

Old and new in the lattice definition of chiral gauge theories

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work in progress with **Joel Giedt** (Minneapolis)

on ideas from previous work with

Tanmoy Bhattacharya and **Matthew Martin** (Los Alamos)

hep-lat/0605003, PRD

why lattice?

QFT tools of the trade

A.) controlled expansions:

- perturbation theory
- semiclassical expansions
- $1/N$

very useful divergent expansions of something:

the thing that is the **nonperturbative definition** of the theory

why lattice?

why do we need a nonperturbative definition
if various expansions work so well?

because they do **not always** work
e.g., QCD: ground state is highly nonperturbative

strong interactions sparked another class of QFT tools of the trade

B.) “voodoo” QCD:

models and uncontrolled “approximations:” e.g., “AdS/QCD,” NJL-QCD,
chiral quarks, bags, Skyrme, instanton liquid, ...

the skeptic:

*sometimes work, sometimes not;
what do we learn?*

the enthusiast:

*it's physics: experimental data is well
described!*

what nonperturbative definitions do we know of?

constructive field theory

- generally quite abstract, addresses existential questions
- one of its most useful results is the **Osterwalder-Schrader "reconstruction theorem:"** (mid 1970's) *Euclidean Wightman functions with right properties - notably "OS positivity" - allow reconstruction of positive norm Hilbert space*

string theory

- needs its own nonperturbative definition
- can be useful if enough symmetries around
- fairly helpless in non-supersymmetric situations

proven very powerful in vectorlike gauge theories:

- all rigorous nonperturbative results in QFT use lattice at some point phase structure: analyticity near boundary between Higgs/confinement; confinement at strong coupling... 1970s
- actual predictions for B physics very recent!

lattice field theory

the only one well-suited for **generic** QFTs

"minimal models" of 2d CFT - certainly not **generic** QFTs

why lattice?

by a “nonperturbative definition,” we mean something like “LHS=RHS”, or

arbitrary*
Green's functions = an object that

- a.) exists
- b.) can, in principle, be calculated

| |
|-----------|
| couplings |
| volume |
| UV cutoff |

* not only a class, such as, e.g. chiral...

what nonperturbative definitions do we know of?

lattice field theory sounds great, then... **but:**

lattice breaks global symmetries!

- Poincare
- chiral (*if naive*)
- supersymmetry

furthermore:

- is at its best when Euclidean
- does not include dynamical gravity

why formulate non-QCD like theories on the lattice?

apart as a purely theoretical exercise

- standard model is a chiral gauge theory
weakly coupled, so no really strong incentive to bother

- extensions of the standard model?

if weakly coupled, also no strong reason

if strong dynamics is shown to be relevant,
the issue of non-QCD like theories on the
lattice will become more prominent

strong dynamics can be relevant in many ways:

supersymmetric extensions: dynamical supersymmetry breaking

some progress in lattice supersymmetry in latter years, limited to extended SUSY theories [vectorlike by nature]

see, e.g., recent review by **Joel Giedt** hep-lat/0602007

strong electroweak breaking: renaissance as AdS/EWSB (a.k.a. RS)

- weak coupling duals of large- N vectorlike theories [no useful notion of large- N in chiral gauge theories] fundamental dual 4d description strong

- other not-yet-imagined not-large- N not-QCD-like dynamics???

strong chiral gauge dynamics remains largely mysterious

- in non-SUSY case only tools are 't Hooft anomaly matching and MAC
- analytic methods, like large- N expansions, incl. recent “AdS/QCD dualities” do not (usefully) apply to chiral gauge theories
e.g., SU(5) with [10] and [5*]; SU(6) with [15] and $2 \times [6^*]$... SU(n) with $[n(n-1)/2]$ and $(n-4) \times [n^*]$
- further progress in understanding interesting supersymmetric theories on the lattice is tied to the chiral gauge theories problem

however:

numerical or analytic methods using the lattice face the difficulty of preserving chiral symmetries on the lattice

“Nielsen-Ninomiya theorem” **quickest argument:**
if exact, gauge it, but where would anomalies come from?

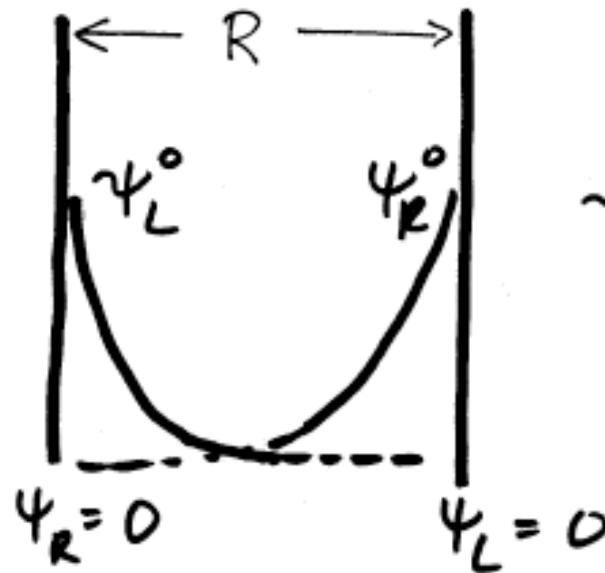
but, in past 10 years striking progress in understanding global chiral symmetry: use it!

plan:

- 1 domain wall and waveguide models and their failure to obtain chiral spectrum “old”: 1992-4
- 2 “anatomy of a failure:”
 - i.) unitary higgs fields and symmetric phase “old”: 1979
 - ii.) strong Yukawa symmetric phases on the lattice “old”: 1989
- 3 a **proposal** using Ginsparg-Wilson mechanism to impose a modified exact lattice chiral symmetry “old”: 1982 & “new”: 1997
Bhattacharya, Martin, EP
hep-lat/0605003
- 4 recent analytical and numerical results supporting it current work
with Joel Giedt
- 5 outlook and remaining issues

domain wall and "waveguide" models & their failure to obtain chiral spectrum

- 5d "bulk"
- massive 5d fermion (ψ_L, ψ_R)
- Dirichlet b.c.



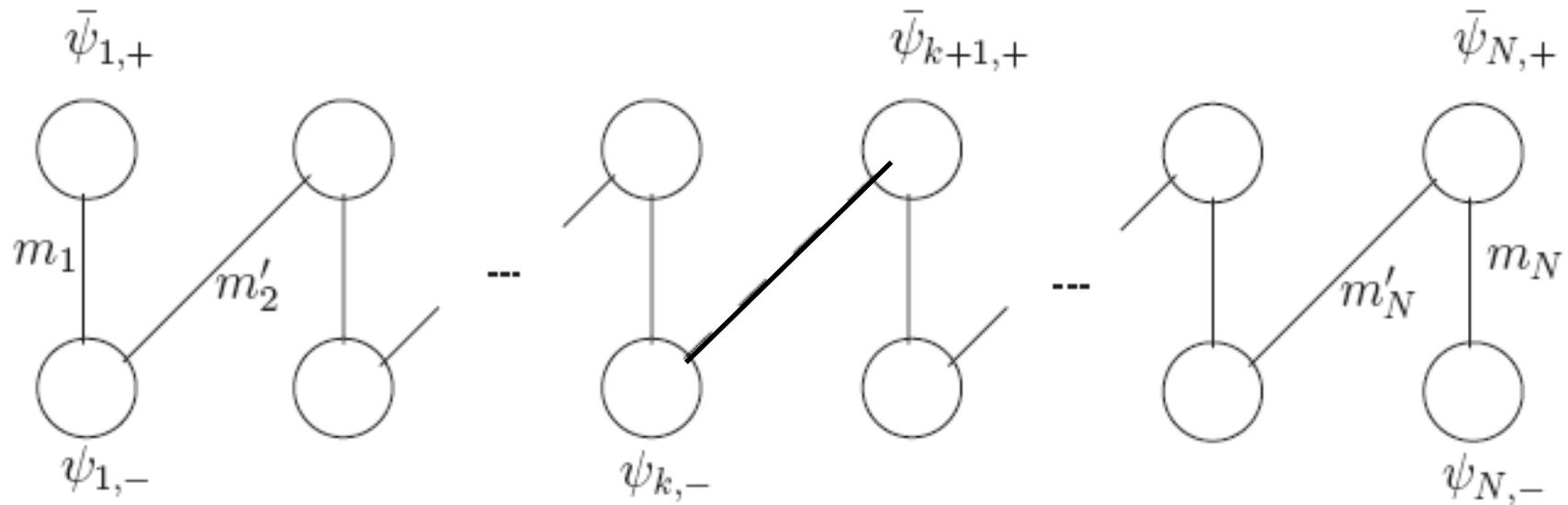
lightest states
 $\sim m e^{-mR} \bar{\psi}_L^0 \psi_R^0$

- mass $\rightarrow 0$ as $R \rightarrow \infty$
- chiral symmetry

lattice domain wall fermions

D.B. Kaplan '92

Shamir's implementation '93:



vectorlike gauge theory with exponentially light Dirac fermion;
becomes massless at infinite N , where chiral symmetry restored

