

# **Deconfinement in 4d QCD(adj), electric-magnetic Coulomb gases, and affine 2d XY spin models**

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to appear,  
sometime  
in Winter  
2011/12

# Work inspired by many sources spanning diverse ages and topics:

2007-:

M. Unsal w/ one of  
L. Yaffe, M. Shifman, E.P.,  
D. Simic, or P. Argyres

gauge theory dynamics on  $\mathbb{R}^{1,2} \times S^1$  (spatial circle)

early 2000's:

G. Dunne, A. Kovner, B. Tekin

deconfinement transition in 3d Polyakov model

late 1990's:

P. Yi, K. Lee; P. v. Baal

monopoles/instantons on compactified D-branes

mid 1980's:

2d CFT work of many

solutions of 2d critical theories

late 1970's:

J. Jose, L. Kadanoff, S. Kirkpatrick,  
D. Nelson

lattice Coulomb gases and 2d spin models

D. Nelson; +w/ B. Halperin

theory of melting of 2d crystal on triangular lattice

G. 't Hooft

phases of gauge theories; order-disorder algebra  
( 't Hooft loop )

mid 1970's:

A. Polyakov

monopole-instanton induced confinement  
in 3d Georgi-Glashow model (=compact U(1))  
( Polyakov model )

## How are these connected?

gauge theory dynamics on  $\mathbb{R}^{1,2} \times S^1$  (spatial circle)  
lattice Coulomb gases and 2d spin models  
theory of melting of 2d crystal on triangular lattice

4d  $SU(N)$  gauge theory with  
 $n_f$  massless adjoint Weyl  
fermions on spatial circle (L)



One side of duality - 4d gauge  
theory with massless fermions.  
Difficult to study by any  
means, including on the lattice.



The other side-2d spin models  
(known, or generalization of known,  
models).  
Both analytical and numerical  
progress should be possible.

## OUTLINE:

To illustrate, will give two examples to describe our results.

One is well-understood by now.

The second - less so, but in progress.

In the remaining time, will try to give a picture of how it comes about.

# How are these connected?

gauge theory dynamics on  $\mathbb{R}^{1,2} \times S^1$  (spatial circle)  
 lattice Coulomb gases and 2d spin models  
 theory of melting of 2d crystal on triangular lattice

4d SU(N) gauge theory with  $n_f$  massless adjoint Weyl fermions on spatial circle (L)

← at finite T, near deconfinement transition is dual to →

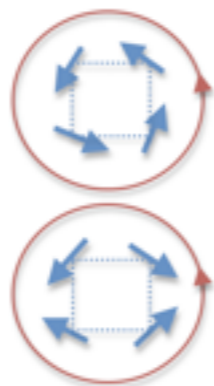
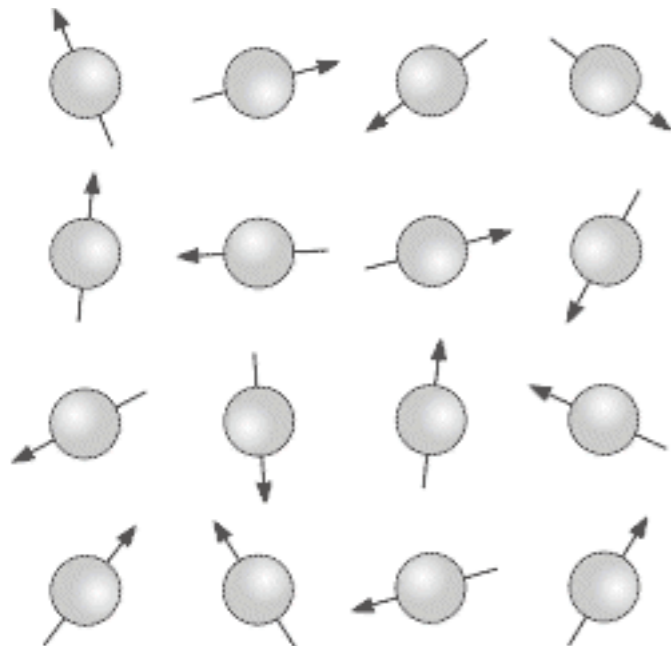
2d “affine” XY spin models

**Ex. I:** SU(2) QCD(adj) dual to XY model with  $Z_4$  preserving perturbation lattice spacing  $a \sim L$   
 chiral  $Z_2$  and topological  $Z_2$  (dual to center  $Z_2$ )

$$-\beta H = \sum_{x; \hat{\mu}=1,2} \frac{\kappa}{2\pi} \cos(\theta_{x+\hat{\mu}} - \theta_x) + \sum_x \tilde{y} \cos 4\theta_x$$

$$\kappa = \frac{g_4^2(L)}{2\pi LT} \quad \tilde{y} \sim \xi_{bion} a^2 = \frac{e^{-\frac{8\pi^2}{g_4^2(L)}(1+cg_4)}}{LT g_4^{14-2n_f}}$$

at  $y=0$  – Berezinskii–Kosterlitz–Thouless transition at  $\kappa=4$



small  $\kappa$ :  
 vortices proliferate,  
 disorder system–mass gap

large  $\kappa$ :  
 vortices suppressed,  
 algebraic long–range  
 order

adding nonzero  $y$ :  
 rotation–U(1) breaking  
 “crystal field”  
 non–BKT transition of  
 finite order

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in the SU(2) dual, behavior near  $T_c$  can be understood analytically in some detail (our work & others')

|   | $T < T_c$                          | $T = T_c$   | $T > T_c$                               |
|---|------------------------------------|---|---|
| $Q_m = \frac{q}{2}$ 't Hooft loop : $\langle e^{iq\theta(x)} e^{-iq\theta(0)} \rangle \Big _{ x  \rightarrow \infty}$     | 1                                  | $\frac{1}{ x ^{\frac{q^2}{4}(1+\mathcal{O}(y))}}$ | $e^{-\frac{\tilde{\sigma}_q(T)}{T} x }$ |
| $Q_e = 1$ Polyakov loop : $\langle e^{i\tilde{\theta}(x)} e^{-i\tilde{\theta}(0)} \rangle \Big _{ x  \rightarrow \infty}$ | $e^{-\frac{\sigma(T)}{T} x }$      | $\frac{1}{ x ^{\frac{1}{4}(1+\mathcal{O}(y))}}$   | 1                                       |
|   | $Z_2^{d\chi}, Z_2^{top}$<br>broken | $c = 1$<br>CFT                                    |   |

(dual) string tension critical exponent -

$$\frac{\sigma_q(T)}{T} \sim \frac{\tilde{\sigma}_q(T)}{T} \sim \xi^{-1} \sim |T - T_c|^\nu = |T - T_c|^{\frac{1}{16\pi\sqrt{y_0\tilde{y}_0}}}$$

**notice high-T/low-T “el.-magn.” duality**

**TO DO: equation of state? non-static properties?**

**Ex.2:** SU(3) QCD(adj) dual to “affine” XY model with  $Z_3 \times Z_3$  preserving perturbation

lattice spacing  $a \sim L$   
 chiral  $Z_3$  and topological  $Z_3$   
 (dual to center  $Z_3$ )

