $\langle \lambda^2(x_1) \lambda^2(x_2) \rangle$

=> x-independence / + clustering /

## verified in weak-coupling calculation using ADHM+holomorphy Dorey, Hollowood, Khoze, Mattis 2002

we calculate  $\langle \lambda^{2k} \rangle$  on small  $\mathbb{T}^4$ , gcd(N,k)=1; result agrees with  $\mathbb{R}^4$ 

$$\langle (\operatorname{tr} \lambda^2)^k \rangle \bigg|_{\mathbb{T}^4} = N \langle (\lambda^2)^k \rangle_{\mathrm{OI}}$$

(taking one particular vacuum)

$$(x_2) \dots \lambda^2(x_k) \rangle \equiv \langle \lambda^{2k} \rangle = (c \Lambda^3)^k$$

n of 
$$\langle \lambda^{2k} 
angle$$
 in SQCD on  $\mathbb{R}^4$ 

generalized

ne vacuum on  $\mathbb{R}^4$ 

anomalies + def. of path



## a.) generalized anomalies Hamiltonian: $\mathbb{T}^3$ with 't Hooft twist $m_3 = n_{12} = -k$ , gcd(N, k) = 1we calculate: $\langle (\lambda^2)^k \rangle \equiv \operatorname{tr}_{\mathscr{H}_{m_3}} \left( e^{-\beta H} (-1)^F (\lambda^2)^k T_3 \right)$ $\mathbb{T}^3$ Hilbert space center symmetry (1-form) with above twist generator along "'t Hooft flux" "'t Hooft flux in $x_3$ "

strategy: calculate at small  $L, \beta$  (weak coupling) then continue to large volume (SUSY)

use both new insights: *a.*) generalized anomalies + *b.*) moduli space of  $Q = \frac{k}{N}$  on torus

salient point: anomaly between (0-form)  $\mathbb{Z}_{2N}$  chiral and center symmetry (1-form) implies exact N-fold degeneracy of all states in  $\mathcal{H}_{m_3}$ trace with  $T_3$  sums absolute value of  $(\lambda^2)^k$  in N degenerate sectors Cox, Wandler, EP, 2021 Anber, EP, 2022











$$Z^{T} = \operatorname{Tr}_{\mathscr{H}_{m_{3}}} \left( e^{-\beta H} (-1)^{F} \frac{1}{N} \sum_{k=1}^{N} \right)^{F}$$

$$\langle \mathcal{O} \rangle = \frac{1}{Z^T} \operatorname{Tr}_{\mathscr{H}_{m_3}} \left( e^{-\beta H} (-1)^F \right)$$

without sum over twists, any observable with chiral charge = 0 due to sum over vacua projection on one of the  $R^4$  superselection sectors. in TD limit: obtain gaugino condensate from small volume calculation



center-chiral anomaly in SYM: exact N-fold degeneracy (extra to SUSY) at  $m_3 \neq 0$  at finite V





a.) generalized anomalies

$$\langle (\lambda^2)^k \rangle \equiv \operatorname{tr}_{\mathscr{H}_{m_3}} \left( e^{-\beta H} (-1)^F (\lambda^2)^k T_3 \right) \bigg|_{\beta, L \to \infty} = N \langle (\lambda^2)^k \rangle_{\text{one vacuum or}}$$

the Hilbert space trace over  $\mathscr{H}_{m_3}$  with the  $T_3$  inserted is the path integral over  $\mathbb{T}^4$  ( $L^3 \times \beta$ ) with 't Hooft twists  $n_{12} = -k, n_{34} = 1$ :

$$\sum_{\nu \in \mathbb{Z}} \int [DA_{\mu}] [D\lambda] [D\bar{\lambda}] \left[ \prod_{i=1}^{k} \operatorname{tr}(\lambda\lambda)(x_{i}) \right] e^{-S_{SYM} - i\theta\left(\nu + \frac{k}{N}\right)} \Big|_{n_{12} = -k, n_{34} = 1}$$
  
of semiclassical contribution requires  $Q = \frac{k}{N}$  instantons.