I domain wall and "waveguide" models & their failure to obtain chiral spectrum

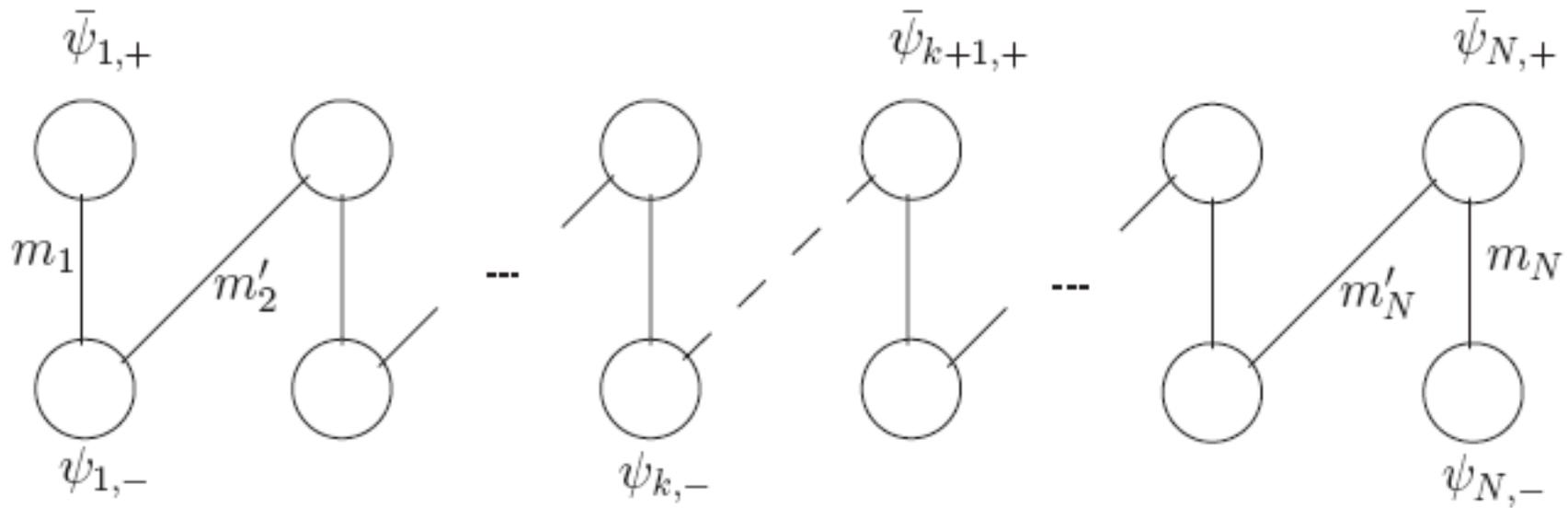
waveguide domain wall fermions

D.B. Kaplan '92

- want:
- A.) unbroken gauge theory
 - B.) chiral light spectrum

neutral

charged - "waveguide"



$$y\bar{\psi}_{k+1,+}\phi\psi_{k,-}$$

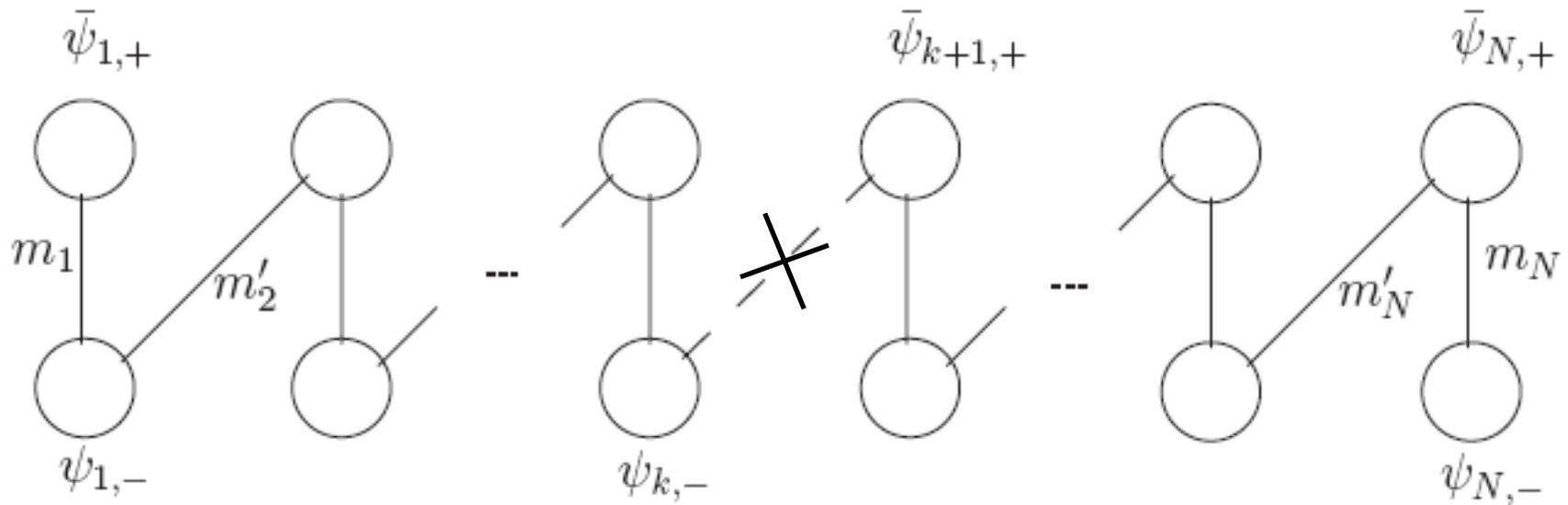
I domain wall and “waveguide” models & their failure to obtain chiral spectrum

waveguide at small Yukawa coupling

Golterman, Jansen, Petcher, Vink '93

neutral

charged - “waveguide”



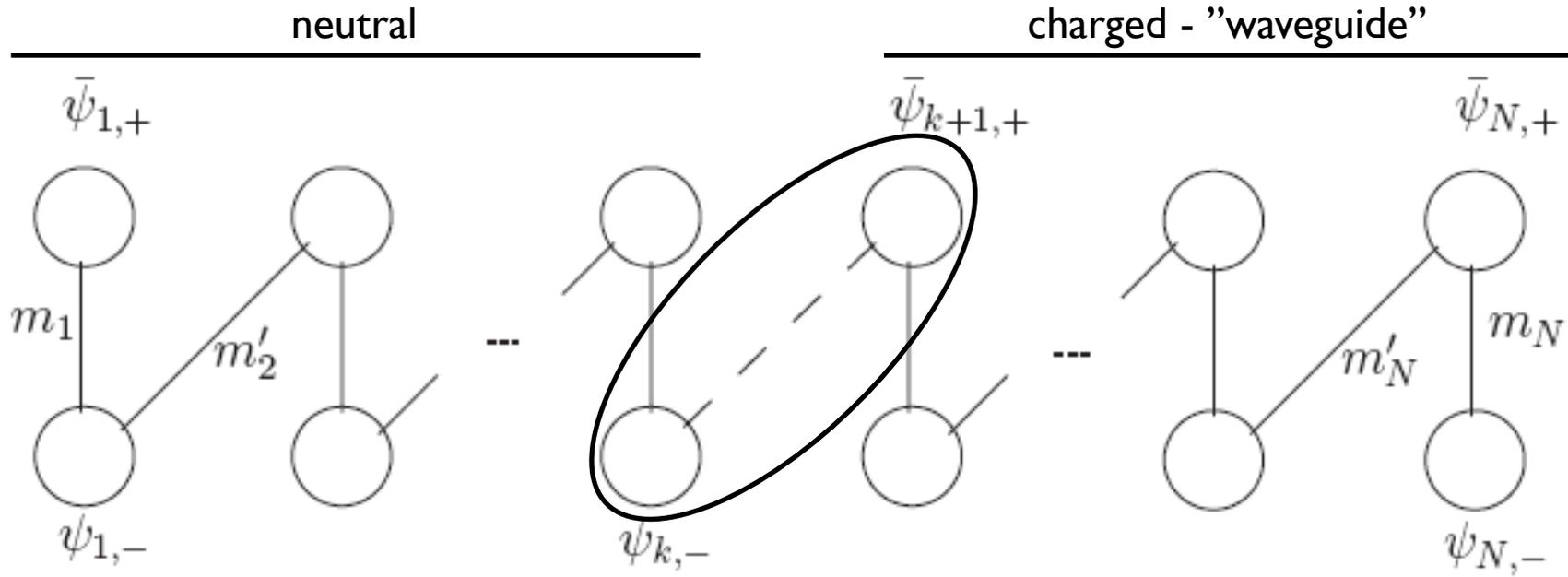
$$y\bar{\psi}_{k+1,+}\phi\psi_{k,-}$$

result: vectorlike fermion spectrum in the symmetric phase

I domain wall and “waveguide” models & their failure to obtain chiral spectrum

waveguide at large Yukawa coupling

Golterman, Shamir '94



$$y\bar{\psi}_{k+1,+}\phi\psi_{k,-} \quad \text{at large } y \quad \psi_{k+1,+} \rightarrow \frac{1}{\sqrt{y}}\psi_{k+1,+}$$

$$\bar{\psi}_{k+1,-}\gamma \cdot D\psi_{k+1,-} + ra\bar{\psi}_{k+1,+}D^2\psi_{k+1,-} \rightarrow \bar{\psi}_{k+1,-}\gamma \cdot D\psi_{k+1,-} + \cancel{\frac{ra}{\sqrt{y}}}\bar{\psi}_{k+1,+}D^2\psi_{k+1,-}$$

charged massless doublers appear due to lost Wilson term and result in: vectorlike fermion spectrum in the symmetric phase, again

so far: waveguide doesn't work at both weak and strong Yukawa coupling

“mirror” fermion and gauge boson mass both determined by Higgs vev; in the symmetric phase “mirror” becomes massless -

weak Yukawa proposal of:

Bhattacharya, Csaki, Martin, Shirman, Terning '05
... 4d, strong coupling issues

the use of warped domain walls

Bhattacharya, Martin, EP, '06
...2d study, better chance, perhaps...
(no full lattice study yet: deconstruction only
...too difficult to handle, it seems...?)

this talk

strong Yukawa-GW proposal

Bhattacharya, Martin, EP, '06
Giedt, EP, '06

first, need to understand **what was the hope** of the strong-Yukawa waveguide idea?