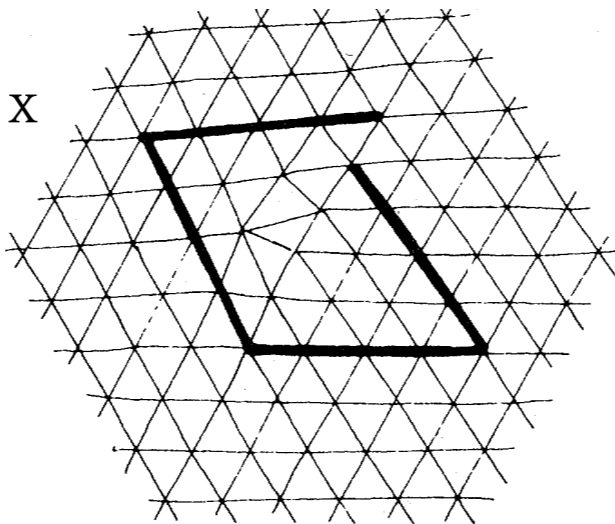
$$-\beta H = \sum_{x; \hat{\mu}=1,2} \sum_{A=1,2} \frac{\kappa}{2\pi} \cos \alpha_i^A (\theta_{x+\hat{\mu}}^i - \theta_x^i) + \sum_x \tilde{y} (\cos 3\theta_x^1 + \cos 3\theta_x^2 + \cos 3(\theta_x^1 - \theta_x^2))$$

$\nearrow$   
 the A-th simple root of SU(3)

$$2\kappa = \frac{g_4^2(L)}{2\pi LT} \quad \tilde{y} \sim \xi_{bion} a^2 = \frac{e^{-\frac{8\pi^2}{g_4^2(L)}(1+cg_4)}}{LT g_4^{14-2n_f}}$$

at  $y=0$  – D. Nelson’s theory of melting on 2d triangular (=root) lattice

$\theta_x^i$  the distortion (phonon) field at  $x$   
 $\kappa$  Lamé coefficient



vortices = dislocations in crystal  
 winding number = Burger’s vector  
 melting = proliferation of dislocations at small  $\kappa$  (solid becomes a “fluid of dislocations” upon melting)

**Ex.2:** SU(3) QCD(adj) dual to “affine” XY model with  $Z_3 \times Z_3$  preserving perturbation

lattice spacing  $a \sim L$   
 chiral  $Z_3$  and topological  $Z_3$   
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in the SU(3) dual spin model, behavior near  $T_c$  is not so well understood - yet (by us?)...

- just as SU(2), dual has el-m duality (high-T/low-T)
- which - if any - CFT describes critical behavior at self-dual point?
- clearly, however, at small-T (large-kappa)  $Z_3$ -chiral  $\times$   $Z_3$ -topological broken, as appropriate to confining phase

**OUTLOOK:** we can find out - if all else fails using simulations...

How does this duality come about?

In a class of 4d QCD-like theories - QCD with massless adjoint Weyl fermions, to be precise - the thermal confinement-deconfinement transition can be understood as arising due to a competition of “electric” and “magnetic” degrees of freedom...

“electric”: perturbative d.o.f., i.e., gauge bosons

“magnetic”: non-perturbative d.o.f.,  
in the theories at hand, magnetic monopole-instantons “bound” by fermion zero-mode exchange  
- the “magnetic bions” responsible for confinement in QCD(adj) at  $T=0$



This claim, of course, is quite expected - perturbative d.o.f. do not cause confinement, so it must be that the deconfinement transition arises due to a “fight” of perturbative vs. non-perturbative physics.

What’s new is that - as should’ve become clear from my summary:

- I will not attempt to “model” non-perturbative effects,


  - i.e., I will not be engaging in “voodoo QCD” - whatever merits this might sometimes have...

    - Liao-Shuryak 2006 idea of E-M “competition” near  $T_c$  similar, classical E-M gas molecular dynamics, whose relation to underlying gauge theory unclear

- I will not be using a Svetitsky-Yaffe-type universality - e.g., ZN center symmetry - based effective Landau-Ginsburg theory of the thermal transition our description will, of course, reflect symmetries

- Nor will I be doing numerical lattice simulations (yet?)  
... or AdS/CFT(QCD)

I'll be looking at the dynamics of the theory by studying it in - **even arbitrarily** - small, but nonzero, volume:

the small volume will be, today,  where **spatial** circle has size "L"

Is this crazy? What does one hope to learn?

These are very good questions. I have two (and a half) answers:

1.

In the large- $N$  limit, Eguchi-Kawai reduction for QCD(adj) holds, and certain correlators in small- $L$  gauge theories are the same as at infinite- $L$

- not my topic today - only note this requires  $N_c L \Lambda(\text{QCD}) \gg 1$

2.

At fixed- $L$  and fixed- $N$ , the dynamics of many 4d QCD like theories in this geometry becomes calculable - usually difficult to study properties, such as confinement and chiral symmetry breaking are semiclassically calculable and under analytical control.

But should one care?

After all, calculability requires taking  
 $N_c L \Lambda(\text{QCD}) \ll 1$   
i.e., rather small-L...



The attitude I take is that, since non-perturbative calculability is not often encountered in the study of gauge dynamics, it may be of interest - **and is certainly fun!** - to take this opportunity seriously and “squeeze out” everything we can of this calculable limit.

Recall the numerous efforts in AdS/CFT(QCD): another semiclassically calculable limit - in the (super)gravity regime - where the UV completion is string theory. Here, instead, the UV completion is “ordinary” 4d asymptotically-free QFT and the semiclassical objects are not fundamental strings, but some good old *-and some new-* monopoles, instantons, etc...

The hope is, of course, that some of the insight found at small-L will continue to hold - “morally” if not quantitatively - at large L.  
(in some cases, one may contemplate an analytic  $1/L$ -expansion... future...)

**For simplicity, will consider only 4d SU(2) theories with  $n_f$  adjoint Weyl fermions**

“applications”:  
 $\longrightarrow$

$n_f = 1$  is  
 N=1 SUSY YM

$n_f = 4$   
 - “minimal walking technicolor”  
 - happens to be N=4 SYM without the scalars

consider theory on  $R^3 \times S^1$

$$S^1 : x^4 \sim x^4 + L$$

with periodic (“susy”) boundary conditions on fermions

then Polyakov loop  $W = P e^{i \oint_{S^1} A_4 dx^4}$

acquires a center-symmetric expectation value ( $n_f > 1$ , Unsal-Yaffe 2007)

$$\langle W \rangle = \begin{pmatrix} e^{i\pi/2} \\ e^{-i\pi/2} \end{pmatrix} \quad \text{tr} \langle W \rangle = 0$$