so that leading

use both new insights: *a.*) generalized anomalies + *b.*) moduli space of  $Q = \frac{k}{N}$  on torus

What are they? What is *b.*) moduli space of  $Q = \frac{\pi}{\lambda T}$  on torus









- fractional instanton solutions found 19
- i.) instantons self-dual (BPS) only for tuned  $\mathbb{T}^4$ :  $kL_1L_2 = k(N k)L_3L_4$ issues:
  - ii.) index of adjoint operator is k (as per index theorem), but have extra antichiral 0-modes
- both can be solved by detuning  $\mathbb{T}^4$  away from  $kL_1L_2 = k(N-k)L_3L_4$
- Idea: García Pérez, González-Arroyo, Pena 2000, implemented for k=1, N=2, 2000 (& G.-A.: k=1, any N, 2018)
  - - for k>1, we found <u>"multi-fractional instantons"...</u>

79: 't Hooft, 
$$\mathbf{Q} = \frac{k}{N}, k \in \mathbb{Z}$$

and constructing self-dual instanton as an expansion in small  $\Delta = \frac{kL_1L_2 - k(N-K)L_3L_4}{\sqrt{L_1L_2L_3L_4}}$  $\sqrt{L_1L_2L_3L_4}$ 









 $\Gamma$  includes images of instanton under global center sy

### Anber, EP 2307.07495, 2408.16058

• k lumps, 
$$Q_{top.} = \frac{k}{N}$$

strongly overlapping, liquid-like

• each lump carries 2 gaugino zero modes (see 2307.07495)

### 4k bosonic moduli, as per index thm.:

center of mass motion + relative motion ( $\Gamma_r^{SU(k)} = SU(k)$  root lattice

$$\int_{\Gamma} = \left( \prod_{\mu=1}^{4} \frac{\mathbb{S}_{\mu}^{1} \times \Gamma_{r}^{SU(k)}}{\mathbb{Z}_{k}} \right) / S_{k} \quad \text{(in SUSY: } \int_{\Gamma} \text{or}$$
  
weight-lattice/c.m. shifts permutation of lumps =  $SU(k)$   
symmetry (in T<sup>3</sup> only) + modding by gauge equivalence



S

# combining all... SUSY -> nonzero modes cancel, only | remains $\Gamma$ includes images of instanton under global center symmetry (in $\mathbb{T}^3$ only...!)

(there is a story here related to subtlety of the def. of path integral, only recently understood)

$$\left\langle \left( \operatorname{tr} \lambda^2 \right)^k \right\rangle = \sum_{\nu \in \mathbb{Z}} \int [DA_{\mu}] [D\lambda] [D\overline{\lambda}] \left[ \prod_{i=1}^k \operatorname{tr}(\lambda\lambda)(x_i) \right] e^{-S_{SYM} - i\theta\left(\nu + \frac{k}{N}\right)} \Big|_{n_{12} = -k, n_{34} = 1}$$

$$= \sum_{\nu \in \mathbb{Z}} \left\langle N \left( \frac{16\pi^2 M_{PV}^3}{g^2} e^{-\frac{8\pi^2}{Ng^2}} \right)^k \int \prod_{C'=1}^k d\zeta_1^{C'} d\zeta_2^{C'} \zeta_2^{C'} \zeta_1^{C'} \right\rangle_{n_{12} = -k, n_{34} = 1}$$

$$= \sum_{\nu \in \mathbb{Z}} \left\langle N \left( \frac{16\pi^2 M_{PV}^3}{g^2} e^{-\frac{8\pi^2}{Ng^2}} \right)^k \int \prod_{C'=1}^k d\zeta_1^{C'} d\zeta_2^{C'} \zeta_2^{C'} \zeta_1^{C'} \right\rangle_{n_{12} = -k, n_{34} = 1}$$