2 "anatomy of a failure:" i.) unitary Higgs fields and symmetric phase

Fradkin, Shenker '79 *Phase diagrams of lattice field theories with Higgs fields*
(refer to old work, '71, of F. Wegner on Z_2 gauge theory)

Foerster, Nielsen, Ninomiya '80 *Dynamical stability of local gauge symmetry: **creation of light from chaos***

thus, often referred to as
"FNN mechanism"...

unitary Higgs field on the lattice

$$\frac{\kappa}{2} \sum_x \sum_{\hat{\mu}} [2 - (\phi(x))^* U(x, \hat{\mu}) \phi(x + \hat{\mu}) + \text{h.c.}]$$

symmetric phase at $\kappa \leq \kappa_c$ - use strong coupling (high-T) expansion:

find: disorder, small correlation length, integrate out Higgs, irrelevant at large scales

leading effect at small κ : $\frac{1}{g^2} \rightarrow \frac{1}{g^2} + \kappa^4$

moral: **on the lattice can have a unitary Higgs and still be in symmetric phase**
same for any compact gauge group: from Z_2 to $U(N)$...

2 "anatomy of a failure:" ii.) strong Yukawa symmetric phases on the lattice

1989

A. Hasenfratz, Neuhaus,
Stephanov, Tsypin, Aoki, Shigemitsu, Schrock ...

fermion-Higgs system on the lattice at strong Yukawa coupling has a phase with massive fermions and unbroken chiral symmetry -

pre-cursor:

1986 Eichten, Preskill

strong lattice four-Fermi interactions also exhibit a symmetric phase with massive fermions

not of interest for electroweak physics,
since it is a "lattice artifact"
- fermions are heavier than $1/a$, but:

can one use it to decouple mirrors?

NO!

Golterman, Petcher, Shamir, Smit, Bock, De...
1991-94

reasons in each case similar to strong-Yukawa limit of waveguide shown before:
L-R mixing via strong Yukawa/Wilson

2 "anatomy of a failure:" ii.) strong Yukawa symmetric phases on the lattice

$$\sum_{x, \hat{\mu}} \kappa \phi_x^\dagger U_{x, x+\hat{\mu}} \phi_{x+\hat{\mu}} + h.c.$$

naive fermions,
any dimension,
any compact
gauge group

$$+ \bar{\Psi}_{Lx} \gamma^M (U_{x, x+\hat{\mu}} \Psi_{Lx+\hat{\mu}} - U_{x, x-\hat{\mu}} \Psi_{Lx-\hat{\mu}})$$

$$+ \bar{\Psi}_{Rx} \gamma^M (\Psi_{Rx+\hat{\mu}} - \Psi_{Rx-\hat{\mu}})$$

$$+ y (\bar{\Psi}_{Lx} \phi_x \Psi_{Rx} + h.c.)$$

specialize (for simplicity of presentation) to

$$\mathbb{Z}_2 \text{ case: } \left. \begin{array}{l} \Psi_L \rightarrow -\Psi_L \\ \Psi_R \rightarrow \Psi_R \\ \phi \rightarrow -\phi \end{array} \right\} \text{gauged chiral symmetry}$$

important for later:
at strong Yukawa, fermion loops
don't renormalize scalar action

symmetric phase

$$\kappa \rightarrow 0$$

$$\langle \phi_x \phi_{x'} \rangle = \delta_{xx'}$$

strong Yukawa

$$y \rightarrow \infty$$

$$\langle \Psi_{Lx}^\alpha \bar{\Psi}_{Ry}^\beta \rangle_\Psi = \frac{\delta_{xy} \delta^{\alpha\beta}}{y} \phi_x$$

small gauge coupling

2 "anatomy of a failure:" ii.) **strong Yukawa symmetric phases on the lattice**

to all orders in the [convergent] strong-coupling expansion $x \rightarrow 0$ and for small gauge coupling:
 $y \rightarrow \infty$

I) all symmetry-breaking Green functions vanish
 (can, similarly, look at susceptibilities)

e.g., $\langle \Psi_{Lx} \bar{\Psi}_{Ry} \rangle = 0$

II) all matter fields are massive ($m > 1/a$), with spectrum determined from large- t :

$\langle (\phi_0 \Psi_{L0}) \bar{\Psi}_{Rt} \rangle \neq 0$ massive neutral fermion $m_{\Psi_n} \sim \frac{y}{\sqrt{\kappa}}$ degeneracy lifted at $O(g^2)$

$\langle \Psi_{L0} (\phi_t \bar{\Psi}_{Rt}) \rangle \neq 0$ massive charged fermion $m_{\Psi_c} \sim \frac{y}{\sqrt{\kappa}}$

$\langle (\Psi_{L0} \bar{\Psi}_{R0}) (\bar{\Psi}_{Lt} \Psi_{Rt}) \rangle \neq 0$ massive charged scalar (quantum numbers of Higgs) $m_{\phi_c} \sim \frac{1}{\sqrt{\kappa}} \sim m_\phi$

$\langle (\Psi_{L0} \phi_0 \bar{\Psi}_{R0}) (\bar{\Psi}_{Lt} \phi_t \Psi_{Rt}) \rangle \neq 0$ neutral massive scalar $m_{\phi_n} \sim \frac{y}{\sqrt{\kappa}}$

etc.....

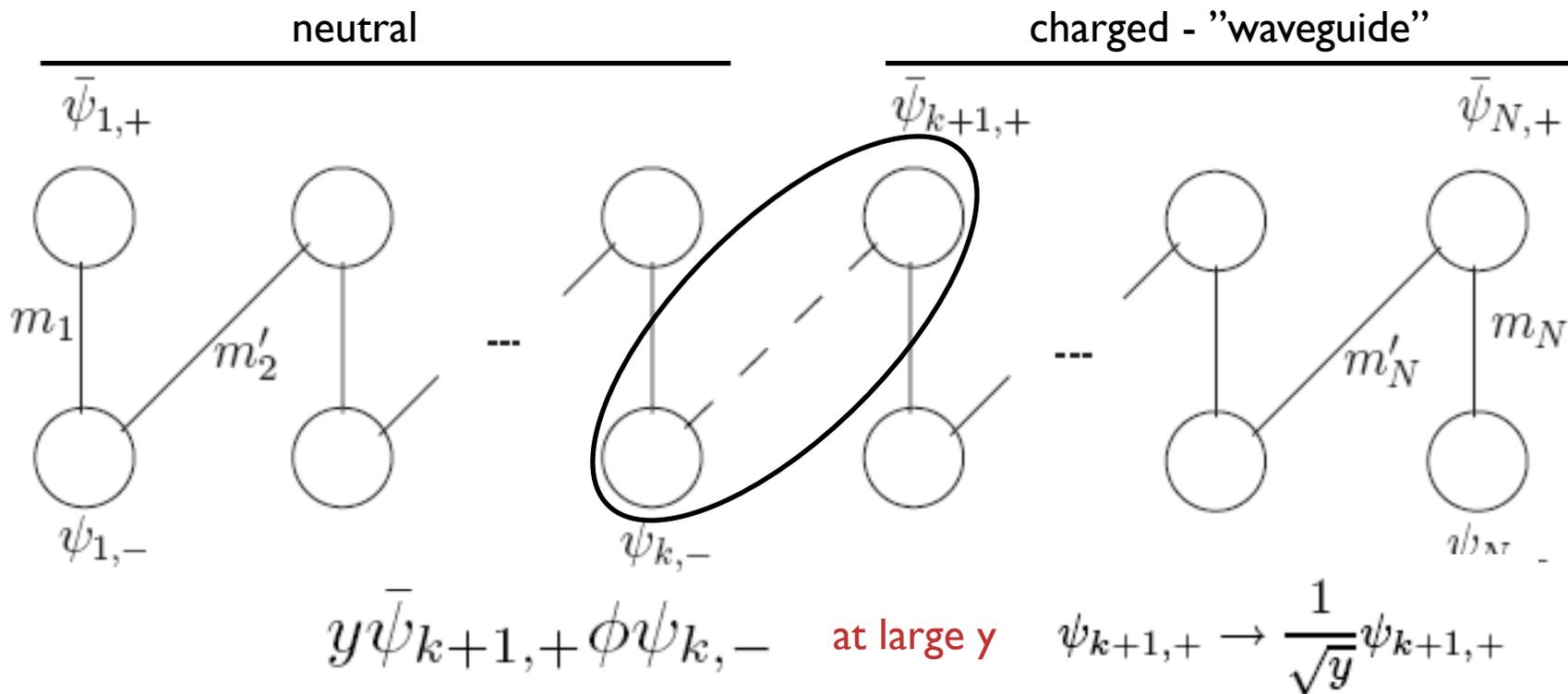
III) infrared theory is that of gauge fields only

more details in, e.g. **Golterman, Petcher '92,**
also Appendix of Eichten, Preskill '86

2 "anatomy of a failure:" ii.) strong Yukawa symmetric phases on the lattice

"strong coupling waveguide" **hope:**

- **charged mirrors and doublers would bind with scalars, pair with neutral fermions, and become massive**
- **light charged fermions stay massless**
- **all while theory is in the symmetric phase**



$$\bar{\psi}_{k+1,-} \gamma \cdot D \psi_{k+1,-} + r a \bar{\psi}_{k+1,+} D^2 \psi_{k+1,-} \rightarrow \bar{\psi}_{k+1,-} \gamma \cdot D \psi_{k+1,-} + \cancel{\frac{r a}{\sqrt{y}} \bar{\psi}_{k+1,+} D^2 \psi_{k+1,-}}$$

Golterman, Shamir '94:

L-R mixing in Wilson term was ultimate cause for the appearance of massless mirrors
chiral spectrum fails already at $g=0$ for all similar attempts

Can light-mirror mixing be avoided?

3 a proposal using Ginsparg-Wilson mechanism to impose a modified exact lattice chiral symmetry

what if we use fermions where +/- mixing does not happen?