theory dynamically “abelianizes”, i.e.  $SU(2) \xrightarrow{\frac{1}{L}} U(1)$

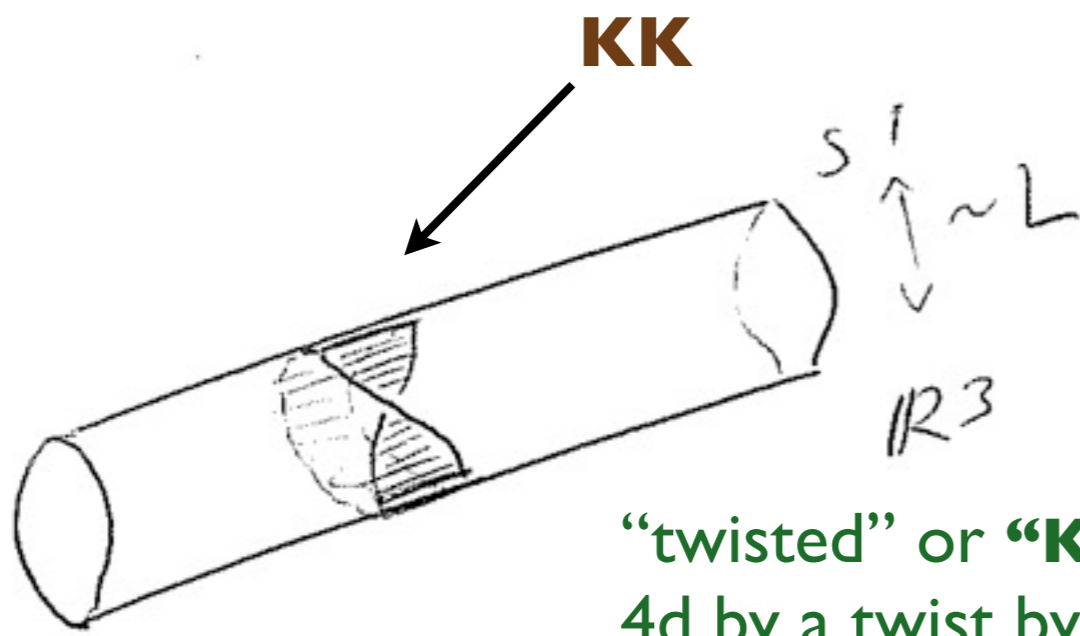
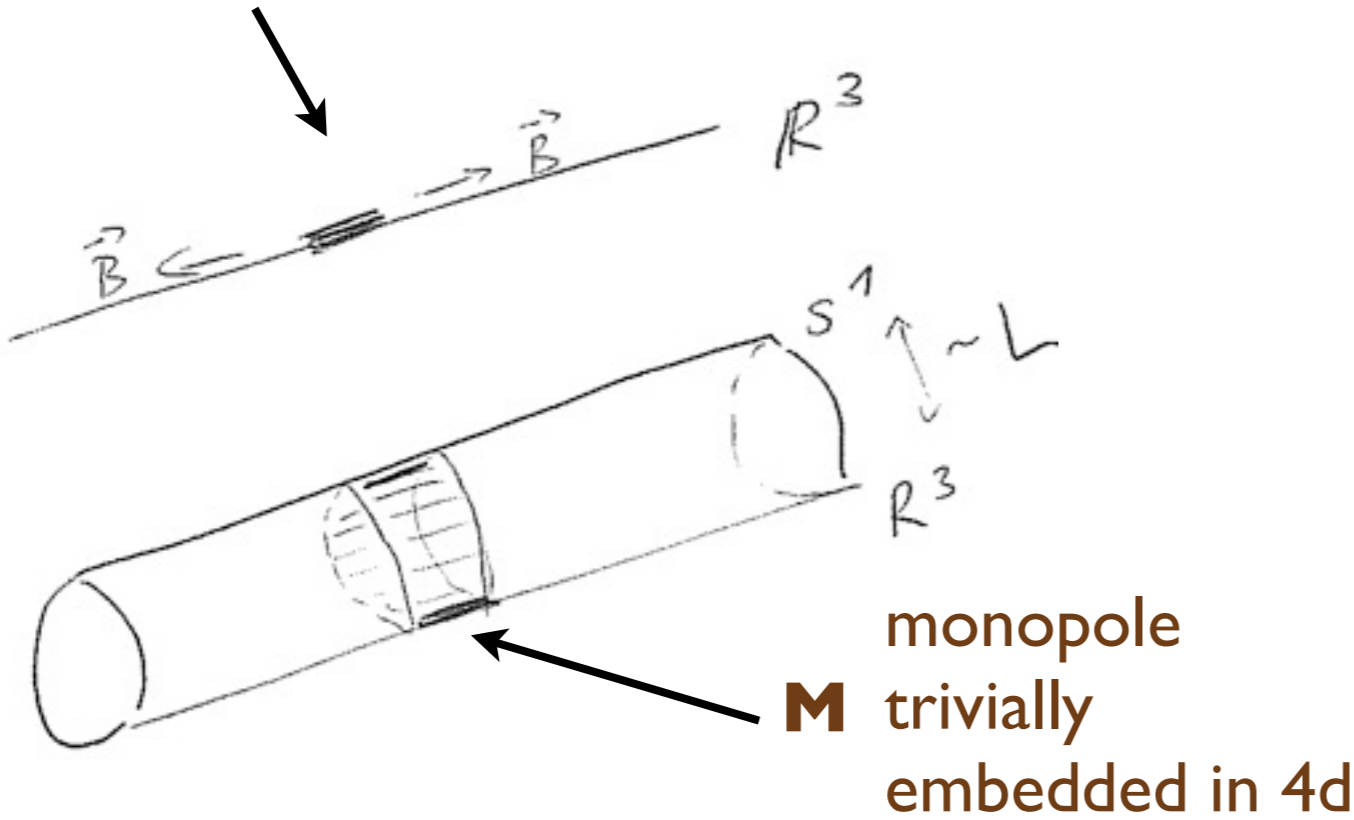
clearly, weakly coupled if  $L \ll$  inverse strong scale

**Despite weak coupling, nonperturbative dynamics is not trivial:**

**since  $SU(2)$  broken to  $U(1)$ , there are “monopole-instanton” solutions**

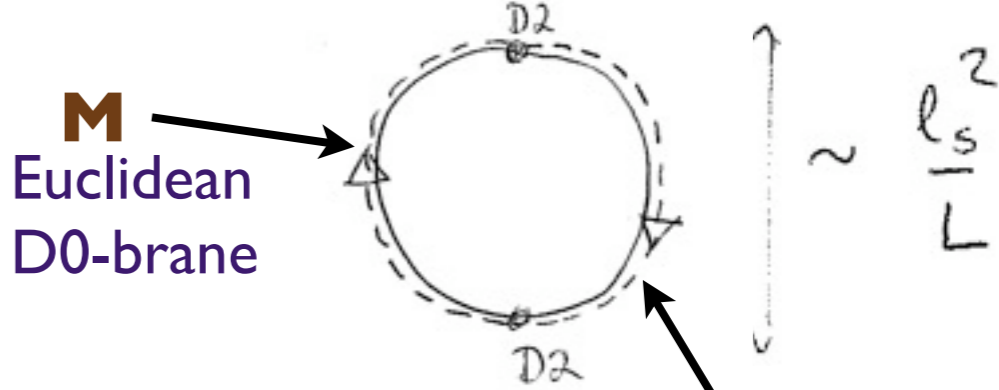
(should be called instantons, since finite action Euclidean, but keeping with tradition will stick with “monopoles”)