$$= \sum_{\nu \in \mathbb{Z}} \left\langle N \left( \frac{16\pi^2 M_{PV}^3}{g^2} e^{-\frac{8\pi^2}{Ng^2}} \right)^k \int \prod_{C'=1}^k d\zeta_1^{C'} d\zeta_2^{C'} \zeta_2^{C'} \zeta_1^{C'} \right\rangle_{n_{12} = -k, n_{13} = 1}$$

path integral on twisted  $\mathbb{T}^4$  w/ gcd(N,k)=1:

$$\langle (\lambda^2)^k \rangle \equiv \operatorname{tr}_{\mathscr{H}_{m_3}} \left( e^{-\beta H} (-1)^F (\lambda^2)^k T \right)$$

 $M_{PV}^{"B} {}^{2"F} = M_{PV}^{4k-k} = M_{PV}^{3k}$ 

$$(\operatorname{tr} \lambda^2)^k \rangle = \mathbb{N} \left( 16\pi^2 \Lambda^3 \right)^k$$

 $= N \langle (\lambda^2)^k \rangle_{\text{one vacuum on } \mathbb{R}^4}$ infinite volume 3)



## thus, the main points of my talk:

2.

multifractional instantons with Q = k/N""" fractionalize" into k objects in an instanton liquid like configuration, whose moduli we now understand

3.

calculated  $\langle \lambda^{2k} \rangle$  on small  $\mathbb{T}^4$ , gcd(N,k)=1; agrees with  $\mathbb{R}^4$  at weak-coupling and recent lattice!

 $\langle (\operatorname{tr} \lambda^2)^k \rangle \bigg|_{\mathbb{R}^4} = (16\pi^2 \Lambda^3)^k$ 

## semiclassical objects contributing to gaugino condensate on the torus are related to center vortices and monopoles, argued responsible for chiral symmetry breaking and confinement

(just state... won't describe relation...)

compared to the k>1 ADHM calculation on  $\mathbb{R}^4$ , this is (to us) infinitely simpler





this completes - and extends! - a calculation started in 1984

## none of this would be possible without recent (2000+) progress in:

a.) understanding the role of generalized anomalies in twisted Hilbert space on torus,

**b.**) the nature and moduli space of multi-fractional instantons,

and

c.) some subtleties of defining the path integral from the Hilbert **Space trace** (too technical to discuss, skipped) - <u>THANKS TO AN ANONYMOUS REFEREE!!!</u>



## where does this leave us regarding confinement, $\mathbb{R}^4$ , the future, etc.?

nonperturbative phenomena on  $\mathbb{R}^2 \times \mathbb{T}^2$ ,  $\mathbb{R}^1 \times \mathbb{T}^3$ ,  $\mathbb{R}^3 \times \mathbb{S}^1$ ,  $\mathbb{T}^4$  is interesting and not totally explored yet (various people working on various aspects)

now, semiclassics holds when some compact direction is small, with details large volume requires lattice studies and/or models

- the relation between the seemingly different fractional instantons, responsible for
- extending our calculation of  $\langle (\lambda^2)^k \rangle$  to  $gcd(N,k) \neq 1$  may hold interesting lessons
- depending on theory and twists (b.c.). but, absent the SUSY magic, extending it to
  - this is my own conservative view; can't offer a 'rose garden' ...



where does this leave us regarding confinement,  $\mathbb{R}^4$ , the future, etc.?

## how about general-Q fractionalization, including integer Q? may hold lessons for FILM (or other) models of the vacuum... fractional instantons and D-branes - unexplored since 1997; 't Hooft's solutions on tuned $\mathbb{T}^4$ are T-dual to wrapped intersecting (BPS) D2-branes - tachyon condensation vs the detuned- $\mathbb{T}^4 \Delta$ -expansion??

- fractionalization and moduli space understood for Q = k/N with k = 1, ..., N 1 only;
- another thing of interest (given the success of ADHM/branes) may be the relation between