Ginsparg-Wilson fermions obey $\bar{\psi} D^{GW} \psi = \bar{\psi}_+ D^{GW} \psi_+ + \bar{\psi}_- D^{GW} \psi_-$ while having no doublers

\vec{x} : continuum $\psi(x)$

$$S[\psi] = \int d^d x \bar{\psi} D \psi(x)$$
$$\{D, \gamma_5\} = 0.$$
$$x_{\vec{n}} \equiv \frac{1}{a^d} \int_{\vec{n}} d^d x \psi(x)$$
$$S[x] = \sum_{n, n'} \bar{x}_n D_q^{nn'} x_{n'}$$

("q" denotes gauge rep)

Ginsparg and Wilson showed (in 1982!) that $\{D_q, \gamma_5\} = D_q \gamma_5 D_q$

...OK, but what is D? - answer: Neuberger, '97;

3 a proposal using Ginsparg-Wilson mechanism to impose a modified exact lattice chiral symmetry

I = II = III:

$$\text{I} \quad \{D_q, \gamma_5\} = D_q \gamma_5 D_q$$

$$\text{II} \quad \hat{\gamma}_5^2 = 1 \quad \hat{\gamma}_5 \equiv (1 - D)\gamma_5$$

$$\text{III} \quad \hat{\gamma}_5 D_q = -D_q \gamma_5$$

then, there is an exact chiral symmetry (GW, 1982; formulation of Luscher, 1999)

$$\Psi \rightarrow e^{i\alpha\gamma_5} \Psi \quad \bar{\Psi} \rightarrow \bar{\Psi} e^{i\alpha\hat{\gamma}_5}$$

of lattice action

$$S_{kin} = \sum_{x,y} \bar{\Psi}_x D_{qxy} \Psi_y$$

note that, really, we have

$$\bar{\Psi}_x \rightarrow \sum_{x'} \bar{\Psi}_{x'} (e^{i\alpha\hat{\gamma}_5})_{x'x}$$

3 a proposal using Ginsparg-Wilson mechanism to impose a modified exact lattice chiral symmetry

$$\hat{P}_{\pm} = (1 \pm \hat{\gamma}_5)/2 \quad \text{is a projector, because of II:} \quad \hat{\gamma}_5^2 = 1 \quad \hat{\gamma}_5 \equiv (1 - D)\gamma_5$$

lattice action

$$S_{kin} = \sum_{x,y} \bar{\Psi}_x D_{qxy} \Psi_y$$

has exact
global L and R
symmetries

$$U(1)_{q,-} \times U(1)_{q,+} \quad \begin{aligned} \Psi_q &\rightarrow e^{i\alpha_{q,\pm} P_{\pm}} \Psi_q \\ \bar{\Psi}_q &\rightarrow \bar{\Psi}_q e^{-i\alpha_{q,\pm} \hat{P}_{\mp}} \end{aligned}$$

field dependence of transformation leads to Jacobian:
(vanishes for vector U(1)!):

$$\left[1 \pm i\alpha_{q,\pm} \text{Tr} \left(\gamma_5 - \frac{1}{2} D_q \gamma_5 \right) \right]$$

then properties of D are useful to show that:

$$\text{Tr}(\gamma_5 - \frac{1}{2} D_q \gamma_5) = n_+^0 - n_-^0.$$

3 a proposal using Ginsparg-Wilson mechanism to impose a modified exact lattice chiral symmetry

moral:

in lattice vectorlike theories

- exact lattice chiral symmetry (not the usual one for all modes!),
- exact lattice (anomalous) chiral Ward identities,
- axial charge violation and 't Hooft vertices

big theoretical success!!!

- tested extensively in Schwinger model (2d), works beautifully
- still more expensive to run in 4d QCD because of non-sparseness

but, our desire is not to study QCD; we want to:

start from vectorlike theory
decouple mirrors
get unbroken chiral theory

- can we do that?

3 a proposal using Ginsparg-Wilson mechanism to impose a modified exact lattice chiral symmetry

finally, can explain our proposal (any dimension, but so far tests in 2d)

2-dim chiral theory: U(1) “345” theory $3_-, 4_-, 5_+$ chiral matter

133 global U(1) anomaly free

111 global U(1) anomalous, 't Hooft vertex $(3_-)^3 \partial_+ (4_-)^4 (\bar{5}_+)^5$

“345” theory fields: $3_- 4_- 5_+ 0_+$ and mirrors: $3_+ 4_+ 5_- 0_-$

spectator $0_+/0_-$ only needed in 2d for Lorentz inv

$$S_{kin} = \sum_{q=0,3,4,5} \sum_{x,y} \bar{\Psi}_q(x) D_q(x,y) \Psi_q(y)$$

8 global chiral U(1)s are symmetries of S_{kin} : $\prod_{q=0,3,4,5} U(1)_{q,-} \times U(1)_{q,+}$

while target 3-4-5 theory has only 4 exact classical ones

$$U(1)_{3,-} \times U(1)_{4,-} \times U(1)_{5,+} \times U(1)_{0,+}$$

3 a proposal using Ginsparg-Wilson mechanism to impose a modified exact lattice chiral symmetry

introduce chiral components: $\Psi_{\pm} = P_{\pm} \Psi$ $\bar{\Psi}_{\pm} = \bar{\Psi} \hat{P}_{\mp}$

include Yukawa couplings involving mirrors that violate all unwanted U(1)s

e.g.: $\bar{\Psi}_{0,-} (\phi^*)^3 \Psi_{3,+}$ (Dirac) and $\Psi_{3,+}^T \gamma_2 (\phi^*)^8 \Psi_{5,-}$ (Majorana)

ideologically not dissimilar from Eichten-Preskill '86

345, 133: anomaly free exact lattice chiral symmetries

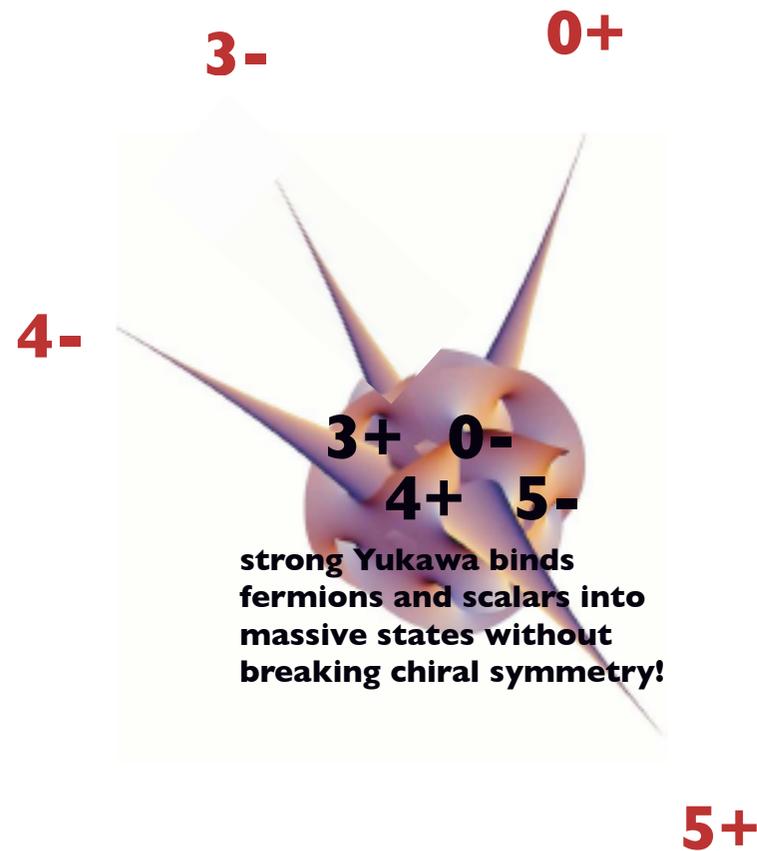
lattice anomalous Ward identities for fermion number III symmetry

$$\langle \delta_{\alpha_{111}} \mathcal{O} \rangle = i \frac{\alpha}{2} \langle \mathcal{O} \text{Tr} [\gamma_5 (D_3 + D_4 - D_5)] \rangle, \text{ as in target theory}$$

$$\text{Tr} \gamma_5 D \sim \int d^2x \epsilon^{\mu\nu} F_{\mu\nu}$$

this completes the definition of the proposal

3 a proposal using Ginsparg-Wilson mechanism to impose a modified exact lattice chiral symmetry



what have we got, so far?

- a full *lattice* proposal of **action** *and* **measure**
- formulated in both 2d and 4d
[2d simulations on the “fringe” possible]
- global symmetries, *incl. anomalous ones*, are realized exactly as in continuum theory

but does it behave as we want it to?

symmetries vs. dynamics - most important issue:

- **unbroken chiral (gauge) symmetry!**

heavy mirrors

massless chiral matter

we don't have a proof
... but evidence ...

3 a proposal using Ginsparg-Wilson mechanism to impose a modified exact lattice chiral symmetry

$$S = S_{Wilson} + S_{kin} + S_{mass} + \frac{\kappa}{2} \sum_x \sum_{\hat{\mu}} [2 - (\phi(x))^* U(x, \hat{\mu}) \phi(x + \hat{\mu}) + \text{h.c.}]$$

since for GW fermions $\bar{\Psi}_q D_q \Psi_q = \bar{\Psi}_{q,+} D_q \Psi_{q,+} + \bar{\Psi}_{q,-} D_q \Psi_{q,-}$

no coupling of mirror and light states via the strong Yukawas

⇒ cause of trouble (i.e., vectorlike spectrum of light states in the symmetric phase!) for all previous Higgs/Yukawa attempts, e.g. waveguide...

except: Hernandez/Sundrum two-cutoff proposal, '96, which has its own complications

as a result, since also

$d\Psi = d\Psi_+ d\Psi_-$, when $g=0$ partition function factorizes:

$$Z = Z_{light} \times Z_{mirror}$$

3 a proposal using Ginsparg-Wilson mechanism to impose a modified exact lattice chiral symmetry

$$Z_{light} = \int \prod_x d\Psi_{3,-} d\Psi_{4,-} d\Psi_{5,+} d\Psi_{0,+} e^{-S_{kin}(\Psi^{light})}$$

$$Z_{mirror} = \int \prod_x d\Psi_{3,+} d\Psi_{4,+} d\Psi_{5,-} d\Psi_{0,-} d\phi \\ \times e^{-S_{kin}^{mirror}(\Psi^{mirror}) - S_{\kappa}(\phi) - S_{mass}(\Psi^{mirror})}$$

problem at hand:

study Z_{mirror} dynamics (enough, for $g = 0$)

is there a phase, where as

$$\kappa \rightarrow 0 \quad \lambda \rightarrow \infty$$

scalar has small, $O(a)$, correlation length [=symmetric phase, no gauge boson mass]
and mirrors are heavy?