“monopole instanton” in 3d



“twisted” or “**Kaluza-Klein**”: monopole embedded in 4d by a twist by a “gauge transformation” periodic up to center - in 3d limit not there! (infinite action)

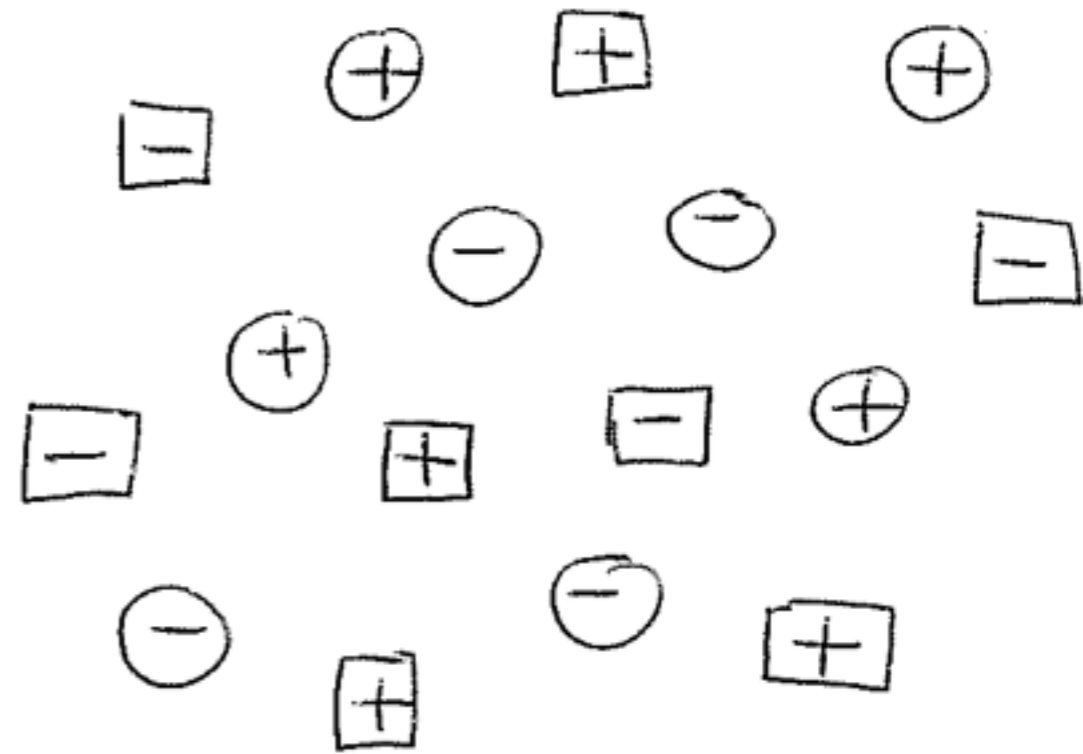
**KK** discovered by K. Lee, P. Yi, 1997, as “Instantons and monopoles on partially compactified D-branes”



D-brane picture, despite all of its SUSY, is the best - easiest, fastest - way to learn about the properties of M, KK,...!

**KK** Euclidean D0-brane

**4d QCD(adj) dilute instanton gas of  $M, M^*, KK, KK^*$  at small  $L$**



$\bigcirc = M(+)/M^*(-)$   
 $\square = KK(-)/KK^*(+)$

**M:**  $\bigoplus \rightleftarrows \dots$

**KK:**  $\ominus \rightleftarrows \dots$

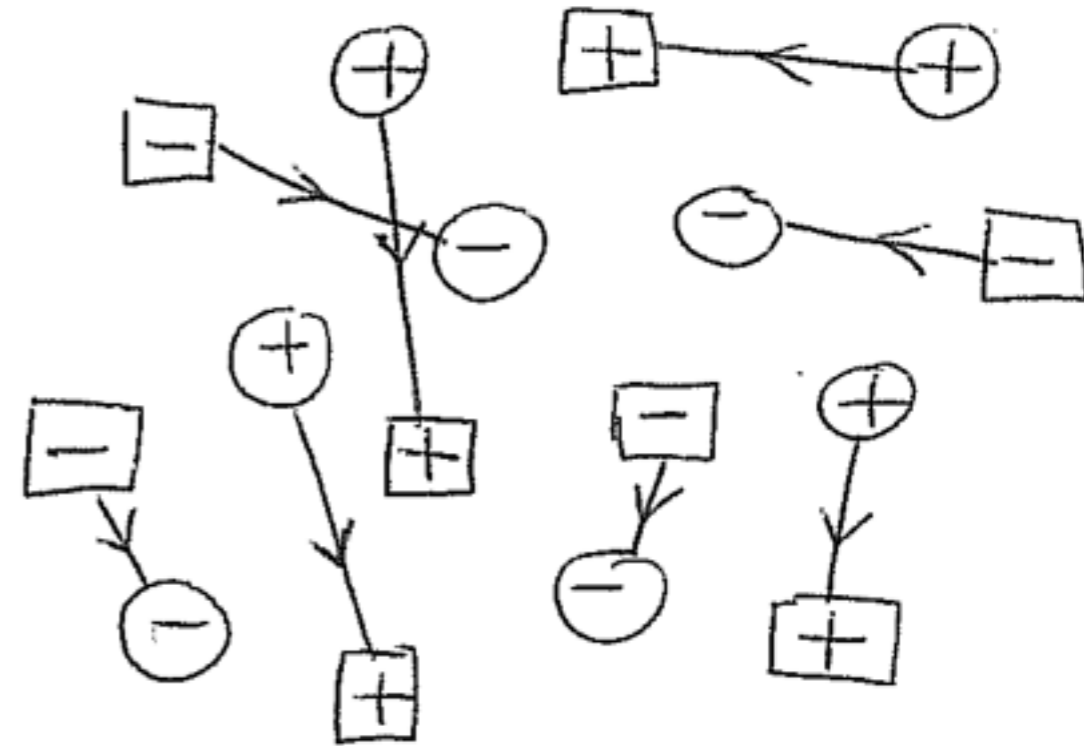
**M\*:**  $\ominus \leftleftarrows \dots$

**KK\*:**  $\bigoplus \leftleftarrows \dots$

Index theorem:  
 Nye, Singer 2000  
 Unsal, E.P. 2008

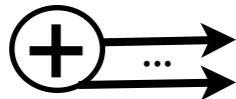
# 4d QCD(adj) fermion attraction $M$ - $KK^*$ at small- $L$

Unsal 2007



○ =  $M(+)/M*(-)$   
 □ =  $KK(-)/KK*(+)$

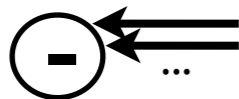
**M:**



**KK:**



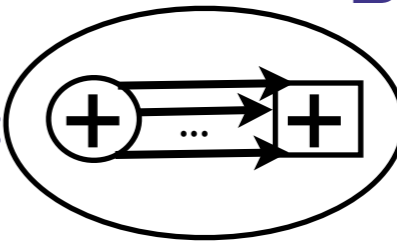
**M\*:**



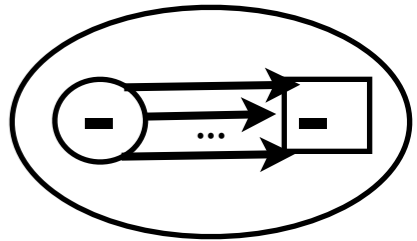
**KK\*:**



**B:**



**B\*:**



Index theorem:  
 Nye, Singer 2000  
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# 4d QCD(adj) bion plasma at small-L