3 a proposal using Ginsparg-Wilson mechanism to impose a modified exact lattice chiral symmetry

quick argument: $S_{mass} = \lambda \sum_x X_+(x) M Y_-(x)$

$$Y_- = \begin{pmatrix} \Psi_{5,-} \\ \bar{\Psi}_{5,-}^T \\ \Psi_{0,-} \\ \bar{\Psi}_{0,-}^T \end{pmatrix}$$
$$X_+ = (\Psi_{3,+}^T \quad \bar{\Psi}_{3,+} \quad \Psi_{4,+}^T \quad \bar{\Psi}_{4,+})$$

$M(x)$ contains powers of unitary Higgs field to make Yukawa gauge invariant

- at infinite Yukawa, drop kinetic term
- mirror determinant = product of dets at each x (as in toy model)
- it is “gauge” symmetric and local (x), hence Higgs independent (since no local gauge invariant out of unitary Higgs)

➡ hence, fermions are:

- a.) heavy and
- b.) do not effect unitary Higgs dynamics - do not drive theory into ordered (“low-T”) phase:

**if they did, this would mean that they generated a large kinetic term for Higgs [= gauge boson mass term, once g is turned on] requiring fine-tuning of, possibly infinitely many, operators to obtain massless gauge bosons
=> drop the proposal!**

3 a proposal using Ginsparg-Wilson mechanism to impose a modified exact lattice chiral symmetry

too quick!

important quick claim was:

- mirror determinant = product of dets at each x

$$S_{mass} = \lambda \sum_x X_+(x) M Y_-(x) \quad \text{elegant, but misleading... recall:}$$

$$\bar{\Psi}_\pm = \bar{\Psi} \hat{P}_\mp \quad \text{is actually} \quad \bar{\Psi}_{\pm,x} = \sum_{x'} \bar{\Psi}_{x'} \left(\hat{P}_\mp \right)_{x'x}$$

- somewhat smeared as $D_{x,x'} \sim e^{-\frac{|x-x'|}{a}}$

details:

Neuberger;

Hernandez, Jansen, Luscher '98/9

and mirror determinant, even at infinite Yukawa, depends on Higgs

does it order Higgs fluctuations?

[induce large “gauge breaking” terms]

no workable *analytic only* expansion; combine strong coupling expansion with numerical “experiment:”

current work with Joel Giedt on $g=0$ Higgs-GW-fermion-Yukawa model

(I) *analytic:*

proper definition of measure (nontrivial because of smearing!)

$$“d\Psi = d\Psi_+ d\Psi_-”$$

and corresponding split of light and mirror action

(II) *numerical:*

use the result of (I) in simulation with backreaction of mirror fermions and study the scalar correlation length

4 recent analytic and numerical work supporting the proposal

“toy model” used in numerical study:

[upon gauging = chiral Schwinger model;

Jackiw/Rajaraman 1984]

$$S = S_{light} + S_{mirror}$$

$$S_{light} = (\bar{\psi}_+, D_1 \psi_+) + (\bar{\chi}_-, D_0 \chi_-)$$

$$S_{mirror} = (\bar{\psi}_-, D_1 \psi_-) + (\bar{\chi}_+, D_0 \chi_+)$$

$$+ y \{ (\bar{\psi}_-, \phi^* \chi_+) + (\bar{\chi}_+, \phi \psi_-) + h [(\psi_-^T, \phi \gamma_2 \chi_+) - (\bar{\chi}_+, \gamma_2 \phi^* \bar{\psi}_-^T)] \}$$

for, on 16x16 lattice mirror fermion matrix in toy model is 512x512 at infinite Yukawa and 1024x1024 at finite y - already “toy model” presents a challenge for the “fringe”...

the light-mirror split of the measure is made explicit using a basis of eigenvalues of the GW operator --- skip details... mirror measure is:

$$\prod_x d\bar{\psi}_+ d\psi_+ d\bar{\chi}_- d\chi_- \equiv \prod_{k_1, k_2=1}^N 16(1 - \lambda_{\mathbf{k}}^*) d\alpha_{\mathbf{k}+} d\bar{\alpha}_{\mathbf{k}+} d\beta_{\mathbf{k}-} d\bar{\beta}_{\mathbf{k}-}$$

4 recent analytic and numerical work supporting the proposal

$$S_{mirror}^{skin} = \sum_{\mathbf{k}} \lambda_{\mathbf{k}} (\bar{\alpha}_{\mathbf{k}-} \alpha_{\mathbf{k}-} + \bar{\beta}_{\mathbf{k}+} \beta_{\mathbf{k}+})$$

$$\frac{1}{y} S_{mirror}^{Dirac} = \frac{1}{2} \sum_{\mathbf{k}, \mathbf{p}} (2 - \lambda_{\mathbf{k}}) (\bar{\alpha}_{\mathbf{k}-} \beta_{\mathbf{p}+} \Phi_{\mathbf{k}-\mathbf{p}}^* + \bar{\beta}_{\mathbf{k}+} \alpha_{\mathbf{p}-} \Phi_{\mathbf{k}-\mathbf{p}} e^{i(\varphi_{\mathbf{p}} - \varphi_{\mathbf{k}})})$$

$$\frac{1}{yh} S_{mirror}^{Maj} =$$

$$i \sum_{\mathbf{k}, \mathbf{p}} \left[\alpha_{\mathbf{k}-} \beta_{\mathbf{p}+} \Phi_{-\mathbf{k}-\mathbf{p}} e^{i\varphi_{\mathbf{k}}} - \bar{\beta}_{\mathbf{k}+} \bar{\alpha}_{\mathbf{p}-} \Phi_{\mathbf{k}+\mathbf{p}}^* \frac{(2 - \lambda_{\mathbf{p}})(2 - \lambda_{\mathbf{k}}) e^{-i\varphi_{\mathbf{k}}} - \lambda_{\mathbf{p}} \lambda_{\mathbf{k}} e^{-i\varphi_{\mathbf{p}}}}{4} \right]$$

$$\lambda_{\mathbf{k}} = a_{\mathbf{k}} \pm i \sqrt{b_{\mathbf{k}}^2 + c_{\mathbf{k}}^2}$$

$$a_{\mathbf{k}} \equiv 1 - \frac{1 - 2s_1^2 - 2s_2^2}{\sqrt{1 + 8s_1^2 s_2^2}},$$

$$b_{\mathbf{k}} \equiv \frac{2s_2 c_2}{\sqrt{1 + 8s_1^2 s_2^2}},$$

$$c_{\mathbf{k}} \equiv \frac{2s_1 c_1}{\sqrt{1 + 8s_1^2 s_2^2}},$$

where
$$e^{i\varphi_{\mathbf{k}}} \equiv \begin{cases} \frac{ib_{\mathbf{k}} + c_{\mathbf{k}}}{\sqrt{b_{\mathbf{k}}^2 + c_{\mathbf{k}}^2}} & \text{if } \mathbf{k} \neq (N, N), (\frac{N}{2}, N), (N, \frac{N}{2}), (\frac{N}{2}, \frac{N}{2}) \\ 1 & \text{if } \mathbf{k} = (N, N), (\frac{N}{2}, N), (N, \frac{N}{2}), (\frac{N}{2}, \frac{N}{2}) \end{cases}$$

- fermion det is positive for Majorana > Dirac, for arbitrary scalar bckgd

[preliminary: appears to hold in 4d as well!]

- det. vanishes at Maj. = Dirac, sign problem for Maj. < Dirac

4 recent analytic and numerical work supporting the proposal

probe of symmetry breaking A

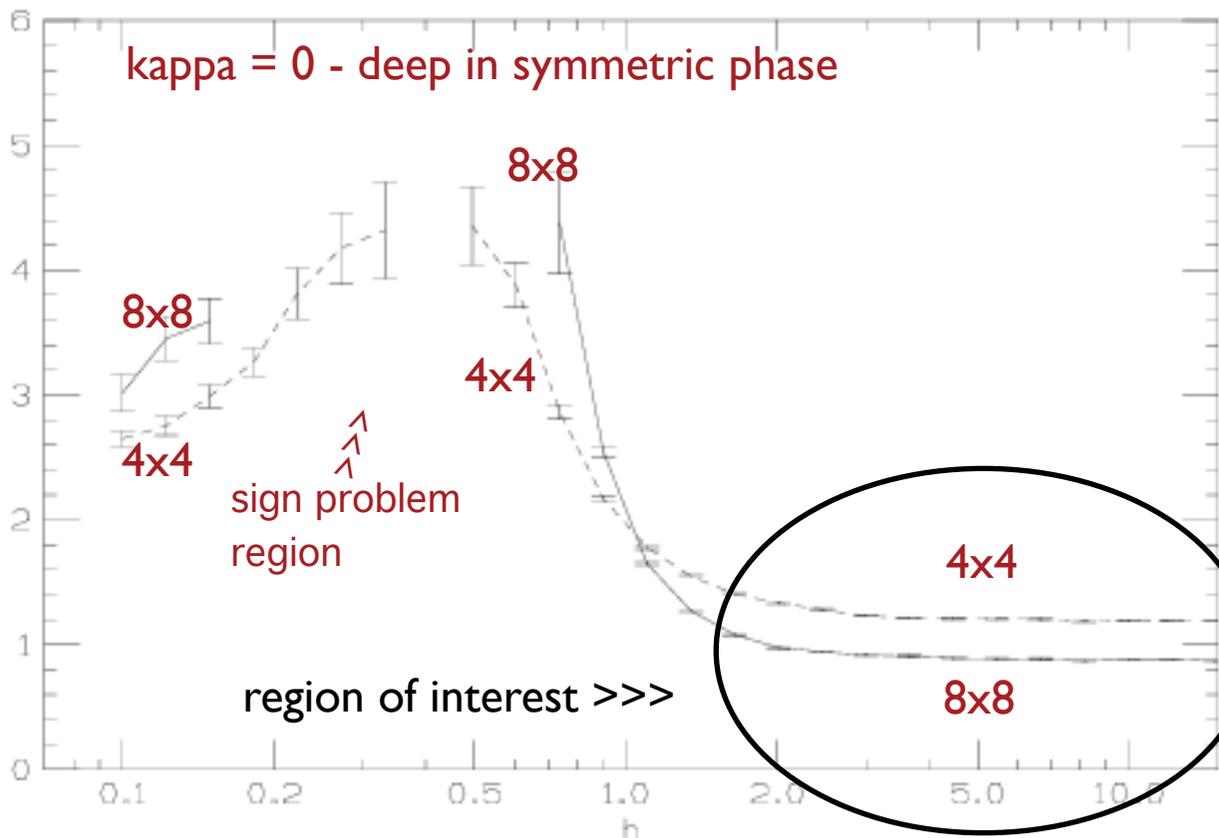
[KT transition, really, since 2d]