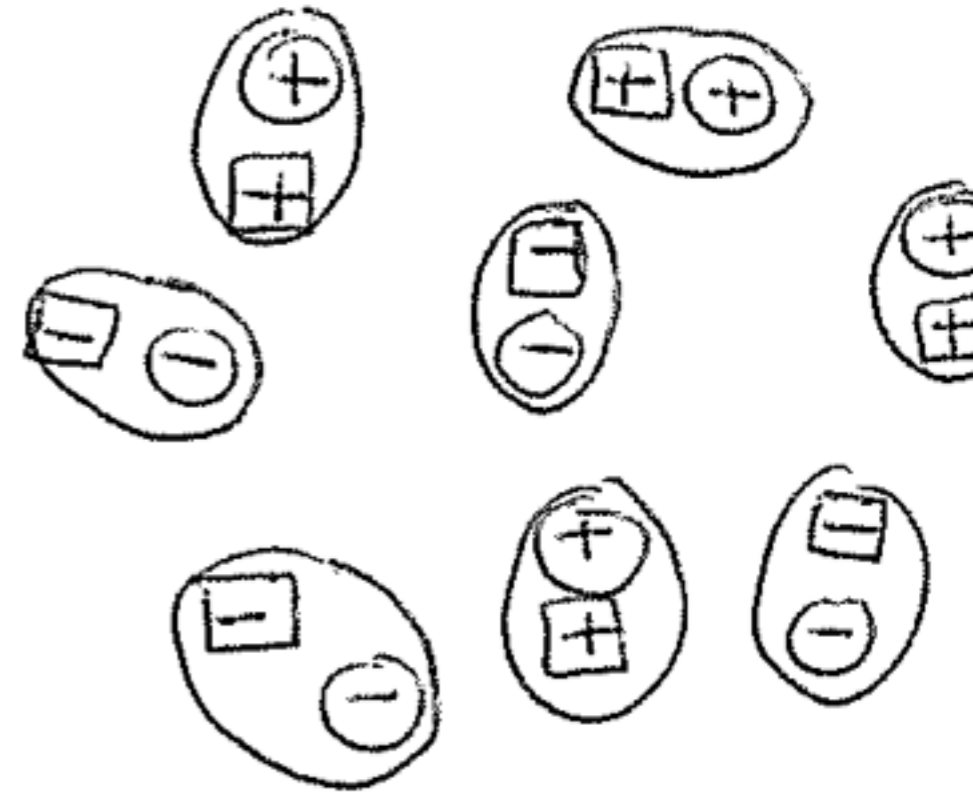
Unsal 2007

$M + KK^* = B$  - magnetic “bions” -

- carry 2 units of magnetic charge
- no topological charge (non self-dual)

*locally 4d nature crucial: no KK in 3d*

- bion/antibion plasma screening  
generates mass for dual photon  
~ confining string tension



○ =  $M(+)/M*(-)$

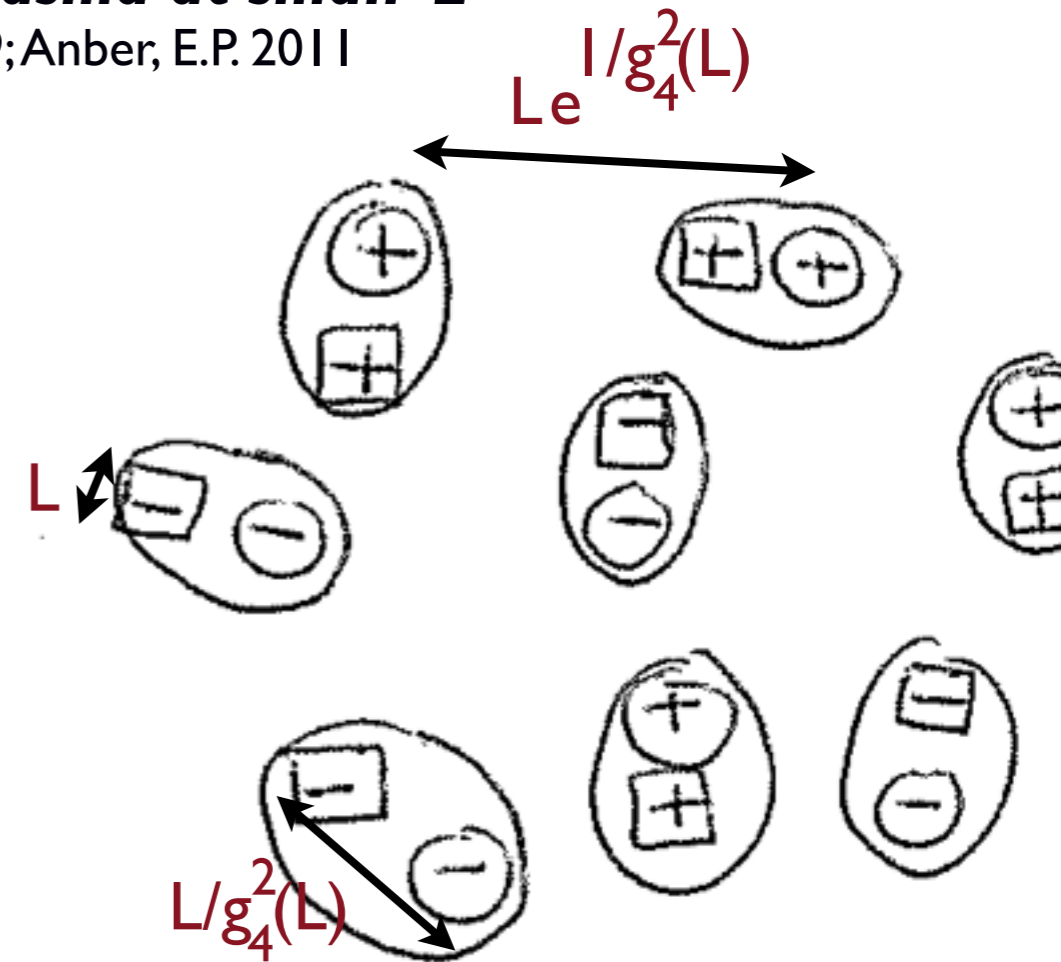
□ =  $KK(-)/KK*(+)$

“blobs” =  $Bions(++)/Bions*(-)$



## 4d QCD(adj) bion plasma at small-L

Unsal 2007; Unsal, E.P. 2009; Anber, E.P. 2011



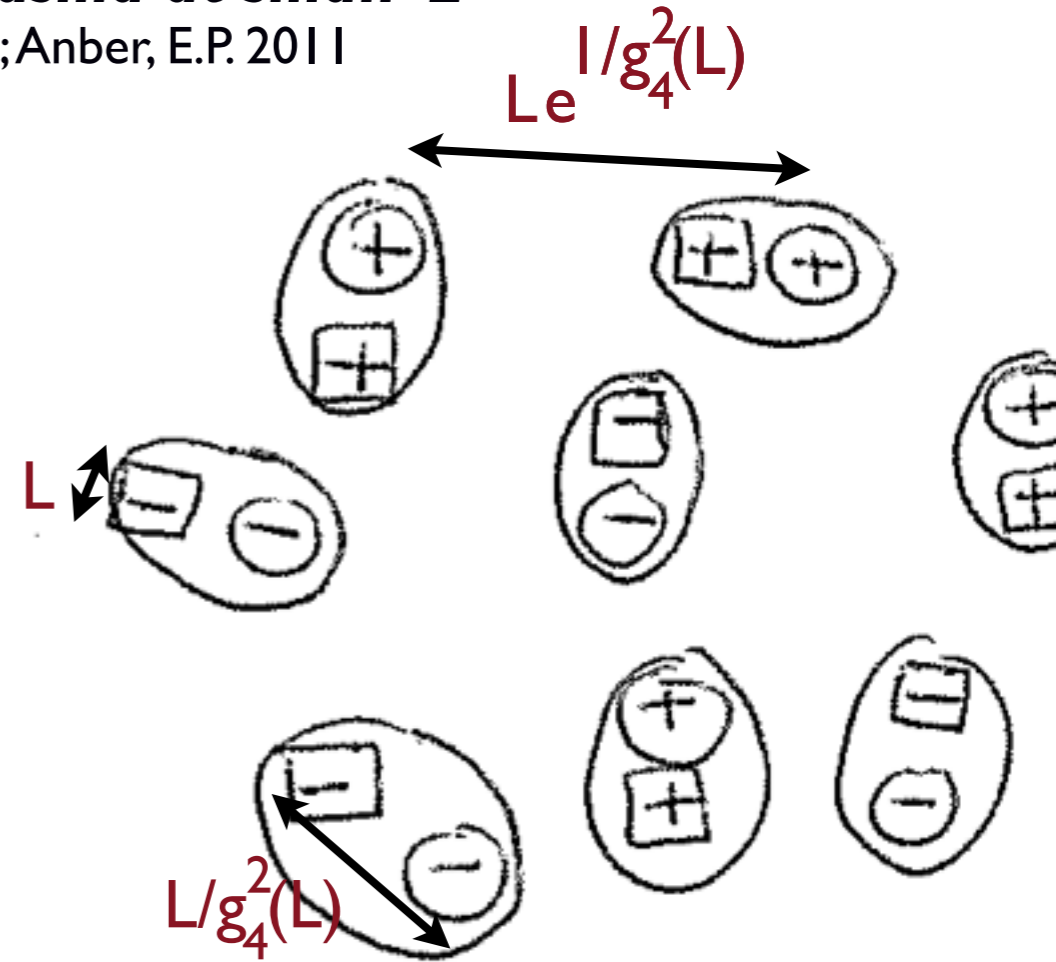
**“magnetic bion confinement” operates at small-L in any theory with massless Weyl adjoints, including N=1 SYM (& N=1 from Seiberg-Witten theory)**

**it is “automatic”: no need to “deform” theory other than small-L**

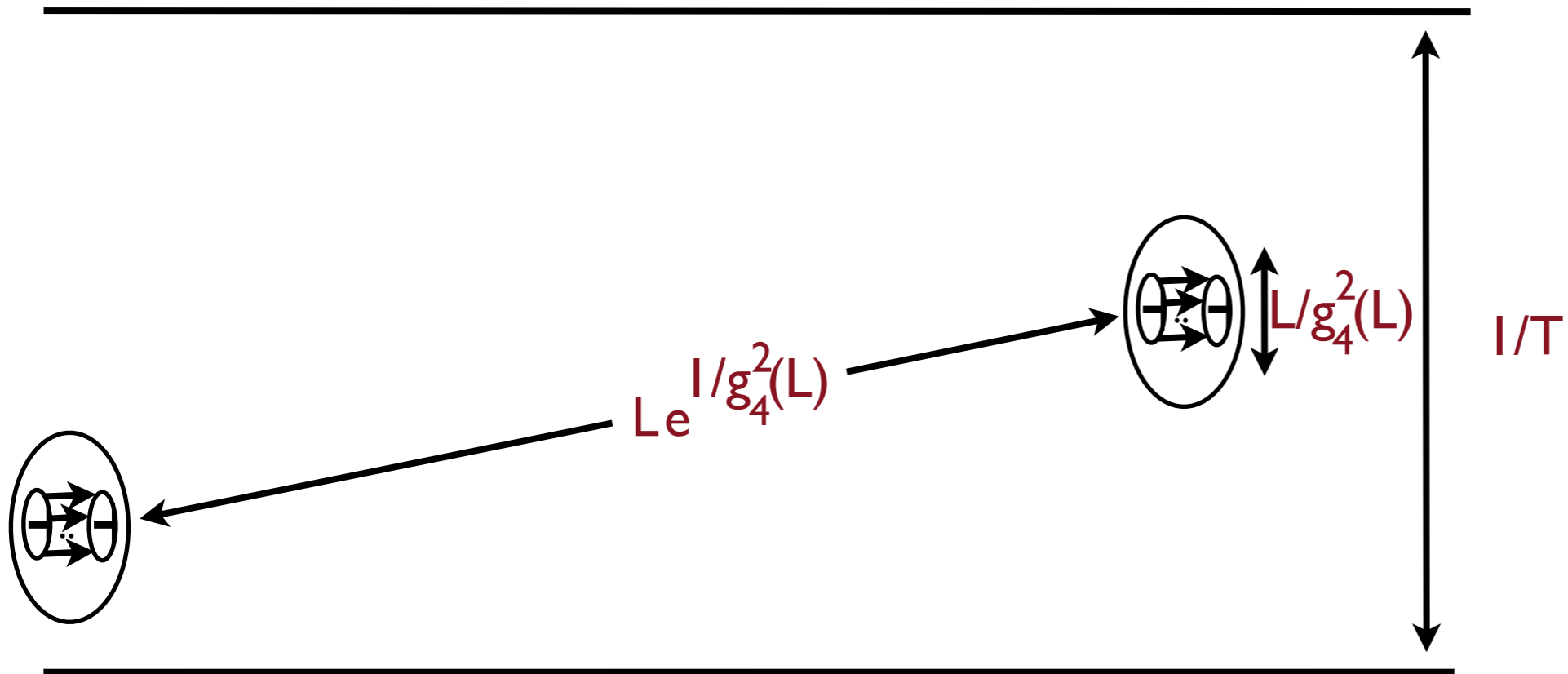
first time confinement analytically shown in a non-SUSY, continuum, **locally** 4d theory

# 4d QCD(adj) bion plasma at small-L

Unsal 2007; Unsal, E.P. 2009; Anber, E.P. 2011



Next, we turn on finite temperature...