Higgs susceptibility

~ square of Higgs correlation length

dynamical fermions; infinite Yukawa limit ($y > 10$ is OK)

susceptibility as function of ratio of Majorana to Dirac mass:



Metropolis with $e^{-S} = \det M$
...painfully slow...

so, turn on kappa < kappa_KT
and use cluster + determinant reweighting

4 recent analytic and numerical work supporting the proposal

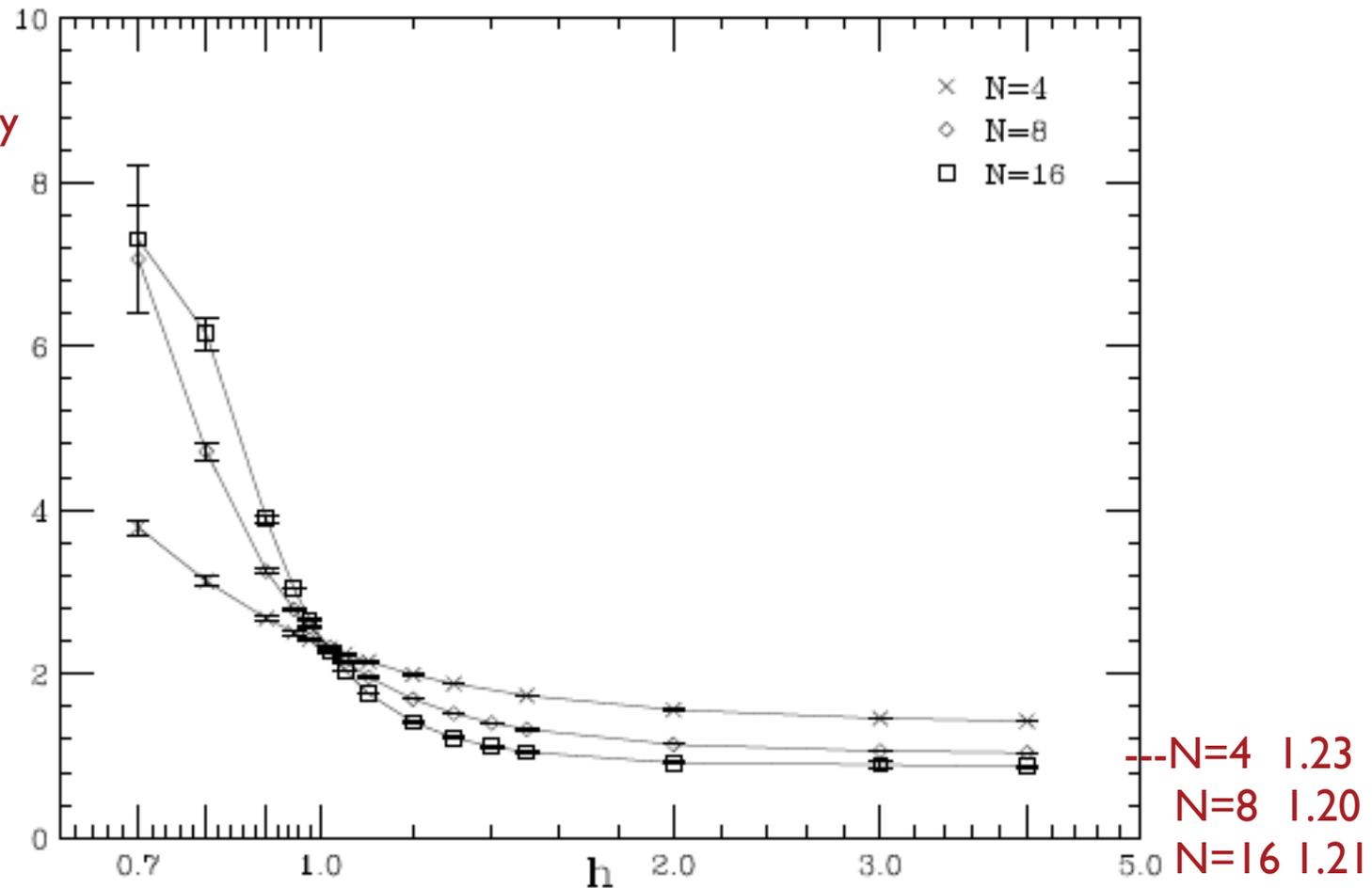
probe of symmetry breaking A

$\kappa = 0.1 < \kappa_{KT} (\sim 1.1 \text{ on square lattice})$

Wolff cluster (XY-model) + determinant reweighting

(much faster!)

Higgs susceptibility



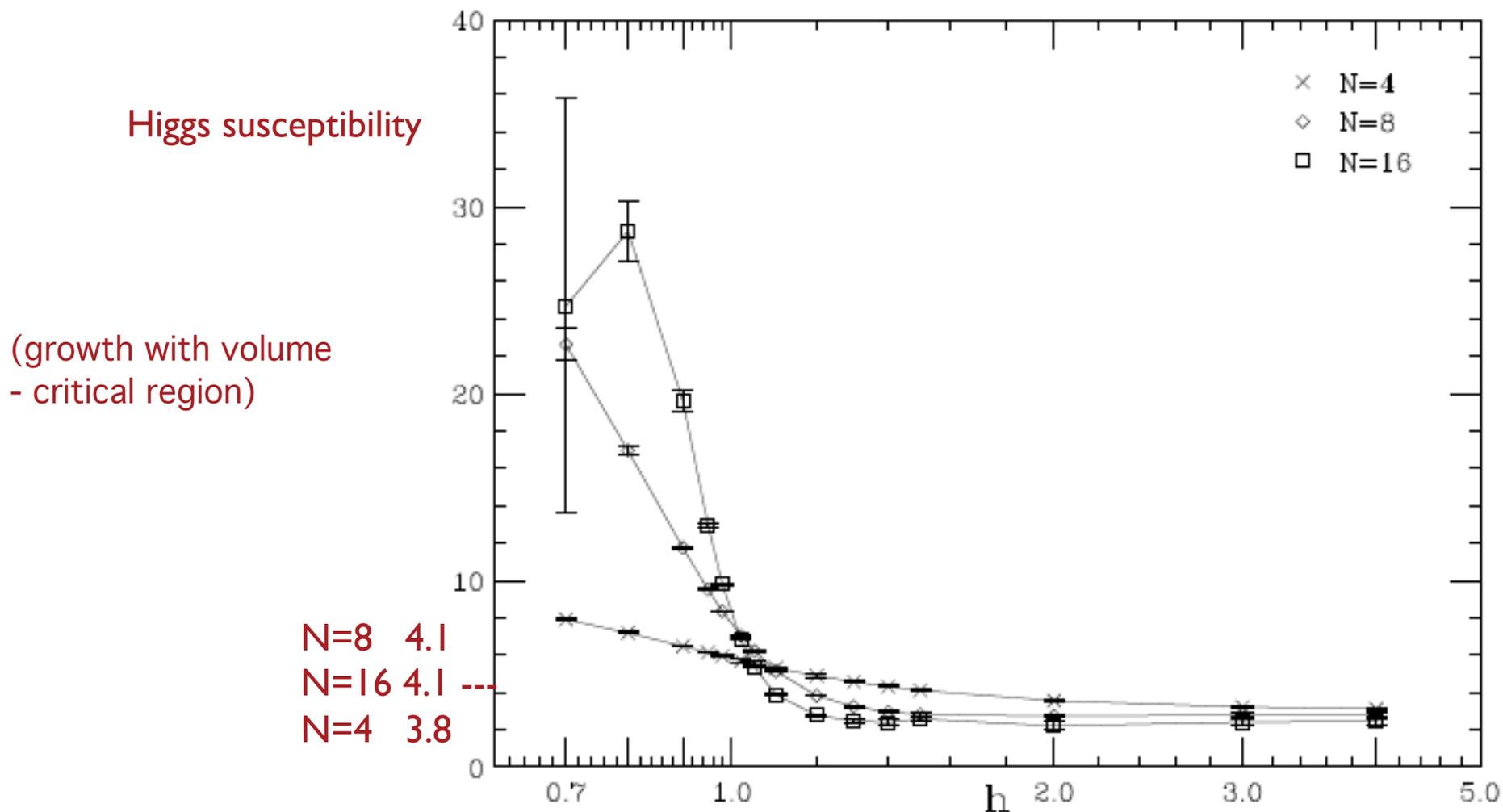
4 recent analytic and numerical work supporting the proposal