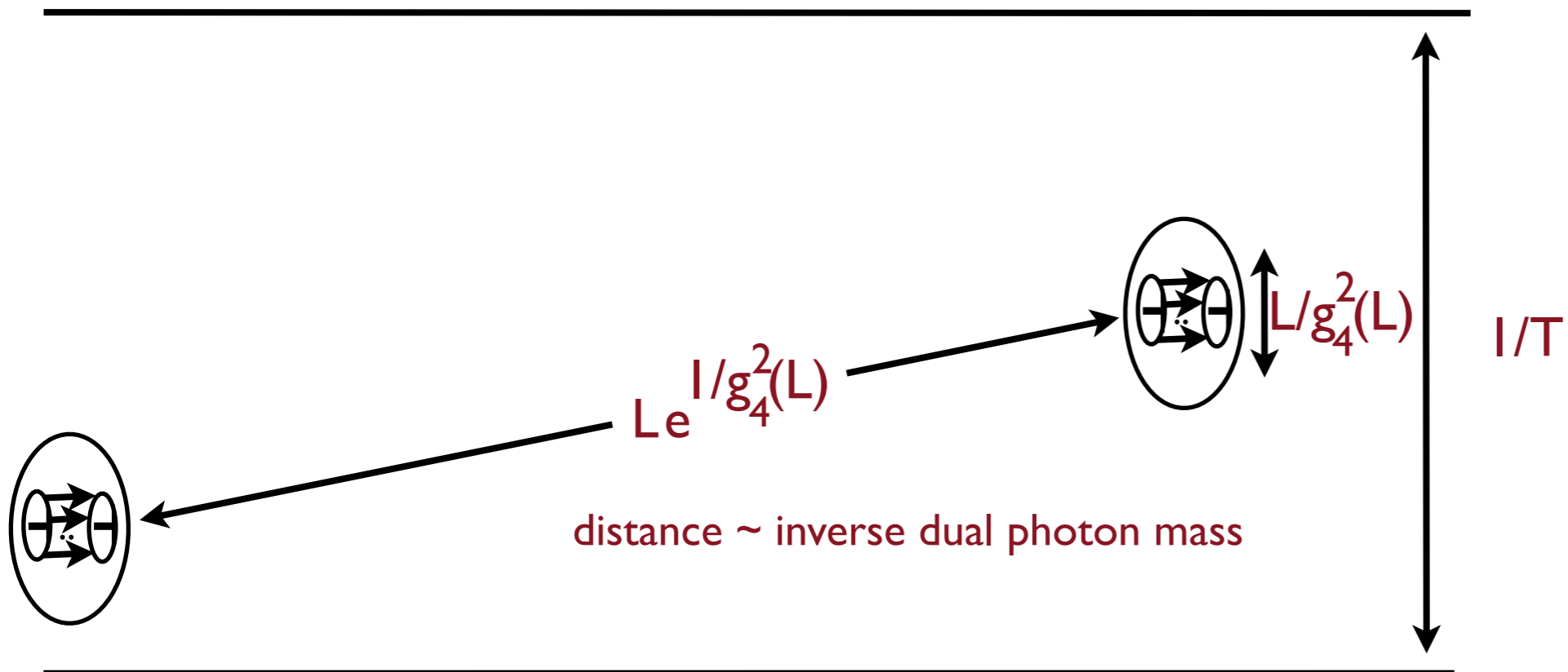


for temperatures in the range

dual photon mass  $\ll T \ll$  inverse bion size

bion gas is essentially  
2-d Coulomb gas

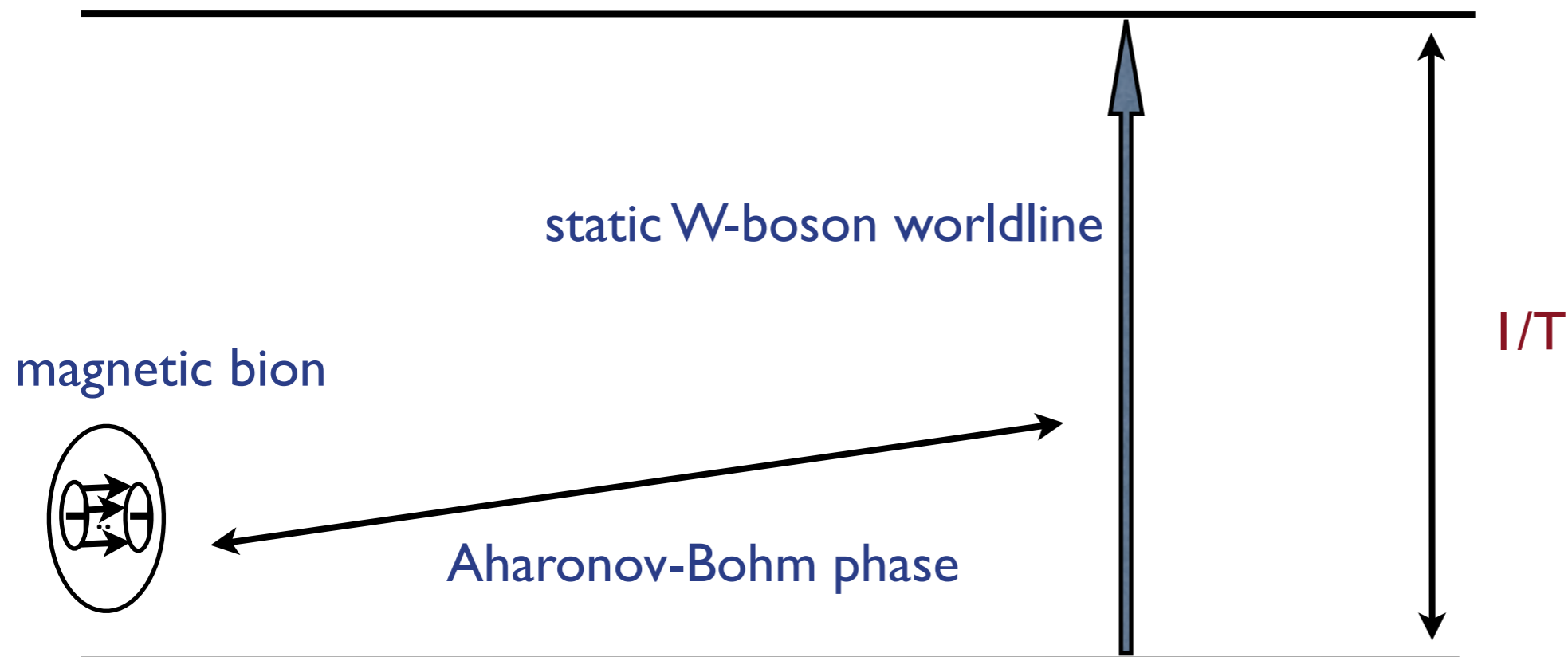
bions have not  
yet “dissociated”



for temperatures in the range

dual photon mass  $\ll T \ll$  inverse bion size  $\ll 1/L$

$W$  bosons, of mass  $\sim 1/L$ , can not be ignored in this range  
- Boltzmann suppressed, but as important as bions



for temperatures in the range dual photon mass  $\ll T \ll$  inverse bion size  $\ll 1/L$