probe of symmetry breaking A

$\kappa = 0.5 < \kappa_{KT} (\sim 1.1 \text{ on square lattice})$

Wolff cluster (XY-model) + determinant reweighting

larger κ -
larger susceptibility



4 recent analytic and numerical work supporting the proposal

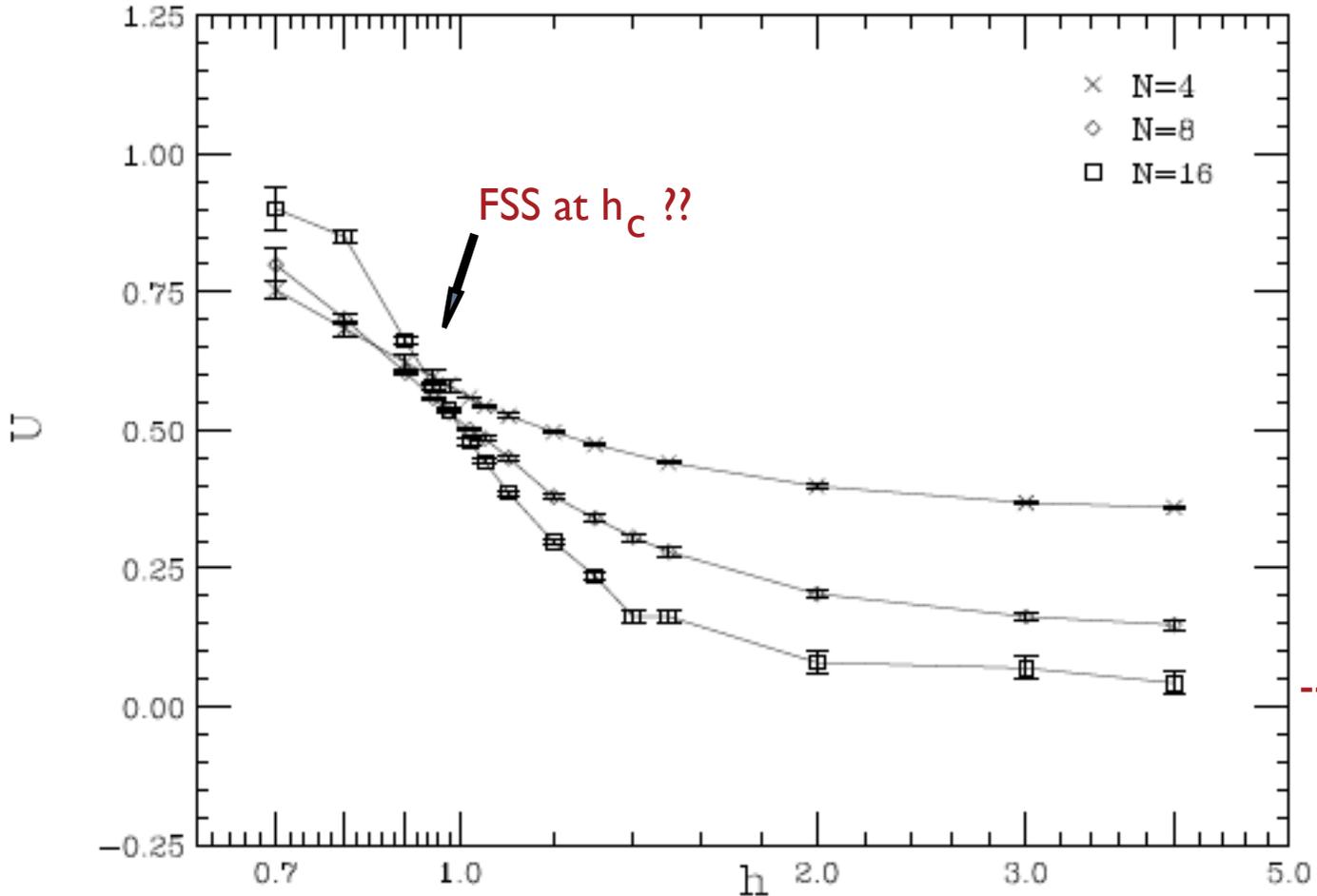
probe of symmetry breaking B

$$\lim_{\kappa \rightarrow 0} U = 0, \quad \lim_{\kappa \rightarrow \infty} U = 1.$$

$$U = 2 - \frac{\langle |M|^4 \rangle}{\langle |M|^2 \rangle^2}$$

$$M = \sum_x \phi_x$$

Binder cumulant
kappa = 0.1



---N=4 .1
N=8 .05
N=16 .03

4 recent analytic and numerical work supporting the proposal

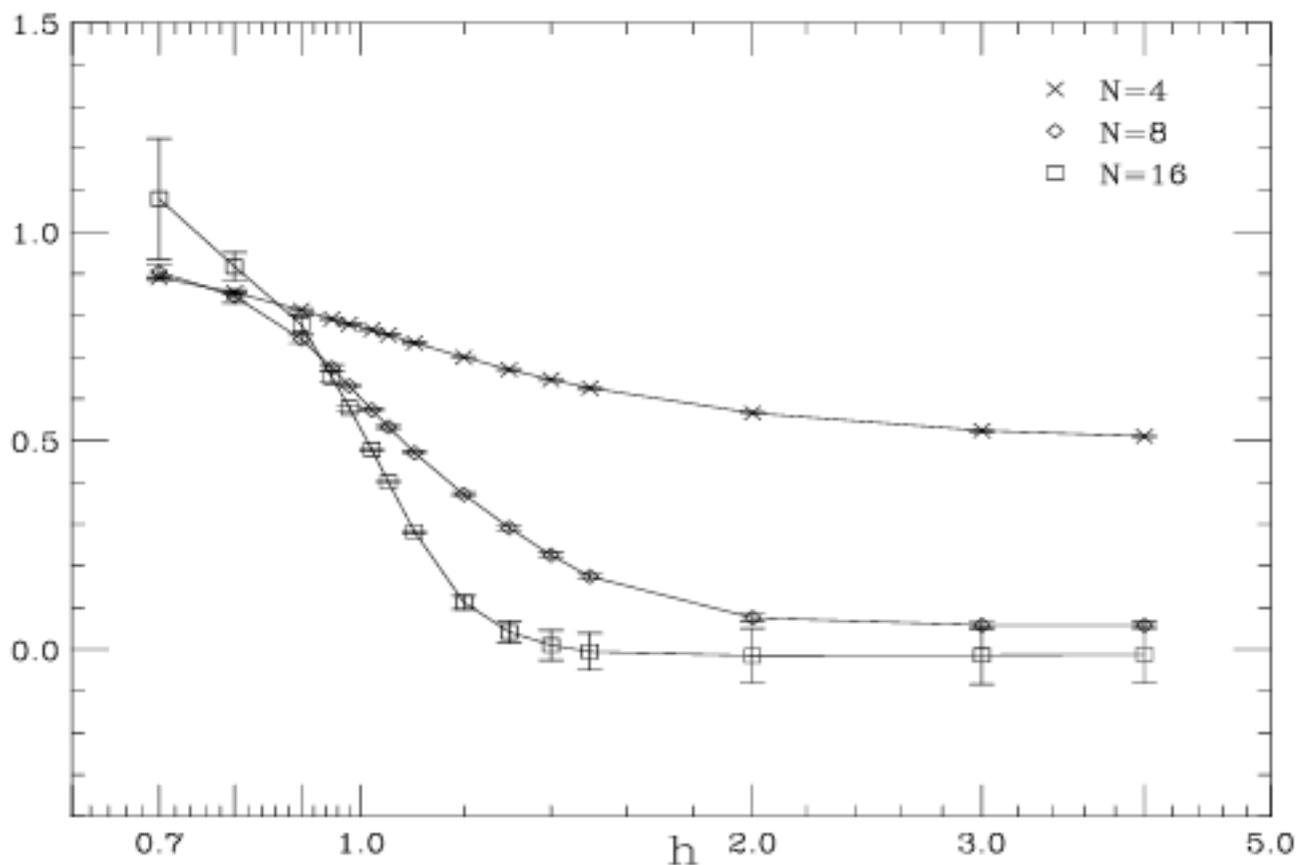
probe of symmetry breaking B

$$\lim_{\kappa \rightarrow 0} U = 0, \quad \lim_{\kappa \rightarrow \infty} U = 1,$$

$$U = 2 - \frac{\langle |M|^4 \rangle}{\langle |M|^2 \rangle^2}$$

$$M = \sum_x \phi_x$$

Binder cumulant
 $\kappa = 0.5$

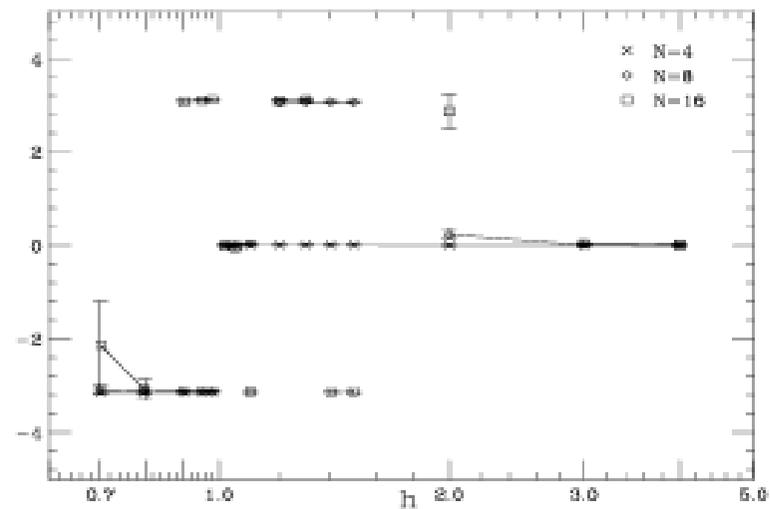
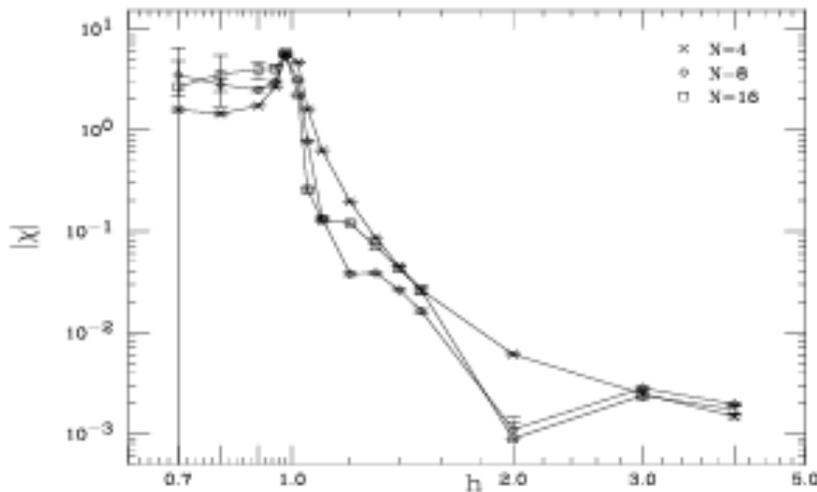


4 recent analytic and numerical work supporting the proposal

probe of symmetry breaking C

fermion-fermion bound state (1) with higgs quantum numbers
is the corresponding susceptibility large?

$$\chi_F \equiv \sum_x \langle \bar{\psi}_{-x} \chi_{+x} \bar{\chi}_{+y} \psi_{-y} \rangle |_{connected}$$



(based on toy model, with similar correlator

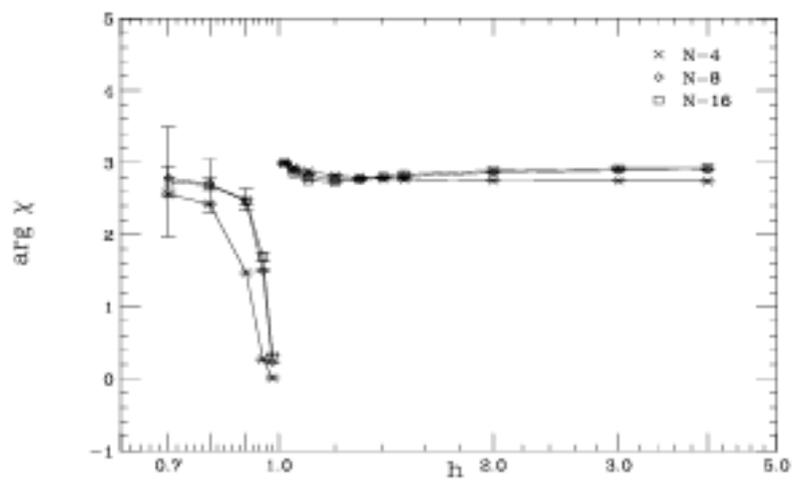
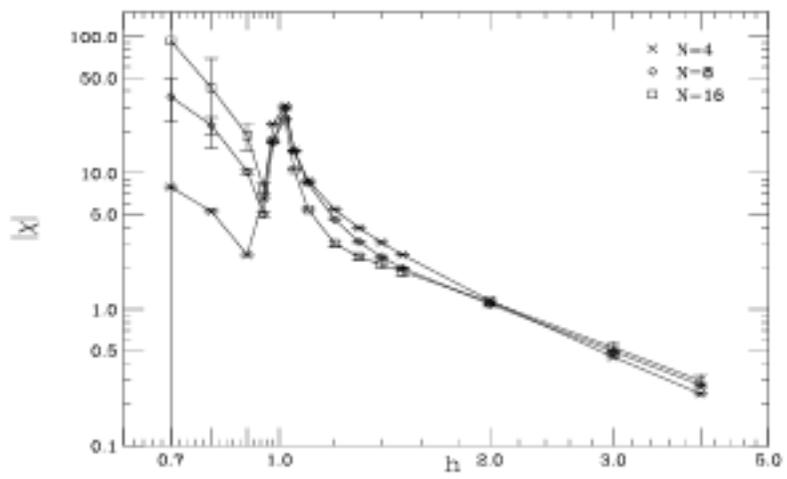
$$\langle (\Psi_{L0} \bar{\Psi}_{R0}) (\bar{\Psi}_{Lt} \Psi_{Rt}) \rangle \neq 0$$

don't expect surprises - strong coupling, mixing...)

4 recent analytic and numerical work supporting the proposal

probe of symmetry breaking C

fermion-fermion bound state (2) with higgs-like quantum numbers



$$\chi'_F \equiv \sum_x \langle \psi_{-x}^T \gamma_2 \chi_{+x} \bar{\chi}_{+y} \gamma_2 \bar{\psi}_{-y}^T \rangle |_{connected}$$

fermion-susceptibility follows behavior of scalar; no growth with N at h>1 seen

4 recent analytic and numerical work supporting the proposal

probe of fermion spectrum...
(raw data)

neutral

$$S_{x-y}^n = \begin{pmatrix} \langle \chi_{+x} \phi_y \psi_{-y}^T \rangle & \langle \chi_{+x} \phi_y^* \bar{\psi}_{-y} \rangle \\ \langle \bar{\chi}_{+x}^T \phi_y \psi_{-y}^T \rangle & \langle \bar{\chi}_{+x}^T \phi_y^* \bar{\psi}_{-y} \rangle \end{pmatrix}$$

charged

$$S_{x-y}^c = \begin{pmatrix} \langle \chi_{+x} \phi_x \psi_{-y}^T \rangle & \langle \chi_{+x} \phi_x^* \bar{\psi}_{-y} \rangle \\ \langle \bar{\chi}_{+x}^T \phi_x \psi_{-y}^T \rangle & \langle \bar{\chi}_{+x}^T \phi_x^* \bar{\psi}_{-y} \rangle \end{pmatrix}$$

$$\min(\mathbf{k}) \Omega_{\mathbf{k}}^{1(2)} = \frac{1}{\sqrt{\text{Tr } S_{\mathbf{k}}^{n(c) \dagger} S_{\mathbf{k}}^{n(c)}}} \quad \text{gives lower bound on } S^{n,c} \text{ eigenvalue}$$

first check: fermion spectrum in broken phase (large kappa):
perturbation theory, incl. spin-wave loop corrections (their scaling with
 h, κ) agrees spectacularly (!) with Monte-Carlo

4 recent analytic and numerical work supporting the proposal

probe of fermion spectrum...

raw data... lower bound on min EV of $S^{n,c}$ in units of Yukawa coupling

$[y]=\text{mass, large-}(ya) \gg 1$

fermion spectrum in symmetric phase:

| $\kappa = 0.1$ | $N = 4$ | $N = 4$ | $N = 8$ | $N = 8$ | $N = 16$ | | $\kappa = 0.5$ | $N = 4$ | $N = 4$ | $N = 8$ | $N = 8$ |
|----------------|--------------|--------------|--------------|--------------|--------------|--|----------------|--------------|--------------|--------------|--------------|
| h | <i>neutr</i> | <i>chrgd</i> | <i>neutr</i> | <i>chrgd</i> | <i>neutr</i> | | h | <i>neutr</i> | <i>chrgd</i> | <i>neutr</i> | <i>chrgd</i> |
| 0.7 | 0.295 | 0.381 | 0.25 | 0.37 | | | 0.7 | 0.29 | 0.39 | 0.295 | 0.39 |
| 0.8 | 0.198 | 0.272 | 0.198 | 0.269 | | | 0.8 | 0.198 | 0.27 | 0.198 | 0.274 |
| 0.9 | 0.1 | 0.14 | 0.01 | 0.14 | 0.01 | <i>more data near $h=0$ available</i> | 0.9 | 0.1 | 0.14 | 0.01 | 0.14 |
| 0.95 | 0.05 | 0.07 | 0.05 | 0.07 | 0.049 | | 0.95 | 0.05 | 0.07 | 0.05 | 0.07 |
| 1.05 | 0.05 | 0.07 | 0.05 | 0.07 | 0.049 | | 1.05 | 0.05 | 0.07 | 0.05 | 0.07 |
| 1.1 | 0.1 | 0.14 | 0.099 | 0.14 | 0.099 | | 1.1 | 0.1 | 0.14 | 0.099 | 0.14 |
| 1.2 | 0.2 | 0.27 | 0.199 | 0.27 | 0.184 | | 1.2 | 0.2 | 0.27 | 0.199 | 0.27 |
| 1.5 | 0.49 | 0.62 | 0.49 | 0.56 | | | 1.5 | 0.5 | 0.62 | 0.49 | 0.59 |
| 2 | 0.94 | 1.1 | 0.73 | 0.8 | 0.44 | | 2 | 0.94 | 1.1 | 0.87 | 0.95 |
| 3 | 1.76 | 1.79 | 1.21 | 1.26 | 0.75 | | 3 | 1.6 | 1.3 | 1.58 | 1.64 |
| 4 | 2.39 | 2.41 | 1.68 | 1.72 | 1.1 | | 4 | 2.17 | 2.2 | 2.2 | 2.24 |

CONCLUSIONS from simulation with backreaction of mirror fermions

- good news, *positivity was somewhat unexpected, analytically not understood*
- scalar correlation length remains small, $O(a)$,
in infinite Yukawa limit... as per quick argument!

most important conclusion: symmetric phase

- fermions at strong Yukawa do not drive theory into broken phase
- mirror fermions massive

thus, we can continue study and address the crucial question:

will the “entire thing” work?

$g=0$ limit appears to work better than any Higgs/Yukawa model so far! ... so I am optimistic ...

before declaring victory, many issues to be understood, in both 2d and 4d:

- stability of next order of strong coupling, $g=0$, expansion
[so far, looks good!]
- order g corrections
- behavior in nontrivial topology backgrounds and definition of Luscher construction's fermion measure!?
- if it all holds up, is there a sign problem with gauge fields?

... **“old and new”** in the lattice definition of chiral gauge theories ...

combining some older ideas with newer developments in understanding chiral symmetry on the lattice resulted in a

strong Yukawa proposal using Ginsparg-Wilson mechanism to impose a modified exact lattice chiral symmetry

preliminary indications and checks in 2d are promising