$$Z_{bion+W} = \sum_{N_{b\pm}, q_a = \pm 1} \sum_{N_{W\pm}, q_A = \pm 1} \frac{\xi_{bion}^{N_{b+} + N_{b-}}}{N_{b+}! N_{b-}!} \frac{(2\xi_W)^{N_{W+} + N_{W-}}}{N_{W+}! N_{W-}!} \prod_a^{N_{b+} + N_{b-}} \int d^2 R_a \prod_A^{N_{W+} + N_{W-}} \int d^2 R_A$$

$$\times \exp \left[ \frac{32\pi LT}{g^2} \sum_{a>b} q_a q_b \ln |\vec{R}_a - \vec{R}_b| + \frac{g^2}{2\pi LT} \sum_{A>B} q_A q_B \ln |\vec{R}_A - \vec{R}_B| + 4i \sum_{a,B} q_B q_a \Theta(\vec{R}_B - \vec{R}_a) \right]$$

bion-bion magnetic interaction

W-W electric interaction

W-bion AB phase interaction

$$\xi_{bion} \sim \frac{e^{-2S_0(1+cg)}}{L^3 T g^{14-8n_f}}$$

$$\xi_W = (2n_f + 1) \frac{m_W T}{2\pi} e^{-\frac{m_W}{T}}$$

Clearly, bion/W partition function for SU(2) is el.-m. duality invariant

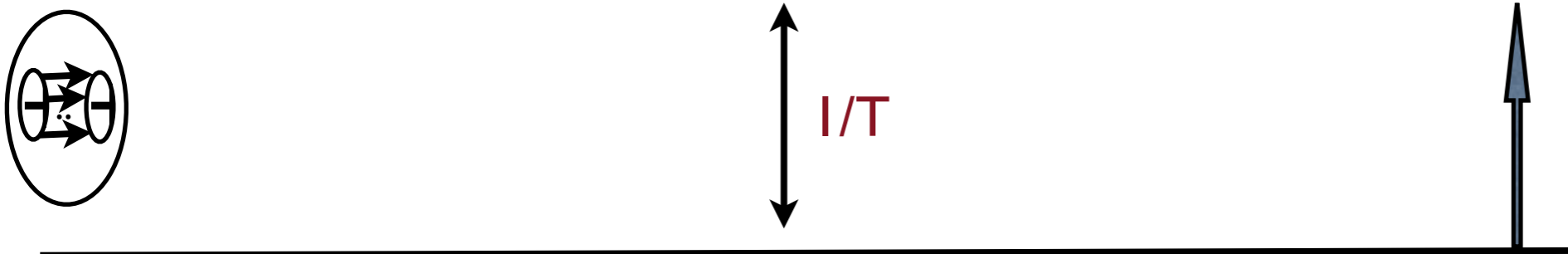
= Kramers-Vannier (low-T/high-T duality)

= 2d T-duality (vortex-charge duality)

gas of W's and bions is dilute and 2-dimensional

magnetic bion

static W-boson



for temperatures in the range dual photon mass  $\ll T \ll$  inverse bion size  $\ll 1/L$

$$\begin{aligned}
 & Z_{bion+W} \\
 = & \sum_{N_{b\pm}, q_a = \pm 1} \sum_{N_{W\pm}, q_A = \pm 1} \frac{\xi_{bion}^{N_{b+} + N_{b-}}}{N_{b+}! N_{b-}!} \frac{(2\xi_W)^{N_{W+} + N_{W-}}}{N_{W+}! N_{W-}!} \prod_a^{N_{b+} + N_{b-}} \int d^2 R_a \prod_A^{N_{W+} + N_{W-}} \int d^2 R_A \\
 \times & \exp \left[ \frac{32\pi LT}{g^2} \sum_{a>b} q_a q_b \ln |\vec{R}_a - \vec{R}_b| + \frac{g^2}{2\pi LT} \sum_{A>B} q_A q_B \ln |\vec{R}_A - \vec{R}_B| + 4i \sum_{a,B} q_B q_a \Theta(\vec{R}_B - \vec{R}_a) \right]
 \end{aligned}$$

bion-bion magnetic interaction

W-W electric interaction

W-bion AB phase interaction

W's = XY model gas of vortices

$$-\beta H = \sum_{x; \hat{\mu}=1,2} \frac{\kappa}{2\pi} \cos(\theta_{x+\hat{\mu}} - \theta_x) + \sum_x \tilde{y} \cos 4\theta_x$$

for temperatures in the range dual photon mass  $\ll T \ll$  inverse bion size  $\ll 1/L$

$$Z_{bion+W} = \sum_{N_{b\pm}, q_a = \pm 1} \sum_{N_{W\pm}, q_A = \pm 1} \frac{\xi_{bion}^{N_{b+} + N_{b-}}}{N_{b+}! N_{b-}!} \frac{(2\xi_W)^{N_{W+} + N_{W-}}}{N_{W+}! N_{W-}!} \prod_a \int d^2 R_a \prod_A \int d^2 R_A$$

$$\times \exp \left[ \frac{32\pi LT}{g^2} \sum_{a>b} q_a q_b \ln |\vec{R}_a - \vec{R}_b| + \frac{g^2}{2\pi LT} \sum_{A>B} q_A q_B \ln |\vec{R}_A - \vec{R}_B| + 4i \sum_{a,B} q_B q_a \Theta(\vec{R}_B - \vec{R}_a) \right]$$

bion-bion magnetic interaction

W-W electric interaction

W-bion AB phase interaction

W's = XY model gas of vortices

bions = XY model gas of charges

$$-\beta H = \sum_{x; \hat{\mu}=1,2} \frac{\kappa}{2\pi} \cos(\theta_{x+\hat{\mu}} - \theta_x) + \sum_x \tilde{y} \cos 4\theta_x$$

In addition to low-T/high-T duals, mentioned above, there are different (GNO-like) duals appropriate to SU(2) vs SO(3) gauge theories center  $Z_2$  symmetry for SU(2) maps to topological  $Z_2$  symmetry for SO(3)

Analysis of phase transition & critical indices involves Coulomb gas RGEs and bosonization.

What did I tell you about?

gauge theory dynamics on  $\mathbb{R}^{1,2} \times S^1$  (spatial circle)  
lattice Coulomb gases and 2d spin models  
theory of melting of 2d crystal on triangular lattice

4d SU(N) gauge theory with  
 $n_f$  massless adjoint Weyl  
fermions on spatial circle (L)

← at finite T, near  
deconfinement transition  
is dual to → 2d “affine” XY  
spin models

One side of duality - 4d gauge  
theory with massless fermions.  
Difficult to study by any means,  
including on the lattice

The other side - 2d spin models,  
known, or a generalization of known ones.  
Both analytical and numerical  
progress should be possible - as  
I showed you in an example.

## CHALLENGES:

Understanding of higher-rank cases is still incomplete.

SU(3) is self dual, should be possible to find order of transition?  
exponents? (if there's a CFT at  $T_c$ ?)

No e-m duality for SU(N>3), RGEs flow to strong coupling...

Study of nonequilibrium properties? QGP experiments non-static, really...

Complete phase diagram in L - 1/T plane?